

# LIVE VIRTUAL BOARD MEETING

\*The Board meeting will be held following the Committee meeting scheduled prior.



TO VIEW VIA WEB



TO PROVIDE PUBLIC COMMENT

You may submit a request to speak during Public Comment or provide a written comment by emailing [PublicComment@lacera.com](mailto:PublicComment@lacera.com). If you would like to remain anonymous at the meeting without stating your name, please let us know.

**Attention:** Public comment requests must be submitted via email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com).

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION  
300 N. LAKE AVENUE, SUITE 650, PASADENA, CA

A REGULAR MEETING OF THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, DECEMBER 1, 2021\*

This meeting will be conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

Any person may view the meeting online at  
<https://LACERA.com/leadership/board-meetings>

*The Board may take action on any item on the agenda,  
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. APPROVAL OF MINUTES
  - A. Ratification of the Minutes of the Regular Meeting of October 6, 2021 (Red-Lined Revisions on Page 10)
  - B. Approval of the Minutes of the Regular Meeting of November 3, 2021
  - C. Approval of the Minutes of the Regular Meeting of November 17, 2021
- III. PUBLIC COMMENT

(Written Public Comment - You may submit written public comments by email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com). Correspondence will be made part of the official record of the meeting. Please submit your written public comments or documentation as soon as possible and up to the close of the meeting.)

Verbal Public Comment - You may also request to address the Board at [PublicComment@lacera.com](mailto:PublicComment@lacera.com) before and during the meeting at any time up to the end of the Public Comment item. We will contact you with information and instructions as to how to access the meeting as a speaker. If you would like to remain anonymous at the meeting without stating your name, please let us know.)

IV. OTHER COMMUNICATIONS

A. For Information

1. October 2021 All Stars
2. Chief Executive Officer's Report  
(Memo dated November 22, 2021)

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

VI. CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That the Board approves that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.  
(Memo dated November 22, 2021)
- B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated November 22, 2021)
- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeals and requests for an administrative hearing for applicants Aramis P. Almaguer and Nicholas A. Torsak. (Memo dated November 16, 2021)
- D. Recommendation as submitted by Shawn Kehoe, Chair, Joint Organizational Governance Committee: That the Board adopt the FY 2021-2022 Mid-Year Budget Amendments for the LACERA Administrative Budget.  
(Memo dated November 24, 2021)

VII. EXCLUDED FROM CONSENT ITEMS

## VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board discuss and approve their preferred meeting schedule for the BOR Administrative, Disability and Committee meetings. (Memo dated November 2, 2021)
- B. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board review the 2022 meeting calendar and consider rescheduling meeting dates that conflict with a holiday and/or the potential of a lack of quorum. (Memo dated November 16, 2021)
- C. Recommendation as submitted by Barry W. Lew, Legislative Affairs Officer: That the Board discuss whether a trustee of the Board of Retirement can serve as a Chair while concurrently service as the Chair of the Board of Investments. (Memo dated November 22, 2021)
- D. Recommendation as submitted by Francis J. Boyd, Senior Staff Counsel: That the Board adopt the proposed recommendations to update LACERA's Procedures for Disability Retirement Hearings. (Memo dated November 17, 2021)

## IX. REPORTS

- A. Presentation as submitted by Joe Ackler of Ackler & Associates, Naomi Padron of McHugh Koepke & Associates, Tony Roda of Williams & Jensen, and Shane Doucet of Doucet Consulting Solutions regarding State and Federal Legislative Updates. (Memo and presentation dated November 16, 2021)
- B. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the 2021 Year-End Legislative Report. (Memo dated November 18, 2021)
- C. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated November 23, 2021)
- D. For Information Only as submitted by Francis J. Boyd, Senior Staff Counsel, regarding Government Code Section 7523: Disability Retirement Covid-19 Presumption Sunset Clause. (Memo dated November 22, 2021)



IX. REPORTS (Continued)

E. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the Semi-Annual Interest Crediting for Reserves as of June 30, 2021 (Audited). (Memo dated October 29, 2021)

F. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:

Monthly Travel and Education Reports for October 2021

(Public Memo dated November 17, 2021)

(Confidential Memo dated November 17, 2021 – Includes Anticipated Travel)

1<sup>st</sup> Quarterly Travel and Education Reports

(Memo dated November 17, 2021)

G. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the Fiduciary Counsel Annual Self-Assessments.  
(Memo dated November 22, 2021/Attachments are Privileged and Confidential Attorney-Client Communications/Attorney Work Product)

H. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the November 2021 Fiduciary Counsel Contact and Billing Report.  
(Memo dated November 22, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

XI. GOOD OF THE ORDER  
(For information purposes only)

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

B. Disability Retirement Appeals

XIII. EXECUTIVE SESSION

- A. Conference with Labor Negotiators  
(Pursuant to California Government Code Section 54957.6)  
Agency designated representatives: Irma Rodriguez Moisa, Carly Ntoya,  
Santos H. Kreimann, Luis A. Lugo, JJ Popowich and Laura Guglielmo

Employee Organization: SEIU Local 721

XIV. ADJOURNMENT

*\*Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.*

*Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Trustees at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.*

*Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email [PublicComment@lacera.com](mailto:PublicComment@lacera.com), but no later than 48 hours prior to the time the meeting is to commence.*

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, OCTOBER 6, 2021

This meeting was conducted by the Board of Retirement by teleconference under  
California Government Code Section 54953(e).

PRESENT: Alan Bernstein, Chair

Vivian H. Gray, Vice Chair

Gina Zapanta, Secretary

JP Harris (Alternate Retired)

Elizabeth B. Ginsberg (Chief Deputy to Keith Knox)

Shawn R. Kehoe

Wayne Moore

Ronald Okum

William Pryor (Alternate Safety)

Les Robbins

Herman Santos

ABSENT: Keith Knox

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Steven P. Rice, Chief Counsel

Jon Grabel, Chief Investment Officer

Dr. Glenn Ehresmann, Medical Advisor

Allan Cochran, Member Services Division Manager

Carlos Barrios, Benefits Division Manager

Ted Granger, Interim Chief Financial Officer

Carly Ntoya, Ph.D., Human Resources Director

Barry W. Lew, Legislative Affairs Officer

Francis J. Boyd, Senior Staff Counsel

Tamara Caldwell, Disability Retirement Specialist Supervisor

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

Vincent Lim, Disability Litigation Manager

Allison E. Barrett, Senior Staff Counsel

Eugenia W. Der, Senior Staff Counsel

Jason E. Waller, Senior Staff Counsel

James Beasley, Supervising Assistant, Administrative Division

Jolene Williams, Kaercher Campbell & Associates Insurance Brokerage

Penni Campbell, Kaercher Campbell & Associates Insurance Brokerage

I. CALL TO ORDER

The meeting was called to order virtually by Mr. Bernstein at 9:00 a.m.

II. APPROVAL OF MINUTES

A. Approval of the Minutes of the Regular Meeting of September 1, 2021

Ms. Gray made a motion, Mr. Okum seconded, to approve the minutes of the regular meeting of September 1, 2021. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

III. PUBLIC COMMENT

There were no requests from the public to speak.

IV. OTHER COMMUNICATIONS

A. For Information

1. August 2021 All Stars

Mr. Popowich announced the winners for the month of August: Angela Ward and Paola Johns. The Rideshare winner was Liping Li and the Web Watcher winner was Persian Petrov.

2. Chief Executive Officer's Report  
(Memo dated September 20, 2021)

Mr. Kreimann provided an update to the Board regarding the positions that were approved by the LA County Board of Supervisors and those that were rejected. In addition, Mr. Kreimann gave an update to the Strategic Planning Consultant RFP extension that was extended until October 15, 2021.

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement  
Service-Connected Disability Applications

On a motion by Mr. Okum, seconded by Mr. Pryor, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
540D	JAVIER PENA
541D*	JAMES L. WILSON
542D	FLOYD T. CALER, III
543D	MARCELO J. SAMPEDRO
544D	JEFFREY F. HAMIL
545D	DALE W. RYKEN
546D	EDWARD J. MCDONALD
547D	ALBERT H. RODRIGUEZ
548D**	KEVIN L. BEGGS
549D*	MICHAEL J. HARTLEY

\*Granted SCD – Retroactive

\*\*Granted SCD – Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR  
(Continued)

Safety Fire, Lifeguards  
Service-Connected Disability Applications

On a motion by Mr. Pryor, seconded by Mr. Robbins, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Pryor, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
1398B	CHRIS LANC
1399B	COREY D. MILLS
1400B	DONALD E. TRYON
1401B	JOHN B. OWENS
1402B	TODD A. RIBERA
1403B	JOHN D. DINGER
1404B	EDWIN ST. ANDREW, III
1405B	PATRICK S. WILSON
1406B	ERIC A. KUCK
1407B	JAY P. COLVIN
1408B	MARIO L. GONZALES

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR  
(Continued)

General Members

Service-Connected Disability Applications

On a motion by Mr. Okum, seconded by Mr. Kehoe, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
2277C*	DOMINIC R. VENTI
2278C**	TANISHA L. ARCENEUX
2279C*	GENE S. BOONE
2280C***	KIMBERLY A. BEYER
2281C**	LAURA M. WALTERS
2282C*	DANNY J. OVERTON
2283C	MARIAM PAKSHYAN
2284C***	ALLISON E. REESE
2285C	DAVID S. SMITH
2286C	SHEILA R. LA BOMME
2287C*	JESSIE W. COMER

\*Granted SCD – Employer Cannot Accommodate

\*\*Granted SCD – Retroactive Employer Cannot Accommodate

\*\*\*Granted SCD – Salary Supplement



V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
2288C	CHINYERE C. MAYO
2289C*	FRANCESCA RECART-NAVARRO
2290C**	MARK E. EVANS

VI. CONSENT ITEMS

Mr. Okum made a motion, Mr. Robbins seconded, to approve Consent Items A-D. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

- A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated September 28, 2021)
- B. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeals and request for an administrative hearing for applicant Camille Padilla and Alejandro Salinas. (Memo dated September 23, 2021)
- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Frank D. Dominguez's appeal for a service-connected disability retirement. (Memo dated September 21, 2021)
- D. Recommendation as submitted by Shawn R. Kehoe, Chair, Operations Oversight Committee: That the Board authorize staff to issue a Request for Proposal for software and consulting services to procure, configure, customize, and implement an enterprise Case Management System, which remains an important strategic initiative for LACERA's operational Divisions. (Memo dated September 22, 2021)

\*Granted SCD – Salary Supplement

\*\*Granted SCD – Employer Cannot Accommodate

## VII. EXCLUDED FROM CONSENT ITEMS

There were no items excluded from Consent Items.

## VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That the Board approves that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.  
(Memo dated September 27, 2021)

Ms. Gray made a motion, Mr. Kehoe seconded, to approve staff's recommendation and to add this item to the Consent agenda moving forward. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg and Ms. Gray voting yes. Ms. Zapanta was not present for the vote.

- B. Recommendation as submitted by James C. Beasley, Jr., Supervising Administrative Assistant II: That the Board approve the purchase of Cyber Liability Insurance effective October 9, 2021, with insurance carriers Tokio Marine HHC, Brit/Ambridge (London), Miller/Hamilton (London), and Accredited Specialty Insurance Company at a total cost of \$484,132.  
(Memo dated September 22, 2021)

Ms. Okum made a motion, Mr. Kehoe seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

## VIII. REPORTS

- A. For Discussion Purposes as submitted by Alan J. Bernstein, Board of Retirement Chair and Keith Knox, Board of Investments Chair, that the Boards provide feedback and approve the key terms that will serve as a template and basis for creating a policy to implement the selection of board officers through a seniority-based system; and direct the Chief Executive Officer and staff to prepare a final policy for implementation prior to the selection of board officers by January 2022.  
(Memo dated September 28, 2021)

Messrs. Lew and Rice were present and answered questions from the Board.

The Board provided staff with direction. The Item was received and filed.

- B. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated September 23, 2021)

This Item was received and filed.

- C. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding Monthly Status Report on Legislation.  
(Memo dated September 27, 2021)

This Item was received and filed.

- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:

Monthly Travel and Education Reports for August 2021

(Public Memo dated September 22, 2021)

(Confidential Memo dated September 22, 2021 – Includes Anticipated Travel)

This Item was received and filed.

- E. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the September 2021 Fiduciary Counsel Contact and Billing Report. (Memo dated September 24, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

This Item was received and filed.

X. ITEMS FOR STAFF REVIEW

There was nothing to report.

XI. GOOD OF THE ORDER  
(For information purposes only)

There was nothing to report.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

APPLICATION NO. & NAME

BOARD ACTION

5208B – ARAKS M. ZARGARIAN

Mr. Okum made a motion, Mr. Pryor seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated since the employer can accommodate. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

5209B – NICHOLAS A. TORSACK

Ms. Gray made a motion, Mr. Okum seconded, to deny a service-connected disability and grant a nonservice-connected disability retirement retroactive pursuant to Government Code Sections 31720 and 31724. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

5210B – GLORIA NEZAHUALCOYOTL

Ms. Gray made a motion, Mr. Kehoe seconded, to grant a service-connected disability retirement retroactive. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe,

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

<u>APPLICATION NO. &amp; NAME</u>	<u>BOARD ACTION</u>
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5210B – GLORIA NEZAHUALCOYOTL (Continued)

Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

5173B – AZNIV HANESOGHLIAN\*

Mr. Robbins made a motion, Mr. Okum seconded, to deny a service-connected disability retirement and find that the applicant is not permanently incapacitated since the employer can accommodate. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Okum, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

B. Disability Retirement Appeals

<u>APPLICATION NO. &amp; NAME</u>	<u>BOARD ACTION</u>
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CRANDLON R. MCGINNIS - Thomas J. Wicke for the Applicant  
Eugenia Der for the Respondent

Mr. Kehoe made a motion, Mr. Robbins seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated. Ms. Gray made a substitute motion, Mr. Kehoe seconded, to review the transcript and evidence received by the referee. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes. Mr. Okum was not present for the vote.

\*Applicant Present

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION  
(Continued)

C. Staff Recommendations

1. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Elizabeth Moreno.  
(Memo dated September 21, 2021)

Mr. Bernstein made a motion, Mr. Robbins seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Okum, Ms. Ginsberg, Ms. Zapanta and Ms. Gray voting yes.

XIII. EXECUTIVE SESSION

- A. Conference with Legal Counsel – Existing Litigation  
(Pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9)

1. Ramos v. LACERA

The Board met in Executive Session pursuant to California Government Code Section 54956.9. On a motion by Mr. Harris, seconded by Mr. Santos, the Board approved to 1) Pay Oscar Ramos' court costs in the amount of \$622.12; and 2) Pay Oscar Ramos' attorney fees in the amount of \$12,450.00. The motion passed 8-0 (roll call), with Messrs. Bernstein, Santos, Kehoe, Robbins, Moore, and Mses. Gray, Zapanta, and Ginsberg voting yes. Trustee Okum was absent.

1. Edward V. Marquez v. LACERA

There was nothing to report.

XIII. EXECUTIVE SESSION (Continued)

B. Conference with Legal Counsel – Anticipated Litigation  
Significant Exposure to Litigation (Pursuant to Paragraph (2) of Subdivision (d)  
of California Government Code Section 54956.9)

1. Administrative Appeal of Michele Jordan

There was nothing to report.

C. Conference with Legal Counsel – Initiation of Litigation  
(Pursuant to Paragraph (4) of Subdivision (d) of Section 54956.9)

Number of Cases: 1

The Board met in Executive Session pursuant to Government Code Section 54956.9. On a motion by Mr. Kehoe, seconded by Mr. Santos, to authorize staff to file a lawsuit against the County of Los Angeles and the Board of Supervisors of the County of Los Angeles to obtain an order that, under the California Constitution and applicable statutory law, LACERA's governing Board of Retirement and Board of Investments have sole authority and duty to appoint and to set the classifications and compensation of all of LACERA's personnel and the County and Board of Supervisors shall, consistent with their ministerial duty, incorporate such personnel and compensation decision into the County's salary ordinance, including the positions, classifications, and salaries requested by LACERA on the agenda of the October 5, 2021 Board of Supervisors meeting. Messrs. Kehoe, Moore, Robbins, and Santos, Meses. Ginsberg, Gray, and Zapanta voted yes, and Mr. Bernstein voted no. Mr. Okum was absent. The lawsuit was filed in Los Angeles Superior Court on October 18, 2021, as Case No. 21STCP03475.

October 6, 2021

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XIII. EXECUTIVE SESSION (Continued)

The Board took other action not yet subject to report.

XIV. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 12:10 p.m.

Supplemental Information (Information provided to the Trustees prior to the meeting)

1. Conference with Legal Counsel – Anticipated Litigation  
(Confidential Supplemental Memo dated August 30, 2021)  
(Pursuant to California Government Code Section 54956.9)

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GINA ZAPANTA, SECRETARY

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ALAN BERNSTEIN, CHAIR



MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, NOVEMBER 3, 2021

This meeting was conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

PRESENT: Alan Bernstein, Chair

Vivian H. Gray, Vice Chair

Gina Zapanta, Secretary (Joined the meeting at 9:31 a.m. and left the meeting at 10:10 a.m.)

JP Harris (Alternate Retired)

Shawn R. Kehoe

Keith Knox

Wayne Moore

Ronald Okum (Left the meeting at 11:46 a.m.)

William Pryor (Alternate Safety)

Les Robbins

Herman Santos

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Steven P. Rice, Chief Counsel

Jon Grabel, Chief Investment Officer

Dr. Glenn Ehresmann, Medical Advisor

Allan Cochran, Member Services Division Manager

Carlos Barrios, Benefits Division Manager

Ted Granger, Interim Chief Financial Officer

Carly Ntoya, Ph.D., Human Resources Director

Barry W. Lew, Legislative Affairs Officer

Francis J. Boyd, Senior Staff Counsel

Ricki Contreras, Disability Retirement Services Division Manager

Tamara Caldwell, Disability Retirement Specialist Supervisor

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

Vincent Lim, Disability Litigation Manager

Allison E. Barrett, Senior Staff Counsel

Eugenia W. Der, Senior Staff Counsel

Jason E. Waller, Senior Staff Counsel

Robert Klausner, Partner

Klausner, Kaufman, Jensen & Levinson

## CALL TO ORDER

The meeting was called to order virtually by Mr. Bernstein at 9:10 a.m.

### I. APPROVAL OF MINUTES

#### A. Approval of the Minutes of the Regular Meeting of October 6, 2021

Mr. Pryor made a motion, Mr. Knox seconded, to approve the minutes of the regular meeting of October 6, 2021. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Okum, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

### II. PUBLIC COMMENT

There were no requests from the public to speak.

### III. OTHER COMMUNICATIONS

#### A. For Information

##### 1. September 2021 All Stars

Mr. Popowich announced the winners for the month of September: Tamara Caldwell, Erika Heru, Gerald Bucacao, and Jay Lee. The Rideshare winner was Priscilla Hernandez.

##### 2. Chief Executive Officer's Report (Memo dated October 26, 2021)

Mr. Kreimann congratulated Mr. Santos on being re-elected to the office of the Third Member, Board of Investments and Ms. Gray being re-elected to the office of the Third Member, Board of Retirement. In addition, he provided a brief update regarding negotiation efforts taking place with the union. Furthermore, the Chairs and Vice Chairs

IV. OTHER COMMUNICATIONS (Continued)

2. Chief Executive Officer's Report

will have a discussion for future planning on returning onsite for public meetings. Mr. Lugo provided an update on efforts being done to contract a testing source through Fulgent in order to move forward with the return-to-office plan.

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement

Service-Connected Disability Applications

On a motion by Mr. Okum, seconded by Mr. Kehoe, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Knox, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
551D	RONALD J. GREENE
552D	RANDOLPH F. MEGRDLE
553D	EDWIN A. ROBERTS
554D*	MARK S. SUTHERLAND
555D	DIANE V. KIRBY-WHITE
556D	JORGE M. SOLIS

\* Granted SCD – Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
557D	MOSES BARRAZA, JR.
558D*	ROBERT S. DE LA GARZA (DEC'D)
559D	CHAD E. BUCKLE
560D*	CORNELL E. WILKINS
561D**	NANCY IWATA
562D*	MICHAEL S. CULVER

Safety Fire, Lifeguards  
Service-Connected Disability Applications

On a motion by Mr. Pryor, seconded by Mr. Robbins, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Pryor, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
1408B	RICHARD A. COBBS
1409B	WALTER V. HOVANESIAN
1410B	PHILLIP M. NAJEMNIK
1411B	JEFFREY J. ROBSON

\* Granted SCD – Retroactive

\*\* Granted SCD – Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Fire, Lifeguards (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
1412B*	EDWARD A. WATSON
1413B	GREGORY M. CLEVELAND
1414B	ROBERT D. MARTINEZ
1415B	DOUGLAS L. GALINDO
1416B	ELBERT D. MILLENDER

General Members  
Service-Connected Disability Applications

On a motion by Mr. Knox, seconded by Mr. Okum, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
2291C**	ADRIAN L. JACKSON
2292C*	SANDRA Y. NOVA
2293C***	ANTONEISHA L. BROWN

\* Granted SCD – Employer Cannot Accommodate

\*\* Granted SCD – Salary Supplement

\*\*\* Granted SCD – Salary Supplement Since the Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
2294C*	JORGE GUERRERO
2295C	KEELA CASTLE
2296C**	JOE DOAN
2297C	ALAN A. ESTELLA
2298C	HELEN MORALES
2299C***	ALICE RIVAS
2300C****	MARIA TERESA BANSON (DEC'D)
2301C**	SATURNINO ROSALES
2302C*****	LUZ B. AQUINO-DENOFRA
2303C**	GRACE M. MONTES
2304C****	GUILLERMO F. NAJAR (DEC'D)

\* Granted SCD – Salary Supplement

\*\* Granted SCD – Employer Cannot Accommodate

\*\*\* Granted SCD – Retroactive Employer Cannot Accommodate

\*\*\*\* Granted SCD – Survivor Benefit

\*\*\*\*\* Granted SCD – Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR  
(Continued)

General Members (Ms. Zapanta joined the meeting at 9:31 a.m.)

Nonservice-Connected Disability Applications

On a motion by Mr. Knox, seconded by Mr. Okum, the Board of Retirement made a motion to approve a nonservice-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes and Ms. Zapanta abstaining.

APPLICATION NO.

NAME

4408\*

SANDRA BRAXTON

VI. CONSENT ITEMS

Mr. Robbins made a motion, Mr. Kehoe seconded, to approve Consent Items A-H. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, Ms. Zapanta and Ms. Gray voting yes.

A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That the Board approves that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.

(Memo dated October 25, 2021)

B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated October 26, 2021)



VI. CONSENT ITEMS (Continued)

- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeals and requests for an administrative hearing for applicant Carlos H. Fernandez and Sheila R. La Bomme. (Memo dated October 21, 2021)
- D. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Michael A. Lynd's appeal for a service-connected disability retirement. (Memo dated October 20, 2021)
- E. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Linda S. Yu Chow's appeal for a service-connected disability retirement. (Memo dated October 21, 2021)
- F. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board authorize a one-year extension of the current contract – 1) With Ackler & Associates and McHugh, Koepke & Associates commencing on January 1, 2022 and ending on December 31, 2022 to provide state legislative advocacy services; and 2) With Williams & Jensen and Doucet Consulting Solutions commencing on February 1, 2022 and ending on January 31, 2023 to provide federal legislative advocacy services. (Memo dated October 8, 2021)
- G. Recommendation as submitted by Shawn Kehoe, Chair, Joint Organizational Governance Committee: That the Board approve the revised Code of Ethical Conduct. (Memo dated October 22, 2021)
- H. Recommendation as submitted by Shawn Kehoe, Chair, Joint Organizational Governance Committee: That the Board approve the revised Media Policy. (Memo dated October 22, 2021)

VII. EXCLUDED FROM CONSENT ITEMS

There were no items excluded from Consent Items.

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by William Pryor, Chair, Board of Retirement: Roles and Responsibilities Committee: That the Board review and consider the proposed revised Joint Organizational Governance Committee (JOGC) Charter. (Memo dated October 21, 2021)

Mr. Kehoe made a motion, Mr. Pryor seconded, to 1) refer the proposed revisions to the JOGC Charter suggested by the BOR: Roles and Responsibilities Committee back to the JOGC for a response, and 2) direct staff to create a master index of existing BOR (and BOI) policies, practices, and documents into a single, consolidated resource. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, Ms. Zapanta and Ms. Gray voting yes.

- B. Recommendation as submitted by Barry W. Lew, Legislative Affairs Officer: That the Board approve the Board Officer Rotation Policy. (Memo dated October 21, 2021)

Mr. Kehoe made a motion, Mr. Santos seconded, to approve staff's recommendation.

Mr. Moore made a substitute motion, Mr. Knox seconded, to approve staff's recommendation with a revision to address the same trustee being the Chair of both Boards. The motion was withdrawn without objection.

The original motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Knox, Ms. Zapanta and Ms. Gray voting yes. Mr. Okum did not vote due to a brief absence.

VIII. NON-CONSENT ITEMS (Continued)

- C. Recommendation as submitted by Barry W. Lew, Legislative Affairs Officer: That the Board direct its voting delegate to vote YES on sponsorship by the State Association of County Retirement Systems (SACRS) of an omnibus bill for the SACRS 2022 legislative platform. (Memo dated October 8, 2021)

Mr. Bernstein made a motion, Mr. Robbins seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Okum, Knox, Ms. Zapanta and Ms. Gray voting yes.

IX. REPORTS

The following items were received and filed.

- A. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated October 20, 2021)
- B. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:
  - Monthly Travel and Education Reports for September 2021  
(Public Memo dated October 20, 2021)  
(Confidential Memo dated October 20, 2021 – Includes Anticipated Travel)
- C. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the October 2021 Fiduciary Counsel Contact and Billing Report. (Memo dated October 22, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)
- D. For Information Only as submitted by JJ Popowich, Assistant Executive Officer, regarding the 2021 Quarterly Reports of Paid Invoices. (Confidential Memo dated October 19, 2021)

X. ITEMS FOR STAFF REVIEW

There was nothing to report.

XI. GOOD OF THE ORDER  
(For information purposes only)

Mr. Santos and Ms. Gray were congratulated on their re-election.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION  
(Ms. Zapanta left the meeting at 10:10 a.m.)

A. Applications for Disability

APPLICATION NO. & NAME

BOARD ACTION

5211B – MARIO R. BAGUIAO\*

Mr. Okum made a motion, Mr. Robbins seconded, to grant a nonservice-connected disability retirement without prejudice since the employer cannot accommodate pursuant to Government Code Sections 31720 and 31724. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

5212B – FLOYD D. CROW (DEC'D)

Mr. Okum made a motion, Mr. Knox seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Section 31720 . The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

5213B – ANNETTA MCDONALD

This Item was pulled by the applicant.

5214B – LORETTA HARTOUNI

Mr. Okum made a motion, Mr. Knox seconded to deny a service-connected disability retirement. The motion

\* Applicant Present

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5214B – LORETTA HARTOUNI (Continued)

passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

Mr. Kehoe requested that this application be reconsidered, seconded by Mr. Knox. The motion for reconsideration passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

Mr. Kehoe made a motion, Ms. Gray seconded, to grant a retroactive service-connected disability retirement. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Harris, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

5215B – SUSAN H. WEN

Mr. Okum made a motion, Mr. Knox seconded, to deny a service-connected disability retirement, since the employer can accommodate and find the applicant not permanently incapacitated. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5216B – LESLIE M. KENYON (Continued)

Mr. Moore made a motion, Mr. Kehoe seconded, to grant a service-connected disability retirement. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

5170B – MARIA L. MORALES

Mr. Bernstein made a motion, Mr. Knox seconded, to deny a service-connected disability retirement since the employer can accommodate. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

5205B – ARAMIS P. ALMAGUER

Mr. Santos made a motion, Mr. Knox seconded, to deny a service-connected disability retirement since the employer can accommodate. The motion passed (roll call) with Messrs. Santos, Moore, Okum, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta was not present for the vote.

5193B – DREUSHON N. JONES (DEC'D)

Mr. Kehoe made a motion, Ms. Gray seconded, to refer back to staff for further information. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Mr.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5193B – DREUSHON N. JONES (DEC'D) (Continued)

Okum did not vote due to a brief absence. Ms. Zapanta was absent from the vote.

2305C – 1B2021J\*

Mr. Bernstein made a motion, Mr. Okum seconded, to grant a service-connected disability retirement pursuant to Government Code Section 31720.

Mr. Santos made a substitute motion, Ms. Gray seconded, to refer back to staff for further information. The motion failed (roll call) with Messrs. Santos, Moore, and Gray voting yes and Messrs. Okum, Kehoe, Knox, Robbins, and Bernstein voting no. Ms. Zapanta was absent from the vote.

The original motion passed (roll call) with Messrs. Okum, Kehoe, Knox, Robbins, and Bernstein voting yes and Messrs. Santos, Moore and Ms. Gray voting no. Ms. Zapanta was absent from the vote.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

B. Disability Retirement Appeals

APPLICATION NO. & NAME                      BOARD ACTION

DORA D. MILLER (BARRIOS) – Steven R. Rosales for the Applicant  
Eugenia W. Der for the Respondent

Mr. Kehoe made a motion, Mr. Knox seconded, to deny a service-connected disability retirement and grant a nonservice-connected disability retirement. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Knox, Okum, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

(Mr. Okum left the meeting at 11:46 a.m.)

CONSUELO VIEYRA – Tamara A. Yeritsyan for the Applicant  
Eugenia W. Der for the Respondent

Mr. Kehoe made a motion, Mr. Bernstein seconded, to deny a service-connected disability retirement and grant a nonservice-connected disability retirement. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta and Okum were absent from the vote.

B. Staff Recommendations

1. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Arthur Lipper, M.D. c/o Quality Medical Evaluators, Ltd. (Memo dated October 7, 2021)

Mr. Robbins made a motion, Mr. Knox seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe,



## XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

### C. Staff Recommendations (Continued)

Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta and Okum were absent from for the vote.

2. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board reject the application of Mayra Joseph for processing. (Memo dated October 19, 2021)

Mr. Knox made a motion, Ms. Gray seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Knox, and Ms. Gray voting yes. Ms. Zapanta and Okum were absent from the vote.

## XIII. EXECUTIVE SESSION

- A. Conference with Legal Counsel – Anticipated Litigation Significant Exposure to Litigation (Pursuant to Paragraph (2) of Subdivision (d) of California Government Code Section 54956.9)

1. Administrative Appeal of Michele Jordan

The Board met in Executive Session pursuant to Government Code Section 54956.9.

On a motion by Mr. Kehoe, seconded by Mr. Knox, the Board voted to deny the administrative appeal of Michele Jordan and request for a post-retirement rescission.

Messrs. Bernstein, Harris, Kehoe, Knox, Moore, and Santos and Ms. Gray voted yes. Mr. Okum and Ms. Zapanta were absent from the vote.

## XIV. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 12:22 p.m.

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GINA ZAPANTA, SECRETARY

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ALAN BERNSTEIN, CHAIR

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, NOVEMBER 17, 2021

This meeting was conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

PRESENT: Alan Bernstein, Chair

Vivian H. Gray, Vice Chair

Gina Zapanta, Secretary

Elizabeth B. Ginsberg (Chief Deputy to Keith Knox)

JP Harris (Alternate Retired)

Shawn R. Kehoe

Wayne Moore

Ronald Okum

William Pryor (Alternate Safety)

Les Robbins

Herman Santos

ABSENT: Keith Knox

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

I. CALL TO ORDER

The meeting was called to order virtually by Mr. Bernstein at 9:00 a.m.

II. PUBLIC COMMENT

There were no requests from the public to speak.

III. NON-CONSENT ITEMS

A. Recommendation as submitted by Fern M. Billings, Senior Staff Counsel: That the Board 1) Adopt the attached Resolutions, No. 2021-BR003 and No. 2021-BR004, specifying pay items as "compensation earnable" and "pensionable compensation;" and 2) Instruct staff to coordinate with the County of Los Angeles to establish necessary reporting mechanism and procedures to permit LACERA to include the qualifying items in the calculation of final compensation. (Memo dated November 5, 2021)

Mr. Santos made a motion, Mr. Pryor seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, Okum, Ms. Zapanta, Ms. Ginsberg, and Ms. Gray voting yes.

IV. ITEMS FOR STAFF REVIEW

There was nothing to report.

V. GOOD OF THE ORDER  
(For information purposes only)

There was nothing to report.

VI. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 9:05 a.m.

November 17, 2021

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GINA ZAPANTA, SECRETARY

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ALAN BERNSTEIN, CHAIR



November 22, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Santos H. Kreimann *SHK*  
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT – DECEMBER 2021**

The following Chief Executive Officer's Report highlights key operational and administrative activities that have taken place during the past month.

### **Our Ongoing COVID-19 Response and Return to Office**

The Executive Team continues to monitor the COVID-19 landscape, both locally and nationally. The COVID-19 Pandemic continues to be an unfolding and fast-moving event that continues to change how public service organizations are responding to protect members of the public and public service employees.

As part of LACERA's health and safety requirements, the Executive Team reported at the September 1, 2021 Board of Retirement (BOR) meeting, that vaccinations would be required for all LACERA staff by October 1, 2021. Staff members were able to request an exception from the vaccination requirement due to (1) credible religious reasons, or (2) medical reasons.

Approximately 378 staff members (permanent and temporary) are fully vaccinated resulting in 92.4% of LACERA staff being vaccinated, excluding those on leave; 17 staff members are on leave of absence and we do not know their vaccination status; and approximately 31 staff members are unvaccinated (these staff members have either an approved religious or medical exception, or are pending their final vaccination). The number of vaccinated staff members will continue to be adjusted pending sufficient proof of full vaccination or religious/medical exceptions requests.

We have finalized a contract to administer at home tests and securely capture and report test results, which will allow us to implement LACERA's Return-to-Office plan after the New Year. Human Resources, Executive Office, and Systems Division, along with the vendor (Fulgent) will work collaboratively on executing the implementation and rollout plan to all LACERA staff. The vendor (Fulgent) has already developed great instructional videos on how to perform at home COVID-19 testing using their home test kits. We continue to take a proactive approach in maintaining the health and safety of our staff members and members. Our staff members continue to follow established safety protocols when visiting our building, allowing us to continue to meet member expectations and deliver the high level of service we strive to always provide.

### **LACERA COVID-19 Incidents**

As of the date of this memo, there were four (4) incidents of staff member exposure and zero (0) COVID-19 positive staff members between October 19, 2021 and November 15, 2021. Staff

member exposures are LACERA staff members who had direct close contact to a person outside the office who tested positive for COVID-19.

LACERA continues to follow the Public Health guidelines as they change and evolve, including the City of Pasadena’s requirement to use masks indoors. Management continues to limit the number of staff members physically in our offices daily. Based on the most recent numbers, we are averaging approximately 16% of our staff in the office on any given day. The number of staff on site will steadily rise in January 2022 once the return to office plan is implemented.

### **Strategic Planning Consultant Update**

The Strategic Planning Consultant Request for Proposal (RFP) closed on October 15, 2021. The selection committee is composed of four Trustees and three LACERA staff members. The committee will begin to review vendor proposals and schedule interviews in the coming weeks. The committee anticipates recommending a final selection to the Board of Retirement meeting in January 2022.

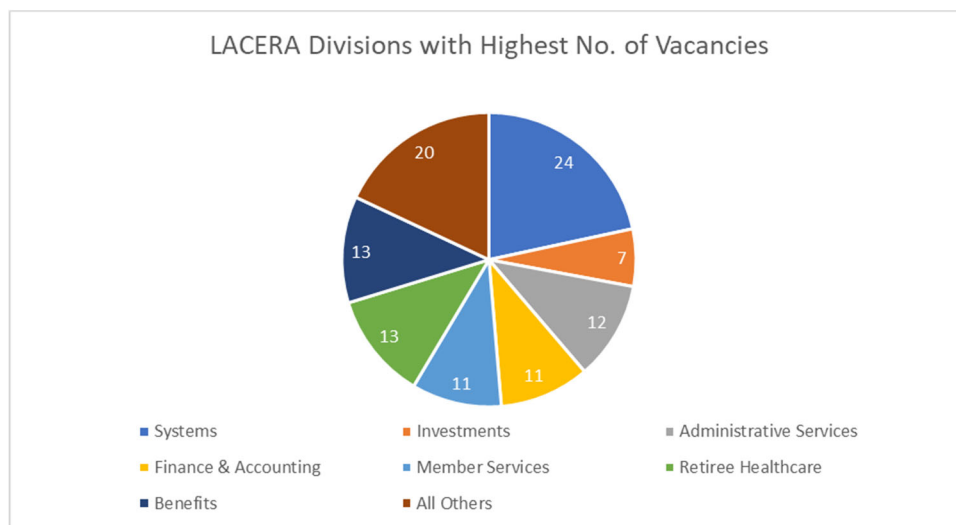
### **Recruitment Updates**

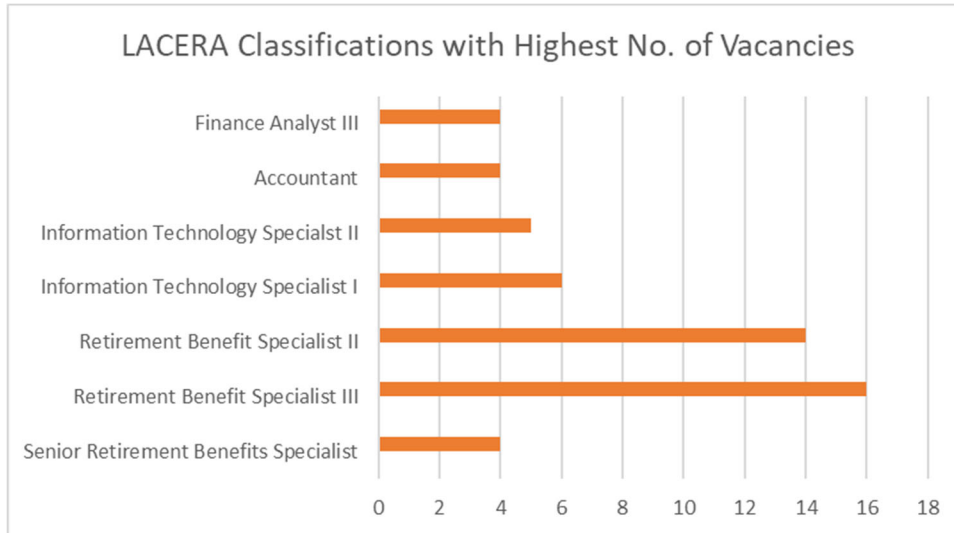
#### *Vacancies and Hiring*

Filling vacant positions continues to be a high priority. Executive and Senior Management, in collaboration with Human Resources, will be developing a hiring plan that reflects our priorities, such as filling member facing positions to ensure a positive member experience. The plan will be reviewed by Executive Management at least quarterly to ensure the priorities are still appropriate given attrition that may have occurred in the prior quarter.

The following summary provides an update of LACERA’s vacancies, recruitment, and hiring efforts to reduce the number of vacancies.

LACERA has 508 budgeted positions, of which 113 are vacant (22% vacancy rate). The Divisions with the highest number of vacancies, and the classifications with the highest number of vacancies are shown below.





*Development*

Human Resources works with the hiring Division to review the classification description, create an ideal candidate profile, and discuss the assessment process. This information is used by HR to create the job bulletin and recruiting brochure (management positions only). The recruitments/assessments in development are listed below:

<b>Classification</b>	<b>Vacancies</b>	<b>Division</b>
Principal Investment Officer	2	Investments
Data Systems Analyst I	3	Systems
Retirement Systems Specialist	1	Systems
Information Technology Specialist I	6	Systems & Exec
Information Technology Specialist II	5	Systems & Exec
Document Processing Assistant	2	Admin. Services
Administrative Services Analyst I	1	Admin. Services
Administrative Services Analyst II	2	Admin. Services
Administrative Services Analyst III	2	Admin Services
Human Resources Analyst	2	Human Resources

*Recruiting & Assessment*

The Human Resources Team is recruiting qualified internal and external candidates to fill vacancies in our Legal Division. Qualified applicants are encouraged to apply for Staff Counsel and Senior Staff Counsel. There are currently no examinations in the assessment phase.

*Hiring*

Recent eligible lists/registers have been promulgated for Retirement Benefits Specialist I (Trainee Class) and the hiring departments have made initial selections to hire twenty-seven (27) candidates. A hiring decision was made to fill an Administrative Services Officer vacancy. Promotional offers were made to fill two Data Systems Specialist II vacancies. Hiring and promotional decisions are pending for Management Secretary, Retirement Benefits Specialist III, Senior Accountant, Procurement and Supply Clerk, Media Artist, and Senior Disability Retirement Specialist. These hiring actions, if used to fill the full number of vacancies in each classification, will decrease the number of vacancies by 55; resulting in 58 vacancies (11% vacancy rate).



Once the above phases are complete, HR staff will have the capacity to start the development process for other recruitments/assessments in accordance with the hiring plan.

### **Member Operations Group**

#### *CEO Dashboard Update*

We have made additional updates to the CEO Dashboard for the December edition. The “Member Snapshot” section of the report has been expanded to include the following reports:

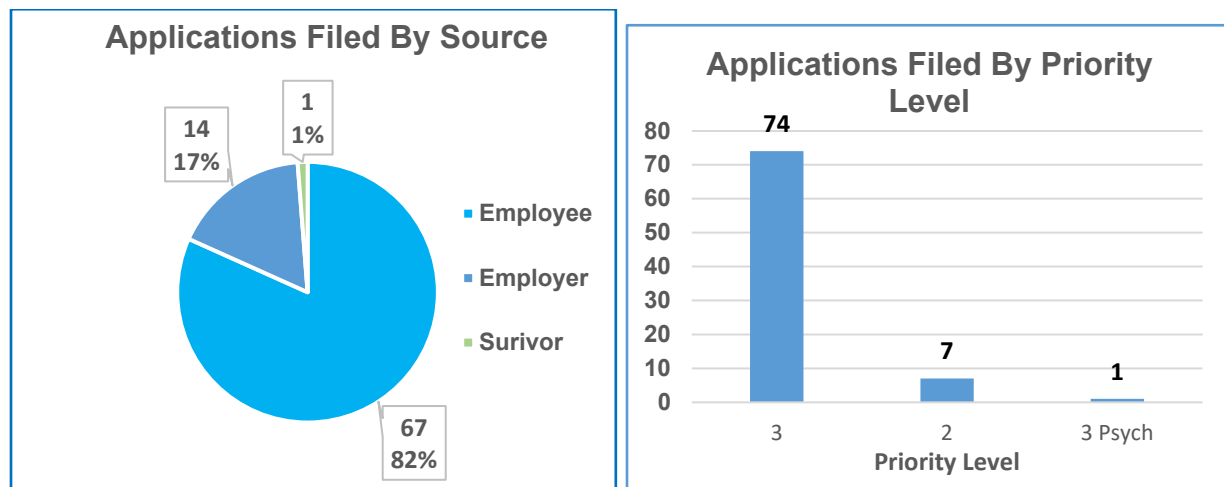
- **Retirements By Year Report (improved):** This report will show the retirements by each year, including the current year. These numbers will change every month as we add new members to the retirement roles.
- **Annual Retirements by Type:** This is a new report which shows the annual retirements broken down between General and Safety.
- **General vs Safety Retirements for Fire and Sheriff:** This report shows the number of retirements for the Fire Department and the Sherriff’s Department by membership type. We often get inquiries about how many safety members in either of these departments have retired.

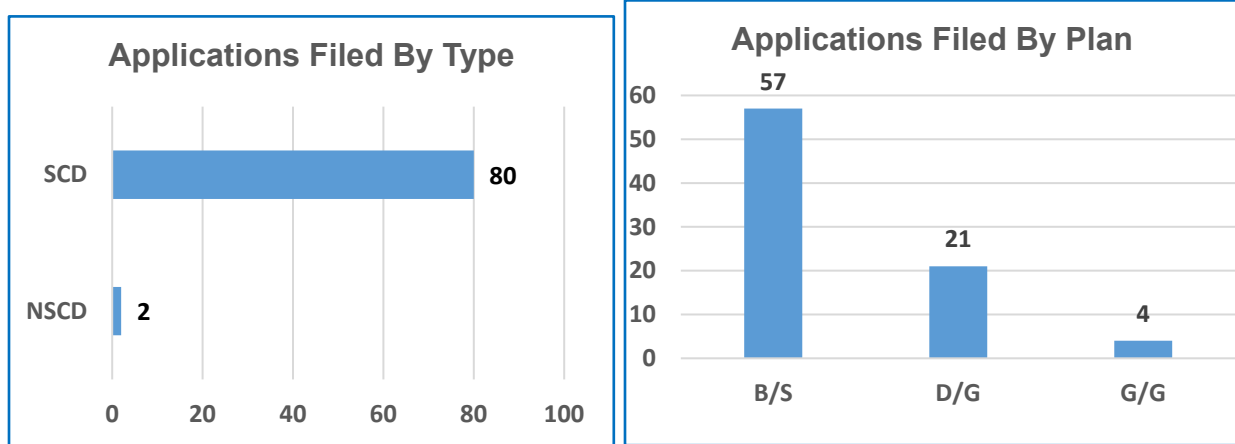
We are working on finalizing a more detailed membership by status report for general and safety members and hope to have this update in the next few months

### **Disability Retirement Services Sees a Record Number of New Cases**

We have been reporting an increase in the number of retirement counseling calls and inquiries in Member Services and Benefits for the last few months. This trend continues indicating this will be a busy “March Madness” period.

We are now seeing the first sign of a corresponding increase in Disability Retirement Services (DRS). DRS saw a record-breaking 82 new disability applications received in October. This is a significant increase over our normal intake rate of around 50 cases per month during this time of the year. We looked at the incoming applications and put together some informative graphs to help dissect what was submitted:





Of the 57 Safety applications received, 54 were from the Sheriff Department. We are working on putting together statistics of applications received per month over the last 12 months for comparison. This is obviously a significant number of new applications and will have an impact on our processing rates. The Disability Retirement Services team have been working on ideas on how we can help “boost” the number of cases processed. We will keep the Trustees up to date as we move forward.

**Communications - Intranet Redesign**

LACERA is committed to improving the way we interact, communicate, and share information internally throughout the organization. It’s important to have a “hub” of information and knowledge that’s easy to access. LACERA’s intranet redesign will serve as a catalyst in improving our overall internal communications. The project is progressing according to schedule. Like the new website, we have chosen a modular design that will be flexible and easy to use. The brand and appearance developed for the new website will also be reflected on the new intranet including color, schemes, and functionality. In addition, we are incorporating a robust search function that will identify and find specific documents.

Finally, we are excited to announce the name that has been selected as part of the redesign and rebrand process, the new intranet will be called “LACERA Connect”, our new tool to organize our documents, collaborate on projects, and communicate effectively across departments internally.

**Retiree Healthcare (RHC) Update**

On November 13, 2021, Kaiser Permanente and the Alliance of Health Care Unions reached a tentative agreement on a 4-year contract, covering nearly 50,000 Kaiser Permanente health care employees in 22 local unions. LACERA is pleased with this outcome, as the strike would’ve impacted our retirees, active membership, and employees. Our Retiree Healthcare team did an excellent job keeping us apprised of the situation and ensuring we had a plan in place to support any retiree inquiries.

*2022 Medicare Part B Premiums*

On November 12, 2021, the Centers for Medicare & Medicaid Services (CMS) announced the Medicare Parts A & B premium and coinsurance rates for 2022. The standard Part B premium will

increase to \$170.10 compared to \$148.50 for 2021; an increase of \$21.60. Members will pay the standard premium amount (or higher) if any of the following apply:

- they become newly eligible,
- do not receive Social Security benefits and are being directly billed for their Part B premiums,
- have Medicare and Medicaid (the state pays their standard premium of \$170.10), or
- they have a modified adjusted gross income as reported on their last two years IRS tax return that is above a certain amount. If so, they will pay the standard premium amount and an Income Related Monthly Adjustment Amount (IRMAA).

Segal provided the 2021-2022 Part B Premium Reimbursement Analysis and staff has forwarded this to the plan sponsor for the Board of Supervisor's (BOS) consideration in continuing the Medicare Part B Reimbursement Program. The analysis confirmed that it is in the best interest of the County to approve and continue the program.

However, due to CMS' late notification this year, staff is hopeful that we will be able to get this on the Board of Supervisor's December 21, 2021 board agenda, which will be the last board meeting of the year. If the County is unable to agendaize this for the December 21<sup>st</sup> BOS meeting, it will not get it approved until January 2022 which will further delay RHC's ability to update the current reimbursement amount. Upon BOS approval, be it December 21<sup>st</sup> or January 2022, staff and the team will be ready to handle accordingly. We will keep you updated with the status of this project.

#### *Lifetime Maximum Benefit (LMB) Update*

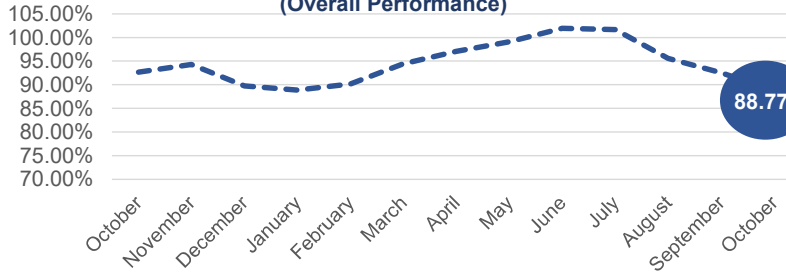
LACERA has finalized its analysis of eliminating the Lifetime Maximum Benefit ("LMB") of \$1 million from all Anthem Blue Cross Non-Medicare plans. On November 15, 2021, LACERA provided its letter and actuarial analysis to the County of Los Angeles Chief Executive Officer, Fesia Davenport. We expect to have productive engagements with the County of Los Angeles in the coming weeks.

# Striving for Excellence in Service

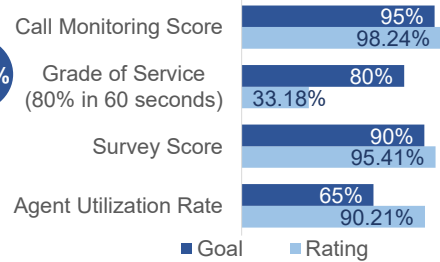
Service Metrics Reported on a Fiscal Year Basis (July 1) Through: **October 2021**

<p><b>Outreach Attendance</b> <b>1,409</b> 4,851 Year-to-Date</p>	<p><b>Outreach Events</b> <b>23</b> 71 Year-to-Date</p>	<p><b>Outreach Satisfaction</b> <b>N/A</b> Change Since Last Mo</p>	<p><b>Member Service Center</b> <b>N/A</b> - Change Since Last Mo</p>	<p><b>Member Services Calls</b> <b>14,005</b> 14,077 3 Mo. Avg.</p>
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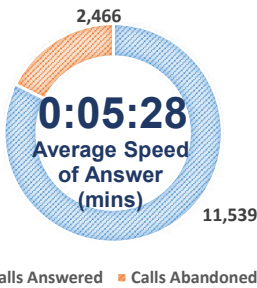
## Key Performance Indicator (Overall Performance)



## Key Performance Indicator (Components)



## Member Services



## Top Calls

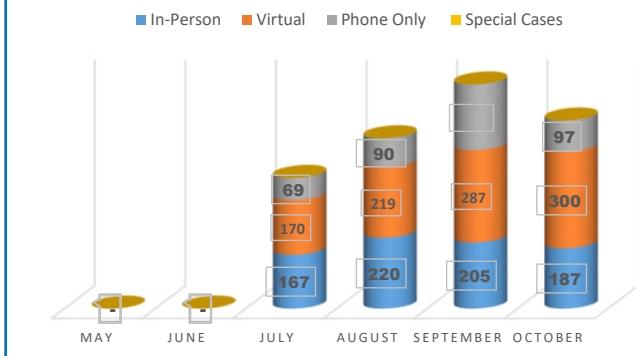
1. Retirement Counseling: Process Overview
2. Retirement Counseling: Estimate
3. MyLACERA Portal Login Issues

**Emails 576**  
24:00 hours  
Avg. Response Time (ART)

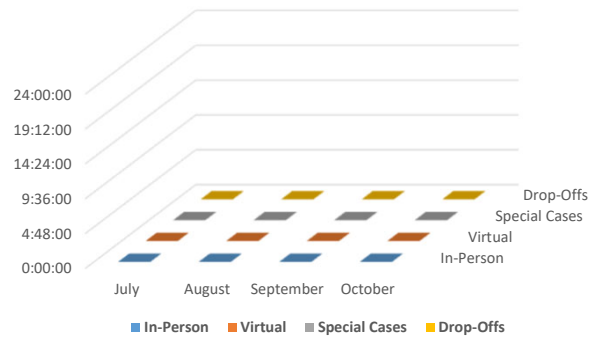


**Secure Messages 682**

## Member Service Center Appointments



## Member Service Center Average Wait Times



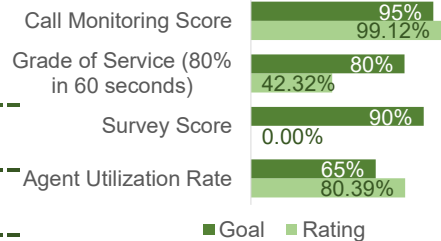
## Retiree Healthcare

Total RHC Calls: **6,602**



## Top Calls

1. Medical/Dental Enrollments
2. Medical Benefits
3. Medicare Part B Reimbursements



**Emails 337**  
10 Days  
Avg. Response Time (ART)



**Secure Messages 261**

## Striving for Excellence in Service (Continued)

Disability

### Applications

**737**

**In Process**

**As Of:**

**10/31/2021**

705 Pending on: 9/30/2021

78 Received

207 Year-to-Date

0 Re-Opened

0 Year-to-Date

39 To Board - Initial

166 Year-to-Date

7 Closed

15 Year-to-Date

### Appeals

**90**

**In Process**

**As Of:**

**10/31/2021**

92 Pending on: 9/30/2021

2 Received

10 Year-to-Date

2 Admin Closed/Rule 32

12 Year-to-Date

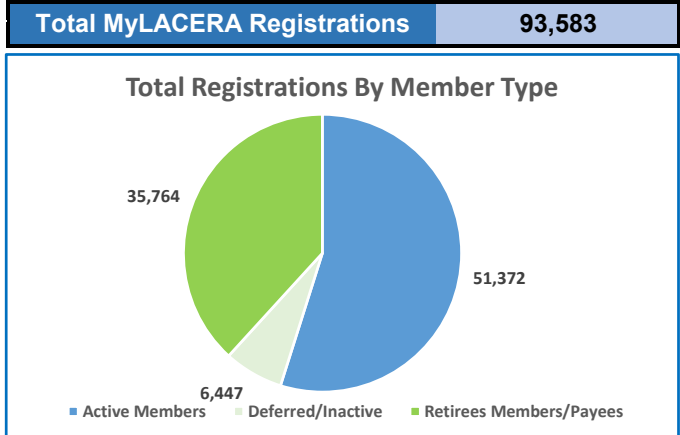
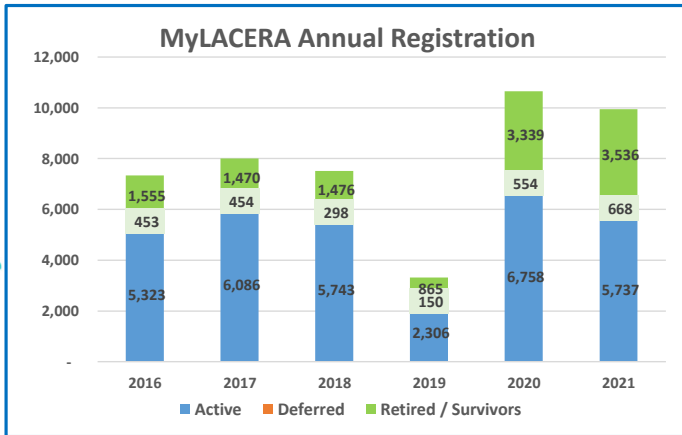
2 Referee Recommended

4 Year-to-Date

0 Revised/Reconsidered for Granting

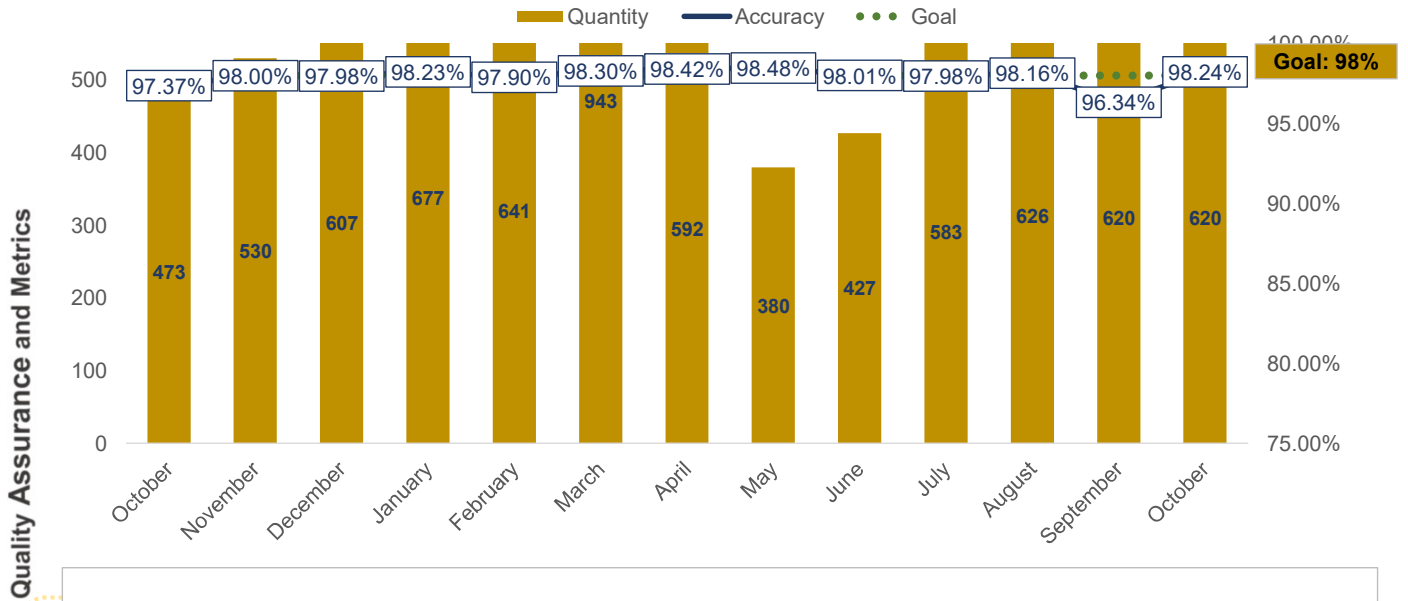
0 Year-to-Date

My LACERA



## Striving for Excellence in Quality

### Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



**October**

**98.24%**



#### Retirement Elections

**308**

Samples

**97.52%**

Accuracy

#### Payment Contracts

**222**

Samples

**97.56%**

Accuracy

#### Data Entry

**90**

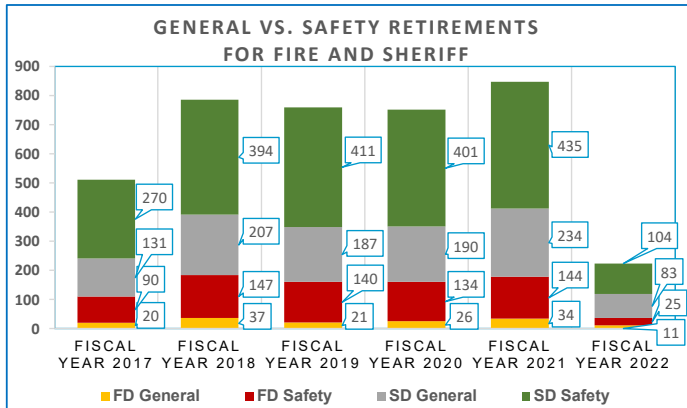
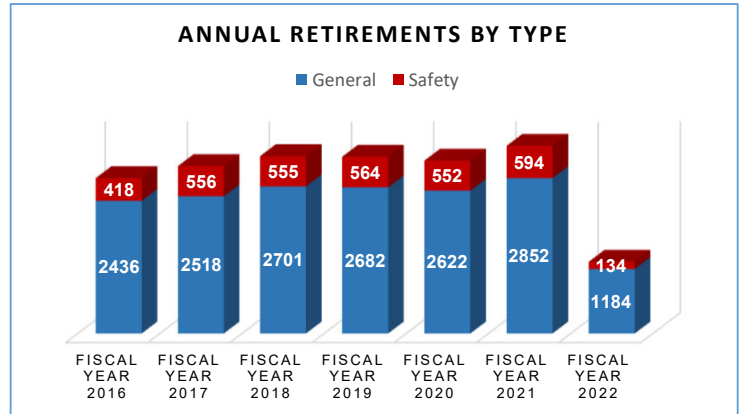
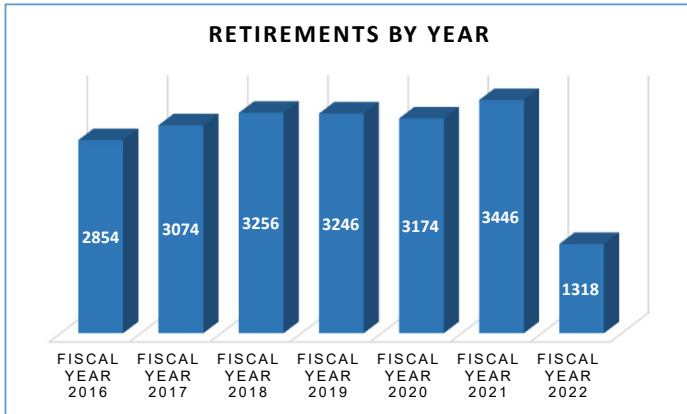
Samples

**99.63%**

Accuracy

# MEMBER SNAPSHOT

Members as of 11/15/2021					
General	Plan	Active	Retired	Survivors	Total
	Plan A	66	14,549	4,216	<b>18,831</b>
Plan B	19	652	67	<b>738</b>	
Plan C	20	422	65	<b>507</b>	
Plan D	37,537	18,707	1,729	<b>57,973</b>	
Plan E	14,822	14,462	1,436	<b>30,720</b>	
Plan G	32,609	136	11	<b>32,756</b>	
<b>Total General</b>	<b>85,073</b>	<b>48,928</b>	<b>7,524</b>	<b>141,525</b>	
Safety	Plan A	2	4,708	1,640	<b>6,350</b>
	Plan B	8,520	7,028	360	<b>15,908</b>
	Plan C	4,532	16	1	<b>4,549</b>
	<b>Total Safety</b>	<b>13,054</b>	<b>11,752</b>	<b>2,001</b>	<b>26,807</b>
<b>TOTAL MEMBERS</b>	<b>98,127</b>	<b>60,680</b>	<b>9,525</b>	<b>168,332</b>	
<b>% by Category</b>	<b>58%</b>	<b>36%</b>	<b>6%</b>	<b>100%</b>	



SPACE AVAILABLE FOR FUTURE EXPANSION

### Average Monthly Benefit Allowance Distribution November 19, 2021

	General	Safety	Total	%
\$0 to \$3,999	30,045	1,699	31,744	52.48%
\$4,000 to \$7,999	13,561	3,465	17,026	28.15%
\$8,000 to \$11,999	3,676	4,270	7,946	13.14%
\$12,000 to \$15,999	1,002	1,803	2,805	4.64%
\$16,000 to \$19,999	326	350	676	1.12%
\$20,000 to \$23,999	100	115	215	0.36%
\$24,000 to \$27,999	25	28	53	0.09%
> \$28,000	20	3	23	0.04%
<b>Totals</b>	<b>48,755</b>	<b>11,733</b>	<b>60,488</b>	<b>100%</b>

**Average Monthly Benefit Allowance: \$ 4,603.00**

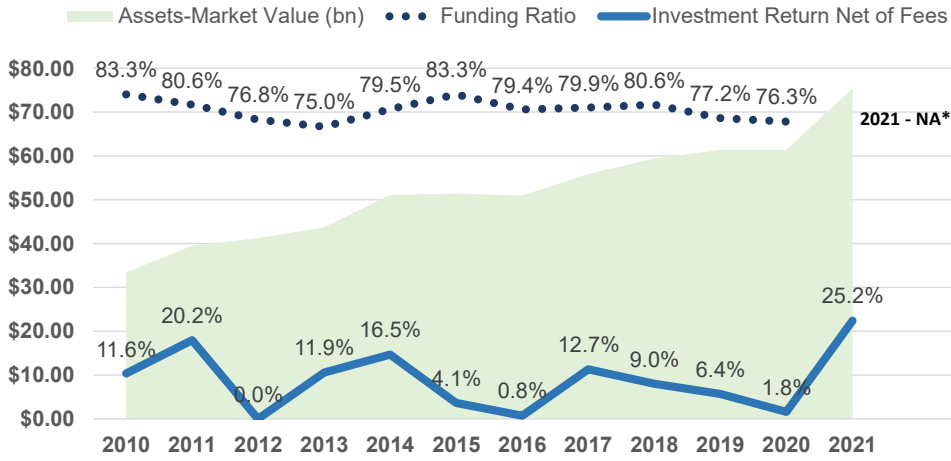
### Healthcare Program

(Mo. Ending: 10/31/2021)

	Employer	Member	Healthcare Enrollments	
Medical	\$194.0	\$14.4	Medical	53,219
Dental	\$15.5	\$1.5	Dental	54,815
Part B	\$26.8	\$0.0	Part B	36,547
<b>Total</b>	<b>\$236.3</b>	<b>\$15.9</b>	LTC	559
			<b>Total</b>	<b>145,140</b>

## Key Financial Metrics

### Fiscal Year End Financial Update (as of 06/30/2021)



\*2021 Actuarial Valuation is not available - Currently In Progress

### Funding Metrics (as of 6/30/21)

Employer NC	10.89%*
UAAL	14.85%*
Assumed Rate	7%*
Star Reserve	\$614m
Total Assets	\$73.0b

### Contributions (as of 6/30/21)

	Employer	Member
Annual Add	\$2.0b	\$761.0m
% of Payroll	24.64%*	7.8%*

### TOTAL FUND RETURN

(Net of Fees)

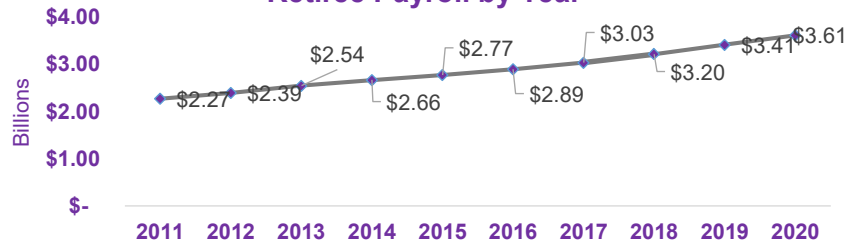
5 YR: 6.1%    10 YR: 8.2%

### Retired Members Payroll

(As of 10/31/2021)

Monthly Payroll	\$334.40m
Payroll YTD	\$1.3b
New Retired Payees Added	367
Seamless %	95.91%
New Seamless Payees Added	1,427
Seamless YTD	95.23%
By Check %	2.00%
By Direct Deposit %	98.00%

### Retiree Payroll by Year





**QUIET PERIOD LIST**  
**Current Active Quiet Period List for Non-Investments**

RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Search for Classification and Compensation Study Services (HR)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Search for Classification and Compensation Study Services (RHC)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Agenda Management, Livestream, Recording, and Archiving	Board Offices	April 16, 2021	Vendor Selection	<ul style="list-style-type: none"> <li>• Granicus</li> <li>• Diligent iCompass</li> <li>• PrimeGov</li> <li>• eSCRIBE</li> <li>• Hyland</li> <li>• Provox Systems</li> </ul>
Contract Lifecycle Management Application	Administrative Services/ Systems	February 16, 2021	RFP Development	<ul style="list-style-type: none"> <li>• Icertis</li> <li>• Cobblestone</li> <li>• Agiloft</li> <li>• Iron Clad</li> <li>• SmartSheet</li> </ul>
External Quality Assessment	Internal Audit	August 9, 2021	Vendor Selection	<ul style="list-style-type: none"> <li>• IIA Quality Services, LLC</li> <li>• LLC; Mitchell&amp; Titus, LLP.</li> <li>• TAP International. Inc,</li> <li>• Armanino LLP,</li> <li>• Sjoberg Evashenk Consulting</li> <li>• That Audit Guy, LLC.</li> </ul>





RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Strategic Planning Consultant	Board Offices	August 23, 2021	Solicitation Process	<ul style="list-style-type: none"> <li>• Academy for Leadership and Training</li> <li>• Bellatrix Group</li> <li>• The Segal Group</li> <li>• Athenian Group</li> <li>• KH Consulting</li> <li>• TBM &amp; Associates</li> </ul>
Case Management Software Solution	Systems Division	October 6, 2021	Solicitation Process	<ul style="list-style-type: none"> <li>• RGB Projects</li> <li>• Aeon Nexus</li> <li>• Oracle</li> <li>• CrossFuze</li> <li>• Incapsulate</li> <li>• Main Street Computing</li> <li>• MERP Systems</li> <li>• Eightcloud</li> <li>• Accenture Solutions</li> <li>• CyanGate</li> <li>• MTX Group</li> <li>• Inovar</li> <li>• Slalom</li> <li>• Salesforce</li> <li>• Klearai</li> <li>• Eccentex</li> </ul>

\*Subject to change

\*\*Does not include Investments related vendor searches

Date	Conference
<b>December, 2021</b>	
13-14	2021 Global Investors Annual Meeting New York, NY
<b>January, 2022</b>	
23-25	NCPERS (National Conference on Public Employee Retirement Systems) Legislative Conference Washington, D.C. <b>CANCELLED</b>
31-February 2	IFEBP (International Foundation of Employment Benefit Plans) Health Benefits Conference Clearwater Beach, FL
<b>February, 2022</b>	
23-25	Pacific Pension Institute (PPI) Winter Roundtable Westlake Village, CA
<b>March, 2022</b>	
2-3	National Association of Securities Professionals (NASP) Women's Forum Philadelphia, PA
5-8	CALAPRS (California Association of Public Retirement Systems) General Assembly Meeting TBD
7-9	Council of Institutional Investors (CII) Spring Conference Washington D.C.
7-9	National Association of Corporate Directors (NACD) Master Class Laguna Beach, CA
14-17	AHIP (America's Health Insurance Plans) National Health Policy Conference Washington D.C.
23-24	PREA (Pension Real Estate Association) Spring Conference Beverly Hills, CA
24	NASP 12 <sup>th</sup> Annual "Day of Education in Private Equity" for Trustees and Staff
30 – April 1	CALAPRS (California Association of Public Retirement Systems) Advanced Principles of Pension Governance for Trustees at UCLA Los Angeles, CA
<b>April, 2022</b>	
4-8	Investment Strategies & Portfolio Management Wharton School, University of Pennsylvania Live Online



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**

November 22, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Steven P. Rice *SPR*  
Chief Counsel

FOR: December 1, 2021 Board of Retirement Meeting  
December 8, 2021 Board of Investments Meeting

SUBJECT: Approval of Teleconference Meetings Under AB 361 and Government Code  
Section 54953(e)

## **RECOMMENDATION**

That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

## **LEGAL AUTHORITY**

Under Article XVI, Section 17 of the California Constitution, the Boards have plenary authority and exclusive fiduciary responsibility for the fund's investments, actuarial matters, and related issues. This authority includes the ability of each Board to manage their own Board and Committee meetings and evaluate and act on legal options for the conduct of such meetings, such as whether to invoke teleconferencing of meetings under the terms and conditions provided in AB 361 and Government Code Section 54953(e) of the Brown Act to protect the health and safety of Trustees, staff, and the public. The Board of Retirement previously took this action at its October 6, 2021 and November 3, 2021 meetings, and the Board of Investments took this action at its October 13, 2021 and November 17, 2021 meetings. Findings made under this memo will be effective for meetings held during the next 30 days.

## **DISCUSSION**

### ***A. Summary of Law.***

On September 16, 2021, the Governor signed AB 361 which enacted new Government

Code Section 54953(e) of the Brown Act to put in place, effective immediately and through December 31, 2023, new relaxed teleconferencing rules that may be invoked by local legislative bodies, such as the LACERA Boards, upon making certain findings and following certain agenda and public comment requirements.

Specifically, Section 54953(e)(3) provides that the Boards may hold teleconference meetings without the need to comply with the more stringent procedural requirements of Section 54953(b)(3) if a state of emergency under Section 8625 of the California Emergency Services Act remains active or state or local officials have imposed or recommended social distancing rules, provided that the Board makes the following findings by majority vote:

(A) The Board has considered the circumstances of the state of emergency;

(B) Any of the following circumstances exist:

- (i) The state of emergency continues to directly impact the ability of the Trustees to meet safely in person;
- (ii) State or local officials continue to impose or recommend measures to promote social distancing.

If each Board makes the required findings, that Board and its Committees may hold teleconference meetings for the next 30 days without the need to comply with the regular rules of Section 54953(b)(3) provided that: agendas are prepared and posted under the Brown Act; members of the public are allowed to access the meeting via a call-in option or an internet-based service option; and the agenda provides an opportunity for public comment in real time and provides notice of the means of accessing the meeting for public comment.

***B. Information Supporting the Required Findings and Process if the Boards Determine to Invoke Section 54953(e).***

The Governor's State of Emergency for the COVID-19 pandemic as declared in the Proclamation of a State of Emergency dated March 4, 2020 remains active. The Proclamation was issued under the authority of Section 8625 of the California Emergency Services Act.

The Los Angeles County Department of Public Health maintains guidance to "Keep your distance. Use two arms lengths as your guide (about 6 feet) for social distancing with people outside your household when you are not sure that they are vaccinated." <http://publichealth.lacounty.gov/acd/ncorona2019/reducingrisk/>. The County Public Health Department also maintains guidance that employers should, "Implement policies and practices that support physical distancing: Whenever possible, take steps to reduce crowding indoors and enable employees and customers to physically distance from each

other. Generally, at least 6 feet of distance (2 arm lengths) is recommended, although this is not a guarantee of safety, especially in enclosed or poorly ventilated spaces.”

<http://publichealth.lacounty.gov/acd/ncorona2019/bestpractices/>

The Centers for Disease Control and Prevention (CDC) still advise the public that, “Outside your home: Put 6 feet of distance between yourself and people who don’t live in your household.” <https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html#stay6ft%20>.

The pandemic continues to present a significant health risk, as the virus presents itself in different variants. LACERA has not yet returned to the office. Management is preparing return to office vaccination and testing protocols to ensure the safety of LACERA employees, members, and others, including Trustees.

Under these circumstances, the Boards may reasonably conclude and find that teleconferencing under Section 54953(e) is appropriate for Board and Committee meetings during the next 30 days because (1) the state of emergency continues to directly impact the ability of the Trustees to meet safely in person, and (2) the County and other authorities continue to recommend measures to promote social distancing, as required by the statute.

If each Board makes these findings and directs teleconferencing under Section 54953(e), procedures exist and will be implemented to ensure compliance with the agenda and public comment requirements of the statute, as stated above.

## **CONCLUSION**

Based on the above information, staff recommends that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor’s COVID-19 State of Emergency continues to directly impact the ability of each Board and Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

c: Santos H. Kreimann                      Carly Ntoya  
Jonathan Grabel  
Luis Lugo  
JJ Popowich  
Laura Guglielmo



November 23, 2021

**TO:** Trustees, Board of Retirement

**FOR:** Board of Retirement Meeting on Decembr 1, 2021

**SUBJECT:** Ratification of Service Retirement and Survivor Benefit Application Approvals

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The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JAMES I. AMANN	SHERIFF Dept.#SH	12-16-2021	23 YRS 07 MOS
THEODORE B. BROADSTON	SHERIFF Dept.#SH	12-31-2021	31 YRS 11 MOS
ROBERT A. BURIN	SHERIFF Dept.#SH	10-30-2021	33 YRS 11 MOS
RICK L. CLAYTON	SHERIFF Dept.#SH	11-27-2021	26 YRS 04 MOS
JONATHAN M. COOPER	SHERIFF Dept.#SH	12-31-2021	25 YRS 05½ MOS
GOVERNOR J. DAVIS JR	SHERIFF Dept.#SH	10-30-2021	34 YRS 02 MOS
IRVIN B. DE ROCHE	SHERIFF Dept.#SH	11-30-2021	37 YRS 03 MOS
ROBIN E. DE YOUNG	SHERIFF Dept.#SH	12-31-2021	30 YRS 01½ MOS
EDWARD S. GILPIN	SHERIFF Dept.#SH	11-26-2021	25 YRS 10 MOS



# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BRUCE T. GOLDOWSKI	SHERIFF Dept.#SH	10-30-2021	30 YRS 05 MOS
TODD A. HEWETT	L A COUNTY FIRE DEPT Dept.#FR	12-17-2021	01 YRS 02 MOS
STEVE Y. ISOKANE	DISTRICT ATTORNEY Dept.#DA	11-27-2021	17 YRS 01 MOS
CHRIS E. LAMBERT	L A COUNTY FIRE DEPT Dept.#FR	10-31-2021	36 YRS 01½ MOS
EDWARD R. MACKENZIE	SHERIFF Dept.#SH	12-31-2021	30 YRS 11½ MOS
ERNESTO MELENDREZ JR	SHERIFF Dept.#SH	10-30-2021	29 YRS 06 MOS
RONALD MIRANDA	SHERIFF Dept.#SH	12-31-2021	34 YRS 06 MOS
SHAWN R. MORENO	SHERIFF Dept.#SH	12-31-2021	25 YRS ½ MOS
ANDY T. NICASSIO	L A COUNTY FIRE DEPT Dept.#FR	11-30-2021	32 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SARA OJEDA	SHERIFF Dept.#SH	12-16-2021	14 YRS 04 MOS
ANTHONY J. PARKER	SHERIFF Dept.#SH	10-30-2021	30 YRS 00 MOS
JEFFREY H. POHL	SHERIFF Dept.#SH	12-31-2021	32 YRS 00 MOS
DAVID E. SCANLAN	L A COUNTY FIRE DEPT Dept.#FR	12-16-2021	21 YRS 03 MOS
KAREN L. SHONKA	SHERIFF Dept.#SH	11-27-2021	34 YRS 11 MOS
JAMES C. STELLA JR	SHERIFF Dept.#SH	11-27-2021	25 YRS 04 MOS
DAVID V. TOIGO JR	L A COUNTY FIRE DEPT Dept.#FR	10-30-2021	29 YRS 07 MOS
DANIEL W. WHITTEN	SHERIFF Dept.#SH	10-01-2021	21 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VICTOR F. ABDELMALAK	PUBLIC HEALTH PROGRAM Dept.#PH	12-31-2021	27 YRS 08 MOS
CARMEN S. ABELLERA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2021	35 YRS 11 MOS
CHERYL ABRAM - WALL	CHILDREN & FAMILY SERVICES Dept.#CH	11-09-2021	33 YRS 05½ MOS
JOSE AGUILAR RAMI	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	12-01-2021	05 YRS 04½ MOS
SEDA AKHVERDYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2021	31 YRS 03 MOS
ROSARIO G. ALAPIT	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2021	31 YRS 09 MOS
LINDA ALVAREZ	HEALTH SERVICES ADMINISTRATION Dept.#HS	12-18-2021	31 YRS 05 MOS
JACQUELYN M. AMOS	CHILDREN & FAMILY SERVICES Dept.#CH	10-16-2021	14 YRS 10 MOS
MARCO P. ANDONAEGUI	PUBLIC WORKS Dept.#PW	12-04-2021	38 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROSALIE SUSA ANDRADA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-30-2021	08 YRS 08 MOS
JOSEPH M. ANTIG	PUBLIC WORKS Dept.#PW	01-01-2022	25 YRS 04½ MOS
DORA L. APONTE	REG-RECORDER/COUNTY CLERK Dept.#RR	12-31-2021	30 YRS 02½ MOS
NORMA V. AQUINO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-31-2021	16 YRS 05½ MOS
CARMEL ARMSTRONG	CHILDREN & FAMILY SERVICES Dept.#CH	01-24-2022	24 YRS 07 MOS
BYRON AROCHO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2021	32 YRS 05 MOS
KATHLEEN AUSTRIA	BOARD OF SUPERVISORS Dept.#BS	10-30-2021	40 YRS 03 MOS
MARIA G. BADULIS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2021	24 YRS 04 MOS
LORETTA B. BAGWELL	L A COUNTY FIRE DEPT Dept.#FR	10-08-2021	40 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RENETA L. BAPTIST	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	31 YRS 04 MOS
BARBARA A. BARNES	SHERIFF Dept.#SH	12-31-2021	32 YRS 07½ MOS
KENNEDY J. BARNETT	AMBULATORY CARE NETWORK Dept.#HN	01-29-2022	25 YRS 00 MOS
GUILLERMO C. BARRAGAN	COUNTY COUNSEL Dept.#CC	01-01-2022	24 YRS 09 MOS
ISABEL C. BARRERA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-06-2022	16 YRS 04½ MOS
ANGEL J. BASURTO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	30 YRS 03 MOS
MA BELLA F. BAUTISTA	SHERIFF Dept.#SH	11-30-2021	38 YRS 01 MOS
JOHN R. BELL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	30 YRS 01½ MOS
MARIA M. BERNAL	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	12-31-2021	32 YRS 10½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIA A. BERRY-TURNER	PUBLIC HEALTH PROGRAM Dept.#PH	10-30-2021	16 YRS 08 MOS
AARON T. BICE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	15 YRS 04½ MOS
JAMES E. BOBO	PARKS AND RECREATION Dept.#PK	12-31-2021	20 YRS 01 MOS
WILMA M. BOLDEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	31 YRS 02 MOS
NYDIA M. BONAZZOLA	COUNTY COUNSEL Dept.#CC	11-01-2021	21 YRS 06½ MOS
SONIA E. BOONE	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-27-2021	35 YRS ½ MOS
CANZATOR S. BOWENS	PUBLIC HEALTH PROGRAM Dept.#PH	12-31-2021	31 YRS 00 MOS
MICHELLE P. BROWN	MENTAL HEALTH Dept.#MH	11-27-2021	29 YRS 09 MOS
DOAN TRANG T BUI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2021	37 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MADELINE H. BURGESS	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	10-29-2021	22 YRS 02 MOS
SHELIA D. BURNS	PARKS AND RECREATION Dept.#PK	12-31-2021	23 YRS 03 MOS
MARLIE C. BUSLON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	11-30-2021	19 YRS 07 MOS
SUZANNE D. BYAS	PROBATION DEPARTMENT Dept.#PB	10-31-2021	40 YRS 03½ MOS
CAROLYN D. CALE	SHERIFF Dept.#SH	01-28-2022	12 YRS 01 MOS
RHONDA E. CAMERON	PUBLIC DEFENDER Dept.#PD	11-27-2021	36 YRS 10 MOS
CLARISE CAMPBELL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-16-2021	31 YRS 10½ MOS
HELEN CANALES	CHILD SUPPORT SERVICES Dept.#CD	11-27-2021	52 YRS 00 MOS
DEBRA CANALES-MONT	DISTRICT ATTORNEY Dept.#DA	12-30-2021	36 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VIRGINIA CANDELAS	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-27-2021	34 YRS 04 MOS
KATHLEEN D. CANTU	DISTRICT ATTORNEY Dept.#DA	01-03-2022	32 YRS 01½ MOS
SCOTT G. CARBAUGH	DISTRICT ATTORNEY Dept.#DA	11-02-2021	38 YRS 04½ MOS
MARIA ELSA CARDENAS-MCA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	26 YRS 02 MOS
ALEXANDER CARLOS	PUBLIC WORKS Dept.#PW	12-30-2021	35 YRS 01 MOS
ANNA CASTILLO	CHILD SUPPORT SERVICES Dept.#CD	10-30-2021	33 YRS 00 MOS
FRANCISCO F. CASTILLO	PUBLIC WORKS Dept.#PW	11-30-2021	34 YRS 05 MOS
JOSELITO V. CAVESTANY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	14 YRS 09½ MOS
FERNANDO F. CAYANAN	PARKS AND RECREATION Dept.#PK	01-31-2022	26 YRS 10½ MOS



# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KATE L. CHANG	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2021	32 YRS 01 MOS
JOSE CHAVEZ	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-27-2021	25 YRS 09 MOS
PAUL J. CHAVEZ	PARKS AND RECREATION Dept.#PK	11-09-2021	19 YRS 03½ MOS
GAYANE CHIMAYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-04-2022	16 YRS ½ MOS
DIANA C. CISNEROS	CHILD SUPPORT SERVICES Dept.#CD	12-01-2021	35 YRS 04½ MOS
REX D. CODDINGTON	PROBATION DEPARTMENT Dept.#PB	01-28-2022	22 YRS 04 MOS
DENNIS R. COFFEE	MENTAL HEALTH Dept.#MH	11-17-2021	13 YRS 04 MOS
LUIS E. COLATO	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-30-2021	29 YRS 08 MOS
JONNIE L. COLLINS	PUBLIC HEALTH PROGRAM Dept.#PH	10-31-2021	16 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOANNE COTHERN	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	35 YRS 06½ MOS
DAPHNE COTTON	PUBLIC WORKS Dept.#PW	10-01-2021	40 YRS 08 MOS
KAREN K. COTTON	ALTERNATE PUBLIC DEFENDER Dept.#AD	10-30-2021	18 YRS 01 MOS
DARLENE COTTRELL	SHERIFF Dept.#SH	10-28-2021	32 YRS 10 MOS
CEDRIC A. CYIARK	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-30-2021	41 YRS 08 MOS
ALFRED E. DANIELS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-31-2021	29 YRS 00 MOS
JULIETA DE LA CUESTA	CHILD SUPPORT SERVICES Dept.#CD	01-28-2022	23 YRS 09 MOS
JUAN DE LIRA	PUBLIC WORKS Dept.#PW	11-24-2021	28 YRS 00 MOS
RENEE DE VICQ	MENTAL HEALTH Dept.#MH	11-30-2021	12 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROLAND C. DEL ROSARIO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2021	22 YRS 03½ MOS
LINDA B. DICKINSON	CHILD SUPPORT SERVICES Dept.#CD	12-31-2021	21 YRS 11½ MOS
GIANNINA M. DONATONI	PUBLIC HEALTH PROGRAM Dept.#PH	01-28-2022	22 YRS 00 MOS
KATHY R. DOUGLAS	MENTAL HEALTH Dept.#MH	10-19-2021	23 YRS 04½ MOS
CONSTANCE M. DRATH-DWYER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	23 YRS 05 MOS
RUOH-MEI J. DUNCAN	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-30-2021	28 YRS 07 MOS
PHAT V. DUONG	PUBLIC WORKS Dept.#PW	12-31-2021	39 YRS 09½ MOS
CARL A. DYER	AMBULATORY CARE NETWORK Dept.#HN	12-31-2021	25 YRS 04½ MOS
GLADYS EDWARDS	PUBLIC HEALTH PROGRAM Dept.#PH	10-30-2021	29 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ESTHER N. ENUNWAONYE	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	34 YRS 01 MOS
SUSAN S. ESGUERRA	PUBLIC HEALTH PROGRAM Dept.#PH	01-04-2022	12 YRS 07½ MOS
PETER P. ESPINOZA	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-27-2021	05 YRS 05 MOS
JAMES K. ESSILFIE	WORKFORCE DEV AGING & COMM SVC Dept.#CS	12-31-2021	31 YRS 08½ MOS
MARTHA ESTRADA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	31 YRS ½ MOS
ERIC FAHN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	21 YRS ½ MOS
VREJ FAKHOORIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	37 YRS 04 MOS
JOEL FLORES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	35 YRS 01 MOS
JEFFREY E. FORTES	PROBATION DEPARTMENT Dept.#PB	10-07-2021	36 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GENE A. FRANKLIN SR.	INTERNAL SERVICES Dept.#IS	11-30-2021	14 YRS 08 MOS
TAMASHA FRAZIER	CHILDREN & FAMILY SERVICES Dept.#CH	10-20-2021	20 YRS 00 MOS
DAVID GALLIEN II	INTERNAL SERVICES Dept.#IS	10-30-2021	27 YRS 00 MOS
ROSEANN GARCIA	INTERNAL SERVICES Dept.#IS	11-27-2021	25 YRS 06 MOS
LOISMARIE A. GARCIA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-31-2021	20 YRS 02 MOS
MAGDELLA GERMACHEW	CORRECTIONAL HEALTH Dept.#HC	11-13-2021	12 YRS 07½ MOS
STACEY D. GILBERT	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-26-2021	18 YRS 05 MOS
MARY E. GILMORE	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	11-30-2021	14 YRS 03 MOS
GREGORY GIRON	PROBATION DEPARTMENT Dept.#PB	12-23-2021	34 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CECILIA GOMEZ-DORANT	CORRECTIONAL HEALTH Dept.#HC	11-27-2021	07 YRS 10 MOS
LETICIA GONZALEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-03-2022	30 YRS ½ MOS
THOMAS M. GREAVES	SHERIFF Dept.#SH	11-16-2021	38 YRS 07 MOS
NEJDI GREGORIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-08-2021	22 YRS 07½ MOS
GUADALUPE J. GRENO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	13 YRS 08 MOS
JOHN GUENDLING	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2021	15 YRS 06½ MOS
ALICIA GUTIERREZ	INTERNAL SERVICES Dept.#IS	11-03-2021	11 YRS 08 MOS
MARIA D. GUTIERREZ	PROBATION DEPARTMENT Dept.#PB	12-31-2021	31 YRS 04½ MOS
ESPERANZA GUTIERREZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-27-2021	35 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RITA M. HADJIMANOUKI	PUBLIC WORKS Dept.#PW	12-30-2021	20 YRS 00 MOS
DONNA HANSEN	LACERA Dept.#NL	12-31-2021	13 YRS ½ MOS
RAYMOND HARDY	CHILDREN & FAMILY SERVICES Dept.#CH	12-06-2021	34 YRS ½ MOS
GILDA J. HARRIS	REG-RECORDER/COUNTY CLERK Dept.#RR	10-30-2021	35 YRS 10 MOS
BRIDGET R. HARRIS-MORGA	SHERIFF Dept.#SH	11-23-2021	25 YRS 01½ MOS
DINA HARTMANN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2021	30 YRS 10 MOS
SANDY HE	INTERNAL SERVICES Dept.#IS	01-03-2022	13 YRS 01½ MOS
GENE S. HEPPONSTALL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-27-2021	38 YRS 01 MOS
CURTIS M. HERBERT JR	PUBLIC WORKS Dept.#PW	10-16-2021	49 YRS 06 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
THOMAS A. HERRERA	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	10-30-2021	32 YRS 09 MOS
REBECCA K. HICKMAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-07-2021	26 YRS 03 MOS
WILMA D. HILL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2021	41 YRS 03½ MOS
BRYAN T. HIRATA	CORRECTIONAL HEALTH Dept.#HC	11-27-2021	35 YRS 11 MOS
THU-ANH HOANG	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-01-2021	16 YRS 02½ MOS
THARY HOU-SINN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	32 YRS 09½ MOS
PATRICIA L. HOUSEL	DISTRICT ATTORNEY Dept.#DA	12-31-2021	32 YRS 04 MOS
HUEY C. HUANG	MENTAL HEALTH Dept.#MH	11-30-2021	10 YRS 00 MOS
OPHELIA HUFF	REG-RECORDER/COUNTY CLERK Dept.#RR	10-30-2021	41 YRS 10 MOS



# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
YUN K. HWANG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2021	35 YRS 03 MOS
TALAT G. IBRAHIM	ASSESSOR Dept.#AS	11-27-2021	38 YRS 00 MOS
CHIE ISHIGE	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	13 YRS 04½ MOS
JUNE S. IVORY	SHERIFF Dept.#SH	12-31-2021	30 YRS 02½ MOS
ZULEMA IZQUIERDO	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	35 YRS ½ MOS
ADELENE JAMES	MENTAL HEALTH Dept.#MH	12-31-2021	05 YRS 03½ MOS
RONALD H. JANUARY	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	20 YRS 10 MOS
XAVIER JASSO JR	PUBLIC WORKS Dept.#PW	01-29-2022	36 YRS 10 MOS
CATHLYN JENNINGS	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-30-2021	30 YRS 04 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DENISE J. JOHNSON	PROBATION DEPARTMENT Dept.#PB	11-27-2021	32 YRS 00 MOS
BARBARA JOHNSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	29 YRS 11 MOS
DARLENE L. JOHNSON	SHERIFF Dept.#SH	11-27-2021	21 YRS 10 MOS
KERMAN JOHNSON	MUSEUM OF ART Dept.#AR	11-09-2021	35 YRS 04½ MOS
REGINA JOHNSON	AMBULATORY CARE NETWORK Dept.#HN	10-30-2021	35 YRS 01 MOS
GAIL E. JOHNSON-HOLT	MENTAL HEALTH Dept.#MH	11-27-2021	26 YRS 03 MOS
SARAH N. KARAMAT	MENTAL HEALTH Dept.#MH	12-01-2021	15 YRS 01½ MOS
SORAYA S. KARIMIAN	MENTAL HEALTH Dept.#MH	12-31-2021	22 YRS ½ MOS
KELVIN KASAI	PUBLIC HEALTH PROGRAM Dept.#PH	11-30-2021	32 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WILLIAM KENYON	PUBLIC WORKS Dept.#PW	11-12-2021	22 YRS 01½ MOS
GEORGE KHACHATOURIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	20 YRS 06½ MOS
ROCHELLE L. KIDD	SHERIFF Dept.#SH	12-31-2021	23 YRS 09½ MOS
EUN S. KIM	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	11-27-2021	37 YRS 00 MOS
GLENN-ERIK KLEVDAL	PARKS AND RECREATION Dept.#PK	11-30-2021	40 YRS 11 MOS
JANA L. KNOWLES	DISTRICT ATTORNEY Dept.#DA	11-30-2021	37 YRS 09 MOS
ANA R. KROTZER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2021	26 YRS 09 MOS
LANA LA MOTTE	MENTAL HEALTH Dept.#MH	11-17-2021	36 YRS 06 MOS
JULIO S. LACAYO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	31 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELIZABETH A. LADZEKPO	CORRECTIONAL HEALTH Dept.#HC	08-31-2021	19 YRS 07½ MOS
DANIEL LAFFERTY	PUBLIC WORKS Dept.#PW	12-31-2021	40 YRS 06 MOS
JOYCE C. LEARD	ASSESSOR Dept.#AS	12-31-2021	29 YRS 08½ MOS
SOOK P. LEE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-25-2021	36 YRS 03 MOS
KANG-SOOK LEE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	37 YRS 01 MOS
JUDITH LESLIE-THOMA	L A COUNTY FIRE DEPT Dept.#FR	01-07-2022	22 YRS 04½ MOS
DONNA S. LEU	PUBLIC WORKS Dept.#PW	01-31-2022	15 YRS 03 MOS
SYLVIA M. LITTLE	DISTRICT ATTORNEY Dept.#DA	11-26-2021	46 YRS 06 MOS
OFELIA LOPEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2021	31 YRS 08 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIE E. LORETTO-PILA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	29 YRS 08 MOS
SUSAN S. LU	ASSESSOR Dept.#AS	11-30-2021	20 YRS 03½ MOS
DIANE LUNA	DISTRICT ATTORNEY Dept.#DA	11-27-2021	42 YRS 07 MOS
PAUL J. LUNA	SHERIFF Dept.#SH	11-27-2021	32 YRS 06 MOS
SHIRLEY A. LUNA	SHERIFF Dept.#SH	11-28-2021	31 YRS 01 MOS
HOUNG S. LY	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	01-02-2022	21 YRS 11½ MOS
NUCHJAREE MA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	34 YRS 10 MOS
ALMA MACANAS-CALV	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	34 YRS 09½ MOS
DORIS MADERA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-13-2021	32 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIATERESA MADRID	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-01-2021	14 YRS ½ MOS
ADOLFO P. MANALO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2021	35 YRS 10 MOS
GAREGIN MANASARIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	26 YRS 10½ MOS
ROBERTO MANZO	PUBLIC LIBRARY Dept.#PL	10-30-2021	17 YRS 02½ MOS
JANICE MARSH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-24-2021	21 YRS 02 MOS
TRACIE C. MARTIN	PUBLIC HEALTH PROGRAM Dept.#PH	10-30-2021	15 YRS 06 MOS
LISA L. MARTINEZ	ASSESSOR Dept.#AS	10-25-2021	29 YRS 08 MOS
REBECCA E. MARTINEZ	L A COUNTY FIRE DEPT Dept.#FR	12-31-2021	21 YRS 07½ MOS
BERNICE MC CLAIN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-08-2021	36 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CRAIG L. MC WELLS	PROBATION DEPARTMENT Dept.#PB	11-30-2021	36 YRS 02 MOS
LYNN MCFARR	MENTAL HEALTH Dept.#MH	12-17-2021	22 YRS 04 MOS
MARIA J. MENDEZ	CHILDREN & FAMILY SERVICES Dept.#CH	11-04-2021	20 YRS 05 MOS
HEATHER MILLS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2021	43 YRS 01 MOS
RAQUEL MIRELES-VALL	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	11-27-2021	23 YRS 01 MOS
LAVERNE MITCHELL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-31-2021	07 YRS 06 MOS
INA F. MOLIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2021	31 YRS 02½ MOS
IVAN L. MOLINA	INTERNAL SERVICES Dept.#IS	11-27-2021	36 YRS 10 MOS
MANUEL E. MONAREZ	INTERNAL SERVICES Dept.#IS	11-19-2021	42 YRS 04 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ADELINA B. MONFIERO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	01-01-2022	30 YRS 05½ MOS
ROBERTA MONTOYA YANE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-01-2021	31 YRS 04½ MOS
ANGELA D. MOORE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2021	33 YRS 09 MOS
EARTHA V. MOORE	PROBATION DEPARTMENT Dept.#PB	11-30-2021	26 YRS 01 MOS
FRANCIS E. MULLNIX	MENTAL HEALTH Dept.#MH	11-16-2021	25 YRS 02 MOS
CHERYL MURPHY	CHILDREN & FAMILY SERVICES Dept.#CH	11-27-2021	30 YRS 07 MOS
VICKI N. NAGATA	HEALTH SERVICES ADMINISTRATION Dept.#HS	10-31-2021	30 YRS 01½ MOS
WILMA NEAL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2021	29 YRS 04 MOS
CHARLES O. NEBO	CHILDREN & FAMILY SERVICES Dept.#CH	12-14-2021	30 YRS ½ MOS



# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SUONG NGUYEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	20 YRS 11½ MOS
ALEXANDER NGUYEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-13-2021	22 YRS 06½ MOS
FESTIA N. NJOKOM	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-30-2021	11 YRS 03 MOS
IRENE G. NUNEZ	PUBLIC DEFENDER Dept.#PD	11-30-2021	39 YRS 00 MOS
PATRICK J. O BRIEN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-15-2021	39 YRS ½ MOS
MARY L. OLEINIK	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-19-2022	20 YRS 06 MOS
CARMEN A. OROZCO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	31 YRS 08 MOS
AUGUSTO PACHECO-GUZM	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	23 YRS 05½ MOS
RENATO M. PACIFICO	CHILDREN & FAMILY SERVICES Dept.#CH	11-15-2021	24 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CYNTHIA PALACIOS-COR	PARKS AND RECREATION Dept.#PK	01-01-2022	25 YRS 11½ MOS
MAJA P. PARANADA-REY	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2021	29 YRS 05 MOS
MYONG S. PARK	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-19-2021	33 YRS 09 MOS
VICKIE PARTIDA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-31-2021	31 YRS 08½ MOS
NANCY PE QUILINO	HEALTH SERVICES ADMINISTRATION Dept.#HS	12-31-2021	13 YRS 03 MOS
YVONNE B. PERALTA	CHILDREN & FAMILY SERVICES Dept.#CH	11-27-2021	22 YRS 07 MOS
ANA R. PEREZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	35 YRS 07 MOS
DELPHINA PERRY-HARDIN	ASSESSOR Dept.#AS	12-31-2021	30 YRS 11 MOS
HA K. PHAM	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	26 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DREW D. PHILLIPS	ASSESSOR Dept.#AS	10-29-2021	20 YRS 07 MOS
FERNANDO I. PLAZOLA	MENTAL HEALTH Dept.#MH	11-30-2021	38 YRS 08 MOS
LUPE PONCE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2021	29 YRS 00 MOS
BERNARDINE A. POPP	SHERIFF Dept.#SH	11-27-2021	33 YRS 01 MOS
CATHY POWERS	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-30-2021	37 YRS 01½ MOS
CRAIG M. PURCELL	PUBLIC DEFENDER Dept.#PD	11-16-2021	37 YRS 06 MOS
ISABEL QUALLS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-04-2022	26 YRS 11½ MOS
DORETTA M. QUARLES	SHERIFF Dept.#SH	10-31-2021	45 YRS 11½ MOS
LINDA K. QUON	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-30-2021	34 YRS 07½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CLARICE RACHAL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	31 YRS 05½ MOS
PEARLINE RAILLEY-NEAL	MENTAL HEALTH Dept.#MH	10-29-2021	48 YRS 09½ MOS
FERNANDO RAMIREZ	PUBLIC WORKS Dept.#PW	11-30-2021	26 YRS 09 MOS
MARCIA L. REED	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	31 YRS 05 MOS
HECTOR REYES	HEALTH SERVICES ADMINISTRATION Dept.#HS	10-22-2021	22 YRS 07½ MOS
GABRIEL REYES	ASSESSOR Dept.#AS	01-05-2022	26 YRS 04½ MOS
CHARLES H. RICHARDS	PUBLIC WORKS Dept.#PW	10-31-2021	10 YRS ½ MOS
SHIRLEY A. RICHARDSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-27-2021	17 YRS 01 MOS
MARIA C. RINCON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-16-2021	25 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSE L. RIVERA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	20 YRS 10½ MOS
PATRICIA B. ROA	AMBULATORY CARE NETWORK Dept.#HN	12-25-2021	31 YRS 02 MOS
BRUCE E. ROBERT	TREASURER AND TAX COLLECTOR Dept.#TT	11-16-2021	08 YRS 06 MOS
DEBRA L. ROBINSON	CHILDREN & FAMILY SERVICES Dept.#CH	11-30-2021	23 YRS 01 MOS
SALVADOR ROBLEDO	PUBLIC WORKS Dept.#PW	01-28-2022	26 YRS 08 MOS
ALICIA M. RODARTE	COUNTY COUNSEL Dept.#CC	12-16-2021	39 YRS 08 MOS
MARIA G. RODRIGUEZ	PUBLIC HEALTH PROGRAM Dept.#PH	12-31-2021	30 YRS 03½ MOS
BRIAN K. ROSS S R	ASSESSOR Dept.#AS	10-29-2021	40 YRS 09 MOS
WILLIAM G. ROWE	ASSESSOR Dept.#AS	10-27-2021	34 YRS 05 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DANIEL J. SANTANA	PROBATION DEPARTMENT Dept.#PB	11-30-2021	23 YRS 07 MOS
MARIA D. SARMIENTO	CORRECTIONAL HEALTH Dept.#HC	11-30-2021	21 YRS 11 MOS
AUDREY J. SASSEN	CORRECTIONAL HEALTH Dept.#HC	11-30-2021	24 YRS 02 MOS
ANGELA J. SELLERS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-16-2021	16 YRS 05 MOS
YVONNE C. SENA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	46 YRS 08 MOS
DAYLE I. SIGMUND	MENTAL HEALTH Dept.#MH	12-31-2021	13 YRS 01½ MOS
PHILOMENE C. SIMON	CORRECTIONAL HEALTH Dept.#HC	11-29-2021	11 YRS 05 MOS
PATRICIA M. SKAUG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	31 YRS 03 MOS
PAULETTE A. SMITH	SHERIFF Dept.#SH	12-31-2021	32 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WILLIAM H. SMITH	INTERNAL SERVICES Dept.#IS	12-23-2021	20 YRS 09½ MOS
AVIS E. SMITH	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-06-2021	31 YRS 09½ MOS
MARY L. SMITH	PROBATION DEPARTMENT Dept.#PB	10-30-2021	25 YRS 01 MOS
TRACY M. SMITH-WILSON	SHERIFF Dept.#SH	01-29-2022	15 YRS 10½ MOS
VIASTER SMOOT	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	11-30-2021	40 YRS 10½ MOS
JAMES T. SPARKS	PUBLIC WORKS Dept.#PW	11-30-2021	41 YRS 05½ MOS
DESIREE STANLEY-THOM	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-16-2021	31 YRS 05 MOS
SUSANNA STEPANIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2021	17 YRS 01½ MOS
TANYA STEWART	DISTRICT ATTORNEY Dept.#DA	11-27-2021	30 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LURINDA SUMPTER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-16-2021	05 YRS 11 MOS
GLENN M. SWAYZER	PROBATION DEPARTMENT Dept.#PB	10-27-2021	28 YRS 07 MOS
RANDI S. TAHARA	BOARD OF SUPERVISORS Dept.#BS	12-31-2021	30 YRS 09½ MOS
ALBERT A. THOMPSON	PROBATION DEPARTMENT Dept.#PB	10-15-2021	26 YRS 06½ MOS
ANGELA THONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	21 YRS 08½ MOS
DEBBIE C. THORNTON	AMBULATORY CARE NETWORK Dept.#HN	12-31-2021	16 YRS 01 MOS
LINDA F. THREATT	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	22 YRS 04½ MOS
HUDSON TIBBETTS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-03-2021	27 YRS 07½ MOS
ANNIE Y. TRAN	CHILDREN & FAMILY SERVICES Dept.#CH	11-20-2021	43 YRS 11 MOS



# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HUNG TRAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-28-2022	28 YRS 00 MOS
CHARLENE R. TUTT	CORRECTIONAL HEALTH Dept.#HC	12-31-2021	30 YRS 02½ MOS
MARIO URIBE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	30 YRS 02 MOS
KAREN A. VALENCIA	PUBLIC HEALTH PROGRAM Dept.#PH	11-30-2021	35 YRS 08 MOS
ARTURO G. VALENZUELA	SHERIFF Dept.#SH	12-31-2021	26 YRS 06½ MOS
MARTHA C. VASQUEZ	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2021	32 YRS 01½ MOS
DIANA R. VASQUEZ	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-30-2021	39 YRS 00 MOS
SUSANA VELAZQUEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	16 YRS 00 MOS
MARIA VILLALBA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2021	40 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CORAZON A. VILLALUZ	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	10-20-2021	39 YRS 00 MOS
ROCHELLE WALKER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-25-2021	17 YRS 02 MOS
JAMES WALKER JR	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-05-2021	41 YRS 03½ MOS
SUSAN L. WATSON	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-30-2021	43 YRS 06½ MOS
KIM WHITE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2021	29 YRS 04 MOS
LANEAL WHITE HICKLI	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-27-2021	45 YRS 10½ MOS
DANIEL E. WHITELEATHER	ASSESSOR Dept.#AS	10-15-2021	19 YRS 10½ MOS
HORACE N. WILLEY	PROBATION DEPARTMENT Dept.#PB	10-29-2021	23 YRS 00 MOS
WILMA M. WILLIAMS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-25-2021	46 YRS 09½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RETHA R. WILLIS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-31-2021	34 YRS 10 MOS
FRED M. WIMBERLEY	ASSESSOR Dept.#AS	10-28-2021	25 YRS 01 MOS
HAROLD J. WINSTON	TREASURER AND TAX COLLECTOR Dept.#TT	10-30-2021	33 YRS 04 MOS
CORETTA M. WISHOM	CHILDREN & FAMILY SERVICES Dept.#CH	10-30-2021	32 YRS 09 MOS
ANNE M. WORKMAN	PUBLIC HEALTH PROGRAM Dept.#PH	11-30-2021	21 YRS ½ MOS
ANGELA N. WRIGHT	PROBATION DEPARTMENT Dept.#PB	11-12-2021	36 YRS 04½ MOS
SUZIE YOUNG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2021	33 YRS 01½ MOS
LAURA M. ZAVALA	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-30-2021	23 YRS 08 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
STEVEN A. BRIGGS	PROBATION DEPARTMENT Dept.#PB	09-30-2021	19 YRS 06 MOS
HUSBAND of LE ANDA M TYRONE-BRIGG dec'd on 09-29-2021, Sect. #31781.3			
MICHAEL FUHRMAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-21-2021	07 YRS 02 MOS
SPOUSE of TRICIA J LEAMING-FUHR dec'd on 03-20-2021, Sect. #31781.3			
JOSEPHINA F. MARTINEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-08-2021	26 YRS 09½ MOS
WIFE of DAVID DIAZ dec'd on 09-07-2021, Sect. #31781.3			
MARIA R. SOTO	MENTAL HEALTH Dept.#MH	01-25-2021	10 YRS 03 MOS
WIFE of EDGAR SOTO dec'd on 01-24-2021, Sect. #31781.1			
DARYL E. WEATHERSPOON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	06-14-2021	24 YRS 11½ MOS
HUSBAND of CATHERINE WEATHERSPOON dec'd on 06-13-2021, Sect. #31781.1			
ALONZO WEBB JR S R.	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-23-2021	21 YRS 11½ MOS
HUSBAND of BELVER D BROADNAX dec'd on 03-22-2021, Sect. #31781.3			

**BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021**

**BENEFIT APPROVAL LIST**

**SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JAIME MACHUCA	SHERIFF Dept.#SH	10-30-2021	17 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARY A. ATTAWAY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-27-2021	19 YRS 04 MOS
NUTCHANART BUMROONGPONG	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-23-2021	11 YRS 00 MOS
VERONICA CARDONA	CHILDREN & FAMILY SERVICES Dept.#CH	10-24-2021	26 YRS 06½ MOS
JENNIFER M. CHARETTE	CHILDREN & FAMILY SERVICES Dept.#CH	11-25-2021	06 YRS 02½ MOS
DAVID M. CHEN	SHERIFF Dept.#SH	11-04-2021	25 YRS 01 MOS
PATRICIA A. COWDEN	PROBATION DEPARTMENT Dept.#PB	11-27-2021	33 YRS 00 MOS
DARRYL A. DISON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-04-2021	21 YRS ½ MOS
ALVA Y. EAGLE-IBARRA	PARKS AND RECREATION Dept.#PK	10-31-2021	14 YRS 05½ MOS
HENRIETTH EDWARDS	PUBLIC DEFENDER Dept.#PD	12-08-2021	25 YRS 06 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DENNIS P. FINN	CHILDREN & FAMILY SERVICES Dept.#CH	10-30-2021	24 YRS 06½ MOS
ROSEMARIE GONZALES	PUBLIC WORKS Dept.#PW	11-30-2021	10 YRS 11½ MOS
TROY E. GOODSPEED II	HEALTH SERVICES ADMINISTRATION Dept.#HS	10-05-2021	10 YRS 03½ MOS
DWAYNE L. HACKETT	CHILDREN & FAMILY SERVICES Dept.#CH	12-01-2021	03 YRS 08 MOS
ROBERT C. HERNANDEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-01-2021	11 YRS 01 MOS
MICHAEL P. HICKEY J R.	SHERIFF Dept.#SH	10-07-2021	11 YRS 01 MOS
WENDY A. HUDSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-07-2021	17 YRS 08½ MOS
SHERYLL M. JACKSON	CHILDREN & FAMILY SERVICES Dept.#CH	10-08-2021	27 YRS 11 MOS
SARA KATE	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	11-05-2021	15 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BONNIE A. KATZ	PUBLIC LIBRARY Dept.#PL	06-12-2021	04 YRS 09 MOS
PETER J. KORN	DISTRICT ATTORNEY Dept.#DA	10-20-2021	12 YRS 09 MOS
ADAM S. LONG	PROBATION DEPARTMENT Dept.#PB	10-16-2021	35 YRS 02 MOS
MARIO MARTINEZ	PROBATION DEPARTMENT Dept.#PB	10-01-2021	05 YRS 06 MOS
LOIS L. MCNICOLL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-22-2021	36 YRS 01½ MOS
DAVID N. PIER	BOARD OF SUPERVISORS Dept.#BS	07-01-2021	04 YRS 01 MOS
AUDREY POWELL	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-26-2021	25 YRS 03 MOS
ARTHUR M. RAMOS	PROBATION DEPARTMENT Dept.#PB	09-10-2021	05 YRS 09 MOS
PATRICK J. REYNOLDS	PARKS AND RECREATION Dept.#PK	12-31-2021	08 YRS 05 MOS



# BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JULIETA I. ROBINSON	CORRECTIONAL HEALTH Dept.#HC	11-08-2021	34 YRS 06 MOS
CHRISTINE F. SHAUB	DISTRICT ATTORNEY Dept.#DA	10-15-2021	21 YRS 01 MOS
DARLENE SMITH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-04-2021	41 YRS 10½ MOS
MICHELLE L. STEWART	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-01-2021	11 YRS 05 MOS
WILLIAM R. SWINDLE JR	PUBLIC WORKS Dept.#PW	11-01-2021	32 YRS 02 MOS
LAUREN F. TILBURY	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-03-2021	02 YRS ½ MOS
HALIL TOROS	CHIEF EXECUTIVE OFFICE Dept.#AO	10-21-2021	24 YRS 05½ MOS
ANDRES A. TRINIDAD	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-30-2021	29 YRS 08 MOS
JACQUELINE M. VALADEZ	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	10-30-2021	18 YRS 01 MOS

**BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021**

**BENEFIT APPROVAL LIST**

**GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOHN WILLIAMS	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	06-29-2021	11 YRS 04 MOS

**BOARD OF RETIREMENT MEETING OF DECEMBER 1, 2021  
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST  
APPROVED ON OCTOBER 26, 2021**

**GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>UPDATE</b>
JO-HELEN SHUMATE	DEPT OF PUBLIC SOCIAL SERVICES	RESCINDED RETIREMENT
CARI J DORROUGH	CHILDREN & FAMILY SERVICES	CHANGE OF DATE TO November 30, 2021
MARY A TYRA	SUPERIOR COURT/COUNTY CLERK	RESCINDED RETIREMENT
SHEILA R LA BOMME	PROBATION DEPARTMENT	RESCINDED RETIREMENT
IMELDA C EVANCULLA	SUPERIOR COURT/COUNTY CLERK	CHANGE OF DATE TO January 31, 2022
JOYCE WILLIAMS	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO December 31, 2021
CHRISTOPHER TRUONG	COASTAL CLUSTER- HARBOR/UCLA MC	CHANGE OF DATE TO January 2, 2022
DAVID GONZALES	PUBLIC WORKS	RESCINDED RETIREMENT
ALVART LAZAR	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO December 31, 2021
JAMES E DUNN	PROBATION DEPARTMENT	CHANGE OF DATE TO January 1, 2022
VIJAY P MANGHIRMALANI	HEALTH SERVICES ADMINISTRATION	CHANGE OF DATE TO January 29, 2022
IRINA GERKHOVSKY	DEPT OF PUBLIC SOCIAL SERVICES	RESCINDED RETIREMENT
STEVEN KAWATA	AMBULATORY CARE NETWORK	CHANGE OF DATE TO October 30, 2021
LORNA P GARCIA	AMBULATORY CARE NETWORK	CHANGE OF DATE TO January 29, 2022
DIANE LOUISE REGECZ	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO January 1, 2022



November 23, 2021

TO: Each Trustee  
Board of Retirement

FROM: Ricki Contreras, Division Manager  
Disability Retirement Services

SUBJECT: **APPEALS FOR THE BOARD OF RETIREMENT'S MEETING  
OF DECEMBER 1, 2021**

**IT IS RECOMMENDED** that the Board of Retirement grant the appeals and requests for administrative hearing received from the following applicants, and direct the Disability Retirement Services Manager to refer each case to a referee:

5205B	Aramis P. Almaguer	In Pro Per	Deny SCD – Employer Can Accommodate
5209B	Nicholas A. Torsak	In Pro Per	Deny SCD - Grant NSCD With Option of Earlier Effective Date

RC:kw

Memo.New  
Appeals.docx



November 24, 2021

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Joint Organization Governance Committee  
Shawn R. Kehoe, Chair  
Elizabeth Greenwood, Vice Chair  
Alan J. Bernstein  
Vivian H. Gray  
Joseph Kelly  
Keith Knox  
Les Robbins  
Herman B. Santos

FOR: December 1, 2021 Board of Retirement Meeting  
December 8, 2021 Board of Investments Meeting

SUBJECT: **FY 2021-2022 PROPOSED MID-YEAR BUDGET AMENDMENTS**

### **RECOMMENDATION**

That the Board of Retirement and Board of Investments adopt the FY 2021-2022 Mid-Year Budget Amendments for the LACERA Administrative Budget. No changes are recommended for the Retiree Health Care Benefits Program Budget.

### **DISCUSSION**

The FY 2021-2022 Budget was intended to maintain LACERA operations under the unprecedented COVID-19 pandemic, without interruption, until a much deeper dive into the administrative support functions could be completed. This included additional staffing and services and supplies resources.

At that time, we shared our plan to conduct a mid-year budget review and to present a supplemental budget request for unanticipated and critical projects and additional resources. The Budget Team collaborated with the Executive Office and the Management Team to re-assess the organizational goals and priorities. We identified critical projects and funding needs that support improving operations and streamlining processes. To address these interim needs, we have identified salary savings and reassessed some of our lower priority projects.

As such, we are not requesting increases to our budget. We are, instead, providing the Boards with our proposed internal transfers to better align the budgeted resources to our current needs.

We are pleased to present the FY 2021-2022 Proposed Mid-Year Budget Amendments.

### **Proposed Mid-Year Budget Amendments for FY 2021-2022**

The proposed Mid-Year Budget Amendments for FY 2021-2022 will result in a net zero increase to the overall budget of \$114.8M.

Services and Supplies (S&S) amendments totaling \$1.2M will be offset by savings of \$1.2M in Salaries and Employee Benefits (S&EB).

Detailed below are the changes to the various areas that make up the necessary budget amendments, including funding for unanticipated information technology (IT) related projects, COVID-19 testing, insurance premium increases, and legal fees. Other budget amendments include a reallocation of permanent salaries, variable benefits, and temporary staff funding to reflect LACERA's adjusted hiring plans due to the COVID-19 pandemic and to offset some of the costs of the additional funding requested.

See overall and division financial summaries on Exhibit A and Exhibit B.  
See budget-to-actuals ending September 30, 2021 on Exhibit C.

### **Salaries and Employee Benefit Changes**

There are no requests to add additional positions at this time. Our goal is to focus on filling as many of the current vacancies as possible, on a prioritized basis.

During the budget development process, we calculate a salary savings for the number of months that a position will be vacant during the fiscal year. Due to the COVID-19 pandemic, hiring plans were delayed, and timelines have shifted. We worked with the Management Team and Human Resources to reassess the vacancy estimates. Based on this examination, it was determined that positions would remain vacant for several months longer than what was originally planned and that we would retain temporary staff for an extended period. To account for vacancies in the budget, we propose to reallocate funding by reducing Permanent Salaries and Variable Benefits by \$2.1M and increasing Temporary staff salaries by \$0.9M. The net effect is a decrease in S&EB of \$1.2M for a revised total of \$87.2M, a 1.4% decrease in the S&EB budget.

In line with these salary surpluses, we are in the process of reviewing the recruitment and hiring plan, as presented to the JOGC and Boards in May.

### **Services and Supplies Budget Modifications**

A closer examination of the ongoing needs of the office and the previous funding allocations resulted in a proposal to increase the overall S&S Budget by \$1.2M from an aggregate budget amount of \$26.4M for a revised total of \$27.6M. This represents, a 4.6% increase in the S&S budget. This increase will be completely absorbed by salary savings.

The recommended S&S Budget adjustments for your consideration are highlighted below.

#### ***Insurance Requirements***

An increase in the Cyber Liability insurance premium of \$315,600 has been offset by savings in other insurance line items. Savings in Fiduciary Liability, Earthquake, and Employment Practice Liability insurance offset the unanticipated increase in the Cyber Liability insurance premium for a total net increase of \$202,600, 22.2% over the original Insurance budget of \$911,600.

#### ***COVID-19 Testing***

Organizational programs in Human Resources are required for COVID-19 testing due to workplace exposures and weekly testing for staff members who are not vaccinated. These programs will result in an increase of \$150,000, up from the original budget of \$25,000. This cost reflects initial setup costs and approximately 7-months of testing. The full-year cost for FY 2022-2023 is estimated to be approximately \$225,000.

#### ***Legal Fees & Services***

Outside Legal Counsel in Legal Services will increase the budget by \$655,000. The addition of two to three special Executive Office and Board level legal projects necessitate this amendment over the original budget of \$400,000.

#### ***Information Technology (IT) Related Projects***

As the fiscal year progresses, the Systems Division review of its technology needs requires additional funding with a net total of \$212,000 and is detailed below. This addition represents a 2.7% increase over the current approved IT budget of \$7,840,400.

#### ***Amazon Web Services (AWS) Hosting***

Enterprise Data Processing (EDP) charges include AWS Hosting and are leveraged with LA County ISD. Recently received billing dictates that an additional \$90,000 is required, for a total amended budget of \$99,500 for EDP.

#### ***Centera Replacement***

\$300,000 was budgeted in the prior fiscal year for replacing our Write Once Read Many (WORM) devices that store our member archives such as document images, phone call recording, and microfiche images. There was a delay in receiving the new devices due to the COVID-19 pandemic, and we received the devices after the close of FY 2020-2021, however, the budget was not carried over into FY2021-2022. These funds are needed to complete the upgrade of the WORM devices.

### *Cloud Migration*

Several critical cloud migration projects were budgeted and started last fiscal year. However, these projects are still in progress, and the services have not been billed to LACERA. Projects include Intranet 2.0 (\$80,000), Power BI Implementation (\$82,000), and Knowledgebase replacement projects (\$100,000), totaling \$262,000. This amendment will be an increase to the \$150,000 currently budgeted bringing the new total to \$412,000.

### *Microsoft Tenant Migration*

\$180,000 is required for professional services, hardware, and software related to the Microsoft Tenant Migration. We originally planned to use all internal LACERA resources for this migration. However, after planning the project, we realized that we could reduce the migration time by 12 months and improve the end-user experience if we retained outside assistance. This amendment increases the budget by 16.6% from the original budget of \$1,085,000

### *Docking Stations*

Additional funds of \$50,000 are required for docking stations which will allow staff to transition seamlessly to our hybrid return-to-work plan. The amended budget for computer peripherals will be \$60,000.

### *Telephone Systems and Equipment*

The selection and procurement of a new system budgeted for this year will be pushed and funded in FY 2022-2023.

The replacement of LACERA's Avaya desk phones may no longer be necessary based on the new phone system selected. However, if new phones are required, the purchase will be made in FY 2022-2023.

The result of this push into the next fiscal year is a reduction of \$295,000 to the current budget of \$460,000, a 64% reduction.

### *LAN Hardware Maintenance*

In assessing our first quarter expenditures, we anticipate the ability to reduce the budget funds in half, to \$75,000.

### *Equipment Maintenance – UPS Server Room*

Our Uninterruptable Power Supply (UPS) equipment is at the end of life. The FY 2021-2022 budget anticipated replacing equipment, located in the 7<sup>th</sup> floor data center, network operations center, and the 6<sup>th</sup> floor network operations center. However, we are rapidly retiring on-site hardware and will only need to consider the 6<sup>th</sup> floor network operations center when replacing the UPS, which should result in current year savings of \$100,000, a 37.8% reduction from the current budget of \$264,500.



*Hot Site Services*

LACERA has a disaster recovery (DR) site in Boulder, CO, used for the mainframe recovery. Now that we are migrating the mainframe to Phoenix, AZ, we no longer need the DR site in Boulder, CO. We included the funding for the site in the budget as a contingency should the mainframe hosting project be delayed. Based on work completed to date, we anticipate being able to retire our Boulder DR site by the end of the calendar year 2021, resulting in a budgetary savings of \$100,000 or 71.4% of the original budget of \$140,000.

*Mainframe Software and Licensing*

We are in the process of migrating our on-site mainframe to a hosted solution in Phoenix, AZ. At the time the FY 2021-2022 Budget was developed, the exact timing of the migration was unknown. The migration is planned to complete by the end of the calendar year 2021, enabling us to realize licensing cost savings of \$100,000, an 8.9% reduction from the current budget of \$1,125,000. Going forward the mainframe annual licensing expenses will be significantly less.

**CONCLUSION**

The proposed amendments to the Administrative Budget results in a total amended budget of net zero.

The proposed adjustments were well considered and represent funding necessary to maintain operations at peak levels and support the achievement of our strategic goals and initiatives.

IT IS THEREFORE RECOMMENDED THAT THE BOARD:

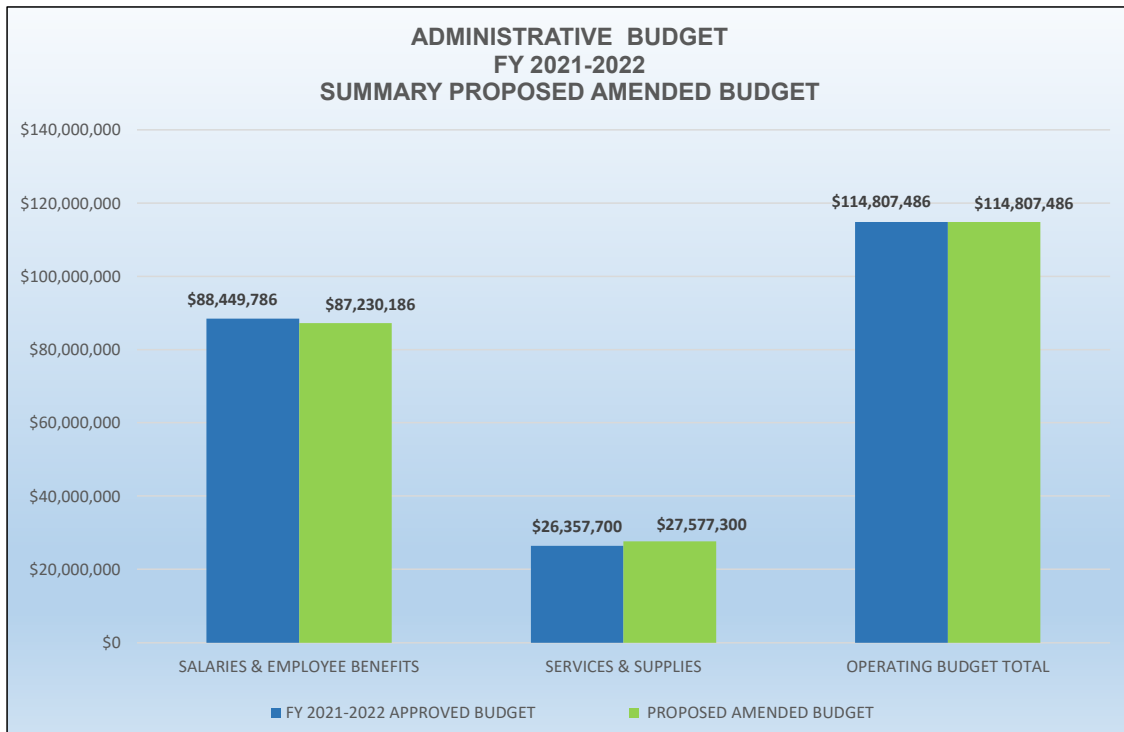
Adopt the FY 2021-2022 Mid-Year Budget Amendments for the LACERA Administrative and Retiree Health Care Benefits Program Budgets.

Attachments

# **EXHIBIT A**

**FY 2021-2022  
PROPOSED MID-YEAR BUDGET AMENDMENT  
FINANCIAL SUMMARIES**

ADMINISTRATIVE BUDGET	FY 2021-2022 APPROVED BUDGET	MID-YEAR ADJUSTMENT AMOUNT	PROPOSED AMENDED BUDGET	% CHANGE
SALARIES & EMPLOYEE BENEFITS	\$88,449,786	(\$1,219,600)	\$87,230,186	-1.4%
SERVICES & SUPPLIES	\$26,357,700	\$1,219,600	\$27,577,300	4.6%
<b>OPERATING BUDGET TOTAL</b>	<b>\$114,807,486</b>	<b>\$0</b>	<b>\$114,807,486</b>	<b>0.0%</b>



**PROPOSED AMENDED BUDGET BY DIVISION**

<b>DIVISION</b>	<b>FY 2021-2022 APPROVED BUDGET</b>	<b>MID-YEAR ADJUSTMENT AMOUNT</b>	<b>PROPOSED AMENDED BUDGET</b>	<b>% CHANGE</b>
Administrative Services	\$13,946,034	(\$13,897)	\$13,932,137	-0.1%
Benefits	11,357,046	(253,203)	11,103,844	-2.2%
Board of Retirement	214,000	0	214,000	0.0%
Board of Investments	436,000	0	436,000	0.0%
Communications	3,295,291	0	3,295,291	0.0%
Disability Litigation	2,193,630	0	2,193,630	0.0%
Disability Retirement	9,897,398	0	9,897,398	0.0%
Executive Office	3,809,234	(246,288)	3,562,946	-6.5%
Financial & Accounting Services	4,340,488	0	4,340,488	0.0%
Human Resources	4,545,603	150,000	4,695,603	3.3%
Internal Audit	3,154,313	0	3,154,313	0.0%
Investment Office	16,458,019	0	16,458,019	0.0%
Legal Services	6,949,055	798,969	7,748,024	11.5%
Member Services	10,386,805	(359,675)	10,027,130	-3.5%
Quality Assurance	3,418,839	(223,523)	3,195,316	-6.5%
Systems	20,405,731	147,620	20,553,351	0.7%
<b>TOTAL</b>	<b>\$114,807,486</b>	<b>\$0</b>	<b>\$114,807,486</b>	<b>0.0%</b>

**PROPOSED AMENDED BUDGET BY S&EB CATEGORY**

<b>S&amp;EB CATEGORY</b>	<b>FY 2021-2022 APPROVED BUDGET</b>	<b>MID-YEAR ADJUSTMENT AMOUNT</b>	<b>PROPOSED AMENDED BUDGET</b>	<b>% CHANGE</b>
<b><u>SALARIES &amp; OTHER PAYS</u></b>				
Permanent / County Temporary	\$50,631,648	(\$1,251,255)	\$49,380,393	-2.5%
Agency Temporary	3,121,500	880,200	4,001,700	28.2%
LACERA Intern Program	312,000	0	312,000	0.0%
Stipends	65,000	0	65,000	0.0%
Overtime	877,000	11,900	888,900	1.4%
Bilingual Bonus	21,600	0	21,600	0.0%
Transportation Allowance	7,200	7,200	14,400	100.0%
Rideshare Allowance	70,300	0	70,300	0.0%
Sickleave Buyback	68,800	0	68,800	0.0%
Reserve For Remuneration	0	0	0	0.0%
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$55,175,048</b>	<b>(\$351,955)</b>	<b>\$54,823,093</b>	<b>-0.6%</b>
<b><u>VARIABLE BENEFITS</u></b>				
Retirement	10,759,292	(201,113)	10,558,179	-1.9%
Fica Contribution	884,997	(18,146)	866,851	-2.1%
County Subsidy - Insurance	2,983,542	(130,440)	2,853,102	-4.4%
Options Plan	4,601,103	(179,069)	4,422,034	-3.9%
Life Insurance	18,341	(159)	18,182	-0.9%
Health Insurance Temps	353,089	(133,317)	219,772	-37.8%
Flexible Benefit Plan	26,074	(9,896)	16,178	-38.0%
Thrift Plan / Horizons	1,732,245	(50,059)	1,682,186	-2.9%
Savings Plan	1,253,606	(27,816)	1,225,790	-2.2%
Pension Savings Plan	37,462	0	37,462	0.0%
Megaflex	6,113,559	(117,628)	5,995,931	-1.9%
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$28,763,309</b>	<b>(\$867,643)</b>	<b>\$27,895,666</b>	<b>-3.0%</b>
<b>OPEB CONTRIBUTION</b>	<b>\$1,485,427</b>	<b>\$0</b>	<b>\$1,485,427</b>	<b>0.0%</b>
<b>OTHER BENEFITS</b>	<b>\$3,026,000</b>	<b>\$0</b>	<b>\$3,026,000</b>	<b>0.0%</b>
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$33,274,736</b>	<b>(\$867,643)</b>	<b>\$32,407,093</b>	<b>-2.6%</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$88,449,786</b>	<b>(\$1,219,600)</b>	<b>\$87,230,186</b>	<b>-1.4%</b>

**PROPOSED AMENDED BUDGET BY S&S CATEGORY**

<b>S&amp;S CATEGORY</b>	<b>FY 2021-2022 APPROVED BUDGET</b>	<b>MID-YEAR ADJUSTMENT AMOUNT</b>	<b>PROPOSED AMENDED BUDGET</b>	<b>% CHANGE</b>
Auto Expenses	\$131,200	\$0	\$131,200	0.0%
Communications	925,000	(295,000)	630,000	-31.9%
Transportation & Travel	604,500	0	604,500	0.0%
Postage	991,400	0	991,400	0.0%
Stationery & Forms	645,300	0	645,300	0.0%
Office Supplies & Equipment	696,500	50,000	746,500	7.2%
Insurance	911,600	202,600	1,114,200	22.2%
Equipment Maintenance	612,800	(175,000)	437,800	-28.6%
Equipment Rents & Leases	277,000	0	277,000	0.0%
Building Costs	7,472,400	0	7,472,400	0.0%
Parking Fees	377,000	0	377,000	0.0%
Professional & Specialized Services	3,142,200	702,000	3,844,200	22.3%
Bank Services	200,500	0	200,500	0.0%
Legal Fees & Services	472,500	655,000	1,127,500	138.6%
Disability Fees & Services	2,665,500	0	2,665,500	0.0%
Computer Services & Support	4,609,100	80,000	4,689,100	1.7%
Educational Expenses	1,225,400	0	1,225,400	0.0%
Miscellaneous	397,800	0	397,800	0.0%
<b>TOTAL</b>	<b>\$26,357,700</b>	<b>\$1,219,600</b>	<b>\$27,577,300</b>	<b>4.6%</b>

# **EXHIBIT B**

**FY 2021-2022 Mid-Year Administrative Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Mid-Year Amended Budget S&EB Grand Total	Change	% Change
Gross Salary (1)	\$ 50,631,648	\$ 49,380,393	\$ (1,251,255)	-2.5%
Salary Differential	-	-	-	
Permanent Salaries	\$ 50,631,648	\$ 49,380,393	\$ (1,251,255)	-2.5%
Outside Agency Temporary Staffing (2)	\$ 3,121,500	\$ 4,001,700	\$ 880,200	28.2%
LACERA Intern Program	\$ 312,000	\$ 312,000	\$ -	0.0%
Variable Benefits (3)				
Retirement	\$ 10,759,292	\$ 10,558,179	\$ (201,113)	-1.9%
FICA Contribution	884,997	866,851	(18,146)	-2.1%
County Subsidy	2,983,542	2,853,102	(130,440)	-4.4%
Options Plan	4,601,103	4,422,034	(179,069)	-3.9%
Life Insurance	18,341	18,182	(159)	-0.9%
Health Insurance Temps	353,089	219,772	(133,317)	-37.8%
Flexible Benefit Plan	26,074	16,178	(9,896)	-38.0%
Thrift Plan/Horizons	1,732,245	1,682,186	(50,059)	-2.9%
Savings Plan	1,253,606	1,225,790	(27,816)	-2.2%
Pension Savings Plan	37,462	37,462	-	0.0%
Megaflex	6,113,559	5,995,931	(117,628)	-1.9%
Total	\$ 28,763,309	\$ 27,895,666	\$ (867,643)	-3.0%
Other Benefits	\$ 3,026,000	\$ 3,026,000	\$ -	0.0%
OPEB Contribution	\$ 1,485,427	\$ 1,485,427	\$ -	0.0%
Stipends	\$ 65,000	\$ 65,000	\$ -	0.0%
Overtime (4)	\$ 877,000	\$ 888,900	\$ 11,900	1.4%
Bilingual Bonus	\$ 21,600	\$ 21,600	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 68,800	\$ 68,800	\$ -	0.0%
Rideshare Allowance	\$ 70,300	\$ 70,300	\$ -	0.0%
Transportation Allowance (5)	\$ 7,200	\$ 14,400	\$ 7,200	100.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 88,449,786</b>	<b>\$ 87,230,186</b>	<b>\$ (1,219,600)</b>	<b>-1.4%</b>

- 1 Reflects a reduction in the use of Permanent Salaries due to salary savings from hiring plan changes/recruitment delays.
- 2 Reflects an addition in the use of Agency Temporary staff due to recruitment delays. The reliance of temporary staff is critical to mitigate any interruption of LACERA operations.
- 3 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.
- 4 Reflects an addition in the use of Overtime to cover the workload during the periods before a temp is hired due to additional staff on leave.
- 5 Reflects an addition in the use of Transportation Allowance for the Chief Executive Officer for not utilizing a LACERA vehicle.



**FY 2021-2022 Mid-Year Administrative Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Mid-Year Amended Budget S&S Grand Total	Change	% Change
Auto Expenses	\$ 131,200	\$ 131,200	\$ -	0.0%
Communications (1)	\$ 925,000	\$ 630,000	\$ (295,000)	-31.9%
Transportation and Travel	\$ 604,500	\$ 604,500	\$ -	0.0%
Postage	\$ 991,400	\$ 991,400	\$ -	0.0%
Stationery and Forms	\$ 645,300	\$ 645,300	\$ -	0.0%
Office Supplies and Equipment (2)	\$ 696,500	\$ 746,500	\$ 50,000	7.2%
Insurance (3)	\$ 911,600	\$ 1,114,200	\$ 202,600	22.2%
Equipment Maintenance (4)	\$ 612,800	\$ 437,800	\$ (175,000)	-28.6%
Equipment Rents and Leases	\$ 277,000	\$ 277,000	\$ -	0.0%
Building Costs	\$ 7,472,400	\$ 7,472,400	\$ -	0.0%
Parking Fees	\$ 377,000	\$ 377,000	\$ -	0.0%
Professional and Specialized Services (5)	\$ 3,142,200	\$ 3,844,200	\$ 702,000	22.3%
Bank Services	\$ 200,500	\$ 200,500	\$ -	0.0%
Legal Fees and Services (6)	\$ 472,500	\$ 1,127,500	\$ 655,000	138.6%
Disability Fees and Services	\$ 2,665,500	\$ 2,665,500	\$ -	0.0%
Computer Services and Support (7)	\$ 4,609,100	\$ 4,689,100	\$ 80,000	1.7%
Educational Expenses	\$ 1,225,400	\$ 1,225,400	\$ -	0.0%
Miscellaneous	\$ 397,800	\$ 397,800	\$ -	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 26,357,700</b>	<b>\$ 27,577,300</b>	<b>\$ 1,219,600</b>	<b>4.6%</b>

- 1 Reflects a reduction in Communications for Telephone System Supplies and Maintenance.
- 2 Reflects an addition in Office Supplies and Equipment for docking stations.
- 3 Reflects an addition in Insurance due to Cyber Liability insurance premium increase.
- 4 Reflects a reduction in Equipment Maintenance for LAN Hardware Maintenance and Equipment Maintenance-UPS-Server Room.
- 5 Reflects an addition in Professional and Specialized Services for COVID testing, Centera Upgrade (LACERA Write Once Read Many storage replacement), AWS Connect Hosting, and Cloud Migration.
- 6 Reflects an addition in Legal Fees and Services for Outside Legal Counsel due to addition of 2-3 special Executive Office and Board level legal projects.
- 7 Reflects an addition in Computer Services and Support for Microsoft Tenant Migration.

**Summary of FY 2021-2022 Mid-Year Administrative Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Mid-Year Amended Budget Grand Total	Change	% Change
Salaries and Employee Benefits	\$ 88,449,786	\$ 87,230,186	\$ (1,219,600)	-1.4%
Services and Supplies	\$ 26,357,700	\$ 27,577,300	\$ 1,219,600	4.6%
	<b>\$ 114,807,486</b>	<b>\$ 114,807,486</b>	<b>\$ 0</b>	<b>0.0%</b>

**Summary of FY 2021-2022 Mid-Year Administrative Budget Adjustment  
Budgeted Positions**

Budgeted Positions	FY 2021-2022 Approved Budget	Mid-Year Amended Budget Grand Total # of Pos.	Change	% Change
Approved	474.0	474.0	0.0	0.0%

\*All amounts rounded to the nearest dollar.

**Board of Retirement FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ -	\$ -	\$ -	0.0%
Salary Differential	-	-	-	0.0%
Permanent Salaries	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>0.0%</u>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits				
Retirement	\$ -	\$ -	\$ -	0.0%
FICA Contribution	-	-	-	0.0%
County Subsidy	-	-	-	0.0%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	-	-	-	0.0%
Savings Plan	-	-	-	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	-	-	-	0.0%
Total	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>0.0%</u>
Other Benefits	\$ -	\$ -	\$ -	0.0%
OPEB Contribution	\$ -	\$ -	\$ -	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ -	\$ -	\$ -	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ -	\$ -	\$ -	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
S&EB GRAND TOTAL	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>0.0%</u>

**Board of Retirement FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Auto Expenses	\$ -	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 85,000	\$ -	\$ -	\$ 85,000	0.0%
Postage	\$ -	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ -	\$ -	\$ -	\$ -	0.0%
Insurance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ -	\$ -	\$ -	\$ -	0.0%
Bank Services	\$ -	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 82,000	\$ -	\$ -	\$ 82,000	0.0%
Miscellaneous	\$ 47,000	\$ -	\$ -	\$ 47,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 214,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 214,000</b>	<b>0.0%</b>

**Board of Retirement Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Salaries and Employee Benefits	\$ -	\$ -	\$ -	\$ -	0.0%
Services and Supplies	\$ 214,000	\$ -	\$ -	\$ 214,000	0.0%
	<b>\$ 214,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 214,000</b>	<b>0.0%</b>

\*All amounts rounded to the nearest dollar.

**Board of Investments FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ -	\$ -	\$ -	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits				
Retirement	\$ -	\$ -	\$ -	0.0%
FICA Contribution	-	-	-	0.0%
County Subsidy	-	-	-	0.0%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	-	-	-	0.0%
Savings Plan	-	-	-	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	-	-	-	0.0%
<b>Total</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>0.0%</b>
Other Benefits	\$ -	\$ -	\$ -	0.0%
OPEB Contribution	\$ -	\$ -	\$ -	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ -	\$ -	\$ -	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ -	\$ -	\$ -	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>0.0%</b>

**Board of Investments FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Auto Expenses	\$ -	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 220,000	\$ -	\$ -	\$ 220,000	0.0%
Postage	\$ -	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ -	\$ -	\$ -	\$ -	0.0%
Insurance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ -	\$ -	\$ -	\$ -	0.0%
Bank Services	\$ -	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 150,000	\$ -	\$ -	\$ 150,000	0.0%
Miscellaneous	\$ 66,000	\$ -	\$ -	\$ 66,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 436,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 436,000</b>	<b>0.0%</b>

**Board of Investments Summary of FY 2020-21 Mid-Year Budget Adjustment**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Salaries and Employee Benefits	\$ -	\$ -	\$ -	\$ -	0.0%
Services and Supplies	\$ 436,000	\$ -	\$ -	\$ 436,000	0.0%
	<b>\$ 436,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 436,000</b>	<b>0.0%</b>

\*All amounts rounded to the nearest dollar.

**Administrative Services FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 2,477,204	\$ (370,924)	\$ 2,106,280	-15.0%
Salary Differential	-	-	-	0.0%
Permanent Salaries	<u>\$ 2,477,204</u>	<u>\$ (370,924)</u>	<u>\$ 2,106,280</u>	<u>-15.0%</u>
Outside Agency Temporary Staffing (2)	\$ 202,000	\$ 385,000	\$ 587,000	190.6%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (3)				
Retirement	\$ 501,641	\$ (59,517)	\$ 442,123	-11.9%
FICA Contribution	35,578	(5,370)	30,208	-15.1%
County Subsidy	65,106	(37,036)	28,069	-56.9%
Options Plan	582,272	(83,073)	499,198	-14.3%
Life Insurance	1,525	(83)	1,442	-5.5%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	26,074	(9,896)	16,178	-38.0%
Thrift Plan/Horizons	73,610	(14,815)	58,795	-20.1%
Savings Plan	17,419	(3,959)	13,461	-22.7%
Pension Savings Plan	-	-	-	0.0%
Megaflex	58,980	(16,824)	42,157	-28.5%
Total	<u>\$ 1,362,204</u>	<u>\$ (230,574)</u>	<u>\$ 1,131,631</u>	<u>-16.9%</u>
Other Benefits	\$ 148,050	\$ -	\$ 148,050	0.0%
OPEB Contribution	\$ 72,676	\$ -	\$ 72,676	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 50,000	\$ -	\$ 50,000	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 7,300	\$ -	\$ 7,300	0.0%
Rideshare Allowance	\$ 6,900	\$ -	\$ 6,900	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<u>\$ 4,326,334</u>	<u>\$ (216,497)</u>	<u>\$ 4,109,837</u>	<u>-5.0%</u>

- 1 Reflects a reduction in the use of Permanent Salaries for vacant positions' salary savings due to hiring plan changes/recruitment delays.
- 2 Reflects an addition in the use of Outside Agency Temporary staff for current vacant positions due to recruitment delays. The reliance of temporary staff is critical and essential to Administrative Services' current operation in order to mitigate any delays in projects, document processing for members, etc. during this fiscal year.
- 3 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.

**Administrative Services FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ 111,500	\$ -	\$ 111,500	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 8,000	\$ -	\$ 8,000	0.0%
Postage	\$ 301,400	\$ -	\$ 301,400	0.0%
Stationery and Forms	\$ 3,800	\$ -	\$ 3,800	0.0%
Office Supplies and Equipment	\$ 288,500	\$ -	\$ 288,500	0.0%
Insurance (1)	\$ 911,600	\$ 202,600	\$ 1,114,200	22.2%
Equipment Maintenance	\$ 3,300	\$ -	\$ 3,300	0.0%
Equipment Rents and Leases	\$ 277,000	\$ -	\$ 277,000	0.0%
Building Costs	\$ 7,472,400	\$ -	\$ 7,472,400	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 221,000	\$ -	\$ 221,000	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 7,700	\$ -	\$ 7,700	0.0%
Miscellaneous	\$ 13,500	\$ -	\$ 13,500	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 9,619,700</b>	<b>\$ 202,600</b>	<b>\$ 9,822,300</b>	<b>2.1%</b>

1 Reflects an addition for Insurance due to Cyber Liability insurance premium increase.

**Administrative Services Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 4,326,334	\$ (216,497)	\$ 4,109,837	-5.0%
Services and Supplies	\$ 9,619,700	\$ 202,600	\$ 9,822,300	2.1%
	<b>\$ 13,946,034</b>	<b>\$ (13,897)</b>	<b>\$ 13,932,137</b>	<b>-0.1%</b>

Budgeted Positions	Administrative Services
Approved	37.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>37.0</u>

\*All amounts rounded to the nearest dollar.

**Benefits Division FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 6,264,311	\$ (109,331)	\$ 6,154,980	-1.7%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 6,264,311</b>	<b>\$ (109,331)</b>	<b>\$ 6,154,980</b>	<b>-1.7%</b>
Outside Agency Temporary Staffing	\$ 71,900	\$ -	\$ 71,900	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (2)				
Retirement	\$ 1,529,224	\$ (17,569)	\$ 1,511,654	-1.1%
FICA Contribution	112,150	(1,585)	110,565	-1.4%
County Subsidy	193,180	(17,493)	175,687	-9.1%
Options Plan	1,447,638	(36,921)	1,410,717	-2.6%
Life Insurance	6,959	(29)	6,929	-0.4%
Health Insurance Temps	164,713	(65,900)	98,813	-40.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	238,839	(4,373)	234,466	-1.8%
Savings Plan	33,650	-	33,650	0.0%
Pension Savings Plan	14,954	-	14,954	0.0%
Megaflex	220,659	-	220,659	0.0%
<b>Total</b>	<b>\$ 3,961,966</b>	<b>\$ (143,872)</b>	<b>\$ 3,818,095</b>	<b>-3.6%</b>
Other Benefits	\$ 374,387	\$ -	\$ 374,387	0.0%
OPEB Contribution	\$ 183,782	\$ -	\$ 183,782	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 398,000	\$ -	\$ 398,000	0.0%
Bilingual Bonus	\$ 2,400	\$ -	\$ 2,400	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 12,000	\$ -	\$ 12,000	0.0%
Rideshare Allowance	\$ 16,300	\$ -	\$ 16,300	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 11,285,046</b>	<b>\$ (253,203)</b>	<b>\$ 11,031,844</b>	<b>-2.2%</b>

1 Reflects a reduction in the use of Permanent Salaries for vacant positions' salary savings due to hiring plan changes/recruitment delays.

2 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.



**Benefits Division FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 6,500	\$ -	\$ 6,500	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 3,400	\$ -	\$ 3,400	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 46,000	\$ -	\$ 46,000	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 14,600	\$ -	\$ 14,600	0.0%
Miscellaneous	\$ 1,500	\$ -	\$ 1,500	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 72,000</b>	<b>\$ -</b>	<b>\$ 72,000</b>	<b>0.0%</b>

**Benefits Division Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 11,285,046	\$ (253,203)	\$ 11,031,844	-2.2%
Services and Supplies	\$ 72,000	\$ -	\$ 72,000	0.0%
	<b>\$ 11,357,046</b>	<b>\$ (253,203)</b>	<b>\$ 11,103,844</b>	<b>-2.2%</b>

Budgeted Positions	Benefits
Approved	78.0
Addition/(Deletion)	
<b>Final Budgeted Positions Requested</b>	<b>78.0</b>

\*All amounts rounded to the nearest dollar.

**Communications FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 1,290,318	\$ -	\$ 1,290,318	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 1,290,318</b>	<b>\$ -</b>	<b>\$ 1,290,318</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ 79,600	\$ -	\$ 79,600	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
<b>Variable Benefits</b>				
Retirement	\$ 273,130	\$ -	\$ 273,130	0.0%
FICA Contribution	20,337	-	20,337	0.0%
County Subsidy	100,031	-	100,031	0.0%
Options Plan	194,905	-	194,905	0.0%
Life Insurance	126	-	126	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	40,215	-	40,215	0.0%
Savings Plan	20,557	-	20,557	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	111,000	-	111,000	0.0%
<b>Total</b>	<b>\$ 760,302</b>	<b>\$ -</b>	<b>\$ 760,302</b>	<b>0.0%</b>
Other Benefits	\$ 77,116	\$ -	\$ 77,116	0.0%
OPEB Contribution	\$ 37,855	\$ -	\$ 37,855	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 4,100	\$ -	\$ 4,100	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 3,500	\$ -	\$ 3,500	0.0%
Rideshare Allowance	\$ 1,000	\$ -	\$ 1,000	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 2,253,791</b>	<b>\$ -</b>	<b>\$ 2,253,791</b>	<b>0.0%</b>

**Communications FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 7,500	\$ -	\$ 7,500	0.0%
Postage	\$ 300,000	\$ -	\$ 300,000	0.0%
Stationery and Forms	\$ 641,500	\$ -	\$ 641,500	0.0%
Office Supplies and Equipment	\$ 5,000	\$ -	\$ 5,000	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 62,000	\$ -	\$ 62,000	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ 14,500	\$ -	\$ 14,500	0.0%
Educational Expenses	\$ 10,400	\$ -	\$ 10,400	0.0%
Miscellaneous	\$ 600	\$ -	\$ 600	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 1,041,500</b>	<b>\$ -</b>	<b>\$ 1,041,500</b>	<b>0.0%</b>

**Communications Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 2,253,791	\$ -	\$ 2,253,791	0.0%
Services and Supplies	\$ 1,041,500	\$ -	\$ 1,041,500	0.0%
	<b>\$ 3,295,291</b>	<b>\$ -</b>	<b>\$ 3,295,291</b>	<b>0.0%</b>

Budgeted Positions	Communications
Approved	14.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>14.0</u>

\*All amounts rounded to the nearest dollar.

**Disability Litigation FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	%
				Change
Gross Salary	\$ 1,294,960	\$ -	\$ 1,294,960	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 1,294,960</b>	<b>\$ -</b>	<b>\$ 1,294,960</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
<b>Variable Benefits</b>				
Retirement	\$ 284,511	\$ -	\$ 284,511	0.0%
FICA Contribution	21,408	-	21,408	0.0%
County Subsidy	89,703	-	89,703	0.0%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	53,535	-	53,535	0.0%
Savings Plan	63,497	-	63,497	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	217,232	-	217,232	0.0%
<b>Total</b>	<b>\$ 729,886</b>	<b>\$ -</b>	<b>\$ 729,886</b>	<b>0.0%</b>
Other Benefits	\$ 77,393	\$ -	\$ 77,393	0.0%
OPEB Contribution	\$ 37,991	\$ -	\$ 37,991	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ -	\$ -	\$ -	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ 900	\$ -	\$ 900	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 2,141,130</b>	<b>\$ -</b>	<b>\$ 2,141,130</b>	<b>0.0%</b>

**Disability Litigation FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ 3,000	\$ -	\$ 3,000	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 6,800	\$ -	\$ 6,800	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 1,500	\$ -	\$ 1,500	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ -	\$ -	\$ -	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ 7,500	\$ -	\$ 7,500	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 33,000	\$ -	\$ 33,000	0.0%
Miscellaneous	\$ 700	\$ -	\$ 700	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 52,500</b>	<b>\$ -</b>	<b>\$ 52,500</b>	<b>0.0%</b>

**Disability Litigation Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 2,141,130	\$ -	\$ 2,141,130	0.0%
Services and Supplies	\$ 52,500	\$ -	\$ 52,500	0.0%
	<b>\$ 2,193,630</b>	<b>\$ -</b>	<b>\$ 2,193,630</b>	<b>0.0%</b>

Budgeted Positions	Disability Litigation
Approved	7.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>7.0</u>

\*All amounts rounded to the nearest dollar.

**Disability Retirement FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 3,971,485	\$ -	\$ 3,971,485	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 3,971,485</b>	<b>\$ -</b>	<b>\$ 3,971,485</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ 143,000	\$ -	\$ 143,000	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
<b>Variable Benefits</b>				
Retirement	\$ 975,679	\$ -	\$ 975,679	0.0%
FICA Contribution	64,826	-	64,826	0.0%
County Subsidy	368,275	-	368,275	0.0%
Options Plan	296,468	-	296,468	0.0%
Life Insurance	1,184	-	1,184	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	121,173	-	121,173	0.0%
Savings Plan	93,371	-	93,371	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	546,466	-	546,466	0.0%
<b>Total</b>	<b>\$ 2,467,442</b>	<b>\$ -</b>	<b>\$ 2,467,442</b>	<b>0.0%</b>
Other Benefits	\$ 237,356	\$ -	\$ 237,356	0.0%
OPEB Contribution	\$ 116,515	\$ -	\$ 116,515	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 16,100	\$ -	\$ 16,100	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 6,000	\$ -	\$ 6,000	0.0%
Rideshare Allowance	\$ 4,500	\$ -	\$ 4,500	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 6,962,398</b>	<b>\$ -</b>	<b>\$ 6,962,398</b>	<b>0.0%</b>

**Disability Retirement FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Auto Expenses	\$ -	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 8,000	\$ -	\$ -	\$ 8,000	0.0%
Postage	\$ -	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 6,000	\$ -	\$ -	\$ 6,000	0.0%
Insurance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 224,500	\$ -	\$ -	\$ 224,500	0.0%
Bank Services	\$ -	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ 20,000	\$ -	\$ -	\$ 20,000	0.0%
Disability Fees and Services	\$ 2,665,500	\$ -	\$ -	\$ 2,665,500	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 10,700	\$ -	\$ -	\$ 10,700	0.0%
Miscellaneous	\$ 300	\$ -	\$ -	\$ 300	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 2,935,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,935,000</b>	<b>0.0%</b>

**Disability Retirement Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Salaries and Employee Benefits	\$ 6,962,398	\$ -	\$ -	\$ 6,962,398	0.0%
Services and Supplies	\$ 2,935,000	\$ -	\$ -	\$ 2,935,000	0.0%
	<b>\$ 9,897,398</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 9,897,398</b>	<b>0.0%</b>

	Disability Retirement
Budgeted Positions	
Approved	41.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>41.0</u>

\*All amounts rounded to the nearest dollar.

**Executive Office FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 2,073,672	\$ (165,117)	\$ 1,908,555	-8.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 2,073,672</b>	<b>\$ (165,117)</b>	<b>\$ 1,908,555</b>	<b>-8.0%</b>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (2)				
Retirement	\$ 399,754	\$ (26,534)	\$ 373,220	-6.6%
FICA Contribution	34,225	(2,394)	31,831	-7.0%
County Subsidy	203,412	(18,163)	185,249	-8.9%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	67,617	(6,605)	61,013	-9.8%
Savings Plan	77,773	(6,605)	71,169	-8.5%
Pension Savings Plan	-	-	-	0.0%
Megaflex	357,209	(28,070)	329,139	-7.9%
<b>Total</b>	<b>\$ 1,139,992</b>	<b>\$ (88,371)</b>	<b>\$ 1,051,621</b>	<b>-7.8%</b>
Other Benefits	\$ 123,933	\$ -	\$ 123,933	0.0%
OPEB Contribution	\$ 60,837	\$ -	\$ 60,837	0.0%
Stipends	\$ 65,000	\$ -	\$ 65,000	0.0%
Overtime	\$ 25,600	\$ -	\$ 25,600	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ 1,400	\$ -	\$ 1,400	0.0%
Transportation Allowance (3)	\$ -	\$ 7,200	\$ 7,200	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 3,490,434</b>	<b>\$ (246,288)</b>	<b>\$ 3,244,146</b>	<b>-7.1%</b>

1 Reflects a reduction in the use of Permanent Salaries for vacant positions' salary savings due to hiring plan changes/recruitment delays.

2 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.

3 Reflects an addition in the use of Transportation Allowance for the Chief Executive Officer for not utilizing a LACERA vehicle.



**Executive Office FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ 12,300	\$ -	\$ 12,300	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 17,000	\$ -	\$ 17,000	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 4,500	\$ -	\$ 4,500	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 205,000	\$ -	\$ 205,000	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 64,000	\$ -	\$ 64,000	0.0%
Miscellaneous	\$ 16,000	\$ -	\$ 16,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 318,800</b>	<b>\$ -</b>	<b>\$ 318,800</b>	<b>0.0%</b>

**Executive Office Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 3,490,434	\$ (246,288)	\$ 3,244,146	-7.1%
Services and Supplies	\$ 318,800	\$ -	\$ 318,800	0.0%
	<b>\$ 3,809,234</b>	<b>\$ (246,288)</b>	<b>\$ 3,562,946</b>	<b>-6.5%</b>

	Executive Office
<u>Budgeted Positions</u>	
Approved	14.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>14.0</u>

\*All amounts rounded to the nearest dollar.

**Financial and Accounting Services FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 1,942,091	\$ -	\$ 1,942,091	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 1,942,091</b>	<b>\$ -</b>	<b>\$ 1,942,091</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ 729,400	\$ -	\$ 729,400	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
<b>Variable Benefits</b>				
Retirement	\$ 475,607	\$ -	\$ 475,607	0.0%
FICA Contribution	33,541	-	33,541	0.0%
County Subsidy	43,865	-	43,865	0.0%
Options Plan	460,739	-	460,739	0.0%
Life Insurance	2,075	-	2,075	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	84,267	-	84,267	0.0%
Savings Plan	14,054	-	14,054	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	54,403	-	54,403	0.0%
<b>Total</b>	<b>\$ 1,168,551</b>	<b>\$ -</b>	<b>\$ 1,168,551</b>	<b>0.0%</b>
Other Benefits	\$ 116,069	\$ -	\$ 116,069	0.0%
OPEB Contribution	\$ 56,977	\$ -	\$ 56,977	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 67,900	\$ -	\$ 67,900	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 12,000	\$ -	\$ 12,000	0.0%
Rideshare Allowance	\$ 7,500	\$ -	\$ 7,500	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 4,100,488</b>	<b>\$ -</b>	<b>\$ 4,100,488</b>	<b>0.0%</b>

**Financial and Accounting Services FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 14,000	\$ -	\$ 14,000	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 3,500	\$ -	\$ 3,500	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ -	\$ -	\$ -	0.0%
Bank Services	\$ 200,500	\$ -	\$ 200,500	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 19,000	\$ -	\$ 19,000	0.0%
Miscellaneous	\$ 3,000	\$ -	\$ 3,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 240,000</b>	<b>\$ -</b>	<b>\$ 240,000</b>	<b>0.0%</b>

**Financial and Accounting Services Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 4,100,488	\$ -	\$ 4,100,488	0.0%
Services and Supplies	\$ 240,000	\$ -	\$ 240,000	0.0%
	<b>\$ 4,340,488</b>	<b>\$ -</b>	<b>\$ 4,340,488</b>	<b>0.0%</b>

	Financial & Accounting Svcs.
<u>Budgeted Positions</u>	
Approved	30.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>30.0</u>

\*All amounts rounded to the nearest dollar.

**Human Resources FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 1,513,977	\$ -	\$ 1,513,977	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 1,513,977</b>	<b>\$ -</b>	<b>\$ 1,513,977</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ 47,700	\$ -	\$ 47,700	0.0%
LACERA Intern Program	\$ 312,000		\$ 312,000	0.0%
<b>Variable Benefits</b>				
Retirement	\$ 377,830	\$ -	\$ 377,830	0.0%
FICA Contribution	25,509	-	25,509	0.0%
County Subsidy	122,504	-	122,504	0.0%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	49,425	-	49,425	0.0%
Savings Plan	66,289	-	66,289	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	271,568	-	271,568	0.0%
<b>Total</b>	<b>\$ 913,126</b>	<b>\$ -</b>	<b>\$ 913,126</b>	<b>0.0%</b>
Other Benefits	\$ 90,483	\$ -	\$ 90,483	0.0%
OPEB Contribution	\$ 44,417	\$ -	\$ 44,417	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 2,900	\$ -	\$ 2,900	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ 1,700	\$ -	\$ 1,700	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 2,926,303</b>	<b>\$ -</b>	<b>\$ 2,926,303</b>	<b>0.0%</b>

**Human Resources FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 9,000	\$ -	\$ 9,000	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 8,000	\$ -	\$ 8,000	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ 377,000	\$ -	\$ 377,000	0.0%
Professional and Specialized Services (1)	\$ 425,800	\$ 150,000	\$ 575,800	35.2%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ 55,000	\$ -	\$ 55,000	0.0%
Educational Expenses	\$ 512,000	\$ -	\$ 512,000	0.0%
Miscellaneous	\$ 232,500	\$ -	\$ 232,500	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 1,619,300</b>	<b>\$ 150,000</b>	<b>\$ 1,769,300</b>	<b>9.3%</b>

1 Reflects an addition in the use of Professional and Specialized Service for Organizational Programs for COVID-19 testing due to workplace exposures and weekly testing for staff members who are not vaccinated.

**Human Resources Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 2,926,303	\$ -	\$ 2,926,303	0.0%
Services and Supplies	\$ 1,619,300	\$ 150,000	\$ 1,769,300	9.3%
	<b>\$ 4,545,603</b>	<b>\$ 150,000</b>	<b>\$ 4,695,603</b>	<b>3.3%</b>

Budgeted Positions	Human Resources
Approved	15.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>15.0</u>

\*All amounts rounded to the nearest dollar.

**Internal Audit Services FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 1,454,721	\$ -	\$ 1,454,721	0.0%
Salary Differential	-	-	-	0.0%
Permanent Salaries	<u>\$ 1,454,721</u>	<u>\$ -</u>	<u>\$ 1,454,721</u>	<u>0.0%</u>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits				
Retirement	\$ 335,340	\$ -	\$ 335,340	0.0%
FICA Contribution	26,378	-	26,378	0.0%
County Subsidy	96,629	-	96,629	0.0%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	58,959	-	58,959	0.0%
Savings Plan	63,665	-	63,665	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	262,100	-	262,100	0.0%
Total	<u>\$ 843,072</u>	<u>\$ -</u>	<u>\$ 843,072</u>	<u>0.0%</u>
Other Benefits	\$ 86,941	\$ -	\$ 86,941	0.0%
OPEB Contribution	\$ 42,678	\$ -	\$ 42,678	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 4,900	\$ -	\$ 4,900	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ 1,500	\$ -	\$ 1,500	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<u><u>\$ 2,433,813</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 2,433,813</u></u>	<u><u>0.0%</u></u>

**Internal Audit Services FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Auto Expenses	\$ -	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 17,000	\$ -	\$ -	\$ 17,000	0.0%
Postage	\$ -	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 1,500	\$ -	\$ -	\$ 1,500	0.0%
Insurance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 671,000	\$ -	\$ -	\$ 671,000	0.0%
Bank Services	\$ -	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 30,500	\$ -	\$ -	\$ 30,500	0.0%
Miscellaneous	\$ 500	\$ -	\$ -	\$ 500	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 720,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 720,500</b>	<b>0.0%</b>

**Internal Audit Services Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022		Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
	Approved Budget				
Salaries and Employee Benefits	\$ 2,433,813	\$ -	\$ -	\$ 2,433,813	0.0%
Services and Supplies	\$ 720,500	\$ -	\$ -	\$ 720,500	0.0%
	<b>\$ 3,154,313</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,154,313</b>	<b>0.0%</b>

Budgeted Positions	Internal Audit
Approved	11.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>11.0</u>

\*All amounts rounded to the nearest dollar.

**Investment Office FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 10,263,564	\$ -	\$ 10,263,564	0.0%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 10,263,564</b>	<b>\$ -</b>	<b>\$ 10,263,564</b>	<b>0.0%</b>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits				
Retirement	\$ 1,584,170	\$ -	\$ 1,584,170	0.0%
FICA Contribution	196,259	-	196,259	0.0%
County Subsidy	657,236	-	657,236	0.0%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	317,034	-	317,034	0.0%
Savings Plan	375,423	-	375,423	0.0%
Pension Savings Plan	-	-	-	0.0%
Megaflex	1,868,119	-	1,868,119	0.0%
<b>Total</b>	<b>\$ 4,998,241</b>	<b>\$ -</b>	<b>\$ 4,998,241</b>	<b>0.0%</b>
Other Benefits	\$ 613,402	\$ -	\$ 613,402	0.0%
OPEB Contribution	\$ 301,112	\$ -	\$ 301,112	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 1,000	\$ -	\$ 1,000	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ 5,000	\$ -	\$ 5,000	0.0%
Transportation Allowance	\$ 7,200	\$ -	\$ 7,200	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 16,189,519</b>	<b>\$ -</b>	<b>\$ 16,189,519</b>	<b>0.0%</b>



**Investment Office FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 141,000	\$ -	\$ 141,000	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 4,500	\$ -	\$ 4,500	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ -	\$ -	\$ -	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 116,000	\$ -	\$ 116,000	0.0%
Miscellaneous	\$ 7,000	\$ -	\$ 7,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 268,500</b>	<b>\$ -</b>	<b>\$ 268,500</b>	<b>0.0%</b>

**Investment Office Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 16,189,519	\$ -	\$ 16,189,519	0.0%
Services and Supplies	\$ 268,500	\$ -	\$ 268,500	0.0%
	<b>\$ 16,458,019</b>	<b>\$ -</b>	<b>\$ 16,458,019</b>	<b>0.0%</b>

Budgeted Positions	Investments
Approved	45.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>45.0</u>

\*All amounts rounded to the nearest dollar.

**Legal Services FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 3,588,077	\$ 42,295	\$ 3,630,372	1.2%
Salary Differential	-	-	-	0.0%
Permanent Salaries	<u>\$ 3,588,077</u>	<u>\$ 42,295</u>	<u>\$ 3,630,372</u>	<u>1.2%</u>
Outside Agency Temporary Staffing (2)	\$ 85,400	\$ 64,600	\$ 150,000	75.6%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (3)				
Retirement	\$ 755,248	\$ 6,797	\$ 762,045	0.9%
FICA Contribution	61,093	613	61,707	1.0%
County Subsidy	391,975	7,190	399,165	1.8%
Options Plan	-	-	-	0.0%
Life Insurance	-	-	-	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	100,740	1,692	102,432	1.7%
Savings Plan	120,934	1,692	122,626	1.4%
Pension Savings Plan	-	-	-	0.0%
Megaflex	662,779	7,190	669,969	1.1%
Total	<u>\$ 2,092,770</u>	<u>\$ 25,174</u>	<u>\$ 2,117,944</u>	<u>1.2%</u>
Other Benefits	\$ 214,441	\$ -	\$ 214,441	0.0%
OPEB Contribution	\$ 105,267	\$ -	\$ 105,267	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime (4)	\$ 18,100	\$ 11,900	\$ 30,000	65.7%
Bilingual Bonus	\$ 2,400	\$ -	\$ 2,400	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ -	\$ -	\$ -	0.0%
Rideshare Allowance	\$ 2,500	\$ -	\$ 2,500	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<u><b>\$ 6,108,955</b></u>	<u><b>\$ 143,969</b></u>	<u><b>\$ 6,252,924</b></u>	<u><b>2.4%</b></u>

- 1 Reflects an addition in the use of Permanent Salaries due to hiring plan changes.
- 2 Reflects an addition in the use of Agency Temp due to additional staff on leave. The reliance of temporary staff is critical and essential to Legal Services' current operation in order to mitigate any delays in cases, projects, etc. during this fiscal year.
- 3 Reflects a addition in the use of Variable Benefits due to hiring plan changes.
- 4 Reflects an addition in the use of Overtime to cover the workload during the periods before a temp is hired due to additional staff on leave.

**Legal Services FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ 4,400	\$ -	\$ 4,400	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 26,400	\$ -	\$ 26,400	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 6,000	\$ -	\$ 6,000	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 252,300	\$ -	\$ 252,300	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services (1)	\$ 445,000	\$ 655,000	\$ 1,100,000	147.2%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 103,000	\$ -	\$ 103,000	0.0%
Miscellaneous	\$ 3,000	\$ -	\$ 3,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 840,100</b>	<b>\$ 655,000</b>	<b>\$ 1,495,100</b>	<b>78.0%</b>

1 Reflects an addition in Legal Fees and Services for Outside Legal Counsel due to addition of 2-3 special Executive Office and Board level legal projects.

**Legal Services Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 6,108,955	\$ 143,969	\$ 6,252,924	2.4%
Services and Supplies	\$ 840,100	\$ 655,000	\$ 1,495,100	78.0%
	<b>\$ 6,949,055</b>	<b>\$ 798,969</b>	<b>\$ 7,748,024</b>	<b>11.5%</b>

Budgeted Positions	Legal Services
Approved	28.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>28.0</u>

\*All amounts rounded to the nearest dollar.

**Member Services FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 6,147,368	\$ (174,357)	\$ 5,973,011	-2.8%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 6,147,368</b>	<b>\$ (174,357)</b>	<b>\$ 5,973,011</b>	<b>-2.8%</b>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (2)				
Retirement	\$ 1,374,865	\$ (28,180)	\$ 1,346,685	-2.0%
FICA Contribution	101,971	(2,543)	99,429	-2.5%
County Subsidy	103,980	(21,043)	82,937	-20.2%
Options Plan	1,254,364	(59,074)	1,195,290	-4.7%
Life Insurance	4,942	(47)	4,895	-0.9%
Health Insurance Temps	188,375	(67,417)	120,959	-35.8%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	218,819	(7,014)	211,804	-3.2%
Savings Plan	30,007	-	30,007	0.0%
Pension Savings Plan	20,794	-	20,794	0.0%
Megaflex	162,470	-	162,470	0.0%
<b>Total</b>	<b>\$ 3,460,588</b>	<b>\$ (185,318)</b>	<b>\$ 3,275,270</b>	<b>-5.4%</b>
Other Benefits	\$ 367,397	\$ -	\$ 367,397	0.0%
OPEB Contribution	\$ 180,351	\$ -	\$ 180,351	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 133,800	\$ -	\$ 133,800	0.0%
Bilingual Bonus	\$ 16,800	\$ -	\$ 16,800	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 15,000	\$ -	\$ 15,000	0.0%
Rideshare Allowance	\$ 10,000	\$ -	\$ 10,000	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 10,331,305</b>	<b>\$ (359,675)</b>	<b>\$ 9,971,630</b>	<b>-3.5%</b>

1 Reflects a reduction in the use of Permanent Salaries for vacant positions' salary savings due to hiring plan changes/recruitment delays.

2 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.

**Member Services FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 21,700	\$ -	\$ 21,700	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 12,500	\$ -	\$ 12,500	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ -	\$ -	\$ -	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 16,500	\$ -	\$ 16,500	0.0%
Miscellaneous	\$ 4,800	\$ -	\$ 4,800	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 55,500</b>	<b>\$ -</b>	<b>\$ 55,500</b>	<b>0.0%</b>

**Member Services Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 10,331,305	\$ (359,675)	\$ 9,971,630	-3.5%
Services and Supplies	\$ 55,500	\$ -	\$ 55,500	0.0%
	<b>\$ 10,386,805</b>	<b>\$ (359,675)</b>	<b>\$ 10,027,130</b>	<b>-3.5%</b>

Budgeted Positions	Member Services
Approved	79.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>79.0</u>

\*All amounts rounded to the nearest dollar.

**Quality Assurance FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 1,968,269	\$ (147,070)	\$ 1,821,199	-7.5%
Salary Differential	-	-	-	0.0%
<b>Permanent Salaries</b>	<b>\$ 1,968,269</b>	<b>\$ (147,070)</b>	<b>\$ 1,821,199</b>	<b>-7.5%</b>
Outside Agency Temporary Staffing	\$ -	\$ -	\$ -	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (2)				
Retirement	\$ 484,451	\$ (23,634)	\$ 460,817	-4.9%
FICA Contribution	35,841	(2,133)	33,709	-5.9%
County Subsidy	129,208	(14,507)	114,701	-11.2%
Options Plan	22,153	-	22,153	0.0%
Life Insurance	87	-	87	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	60,558	(5,883)	54,675	-9.7%
Savings Plan	69,608	(5,883)	63,725	-8.5%
Pension Savings Plan	-	-	-	0.0%
Megaflex	364,885	(24,414)	340,471	-6.7%
<b>Total</b>	<b>\$ 1,166,791</b>	<b>\$ (76,453)</b>	<b>\$ 1,090,338</b>	<b>-6.6%</b>
Other Benefits	\$ 117,634	\$ -	\$ 117,634	0.0%
OPEB Contribution	\$ 57,745	\$ -	\$ 57,745	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 21,300	\$ -	\$ 21,300	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 1,000	\$ -	\$ 1,000	0.0%
Rideshare Allowance	\$ 2,200	\$ -	\$ 2,200	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<b>\$ 3,334,939</b>	<b>\$ (223,523)</b>	<b>\$ 3,111,416</b>	<b>-6.7%</b>

1 Reflects a reduction in the use of Permanent Salaries for vacant positions' salary savings due to hiring plan changes/recruitment delays.

2 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.

**Quality Assurance FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications	\$ -	\$ -	\$ -	0.0%
Transportation and Travel	\$ 12,000	\$ -	\$ 12,000	0.0%
Postage	\$ -	\$ -	\$ -	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment	\$ 2,000	\$ -	\$ 2,000	0.0%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance	\$ -	\$ -	\$ -	0.0%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services	\$ 50,000	\$ -	\$ 50,000	0.0%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support	\$ -	\$ -	\$ -	0.0%
Educational Expenses	\$ 19,500	\$ -	\$ 19,500	0.0%
Miscellaneous	\$ 400	\$ -	\$ 400	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 83,900</b>	<b>\$ -</b>	<b>\$ 83,900</b>	<b>0.0%</b>

**Quality Assurance Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 3,334,939	\$ (223,523)	\$ 3,111,416	-6.7%
Services and Supplies	\$ 83,900	\$ -	\$ 83,900	0.0%
	<b>\$ 3,418,839</b>	<b>\$ (223,523)</b>	<b>\$ 3,195,316</b>	<b>-6.5%</b>

Budgeted Positions	Quality Assurance
Approved	19.0
Addition/(Deletion)	
Final Budgeted Positions Requested	<u>19.0</u>

\*All amounts rounded to the nearest dollar.

**Systems FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary (1)	\$ 6,381,630	\$ (326,750)	\$ 6,054,880	-5.1%
Salary Differential	-	-	-	0.0%
Permanent Salaries	<u>\$ 6,381,630</u>	<u>\$ (326,750)</u>	<u>\$ 6,054,880</u>	<u>-5.1%</u>
Outside Agency Temporary Staffing (2)	\$ 1,762,500	\$ 430,600	\$ 2,193,100	24.4%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits (3)				
Retirement	\$ 1,407,841	\$ (52,474)	\$ 1,355,367	-3.7%
FICA Contribution	115,879	(4,735)	111,145	-4.1%
County Subsidy	418,437	(29,388)	389,049	-7.0%
Options Plan	342,564	-	342,564	0.0%
Life Insurance	1,443	-	1,443	0.0%
Health Insurance Temps	-	-	-	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	247,455	(13,061)	234,393	-5.3%
Savings Plan	207,356	(13,061)	194,295	-6.3%
Pension Savings Plan	1,714	-	1,714	0.0%
Megaflex	955,689	(55,511)	900,178	-5.8%
Total	<u>\$ 3,698,379</u>	<u>\$ (168,230)</u>	<u>\$ 3,530,149</u>	<u>-4.5%</u>
Other Benefits	\$ 381,398	\$ -	\$ 381,398	0.0%
OPEB Contribution	\$ 187,224	\$ -	\$ 187,224	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 133,300	\$ -	\$ 133,300	0.0%
Bilingual Bonus	\$ -	\$ -	\$ -	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 12,000	\$ -	\$ 12,000	0.0%
Rideshare Allowance	\$ 8,900	\$ -	\$ 8,900	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
<b>S&amp;EB GRAND TOTAL</b>	<u><b>\$ 12,565,331</b></u>	<u><b>\$ (64,380)</b></u>	<u><b>\$ 12,500,951</b></u>	<u><b>-0.5%</b></u>

- 1 Reflects a reduction in the use of Permanent Salaries for vacant positions' salary savings due to hiring plan changes/recruitment delays.
- 2 Reflects an addition in the use of Agency Temporary staff due to recruitment delays. The reliance of temporary staff is critical and essential to Systems' current operation in order to mitigate any delays in projects, remote work access for LACERA staff, etc. during this fiscal year.
- 3 Reflects a reduction in the use of Variable Benefits for vacant positions' savings due to recruitment delays.



**Systems FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies Walkthrough**

Description	FY 2021-2022			% Change
	Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	
Auto Expenses	\$ -	\$ -	\$ -	0.0%
Communications (1)	\$ 925,000	\$ (295,000)	\$ 630,000	-31.9%
Transportation and Travel	\$ 4,600	\$ -	\$ 4,600	0.0%
Postage	\$ 390,000	\$ -	\$ 390,000	0.0%
Stationery and Forms	\$ -	\$ -	\$ -	0.0%
Office Supplies and Equipment (2)	\$ 349,600	\$ 50,000	\$ 399,600	14.3%
Insurance	\$ -	\$ -	\$ -	0.0%
Equipment Maintenance (3)	\$ 609,500	\$ (175,000)	\$ 434,500	-28.7%
Equipment Rents and Leases	\$ -	\$ -	\$ -	0.0%
Building Costs	\$ -	\$ -	\$ -	0.0%
Parking Fees	\$ -	\$ -	\$ -	0.0%
Professional and Specialized Services (4)	\$ 984,600	\$ 552,000	\$ 1,536,600	56.1%
Bank Services	\$ -	\$ -	\$ -	0.0%
Legal Fees and Services	\$ -	\$ -	\$ -	0.0%
Disability Fees and Services	\$ -	\$ -	\$ -	0.0%
Computer Services and Support (5)	\$ 4,539,600	\$ 80,000	\$ 4,619,600	1.8%
Educational Expenses	\$ 36,500	\$ -	\$ 36,500	0.0%
Miscellaneous	\$ 1,000	\$ -	\$ 1,000	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 7,840,400</b>	<b>\$ 212,000</b>	<b>\$ 8,052,400</b>	<b>2.7%</b>

- 1 Reflects a reduction in Communications for Telephone Systems Supplies and Maintenance .
- 2 Reflects an addition in Office Supplies and Equipment for docking stations.
- 3 Reflects a reduction in Equipment Maintenance for Hardware Maintenance and Equipment Maintenance - UPS - Server Room.
- 4 Reflects an addition in Professional & Specialized Services for Centera Upgrade (LACERA Write Once Read Many storage replacement), AWS Connect Hosting, and Cloud Migration.
- 5 Reflects an addition in Computer Services and Support for Microsoft Tenant Migration.

**Systems Summary of FY 2021-2022 Mid-Year Budget Adjustment (Draft)**

Description	FY 2021-2022			% Change
	Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	
Salaries and Employee Benefits	\$ 12,565,331	\$ (64,380)	\$ 12,500,951	-0.5%
Services and Supplies	\$ 7,840,400	\$ 212,000	\$ 8,052,400	2.7%
	<b>\$ 20,405,731</b>	<b>\$ 147,620</b>	<b>\$ 20,553,351</b>	<b>0.7%</b>

Budgeted Positions	Systems
Approved	56.0
Addition/(Deletion)	
Final Budgeted Positions Requested	56.0

\*All amounts rounded to the nearest dollar.

**Retiree Healthcare Benefits Program FY 2021-2022 Mid-Year Budget Adjustment  
Salaries and Employee Benefits**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Gross Salary	\$ 2,814,534	\$ -	\$ 2,814,534	0.0%
Salary Differential	-	-	-	0.0%
Permanent Salaries	<u>\$ 2,814,534</u>	<u>\$ -</u>	<u>\$ 2,814,534</u>	<u>0.0%</u>
Outside Agency Temporary Staffing	\$ 511,700	\$ -	\$ 511,700	0.0%
LACERA Intern Program	\$ -	\$ -	\$ -	0.0%
Variable Benefits				
Retirement	\$ 621,150	\$ -	\$ 621,150	0.0%
FICA Contribution	41,101	-	41,101	0.0%
County Subsidy	82,831	-	82,831	0.0%
Options Plan	567,583	-	567,583	0.0%
Life Insurance	1,460	-	1,460	0.0%
Health Insurance Temps	129,777	-	129,777	0.0%
Flexible Benefit Plan	-	-	-	0.0%
Thrift Plan/Horizons	100,857	-	100,857	0.0%
Savings Plan	34,635	-	34,635	0.0%
Pension Savings Plan	9,301	-	9,301	0.0%
Megaflex	139,734	-	139,734	0.0%
Total	<u>\$ 1,728,430</u>	<u>\$ -</u>	<u>\$ 1,728,430</u>	<u>0.0%</u>
Other Benefits	\$ -	\$ -	\$ -	0.0%
OPEB Contribution	\$ 82,573	\$ -	\$ 82,573	0.0%
Stipends	\$ -	\$ -	\$ -	0.0%
Overtime	\$ 118,500	\$ -	\$ 118,500	0.0%
Bilingual Bonus	\$ 3,600	\$ -	\$ 3,600	0.0%
Reserves for Remuneration	\$ -	\$ -	\$ -	0.0%
Sickleave Buyback	\$ 10,000	\$ -	\$ 10,000	0.0%
Rideshare Allowance	\$ 3,600	\$ -	\$ 3,600	0.0%
Transportation Allowance	\$ -	\$ -	\$ -	0.0%
S&EB GRAND TOTAL	<u>\$ 5,272,936</u>	<u>\$ -</u>	<u>\$ 5,272,936</u>	<u>0.0%</u>

**Retiree Healthcare Benefits Program FY 2021-2022 Mid-Year Budget Adjustment  
Services and Supplies**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Transportation and Travel	\$ 28,500	\$ -	\$ 28,500	0.0%
Postage	\$ 300,000	\$ -	\$ 300,000	0.0%
Office Supplies and Equipment	\$ 6,000	\$ -	\$ 6,000	0.0%
Operational Costs	\$ 4,532,792	\$ -	\$ 4,532,792	0.0%
Professional and Specialized Services	\$ 1,209,800	\$ -	\$ 1,209,800	0.0%
Bank Services	\$ 21,500	\$ -	\$ 21,500	0.0%
Educational Expenses	\$ 19,300	\$ -	\$ 19,300	0.0%
Miscellaneous	\$ 300	\$ -	\$ 300	0.0%
<b>S&amp;S GRAND TOTAL</b>	<b>\$ 6,118,192</b>	<b>\$ -</b>	<b>\$ 6,118,192</b>	<b>0.0%</b>

**Retiree Healthcare Benefits Program Summary of FY 2021-2022 Mid-Year Budget Adjustment**

Description	FY 2021-2022 Approved Budget	Proposed Mid-Year Adjustment	Proposed Amended Budget Amount	% Change
Salaries and Employee Benefits	\$ 5,272,936	\$ -	\$ 5,272,936	0.0%
Services and Supplies	\$ 6,118,192	\$ -	\$ 6,118,192	0.0%
	<b>\$ 11,391,128</b>	<b>\$ -</b>	<b>\$ 11,391,128</b>	<b>0.0%</b>

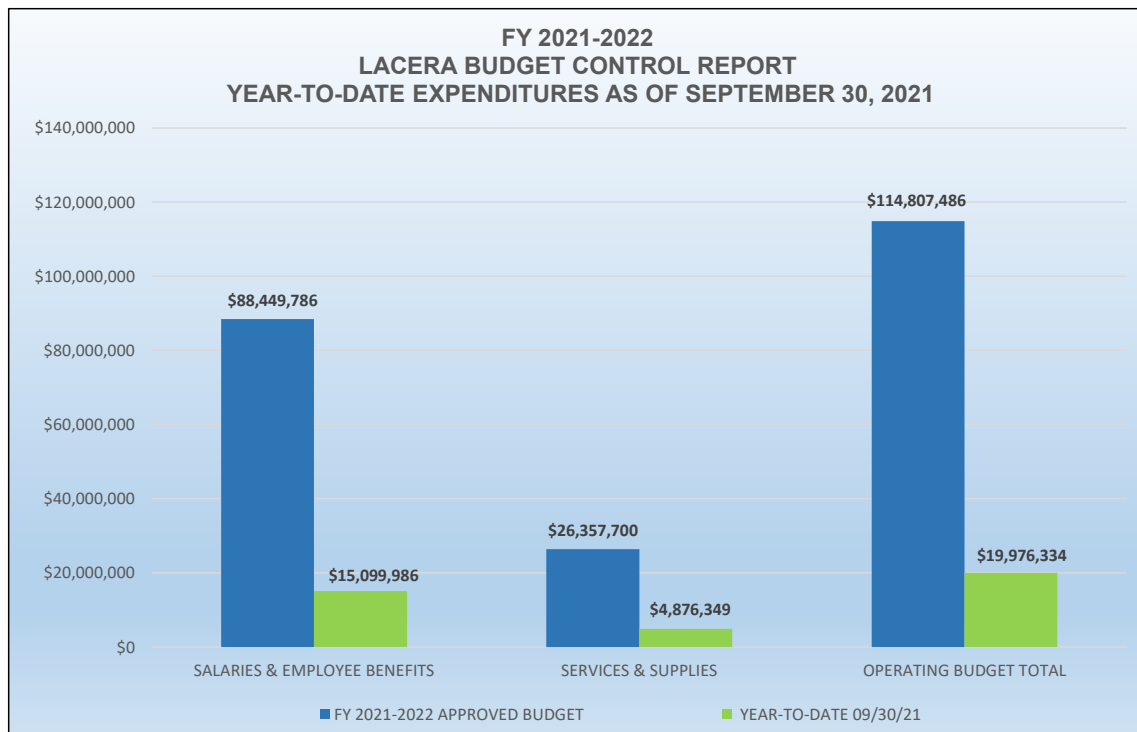
Budgeted Positions	Retiree Healthcare
Approved	37.0
Addition/(Deletion)	
Final Budgeted Positions Requested	37.0

\*All amounts rounded to the nearest dollar.

# **EXHIBIT C**

**FY 2021-2022  
LACERA BUDGET CONTROL REPORT  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

ADMINISTRATIVE BUDGET	FY 2021-2022 APPROVED BUDGET	YEAR-TO-DATE 09/30/21	OVER/(UNDER) BUDGET	% VARIANCE
SALARIES & EMPLOYEE BENEFITS	\$88,449,786	\$15,099,986	(\$73,349,800)	-82.9%
SERVICES & SUPPLIES	\$26,357,700	\$4,876,349	(\$21,481,351)	-81.5%
<b>OPERATING BUDGET TOTAL</b>	<b>\$114,807,486</b>	<b>\$19,976,334</b>	<b>(\$94,831,152)</b>	<b>-82.6%</b>



**FY 2021-2022**  
**LACERA BUDGET CONTROL REPORT**  
**BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**  
**SUMMARY BY DIVISION**

<b>DIVISION</b>	<b>FY 2021-2022 APPROVED BUDGET</b>	<b>YEAR-TO- DATE 09/30/21</b>	<b>OVER/(UNDER) BUDGET</b>	<b>% VARIANCE</b>
Administrative Services	\$13,946,034	\$2,642,372	(\$11,303,662)	-81.1%
Benefits	11,357,046	1,924,051	(9,432,995)	-83.1%
Board of Retirement	214,000	5,287	(208,713)	-97.5%
Board of Investments	436,000	12,219	(423,781)	-97.2%
Communications	3,295,291	465,713	(2,829,578)	-85.9%
Disability Litigation	2,193,630	414,857	(1,778,773)	-81.1%
Disability Retirement	9,897,398	1,701,522	(8,195,876)	-82.8%
Executive Office	3,809,234	516,753	(3,292,480)	-86.4%
Financial & Accounting Services	4,340,488	615,000	(3,725,488)	-85.8%
Human Resources	4,545,603	578,072	(3,967,530)	-87.3%
Internal Audit	3,154,313	452,358	(2,701,955)	-85.7%
Investment Office	16,458,019	2,818,506	(13,639,513)	-82.9%
Legal Services	6,949,055	1,322,682	(5,626,373)	-81.0%
Member Services	10,386,805	1,802,299	(8,584,505)	-82.6%
Quality Assurance	3,418,839	525,440	(2,893,399)	-84.6%
Systems	20,405,731	4,179,203	(16,226,528)	-79.5%
<b>TOTAL</b>	<b>\$114,807,486</b>	<b>\$19,976,334</b>	<b>(\$94,831,152)</b>	<b>-82.6%</b>

**FISCAL YEAR 2021-2022**

**LACERA BUDGET CONTROL REPORT**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$50,631,648	\$8,840,655	(\$41,790,993)
AGENCY TEMPORARY	3,121,500	932,780	(2,188,720)
LACERA INTERN PROGRAM	312,000	0	(312,000)
STIPENDS	65,000	8,295	(56,705)
OVERTIME	877,000	151,049	(725,951)
BILINGUAL BONUS	21,600	3,500	(18,100)
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	7,200	1,500	(5,700)
RIDESHARE ALLOWANCE	70,300	370	(69,930)
SICKLEAVE BUYBACK	68,800	25,848	(42,952)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$55,175,048</b>	<b>\$9,963,997</b>	<b>(\$45,211,051)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	10,759,292	1,732,714	(9,026,578)
FICA CONTRIBUTION	884,997	141,198	(743,799)
COUNTY SUBSIDY - INSURANCE	2,983,542	356,066	(2,627,476)
OPTIONS PLAN	4,601,103	638,268	(3,962,835)
LIFE INSURANCE	18,341	2,795	(15,546)
HEALTH INSURANCE TEMPS	353,089	0	(353,089)
FLEXIBLE BENEFIT PLAN	26,074	3,322	(22,752)
THRIFT PLAN / HORIZONS	1,732,245	275,611	(1,456,634)
SAVINGS PLAN	1,253,606	195,604	(1,058,002)
PENSION SAVINGS PLAN	37,462	0	(37,462)
MEGAFLEX	6,113,559	740,347	(5,373,212)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$28,763,309</b>	<b>\$4,085,925</b>	<b>(\$24,677,384)</b>
OPEB CONTRIBUTION	1,485,427	377,491	(1,107,936)
OTHER BENEFITS	3,026,000	672,572	(2,353,428)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$33,274,737</b>	<b>\$5,135,988</b>	<b>(\$28,138,749)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$88,449,786</b>	<b>\$15,099,986</b>	<b>(\$73,349,800)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**LACERA BUDGET CONTROL REPORT**

**SUMMARY OF SERVICES AND SUPPLIES  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER / (UNDER) BUDGET</b>
AUTO EXPENSES	\$131,200	\$1,812	(\$129,388)
COMMUNICATIONS	925,000	182,846	(742,154)
TRANSPORTATION & TRAVEL	604,500	3,960	(600,540)
POSTAGE	991,400	340,914	(650,486)
STATIONERY & FORMS	645,300	96,666	(548,634)
OFFICE SUPPLIES & EQUIPMENT	696,500	247,587	(448,913)
INSURANCE	911,600	271,880	(639,720)
EQUIPMENT MAINTENANCE	612,800	78,486	(534,314)
EQUIPMENT RENTS & LEASES	277,000	39,431	(237,569)
BUILDING COSTS	7,472,400	1,324,728	(6,147,672)
PARKING FEES	377,000	74,200	(302,800)
PROFESSIONAL & SPECIALIZED SERVICES	3,142,200	663,910	(2,478,290)
BANK SERVICES	200,500	(106,413)	(306,913)
LEGAL FEES & SERVICES	472,500	259,548	(212,952)
DISABILITY FEES & SERVICES	2,665,500	401,160	(2,264,340)
COMPUTER SERVICES & SUPPORT	4,609,100	892,255	(3,716,845)
EDUCATIONAL EXPENSES	1,225,400	100,662	(1,124,738)
MISCELLANEOUS	397,800	2,718	(395,082)
<b>TOTAL</b>	<b>\$26,357,700</b>	<b>\$4,876,349</b>	<b>(\$21,481,351)</b>

\* All amounts rounded to the nearest dollar.



FISCAL YEAR 2021-2022

BOARD OF RETIREMENT

DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021

		<u>BUDGET</u>	<u>YTD ACTUAL</u>	<u>OVER/(UNDER) BUDGET</u>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$10,000	\$405	(\$9,595)
9182	TRAVEL	75,000	1,620	(73,380)
	TOTAL	85,000	2,025	(82,975)
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	44,000	0	(44,000)
9962	REGISTRATION FEES	30,000	1,240	(28,760)
9963	EDUCATIONAL MATERIALS	8,000	2,023	(5,977)
	TOTAL	82,000	3,263	(78,737)
<b>MISCELLANEOUS</b>				
9983	OFF-SITE BOARD MEETING EXPENSE	35,000	0	(35,000)
9984	FOOD/BEVERAGES	12,000	0	(12,000)
	TOTAL	47,000	0	(47,000)
	<b>GRAND TOTAL</b>	<b>\$214,000</b>	<b>\$5,287</b>	<b>(\$208,713)</b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**BOARD OF INVESTMENTS**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$20,000	\$0	(\$20,000)
9182	TRAVEL	200,000	822	(199,178)
	TOTAL	220,000	822	(219,178)
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	85,000	3,148	(81,852)
9962	REGISTRATION FEES	60,000	7,799	(52,201)
9963	EDUCATIONAL MATERIALS	5,000	450	(4,550)
	TOTAL	150,000	11,397	(138,603)
<b>MISCELLANEOUS</b>				
9983	OFF-SITE BOARD MEETING EXPENSE	60,000	0	(60,000)
9984	FOOD/BEVERAGES	6,000	0	(6,000)
	TOTAL	66,000	0	(66,000)
<b>GRAND TOTAL</b>		<b>\$436,000</b>	<b>\$12,219</b>	<b>(\$423,781)</b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**ADMINISTRATIVE SERVICES**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$2,477,204	\$377,020	(\$2,100,184)
AGENCY TEMPORARY	202,000	123,074	(78,926)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	50,000	228	(49,772)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	6,900	370	(6,530)
SICKLEAVE BUYBACK	7,300	1,817	(5,483)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$2,743,404</b>	<b>\$502,508</b>	<b>(\$2,240,896)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	501,641	79,444	(422,197)
FICA CONTRIBUTION	35,578	5,770	(29,808)
COUNTY SUBSIDY - INSURANCE	65,106	6,919	(58,187)
OPTIONS PLAN	582,272	75,225	(507,047)
LIFE INSURANCE	1,525	195	(1,330)
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	26,074	3,322	(22,752)
THRIFT PLAN / HORIZONS	73,610	11,588	(62,022)
SAVINGS PLAN	17,419	2,777	(14,642)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	58,980	10,561	(48,419)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$1,362,204</b>	<b>\$195,802</b>	<b>(\$1,166,402)</b>
OPEB CONTRIBUTION	72,676	17,214	(55,462)
OTHER BENEFITS	148,050	32,906	(115,144)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$1,582,931</b>	<b>\$245,922</b>	<b>(\$1,337,009)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$4,326,334</b>	<b>\$748,430</b>	<b>(\$3,577,904)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**ADMINISTRATIVE SERVICES DIVISION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>AUTO EXPENSES</b>				
9102	AUTO MAINTENANCE/REPAIR	\$10,000	\$375	(\$9,625)
9103	GAS	1,000	161	(839)
9105	LICENSE FEES	500	242	(258)
9106	SPECIAL ORDER - AUTOMOBILE	100,000	0	(100,000)
	TOTAL	111,500	778	(110,723)
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	1,100	0	(1,100)
9182	TRAVEL	6,900	0	(6,900)
	TOTAL	8,000	0	(8,000)
<b>POSTAGE</b>				
9201	POSTAGE METER	300,000	150,000	(150,000)
9204	CALLER BOX SERVICE ANNUAL FEE	1,400	1,320	(80)
	TOTAL	301,400	151,320	(150,080)
<b>STATIONERY AND FORMS</b>				
9264	MISC STATIONERY & FORMS/DIRECTORIES	3,800	0	(3,800)
	TOTAL	3,800	0	(3,800)
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	26,000	2,859	(23,141)
9305	SAFETY AND HEALTH SUPPLIES	28,500	10,048	(18,452)
9306	COMPUTER SUPPLIES	75,000	8,665	(66,335)
9307	STANDARD STOCK	125,000	42,876	(82,124)
9337	OFFICE FURNISHINGS	33,000	0	(33,000)
9352	ERGONOMIC ITEMS	1,000	0	(1,000)
	TOTAL	288,500	64,448	(224,052)
<b>INSURANCE</b>				
9381	FIDUCIARY INSURANCE	386,200	(185)	(386,385)
9382	UMBRELLA POLICY	26,400	23,212	(3,188)
9384	EARTHQUAKE/FLOOD	96,000	67,204	(28,796)
9386	CRIME INSURANCE POLICY	19,500	0	(19,500)
9387	BUSINESS PACKAGE	61,000	55,834	(5,166)
9388	EMPLOYMENT PRACTICE LIABILITY INSURANCE	144,000	118,154	(25,846)
9390	CYBER LIABILITY INSURANCE	169,400	0	(169,400)
9391	TERRORISM INSURANCE	9,100	7,661	(1,439)
	TOTAL	911,600	271,880	(639,720)

**FISCAL YEAR 2021-2022**

**ADMINISTRATIVE SERVICES DIVISION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>EQUIPMENT MAINTENANCE</b>			
9401 FAX MACHINES	\$1,000	\$0	(\$1,000)
9423 TIME CLOCK MAINTENANCE	300	0	(300)
9426 SECURITY SYSTEM (PANIC BUTTONS)	2,000	450	(1,550)
TOTAL	<u>3,300</u>	<u>450</u>	<u>(2,850)</u>
<b>EQUIPMENT RENTS AND LEASES</b>			
9452 MAILING EQUIPMENT	32,000	6,947	(25,053)
9463 PRODUCTION COPIERS - LEASES	245,000	32,484	(212,516)
TOTAL	<u>277,000</u>	<u>39,431</u>	<u>(237,569)</u>
<b>BUILDING COSTS</b>			
9476 BUILDING OPERATIONAL COSTS	5,877,400	1,321,805	(4,555,595)
9477 OVERTIME HVAC/LIGHTING	120,000	(3,292)	(123,292)
9480 FACILITIES MAINTENANCE	175,000	6,216	(168,784)
9481 RENOVATION PROJECTS	1,300,000	0	(1,300,000)
TOTAL	<u>7,472,400</u>	<u>1,324,728</u>	<u>(6,147,672)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>			
9552 BUSINESS CONTINUITY SERVICES (IBM)	30,000	0	(30,000)
9553 ARCHIVE/ OFF-SITE STORAGE	55,000	15,272	(39,728)
9677 SHREDDING SERVICE	25,000	1,549	(23,451)
9685 COURIER SERVICE	25,000	4,337	(20,663)
9686 FURNITURE OFF-SITE STORAGE	18,000	4,515	(13,485)
9688 UNIVERSAL MAIL DELIVERY SERVICE	8,000	2,413	(5,587)
9689 NEXT DAY MAIL DELIVERY SERVICE	60,000	10,770	(49,230)
TOTAL	<u>221,000</u>	<u>38,856</u>	<u>(182,144)</u>
<b>EDUCATIONAL EXPENSES</b>			
9961 MEMBERSHIPS	1,000	150	(850)
9962 REGISTRATION FEES	6,000	0	(6,000)
9963 EDUCATIONAL MATERIALS	700	0	(700)
TOTAL	<u>7,700</u>	<u>150</u>	<u>(7,550)</u>
<b>MISCELLANEOUS</b>			
9986 MISCELLANEOUS	10,000	1,902	(8,098)
9990 RIDESHARE	3,500	0	(3,500)
TOTAL	<u>13,500</u>	<u>1,902</u>	<u>(11,598)</u>
<b>GRAND TOTAL</b>	<b><u>\$9,619,700</u></b>	<b><u>\$1,893,942</u></b>	<b><u>(\$7,725,758)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**BENEFITS DIVISION**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$6,264,311	\$1,136,889	(\$5,127,422)
AGENCY TEMPORARY	71,900	18,451	(53,449)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	398,000	66,213	(331,787)
BILINGUAL BONUS	2,400	500	(1,900)
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	16,300	0	(16,300)
SICKLEAVE BUYBACK	12,000	7,309	(4,691)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$6,764,911</b>	<b>\$1,229,363</b>	<b>(\$5,535,548)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	1,529,224	244,505	(1,284,719)
FICA CONTRIBUTION	112,150	18,824	(93,326)
COUNTY SUBSIDY - INSURANCE	193,180	18,677	(174,503)
OPTIONS PLAN	1,447,638	195,668	(1,251,970)
LIFE INSURANCE	6,959	1,082	(5,877)
HEALTH INSURANCE TEMPS	164,713	0	(164,713)
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	238,839	38,070	(200,769)
SAVINGS PLAN	33,650	5,909	(27,741)
PENSION SAVINGS PLAN	14,954	0	(14,954)
MEGAFLEX	220,659	29,857	(190,802)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$3,961,966</b>	<b>\$552,592</b>	<b>(\$3,409,374)</b>
OPEB CONTRIBUTION	183,782	54,062	(129,720)
OTHER BENEFITS	374,387	83,213	(291,174)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$4,520,135</b>	<b>\$689,867</b>	<b>(\$3,830,268)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$11,285,046</b>	<b>\$1,919,230</b>	<b>(\$9,365,816)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**BENEFITS DIVISION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$1,300	\$0	(\$1,300)
9182	TRAVEL	5,200	0	(5,200)
	TOTAL	<u>6,500</u>	<u>0</u>	<u>(6,500)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	3,400	0	(3,400)
	TOTAL	<u>3,400</u>	<u>0</u>	<u>(3,400)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9516	RECORD SEARCHES	6,000	57	(5,943)
9572	PENSION BENEFIT INFORMATION	15,000	0	(15,000)
9674	MEMBER VERIFICATION	25,000	2,960	(22,040)
	TOTAL	<u>46,000</u>	<u>3,017</u>	<u>(42,983)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	2,400	225	(2,175)
9962	REGISTRATION FEES	11,600	100	(11,500)
9963	EDUCATIONAL MATERIALS	600	1,480	880
	TOTAL	<u>14,600</u>	<u>1,805</u>	<u>(12,795)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	1,500	0	(1,500)
	TOTAL	<u>1,500</u>	<u>0</u>	<u>(1,500)</u>
<b>GRAND TOTAL</b>		<b><u>\$72,000</u></b>	<b><u>\$4,821</u></b>	<b><u>(\$67,179)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**COMMUNICATIONS**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$1,290,318	\$197,919	(\$1,092,399)
AGENCY TEMPORARY	79,600	0	(79,600)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	4,100	326	(3,774)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	1,000	0	(1,000)
SICKLEAVE BUYBACK	3,500	866	(2,634)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$1,378,518</b>	<b>\$199,110</b>	<b>(\$1,179,408)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	273,130	41,354	(231,776)
FICA CONTRIBUTION	20,337	3,052	(17,285)
COUNTY SUBSIDY - INSURANCE	100,031	8,016	(92,015)
OPTIONS PLAN	194,905	19,951	(174,954)
LIFE INSURANCE	126	28	(98)
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	40,215	4,955	(35,260)
SAVINGS PLAN	20,557	2,885	(17,672)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	111,000	11,128	(99,872)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$760,302</b>	<b>\$91,369</b>	<b>(\$668,933)</b>
OPEB CONTRIBUTION	37,855	9,272	(28,583)
OTHER BENEFITS	77,116	17,140	(59,976)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$875,272</b>	<b>\$117,781</b>	<b>(\$757,491)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$2,253,791</b>	<b>\$316,891</b>	<b>(\$1,936,900)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.



**FISCAL YEAR 2021-2022**

**COMMUNICATIONS**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$800	\$0	(\$800)
9182	TRAVEL	6,700	0	(6,700)
	TOTAL	7,500	0	(7,500)
<b>POSTAGE</b>				
9205	NEWSLETTER POSTAGE	180,000	42,281	(137,719)
9207	ANNUAL BENEFITS STATEMENT POSTAGE	95,000	0	(95,000)
9210	MISCELLANEOUS MAILINGS	25,000	663	(24,337)
	TOTAL	300,000	42,944	(257,056)
<b>STATIONERY AND FORMS</b>				
9233	DISABILITY RETIREMENT APPEAL	5,000	0	(5,000)
9235	PLANS BROCHURES	80,000	0	(80,000)
9237	SURVIVOR - BROCHURES	2,500	0	(2,500)
9239	WITHDRAWAL/RECIPROCITY - BROCHURES	6,500	0	(6,500)
9240	ANNUAL REPORT	55,000	0	(55,000)
9242	ANNUAL BENEFITS STATEMENT	110,000	17,795	(92,205)
9246	FORMS	25,000	848	(24,152)
9248	INVITATIONS	0	14,039	14,039
9249	LACERA CALENDARS	8,000	0	(8,000)
9253	NEW MEMBER PACKAGE	10,000	0	(10,000)
9255	PRE-RET GUIDE	20,000	0	(20,000)
9256	POSTSCRIPT PRINTING	80,000	16,503	(63,497)
9259	RETIREES - INSERTS	1,500	0	(1,500)
9261	SPOTLIGHT PRINTING	68,000	18,389	(49,611)
9262	SURVEY	1,500	0	(1,500)
9263	UNANTICIPATED PROJECTS	35,000	1,509	(33,491)
9265	SPECIALIZED MAILINGS	15,000	1,016	(13,984)
9266	DIRECT DEPOSIT - BROCHURES	2,500	0	(2,500)
9267	Q&A - BROCHURES	7,000	4,016	(2,984)
9268	TRANSFER BROCHURES	2,000	0	(2,000)
9269	DISABILITY RETIREMENT PACKETS	12,000	0	(12,000)
9274	STATIONERY	35,000	20,057	(14,943)
9280	ANNUAL BENEFIT STATEMENT PDF/CD'S	30,000	2,494	(27,506)
9281	ESTIMATE PACKAGE	2,000	0	(2,000)
9282	NEW RETIREE PACKAGE	3,000	0	(3,000)
9283	POWER OF ATTORNEY	15,000	0	(15,000)
9285	RETIREMENT UNIVERSITY	5,000	0	(5,000)
9286	COMMUNITY PROPERTY GUIDE	5,000	0	(5,000)
	TOTAL	641,500	96,666	(544,834)

**FISCAL YEAR 2021-2022**

**COMMUNICATIONS**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	\$5,000	\$220	(\$4,780)
	TOTAL	5,000	220	(4,780)
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9627	NEWSLETTER MAILINGS	30,000	8,664	(21,336)
9628	HR CONFERENCE/FORUM	2,000	0	(2,000)
9719	CAMPAIGNS	10,000	0	(10,000)
9720	REBRANDING	20,000	0	(20,000)
	TOTAL	62,000	8,664	(53,336)
<b>COMPUTER SERVICES &amp; SUPPORT</b>				
9837	MACINTOSH CONSULTING & MAINT	3,000	0	(3,000)
9840	MACINTOSH SOFTWARE PACKAGE	1,500	0	(1,500)
9855	MACINTOSH EQUIPMENT	10,000	0	(10,000)
	TOTAL	14,500	0	(14,500)
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	400	0	(400)
9962	REGISTRATION FEES	6,000	328	(5,672)
9963	EDUCATIONAL MATERIALS	4,000	0	(4,000)
	TOTAL	10,400	328	(10,072)
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	600	0	(600)
	TOTAL	600	0	(600)
<b>GRAND TOTAL</b>		<b>\$1,041,500</b>	<b>\$148,822</b>	<b>(\$892,678)</b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**DISABILITY LITIGATION**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$1,294,960	\$262,608	(\$1,032,352)
AGENCY TEMPORARY	0	0	0
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	0	0	0
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	900	0	(900)
SICKLEAVE BUYBACK	0	0	0
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$1,295,860</b>	<b>\$262,608</b>	<b>(\$1,033,252)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	284,511	51,904	(232,607)
FICA CONTRIBUTION	21,408	3,903	(17,505)
COUNTY SUBSIDY - INSURANCE	89,703	14,005	(75,698)
OPTIONS PLAN	0	0	0
LIFE INSURANCE	0	0	0
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	53,535	9,730	(43,805)
SAVINGS PLAN	63,497	10,744	(52,753)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	217,232	32,393	(184,839)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$729,886</b>	<b>\$122,679</b>	<b>(\$607,207)</b>
OPEB CONTRIBUTION	37,991	10,410	(27,581)
OTHER BENEFITS	77,393	17,202	(60,191)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$845,270</b>	<b>\$150,290</b>	<b>(\$694,980)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$2,141,130</b>	<b>\$412,898</b>	<b>(\$1,728,232)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**DISABILITY LITIGATION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>AUTO EXPENSES</b>				
9102	AUTO MAINTENANCE/REPAIR	\$1,300	\$38	(\$1,262)
9103	GAS	1,400	270	(1,130)
9105	LICENSE FEES	300	0	(300)
	TOTAL	<u>3,000</u>	<u>308</u>	<u>(2,692)</u>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	1,300	0	(1,300)
9182	TRAVEL	5,500	0	(5,500)
	TOTAL	<u>6,800</u>	<u>0</u>	<u>(6,800)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	1,500	941	(559)
	TOTAL	<u>1,500</u>	<u>941</u>	<u>(559)</u>
<b>LEGAL FEES AND SERVICES</b>				
9772	OUTSIDE LEGAL COUNSEL	7,000	0	(7,000)
9777	LITIGATION SUPPORT	500	0	(500)
	TOTAL	<u>7,500</u>	<u>0</u>	<u>(7,500)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	3,000	0	(3,000)
9962	REGISTRATION FEES	5,000	150	(4,850)
9963	EDUCATIONAL MATERIALS	25,000	559	(24,441)
	TOTAL	<u>33,000</u>	<u>709</u>	<u>(32,291)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	700	0	(700)
	TOTAL	<u>700</u>	<u>0</u>	<u>(700)</u>
<b>GRAND TOTAL</b>		<b><u>\$52,500</u></b>	<b><u>\$1,958</u></b>	<b><u>(\$50,542)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**DISABILITY RETIREMENT**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$3,971,485	\$718,637	(\$3,252,848)
AGENCY TEMPORARY	143,000	10,195	(132,805)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	16,100	8,347	(7,753)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	4,500	0	(4,500)
SICKLEAVE BUYBACK	6,000	1,715	(4,285)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$4,141,085</b>	<b>\$738,894</b>	<b>(\$3,402,191)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	975,679	165,881	(809,798)
FICA CONTRIBUTION	64,826	11,818	(53,008)
COUNTY SUBSIDY - INSURANCE	368,275	53,298	(314,977)
OPTIONS PLAN	296,468	38,262	(258,206)
LIFE INSURANCE	1,184	138	(1,046)
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	121,173	20,124	(101,049)
SAVINGS PLAN	93,371	16,150	(77,221)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	546,466	81,451	(465,015)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$2,467,442</b>	<b>\$387,121</b>	<b>(\$2,080,321)</b>
OPEB CONTRIBUTION	116,515	37,209	(79,306)
OTHER BENEFITS	237,356	52,756	(184,600)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$2,821,313</b>	<b>\$477,085</b>	<b>(\$2,344,228)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$6,962,398</b>	<b>\$1,215,979</b>	<b>(\$5,746,419)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**DISABILITY RETIREMENT**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$1,500	\$0	(\$1,500)
9182	TRAVEL	6,500	602	(5,898)
	TOTAL	<u>8,000</u>	<u>602</u>	<u>(7,398)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	6,000	0	(6,000)
	TOTAL	<u>6,000</u>	<u>0</u>	<u>(6,000)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9673	PHOTOCOPIES OF DOCUMENTS	215,000	71,810	(143,190)
9695	JOB ANALYST	9,500	4,365	(5,135)
	TOTAL	<u>224,500</u>	<u>76,175</u>	<u>(148,325)</u>
<b>LEGAL FEES AND SERVICES</b>				
9772	OUTSIDE LEGAL COUNSEL	20,000	7,485	(12,515)
	TOTAL	<u>20,000</u>	<u>7,485</u>	<u>(12,515)</u>
<b>DISABILITY FEES AND SERVICES</b>				
9802	HEARING OFFICER FEES	150,000	15,440	(134,560)
9803	MEDICAL FEES	2,400,000	376,261	(2,023,739)
9804	COURT REPORTER	25,000	5,516	(19,484)
9805	INVESTIGATIVE SERVICES	25,000	3,943	(21,057)
9806	DATABASE SEARCHES	500	0	(500)
9807	MEDICAL ADVISOR	65,000	0	(65,000)
	TOTAL	<u>2,665,500</u>	<u>401,160</u>	<u>(2,264,340)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	200	0	(200)
9962	REGISTRATION FEES	10,000	120	(9,880)
9963	EDUCATIONAL MATERIALS	500	0	(500)
	TOTAL	<u>10,700</u>	<u>120</u>	<u>(10,580)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	300	0	(300)
	TOTAL	<u>300</u>	<u>0</u>	<u>(300)</u>
	<b>GRAND TOTAL</b>	<b><u>\$2,935,000</u></b>	<b><u>\$485,543</u></b>	<b><u>(\$2,449,457)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**EXECUTIVE OFFICE**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$2,073,672	\$309,518	(\$1,764,154)
AGENCY TEMPORARY	0	0	0
LACERA INTERN PROGRAM	0	0	0
STIPENDS	65,000	8,295	(56,705)
OVERTIME	25,600	0	(25,600)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	1,400	0	(1,400)
SICKLEAVE BUYBACK	0	0	0
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$2,165,672</b>	<b>\$317,813</b>	<b>(\$1,847,859)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	399,754	56,106	(343,648)
FICA CONTRIBUTION	34,225	5,143	(29,082)
COUNTY SUBSIDY - INSURANCE	203,412	18,566	(184,846)
OPTIONS PLAN	0	0	0
LIFE INSURANCE	0	0	0
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	67,617	6,876	(60,741)
SAVINGS PLAN	77,773	10,088	(67,685)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	357,209	41,678	(315,531)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$1,139,992</b>	<b>\$138,457</b>	<b>(\$1,001,535)</b>
OPEB CONTRIBUTION	60,837	12,935	(47,902)
OTHER BENEFITS	123,933	27,546	(96,387)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$1,324,760</b>	<b>\$178,938</b>	<b>(\$1,145,822)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$3,490,434</b>	<b>\$496,751</b>	<b>(\$2,993,683)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**EXECUTIVE OFFICE**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>AUTO EXPENSES</b>				
9102	AUTO MAINTENANCE/REPAIR	\$4,000	\$18	(\$3,982)
9103	GAS	6,500	531	(5,969)
9105	LICENSE FEES	1,800	41	(1,759)
	TOTAL	<u>12,300</u>	<u>590</u>	<u>(11,710)</u>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	3,000	12	(2,988)
9182	TRAVEL	14,000	454	(13,546)
	TOTAL	<u>17,000</u>	<u>466</u>	<u>(16,534)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	4,500	174	(4,326)
	TOTAL	<u>4,500</u>	<u>174</u>	<u>(4,326)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9672	IMPROVEMENT PROJECTS	80,000	3,750	(76,250)
9716	PUBLIC & MEDIA RELATIONS	125,000	7,055	(117,945)
	TOTAL	<u>205,000</u>	<u>10,805</u>	<u>(194,195)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	30,000	6,108	(23,892)
9962	REGISTRATION FEES	24,000	520	(23,480)
9963	EDUCATIONAL MATERIALS	10,000	906	(9,094)
	TOTAL	<u>64,000</u>	<u>7,534</u>	<u>(56,466)</u>
<b>MISCELLANEOUS</b>				
9982	EMPLOYEE RECOGNITION PROGRAM	5,000	225	(4,775)
9984	FOOD/BEVERAGES	5,000	208	(4,792)
9986	MISCELLANEOUS	6,000	0	(6,000)
	TOTAL	<u>16,000</u>	<u>433</u>	<u>(15,567)</u>
<b>GRAND TOTAL</b>		<b><u>\$318,800</u></b>	<b><u>\$20,002</u></b>	<b><u>(\$298,798)</u></b>

\* All amounts rounded to the nearest dollar.



**FISCAL YEAR 2021-2022**

**FINANCIAL AND ACCOUNTING SERVICES DIVISION**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$1,942,091	\$413,941	(\$1,528,150)
AGENCY TEMPORARY	729,400	76,979	(652,421)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	67,900	15,765	(52,135)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	7,500	0	(7,500)
SICKLEAVE BUYBACK	12,000	3,995	(8,005)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$2,758,891</b>	<b>\$510,679</b>	<b>(\$2,248,212)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	475,607	76,777	(398,830)
FICA CONTRIBUTION	33,541	6,077	(27,464)
COUNTY SUBSIDY - INSURANCE	43,865	4,752	(39,113)
OPTIONS PLAN	460,739	59,201	(401,538)
LIFE INSURANCE	2,075	183	(1,892)
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	84,267	12,826	(71,441)
SAVINGS PLAN	14,054	1,773	(12,281)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	54,403	5,940	(48,463)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$1,168,551</b>	<b>\$167,529</b>	<b>(\$1,001,022)</b>
OPEB CONTRIBUTION	56,977	16,569	(40,408)
OTHER BENEFITS	116,069	25,798	(90,271)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$1,341,597</b>	<b>\$209,896</b>	<b>(\$1,131,701)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$4,100,488</b>	<b>\$720,576</b>	<b>(\$3,379,912)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**FINANCIAL AND ACCOUNTING SERVICES DIVISION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$2,300	\$0	(\$2,300)
9182	TRAVEL	11,700	0	(11,700)
	TOTAL	<u>14,000</u>	<u>0</u>	<u>(14,000)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	3,500	156	(3,344)
	TOTAL	<u>3,500</u>	<u>156</u>	<u>(3,344)</u>
<b>BANK SERVICES</b>				
9753	BANK CHARGES - STATE STREET	200,500	(106,413)	(306,913)
	TOTAL	<u>200,500</u>	<u>(106,413)</u>	<u>(306,913)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	6,500	600	(5,900)
9962	REGISTRATION FEES	10,000	80	(9,920)
9963	EDUCATIONAL MATERIALS	2,500	0	(2,500)
	TOTAL	<u>19,000</u>	<u>680</u>	<u>(18,320)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	3,000	0	(3,000)
	TOTAL	<u>3,000</u>	<u>0</u>	<u>(3,000)</u>
<b>GRAND TOTAL</b>		<b><u>\$240,000</u></b>	<b><u>(\$105,576)</u></b>	<b><u>(\$345,576)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**HUMAN RESOURCES**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$1,513,977	\$283,600	(\$1,230,377)
AGENCY TEMPORARY	47,700	2,201	(45,499)
LACERA INTERN PROGRAM	312,000	0	(312,000)
STIPENDS	0	0	0
OVERTIME	2,900	176	(2,724)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	1,700	0	(1,700)
SICKLEAVE BUYBACK	0	0	0
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$1,878,277</b>	<b>\$285,977</b>	<b>(\$1,592,300)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	377,830	63,944	(313,886)
FICA CONTRIBUTION	25,509	4,304	(21,205)
COUNTY SUBSIDY - INSURANCE	122,504	18,508	(103,996)
OPTIONS PLAN	0	0	0
LIFE INSURANCE	0	0	0
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	49,425	9,863	(39,562)
SAVINGS PLAN	66,289	10,888	(55,401)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	271,568	37,457	(234,111)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$913,126</b>	<b>\$144,964</b>	<b>(\$768,162)</b>
OPEB CONTRIBUTION	44,417	14,382	(30,035)
OTHER BENEFITS	90,483	20,111	(70,372)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$1,048,025</b>	<b>\$179,457</b>	<b>(\$868,568)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$2,926,303</b>	<b>\$465,434</b>	<b>(\$2,460,869)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**HUMAN RESOURCES**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$2,400	\$0	(\$2,400)
9182	TRAVEL	6,600	0	(6,600)
	TOTAL	<u>9,000</u>	<u>0</u>	<u>(9,000)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	8,000	197	(7,803)
	TOTAL	<u>8,000</u>	<u>197</u>	<u>(7,803)</u>
<b>PARKING FEES</b>				
9491	GATEWAY PLAZA	375,000	74,200	(300,800)
9492	MUSIC CENTER	2,000	0	(2,000)
	TOTAL	<u>377,000</u>	<u>74,200</u>	<u>(302,800)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9504	PAYROLL SERVICES	100,000	9,725	(90,275)
9510	FINGERPRINTING SERVICES	3,000	294	(2,706)
9511	SECURITY SERVICES - SHERIFF	16,000	906	(15,094)
9512	PAYROLL ENV, DUPLICATE W2'S - AUD	300	120	(180)
9513	PERSONNEL SRVCS - HUMAN RESOURCES	45,000	5,443	(39,557)
9547	HUMAN RESOURCES CONSULTING	150,000	0	(150,000)
9694	BACKGROUND CHECKS	3,000	332	(2,668)
9700	OHS PHYSICALS	1,000	0	(1,000)
9711	REQUEST FOR ACCOMMODATIONS	35,000	0	(35,000)
9718	LEAVE CASE MANAGEMENT PROGRAM	47,500	3,815	(43,685)
9721	ORGANIZATIONAL PROGRAMS	25,000	0	(25,000)
	TOTAL	<u>425,800</u>	<u>20,634</u>	<u>(405,166)</u>
<b>COMPUTER SERVICES &amp; SUPPORT</b>				
9838	MISC SOFTWARE PACKAGES	55,000	1,067	(53,933)
	TOTAL	<u>55,000</u>	<u>1,067</u>	<u>(53,933)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	4,000	0	(4,000)
9962	REGISTRATION FEES	20,000	1,560	(18,440)
9963	EDUCATIONAL MATERIALS	6,000	0	(6,000)
9966	DEPARTMENTAL TRAINING	250,000	4,106	(245,894)
9967	TUITION REIMBURSEMENT PROGRAM	75,000	5,250	(69,750)
9968	MOU TRAINING ALLOCATION	100,000	5,623	(94,377)
9969	MENTORING PROGRAM	35,000	0	(35,000)
9970	FORUM	22,000	0	(22,000)
	TOTAL	<u>512,000</u>	<u>16,539</u>	<u>(495,461)</u>

FISCAL YEAR 2021-2022

HUMAN RESOURCES

DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021

		<u>BUDGET</u>	<u>YTD ACTUAL</u>	<u>OVER/(UNDER) BUDGET</u>
	<b>MISCELLANEOUS</b>			
9981	RECRUITMENT	\$200,000	\$0	(\$200,000)
9986	MISCELLANEOUS	2,500	0	(2,500)
9989	WEB DAY	5,000	0	(5,000)
9991	MANAGEMENT OFFSITE	25,000	0	(25,000)
	TOTAL	<u>232,500</u>	<u>0</u>	<u>(232,500)</u>
	<b>GRAND TOTAL</b>	<b><u>\$1,619,300</u></b>	<b><u>\$112,638</u></b>	<b><u>(\$1,506,662)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**INTERNAL AUDIT SERVICES**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$1,454,721	\$279,212	(\$1,175,509)
AGENCY TEMPORARY	0	0	0
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	4,900	447	(4,453)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	1,500	0	(1,500)
SICKLEAVE BUYBACK	0	0	0
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$1,461,121</b>	<b>\$279,659</b>	<b>(\$1,181,462)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	335,340	57,719	(277,621)
FICA CONTRIBUTION	26,378	4,601	(21,777)
COUNTY SUBSIDY - INSURANCE	96,629	13,980	(82,650)
OPTIONS PLAN	0	0	0
LIFE INSURANCE	0	0	0
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	58,959	9,563	(49,396)
SAVINGS PLAN	63,665	11,046	(52,619)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	262,100	35,121	(226,979)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$843,072</b>	<b>\$132,030</b>	<b>(\$711,042)</b>
OPEB CONTRIBUTION	42,678	12,864	(29,814)
OTHER BENEFITS	86,941	19,324	(67,617)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$972,690</b>	<b>\$164,218</b>	<b>(\$808,472)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$2,433,813</b>	<b>\$443,877</b>	<b>(\$1,989,936)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**INTERNAL AUDIT SERVICES**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$2,000	\$0	(\$2,000)
9182	TRAVEL	15,000	0	(15,000)
	TOTAL	<u>17,000</u>	<u>0</u>	<u>(17,000)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	1,500	25	(1,475)
	TOTAL	<u>1,500</u>	<u>25</u>	<u>(1,475)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9541	AUDITS	650,000	3,236	(646,764)
9702	AUDIT COMMITTEE CONSULTANT	21,000	2,625	(18,375)
	TOTAL	<u>671,000</u>	<u>5,861</u>	<u>(665,139)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	8,000	795	(7,205)
9962	REGISTRATION FEES	20,000	120	(19,880)
9963	EDUCATIONAL MATERIALS	2,500	1,680	(820)
	TOTAL	<u>30,500</u>	<u>2,595</u>	<u>(27,905)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	500	0	(500)
	TOTAL	<u>500</u>	<u>0</u>	<u>(500)</u>
	<b>GRAND TOTAL</b>	<b><u>\$720,500</u></b>	<b><u>\$8,480</u></b>	<b><u>(\$712,020)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**INVESTMENT OFFICE**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$10,263,564	\$1,869,570	(\$8,393,994)
AGENCY TEMPORARY	0	0	0
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	1,000	0	(1,000)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	7,200	1,500	(5,700)
RIDESHARE ALLOWANCE	5,000	0	(5,000)
SICKLEAVE BUYBACK	0	0	0
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$10,276,764</b>	<b>\$1,871,070</b>	<b>(\$8,405,694)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	1,584,170	266,314	(1,317,856)
FICA CONTRIBUTION	196,259	29,952	(166,307)
COUNTY SUBSIDY - INSURANCE	657,236	84,395	(572,841)
OPTIONS PLAN	0	0	0
LIFE INSURANCE	0	0	0
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	317,034	49,329	(267,705)
SAVINGS PLAN	375,423	66,089	(309,334)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	1,868,119	227,717	(1,640,402)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$4,998,241</b>	<b>\$723,795</b>	<b>(\$4,274,446)</b>
OPEB CONTRIBUTION	301,112	53,378	(247,734)
OTHER BENEFITS	613,402	136,337	(477,065)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$5,912,755</b>	<b>\$913,510</b>	<b>(\$4,999,245)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$16,189,519</b>	<b>\$2,784,581</b>	<b>(\$13,404,938)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.



**FISCAL YEAR 2021-2022**

**INVESTMENT OFFICE**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$20,500	\$0	(\$20,500)
9182	TRAVEL	120,500	46	(120,454)
	TOTAL	141,000	46	(140,954)
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	4,500	30	(4,470)
	TOTAL	4,500	30	(4,470)
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	80,000	23,700	(56,300)
9962	REGISTRATION FEES	20,000	550	(19,450)
9963	EDUCATIONAL MATERIALS	16,000	9,437	(6,563)
	TOTAL	116,000	33,687	(82,313)
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	7,000	162	(6,838)
	TOTAL	7,000	162	(6,838)
<b>GRAND TOTAL</b>		<b>\$268,500</b>	<b>\$33,925</b>	<b>(\$234,575)</b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**LEGAL SERVICES**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$3,588,077	\$596,012	(\$2,992,065)
AGENCY TEMPORARY	85,400	20,830	(64,570)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	18,100	2,925	(15,175)
BILINGUAL BONUS	2,400	250	(2,150)
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	2,500	0	(2,500)
SICKLEAVE BUYBACK	0	0	0
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$3,696,477</b>	<b>\$620,016</b>	<b>(\$3,076,461)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	755,248	121,867	(633,381)
FICA CONTRIBUTION	61,093	9,813	(51,280)
COUNTY SUBSIDY - INSURANCE	391,975	47,610	(344,365)
OPTIONS PLAN	0	0	0
LIFE INSURANCE	0	0	0
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	100,740	18,216	(82,524)
SAVINGS PLAN	120,934	18,373	(102,561)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	662,779	77,582	(585,197)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$2,092,770</b>	<b>\$293,460</b>	<b>(\$1,799,310)</b>
OPEB CONTRIBUTION	105,267	26,446	(78,821)
OTHER BENEFITS	214,441	47,663	(166,778)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$2,412,477</b>	<b>\$367,569</b>	<b>(\$2,044,908)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$6,108,955</b>	<b>\$987,585</b>	<b>(\$5,121,370)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**LEGAL SERVICES**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>AUTO EXPENSES</b>				
9102	AUTO MAINTENANCE/REPAIR	2,000	\$0	(\$2,000)
9103	GAS	2,000	137	(1,863)
9105	LICENSE FEES	400	0	(400)
	TOTAL	<u>4,400</u>	<u>137</u>	<u>(4,263)</u>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	4,800	0	(4,800)
9182	TRAVEL	21,600	0	(21,600)
	TOTAL	<u>26,400</u>	<u>0</u>	<u>(26,400)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	6,000	20	(5,980)
	TOTAL	<u>6,000</u>	<u>20</u>	<u>(5,980)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9543	LEGISLATIVE CONSULTING	252,000	63,920	(188,080)
9673	PHOTOCOPIES OF DOCUMENTS	300	10	(290)
	TOTAL	<u>252,300</u>	<u>63,930</u>	<u>(188,370)</u>
<b>LEGAL FEES AND SERVICES</b>				
9771	ATTORNEY FEES AWARDS	40,000	0	(40,000)
9772	OUTSIDE LEGAL COUNSEL	400,000	252,040	(147,960)
9777	LITIGATION SUPPORT	5,000	23	(4,977)
	TOTAL	<u>445,000</u>	<u>252,063</u>	<u>(192,937)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	13,500	175	(13,325)
9962	REGISTRATION FEES	19,000	698	(18,302)
9963	EDUCATIONAL MATERIALS	70,500	17,855	(52,645)
	TOTAL	<u>103,000</u>	<u>18,728</u>	<u>(84,272)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	3,000	220	(2,780)
	TOTAL	<u>3,000</u>	<u>220</u>	<u>(2,780)</u>
	<b>GRAND TOTAL</b>	<b><u>\$840,100</u></b>	<b><u>\$335,096</u></b>	<b><u>(\$505,004)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**MEMBER SERVICES**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$6,147,368	\$1,108,482	(\$5,038,886)
AGENCY TEMPORARY	0	0	0
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	133,800	19,967	(113,833)
BILINGUAL BONUS	16,800	2,750	(14,050)
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	10,000	0	(10,000)
SICKLEAVE BUYBACK	15,000	5,430	(9,570)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$6,322,968</b>	<b>\$1,136,630</b>	<b>(\$5,186,338)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	1,374,865	237,301	(1,137,564)
FICA CONTRIBUTION	101,971	16,767	(85,204)
COUNTY SUBSIDY - INSURANCE	103,980	12,183	(91,797)
OPTIONS PLAN	1,254,364	198,519	(1,055,845)
LIFE INSURANCE	4,942	857	(4,085)
HEALTH INSURANCE TEMPS	188,375	0	(188,375)
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	218,819	39,567	(179,252)
SAVINGS PLAN	30,007	3,886	(26,121)
PENSION SAVINGS PLAN	20,794	0	(20,794)
MEGAFLEX	162,470	20,774	(141,696)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$3,460,588</b>	<b>\$529,853</b>	<b>(\$2,930,735)</b>
OPEB CONTRIBUTION	180,351	52,686	(127,665)
OTHER BENEFITS	367,397	81,659	(285,738)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$4,008,335</b>	<b>\$664,199</b>	<b>(\$3,344,136)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$10,331,305</b>	<b>\$1,800,828</b>	<b>(\$8,530,477)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**MEMBER SERVICES**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$7,000	\$0	(\$7,000)
9182	TRAVEL	14,700	0	(14,700)
	TOTAL	<u>21,700</u>	<u>0</u>	<u>(21,700)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	12,500	1,321	(11,179)
	TOTAL	<u>12,500</u>	<u>1,321</u>	<u>(11,179)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	1,200	0	(1,200)
9962	REGISTRATION FEES	15,000	150	(14,850)
9963	EDUCATIONAL MATERIALS	300	0	(300)
	TOTAL	<u>16,500</u>	<u>150</u>	<u>(16,350)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	3,200	0	(3,200)
9992	FACILITIES RENTAL	1,600	0	(1,600)
	TOTAL	<u>4,800</u>	<u>0</u>	<u>(4,800)</u>
<b>GRAND TOTAL</b>		<b><u>\$55,500</u></b>	<b><u>\$1,471</u></b>	<b><u>(\$54,029)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**QUALITY ASSURANCE**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$1,968,269	\$322,554	(\$1,645,715)
AGENCY TEMPORARY	0	0	0
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	21,300	264	(21,036)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	2,200	0	(2,200)
SICKLEAVE BUYBACK	1,000	0	(1,000)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$1,992,769</b>	<b>\$322,818</b>	<b>(\$1,669,951)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	484,451	69,362	(415,089)
FICA CONTRIBUTION	35,841	5,343	(30,498)
COUNTY SUBSIDY - INSURANCE	129,208	17,098	(112,110)
OPTIONS PLAN	22,153	2,055	(20,098)
LIFE INSURANCE	87	31	(56)
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	60,558	11,367	(49,191)
SAVINGS PLAN	69,608	10,453	(59,155)
PENSION SAVINGS PLAN	0	0	0
MEGAFLEX	364,885	44,545	(320,340)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$1,166,791</b>	<b>\$160,255</b>	<b>(\$1,006,536)</b>
OPEB CONTRIBUTION	57,745	15,452	(42,293)
OTHER BENEFITS	117,634	26,146	(91,488)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$1,342,170</b>	<b>\$201,852</b>	<b>(\$1,140,318)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$3,334,939</b>	<b>\$524,670</b>	<b>(\$2,810,269)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**QUALITY ASSURANCE**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	\$2,500	\$0	(\$2,500)
9182	TRAVEL	9,500	0	(9,500)
	TOTAL	<u>12,000</u>	<u>0</u>	<u>(12,000)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	2,000	0	(2,000)
	TOTAL	<u>2,000</u>	<u>0</u>	<u>(2,000)</u>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>				
9715	COST EFFECTIVE MANAGEMENT (CEM)	50,000	0	(50,000)
	TOTAL	<u>50,000</u>	<u>0</u>	<u>(50,000)</u>
<b>EDUCATIONAL EXPENSES</b>				
9961	MEMBERSHIPS	9,000	770	(8,230)
9962	REGISTRATION FEES	10,000	0	(10,000)
9963	EDUCATIONAL MATERIALS	500	0	(500)
	TOTAL	<u>19,500</u>	<u>770</u>	<u>(18,730)</u>
<b>MISCELLANEOUS</b>				
9986	MISCELLANEOUS	400	0	(400)
	TOTAL	<u>400</u>	<u>0</u>	<u>(400)</u>
	<b>GRAND TOTAL</b>	<b><u>\$83,900</u></b>	<b><u>\$770</u></b>	<b><u>(\$83,130)</u></b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**SYSTEMS DIVISION**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$6,381,630	\$964,694	(\$5,416,936)
AGENCY TEMPORARY	1,762,500	681,051	(1,081,449)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	133,300	36,390	(96,910)
BILINGUAL BONUS	0	0	0
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	8,900	0	(8,900)
SICKLEAVE BUYBACK	12,000	4,716	(7,284)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$8,298,330</b>	<b>\$1,686,851</b>	<b>(\$6,611,479)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	1,407,841	200,238	(1,207,603)
FICA CONTRIBUTION	115,879	15,830	(100,049)
COUNTY SUBSIDY - INSURANCE	418,437	38,062	(380,375)
OPTIONS PLAN	342,564	49,386	(293,178)
LIFE INSURANCE	1,443	281	(1,162)
HEALTH INSURANCE TEMPS	0	0	0
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	247,455	33,536	(213,919)
SAVINGS PLAN	207,356	24,543	(182,813)
PENSION SAVINGS PLAN	1,714	0	(1,714)
MEGAFLEX	955,689	84,142	(871,547)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$3,698,379</b>	<b>\$446,018</b>	<b>(\$3,252,361)</b>
OPEB CONTRIBUTION	187,224	44,613	(142,611)
OTHER BENEFITS	381,398	84,771	(296,627)
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$4,267,000</b>	<b>\$575,403</b>	<b>(\$3,691,597)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$12,565,331</b>	<b>\$2,262,254</b>	<b>(\$10,303,077)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.



**FISCAL YEAR 2021-2022**

**SYSTEMS DIVISION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>COMMUNICATIONS</b>				
9121	MOBILE DEVICES SERVICE & EQUIPMENT	\$150,000	\$83,891	(\$66,109)
9124	ENTERPRISE INTERNET CONNECTION	165,000	32,198	(132,802)
9125	TELEPHONE CONNECTION	120,000	65,133	(54,867)
9130	REMOTE SUPPORT	30,000	1,623	(28,377)
9133	TELEPHONE SYSTEM SUPPLIES & MODIFICATION:	60,000	0	(60,000)
9135	TELEPHONE SYSTEM MAINTENANCE	400,000	0	(400,000)
	TOTAL	<u>925,000</u>	<u>182,846</u>	<u>(742,154)</u>
<b>TRANSPORTATION AND TRAVEL</b>				
9181	TRANSPORTATION	2,000	0	(2,000)
9182	TRAVEL	2,600	0	(2,600)
	TOTAL	<u>4,600</u>	<u>0</u>	<u>(4,600)</u>
<b>POSTAGE</b>				
9208	MONTHLY RETIREE CHECK MAILING	390,000	146,650	(243,350)
	TOTAL	<u>390,000</u>	<u>146,650</u>	<u>(243,350)</u>
<b>OFFICE SUPPLIES AND EQUIPMENT</b>				
9302	SPECIAL ORDERS/MINOR EQUIP	11,000	1,316	(9,684)
9332	COMPUTER MONITORS	80,000	9,675	(70,326)
9336	COMPUTER PRINTER	10,000	0	(10,000)
9344	COMPUTER ACCESSORIES	10,000	3,708	(6,292)
9345	COMPUTER PERIPHERALS	10,000	3,639	(6,361)
9347	STORAGE MEDIA	15,000	0	(15,000)
9348	BOARD MEMBER TECH SUPPORT	50,000	3,937	(46,063)
9353	DIVISIONAL IT SUPPLIES/EQUIPMENT	33,600	43,877	10,277
9354	REMOTE WORKFORCE EQUIPMENT	130,000	113,902	(16,098)
	TOTAL	<u>349,600</u>	<u>180,054</u>	<u>(169,546)</u>
<b>EQUIPMENT MAINTENANCE</b>				
9406	MAINFRAME LICENSE & MAINTENANCE	0	50,927	50,927
9411	AUDIOVISUAL MAINTENANCE	40,000	6,115	(33,885)
9412	KEY CARD SECURITY SYSTEM (DAS)	25,000	5,679	(19,321)
9414	LAN HARDWARE MAINTENANCE	150,000	0	(150,000)
9419	ON-SITE PRINTER MAINTENANCE	25,000	370	(24,630)
9424	EQUIP MAINT - UPS - SERVER ROOM	264,500	0	(264,500)
9436	EQUIP MAINT - AIR CONDITIONING	60,000	9,272	(50,728)
9438	AUDIOVISUAL EQUIPMENT REPLACEMENTS	25,000	4,482	(20,518)
9439	SURVEILLANCE SYSTEM	5,000	1,128	(3,872)
9442	FIRE SUPPRESSION SYSTEM	5,000	0	(5,000)
9443	GENERATOR SYSTEM	10,000	63	(9,937)
	TOTAL	<u>609,500</u>	<u>78,036</u>	<u>(531,464)</u>

**FISCAL YEAR 2021-2022**

**SYSTEMS DIVISION**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>			
9502 EDP CHARGES - ISD	\$9,500	\$15,858	\$6,358
9509 AUDITOR CONTROLLER - PAYROLL SERVICES	86,100	0	(86,100)
9550 ADVANCED WORKFLOW CONCEPTS	140,000	0	(140,000)
9574 KNOWLEDGE & DOC MGMT PROJECT	0	276,367	276,367
9680 IRON MOUNTAIN MEDIA STORAGE	50,000	16,186	(33,814)
9681 RETIREE PAYROLL PRINTING	244,000	1,837	(242,163)
9692 HOT SITE SERVICES	140,000	2,005	(137,995)
9714 SECURITY ASSESSMENT & MONITORING	75,000	0	(75,000)
9717 CLOUD MIGRATION	150,000	123,715	(26,285)
9722 MAINTENANCE SERVICES	90,000	0	(90,000)
TOTAL	984,600	435,968	(548,632)
<b>COMPUTER SERVICES &amp; SUPPORT</b>			
9831 LAN SOFTWARE & LIC - NEW	1,085,000	45,583	(1,039,417)
9832 LAN SOFTWARE & LIC - EXISTING	1,854,600	465,446	(1,389,154)
9833 MAINFRAME SOFTWARE & LIC - EXISTING	1,125,000	219,271	(905,729)
9843 LAN NETWORK HARDWARE - NEW	175,000	108,767	(66,233)
9879 CO-LOCATION	200,000	39,791	(160,209)
9882 BOARDROOM OPERATION MGMT SYSTEMS I	100,000	12,331	(87,669)
TOTAL	4,539,600	891,188	(3,648,412)
<b>EDUCATIONAL EXPENSES</b>			
9961 MEMBERSHIPS	1,500	0	(1,500)
9962 REGISTRATION FEES	30,000	2,207	(27,793)
9963 EDUCATIONAL MATERIALS	5,000	0	(5,000)
TOTAL	36,500	2,207	(34,293)
<b>MISCELLANEOUS</b>			
9986 MISCELLANEOUS	1,000	0	(1,000)
TOTAL	1,000	0	(1,000)
<b>GRAND TOTAL</b>	<b>\$7,840,400</b>	<b>\$1,916,949</b>	<b>(\$5,923,451)</b>

\* All amounts rounded to the nearest dollar.

**FISCAL YEAR 2021-2022**

**RETIREE HEALTH CARE BENEFITS PROGRAM**

**SUMMARY OF SALARIES AND EMPLOYEE BENEFITS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

	<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
<b>SALARIES &amp; OTHER PAYS</b>			
PERMANENT / COUNTY TEMPORARY	\$2,814,534	\$476,921	(\$2,337,613)
AGENCY TEMPORARY	511,700	107,165	(404,535)
LACERA INTERN PROGRAM	0	0	0
STIPENDS	0	0	0
OVERTIME	118,500	16,403	(102,097)
BILINGUAL BONUS	3,600	500	(3,100)
PAY IN LIEU OF SALARY REDUCTION	0	0	0
TRANSPORTATION ALLOWANCE	0	0	0
RIDESHARE ALLOWANCE	3,600	0	(3,600)
SICKLEAVE BUYBACK	10,000	3,927	(6,073)
RESERVE FOR REMUNERATION	0	0	0
<b>TOTAL SALARIES &amp; OTHER PAYS</b>	<b>\$3,461,934</b>	<b>\$604,917</b>	<b>(\$2,857,017)</b>
<b>VARIABLE BENEFITS</b>			
RETIREMENT	621,150	96,713	(524,437)
FICA CONTRIBUTION	41,101	6,352	(34,749)
COUNTY SUBSIDY - INSURANCE	82,831	6,266	(76,565)
OPTIONS PLAN	567,583	64,415	(503,168)
LIFE INSURANCE	1,460	221	(1,239)
HEALTH INSURANCE TEMPS	129,777	0	(129,777)
FLEXIBLE BENEFIT PLAN	0	0	0
THRIFT PLAN / HORIZONS	100,857	14,641	(86,216)
SAVINGS PLAN	34,635	5,183	(29,452)
PENSION SAVINGS PLAN	9,301	0	(9,301)
MEGAFLEX	139,734	20,983	(118,751)
<b>TOTAL VARIABLE BENEFITS</b>	<b>\$1,728,430</b>	<b>\$214,774</b>	<b>(\$1,513,656)</b>
OPEB CONTRIBUTION	82,573	16,509	(66,064)
OTHER BENEFITS	0	0	0
<b>TOTAL EMPLOYEE BENEFITS</b>	<b>\$1,811,002</b>	<b>\$231,283</b>	<b>(\$1,579,719)</b>
<b>TOTAL SALARIES &amp; EMPLOYEE BENEFITS</b>	<b>\$5,272,936</b>	<b>\$836,200</b>	<b>(\$4,436,736)</b>

\* All amounts rounded to the nearest dollar.

\*\* Note: All Permanent Salaries and Employee Benefits include amounts through 09/15/21, except Agency Temporary and Rideshare Allowance which are through 09/30/21.

**FISCAL YEAR 2021-2022**

**RETIREE HEALTH CARE BENEFITS PROGRAM**

**DETAIL OF SERVICES AND SUPPLIES ACCOUNTS  
BASED ON EXPENDITURES AS OF SEPTEMBER 30, 2021**

		<b>BUDGET</b>	<b>YTD ACTUAL</b>	<b>OVER/(UNDER) BUDGET</b>
	<b>TRANSPORTATION AND TRAVEL</b>			
9181	TRANSPORTATION	\$2,600	\$0	(\$2,600)
9182	TRAVEL	25,900	0	(25,900)
	TOTAL	<u>28,500</u>	<u>0</u>	<u>(28,500)</u>
	<b>POSTAGE</b>			
9212	SPECIAL RETIREE MAILINGS	300,000	900	(299,100)
	TOTAL	<u>300,000</u>	<u>900</u>	<u>(299,100)</u>
	<b>OFFICE SUPPLIES AND EQUIPMENT</b>			
9302	SPECIAL ORDERS/MINOR EQUIP	6,000	153	(5,847)
	TOTAL	<u>6,000</u>	<u>153</u>	<u>(5,847)</u>
	<b>OPERATIONAL COSTS</b>			
9482	RENT	199,100	49,001	(150,099)
9483	DEPARTMENTAL OVERHEAD	4,333,692	1,083,423	(3,250,269)
	TOTAL	<u>4,532,792</u>	<u>1,132,424</u>	<u>(3,400,368)</u>
	<b>PROFESSIONAL AND SPECIALIZED SERVICES</b>			
9541	AUDITS	120,000	19,518	(100,483)
9545	HEALTH CARE CONSULTING	750,000	123,600	(626,400)
9572	PENSION BENEFIT INFORMATION	1,500	0	(1,500)
9573	OPEB VALUATION	338,300	17,606	(320,694)
	TOTAL	<u>1,209,800</u>	<u>160,723</u>	<u>(1,049,077)</u>
	<b>BANK SERVICES</b>			
9753	BANK CHARGES - STATE STREET	21,500	(5,144)	(26,644)
	TOTAL	<u>21,500</u>	<u>(5,144)</u>	<u>(26,644)</u>
	<b>EDUCATIONAL EXPENSES</b>			
9961	MEMBERSHIPS	4,000	0	(4,000)
9962	REGISTRATION FEES	15,000	4,930	(10,070)
9963	EDUCATIONAL MATERIALS	300	0	(300)
	TOTAL	<u>19,300</u>	<u>4,930</u>	<u>(14,370)</u>
	<b>MISCELLANEOUS</b>			
9986	MISCELLANEOUS	300	0	(300)
	TOTAL	<u>300</u>	<u>0</u>	<u>(300)</u>
	<b>GRAND TOTAL</b>	<b><u>\$6,118,192</u></b>	<b><u>\$1,293,985</u></b>	<b><u>(\$4,824,207)</u></b>

\* All amounts rounded to the nearest dollar.

November 2, 2021

TO: Each Trustee  
Board of Retirement

FROM: Santos H. Kreimann <sup>SHK</sup>  
Chief Executive Officer

FOR: December 1, 2021 Board of Retirement Meeting

SUBJECT: **BOR ADMINISTRATIVE, DISABILITY AND COMMITTEE MEETINGS –  
FIRST WEDNESDAY OF THE MONTH (END OF TRIAL PERIOD)**

**RECOMMENDATION**

That the Board of Retirement (BOR) discuss and approve their preferred meeting schedule for the BOR Administrative, Disability and Committee meetings.

Following are potential options to consider:

- 1) Beginning February 2022, on a permanent basis, combine its Administrative and Disability meeting (Board meeting) to the first Wednesday of the month, move its Insurance, Benefits, and Legislative Committee (IBLC) meeting to be held prior to the Board meeting, the Operations Oversight Committee (OOC) meeting to be held after the Board meeting, and direct the Disability Procedures and Services Committee items to the full Board.
- 2) Beginning February 2022, on a permanent basis, combine its Administrative and Disability meeting to the Thursday following the second Wednesday of the month, move its Insurance, Benefits, and Legislative Committee (IBLC) meeting to be held prior to the Board meeting, the Operations Oversight Committee (OOC) meeting to be held after the Board meeting, and direct the Disability Procedures and Services Committee items to the full Board.
- 3) Beginning February 2022, resort back to the schedule stated under the Board of Retirement Regulations - Regular meetings of the Board of Retirement shall be held on the first Wednesday and the Thursday following the second Wednesday in each month. The Operations Oversight Committee (OOC) meeting will be held after the Board meeting on the first Wednesday of each month and the Disability Procedures and Services Committee and Insurance, Benefits, and Legislative Committee (IBLC) meetings will be held following the Board meeting on the Thursday following the second Wednesday of the month.

The first two options can also be further explored on a temporary basis.

**BACKGROUND**

At its August 4, 2021 Board of Retirement meeting (Attachment A), the Board voted to temporarily combine its Administrative, Disability, and its Committee meetings to the first

Wednesday of the month and disband its Disability Procedures and Services Committee meeting directing items to the Board of Retirement. The trial period for this temporary change will expire at the end of January 2022.

### **LEGAL AUTHORITY**

The Board of Retirement has the plenary authority and fiduciary responsibility to administer the retirement system, and holds executive, legislative, and quasi-judicial powers. In administering its duties, the Board has the authority to promulgate rules, regulations, and policies.<sup>1</sup>

### **BOARD REGULATIONS**

Under the Regulations of the Board of Retirement:

Regular meetings of the Board of Retirement shall be held on the first Wednesday and the Thursday following the second Wednesday in each month at 9:00 a.m. (Regulations, Section II.1.)

If a permanent change is made to meet in a single day, combined meeting, the Regulations will have to be revised.

### **DISCUSSION**

In September 2021, on a trial basis, the BOR and its Committees met the first Wednesday of the month. Following you will find the total duration of the Board and Committees for September through November 2021.

<b>Meeting Date</b>	<b>IBLC Duration</b>	<b>BOR Duration</b>	<b>OOB Duration</b>
September 1, 2021	8:01-8:16 a.m. (15 minutes)	9:00-11:05 a.m. (2 hours, 5 minutes)	11:15-11:55 a.m. (40 minutes)
October 6, 2021	8:30-8:51 a.m. (21 minutes)	9:00-12:10 p.m. (3 hours, 10 minutes)	12:20-12:32 p.m. (12 minutes)
November 3, 2021	8:30-9:00 a.m. (30 minutes)	9:10 – 12:22 p.m. (3 hours, 12 minutes)	12:24-12:32 p.m. (8 minutes)

The Legal, Disability Retirement Services (DRS), and Disability Litigation Divisions have not had any significant impact by moving the board and committees to a single-day, combined meeting. One meeting a month has provided more time to plan and prepare materials for meetings as opposed to every two weeks.

However, a once a month combined meeting on the first Wednesday of the month does pose a concern for the Insurance, Benefits, and Legislative Committee (IBLC) meeting. At its meeting on August 4, 2021, the Board approved an extension of Segal Consulting's contract for a two-year extension till June 30, 2024, stating that the IBLC meeting dates are set for the second week of the month. There is a concern regarding Segal consultant, Stephen Murphy's participation in IBLC meetings since there are other clients he services with contracts agreeing to Segal services set for the first Wednesday of the month. Segal

<sup>1</sup> Cal. Const. art. XVI, Sec. 17, subd. (a) and (b); Cal. Gov't Code §§ 31525-31527.

has been able to accommodate meetings held virtually; however, this may change when in-person meetings resume for his clients. Another Segal representative may be able to support the meeting in lieu of contracted consultant, Stephen Murphy, should a permanent change be made. Lastly, the Claims Experience data may not be available for distribution prior to the Committee meeting on certain months due to the holiday schedule (i.e., December and January meetings). A supplemental memo with the information will be provided to Trustees when it is made available.

Furthermore, during the trial period, the IBLC and Operations Oversight Committee (OOC) meetings did not have lengthy topics agendized and meeting durations were on average less than 30 minutes. However, flexibility on an earlier start for IBLC (i.e., 8:00 a.m.) and end time (i.e., IBLC: past 9:00 a.m., OOC: past 12:30 p.m.) will be needed for when there are topics agendized needing discussion (i.e., annual renewals, premium increases, presentations). The issue of flexible meeting start times can be addressed with proper agenda language.

#### **CONCLUSION**

Should the Board prefer meeting on a single-day, combined meeting, staff, regular applicant attorneys, and LACERA's Medical Advisor will be able to accommodate this approach on either the first Wednesday of the month or the Thursday following the second Wednesday of the month. However, if held on the first Wednesday of the month, Segal consultant, Stephen Murphy's participation will not be guaranteed.. If a permanent change is approved, staff will bring back proposed language to update the BOR Regulations.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** discuss and approve the preferred meeting schedule, on either a permanent or temporary basis, for the BOR Administrative, Disability and Committee meetings.

#### **Attachment**

July 23, 2021 BOR Memo

cc: Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Management Action Committee (MAC Team)

**ON BEHALF OF TRUSTEES SHAWN KEHOE AND RONALD OKUM**

July 23, 2021

TO: Each Trustee,  
Board of Retirement

FROM: Trustees,  
Shawn Kehoe  
Ronald Okum

FOR: August 4, 2021 Board of Retirement Meeting

SUBJECT: **COMBINING BOR ADMINISTRATIVE, DISABILITY AND COMMITTEE MEETINGS – FIRST WEDNESDAY OF THE MONTH (TRIAL PERIOD)**

**RECOMMENDATION**

That the Board of Retirement (BOR) approve, as a trial period from September 2021 through January 2022, the following:

1. Beginning September 2021, the Board of Retirement temporarily combine its Administrative and Disability meeting to the first Wednesday of the month instead of having separate meetings.
2. Beginning September 2021, the Board of Retirement temporarily move its Insurance, Benefits, and Legislative Committee (IBLC) meeting to the first Wednesday of the month with a start time of 8:00 a.m.
3. Beginning September 2021, the Board of Retirement temporarily move its Operations Oversight Committee (OOC) meeting to the first Wednesday of the month, following the BOR meeting.
4. Beginning September 2021, the Board of Retirement temporarily disband the Disability Procedures and Services Committee (DPSC) and direct all Committee items to the full Board.

**INTRODUCTION**

At the Joint Organizational Governance Committee held on July 21, 2021, a brief discussion took place regarding Trustees' concerns of the workload associated with Board commitment and time requirements. Therefore, we are proposing that the Board of Retirement approve, on a trial bases from September 2021 through January 2022, moving the Board of Retirement and committees to the first Wednesday of the month. The Board leadership elected in January 2022 can re-evaluate this change and determine the best path moving forward.



## **LEGAL AUTHORITY**

The Board of Retirement has the plenary authority and fiduciary responsibility to administer the retirement system, and holds executive, legislative, and quasi-judicial powers. In administering its duties, the Board has the authority to promulgate rules, regulations, and policies.<sup>1</sup>

## **BOARD REGULATIONS**

Under the [Regulations of the Board of Retirement](#):

Regular meetings of the Board of Retirement shall be held on the first Wednesday and the Thursday following the second Wednesday in each month at 9:00 a.m. (Regulations, Section II.1.)

Since this is a temporary change to the meeting schedule, the Regulations do not have to be changed until it is made as a permanent change by the Board of Retirement. Meeting notices will be agendized as a Special Meeting of the Board of Retirement during the trial period.

## **COMMITTEE MEETINGS**

By Board action taken on July 3, 2019, OOC meets after the Board's Administrative meeting held on the first Wednesday of the month, DPSC and IBLC meets before/after the Board's Disability meetings, which takes place the Thursday following the second Wednesday of the month.

LACERA's healthcare consultant, Segal, who participates in the IBLC meetings, is not available on the first Wednesday of the month due to a conflict with meetings of another client system. However, as long as meetings are held virtually and travel to the other client is not necessary, Segal will be able to participate virtually.

Furthermore, monthly data presented to the IBLC is not available in time for an earlier meeting. Thus, should the meeting schedule change be approved, the monthly data will be provided to staff, public and Trustees as a Supplemental Document the week of the meeting. Furthermore, any verbal commentary that Segal would have provided will be held in written form accompanied to any reports. Responses from any Trustee questions at the IBLC meetings will be addressed in the Segal report the following month.

If the Board approves a change in the meeting schedule of the monthly Board meetings, the committee meeting schedule will also change. The IBLC will take place at 8:00 a.m. prior to the BOR meeting, and the OOC will take place following the BOR meeting. Meetings of the DPSC would be disbanded and items will be agendized to the full Board.

□

<sup>1</sup> Cal. Const. art. XVI, Sec. 17, subd. (a) and (b): Cal. Gov't Code §§ 31525-31527.

## **CURRENT STAFF PROCESSES FOR PRESENTING DISABILITY RETIREMENT APPLICATIONS TO THE BOARD OF RETIREMENT**

The following is a summary of processes involved for disability retirement applications both before and after the Board's Disability meeting. These processes primarily involve Disability Retirement Services, Disability Litigation, and the Benefits Division.

### **Disability Retirement Services (DRS)**

- **Before the Meeting**

Once a disability-application has been approved by staff for presentation to the Board of Retirement for a decision, it is placed on a final agenda. "Board Packets," which include a Disability Retirement Evaluation Report, panel-physician report, and application, are delivered to Board members two weeks before the scheduled meeting. Ten days before the meeting, applicants and their attorneys are notified by letter that their applications will be heard by the Board at the next meeting, and the final agenda is posted publicly on LACERA's website.

- **After the Meeting**

Board actions are entered into Workspace and posted on LACERA's website within 48 hours of the Board meeting. Notice of Board Actions are sent to each member within seven days of the Board meeting. If the Board grants a disability retirement, a Board Action Summary is prepared and sent to the Benefits Division to initiate payment.

### **Benefits Division**

Within 45 days from the date DRS sends out the Notice of Board Action, Benefits must contact the member to begin the process of placing the member on LACERA payroll. During this process, the member's effective date of disability retirement is established. Benefits staff reach out to the member's department to coordinate leave benefits and to confirm whether there are any corrections or adjustments needed to the member's time on the books. After counseling members about various topics, staff obtains a signed disability election form stating the retirement date. Advanced Payroll then places the member on payroll.

## **IMPACT OF PROPOSED CHANGES**

The following is a description of the impact of the proposed changes.

### **Benefits and Systems Divisions**

The ratification of service retirements, survivor benefit applications, and reciprocal disability retirements will continue to be placed on the first meeting of the month, so the change in meeting dates will not impact these applications. There should not be any delay for members in processing payment of their disability retirement.

### **Disability Retirement Service (DRS)**

The change will have little impact on Disability Retirement Services. It will continue to have two mailings per month. Disability cases will be delivered two weeks prior to the scheduled meeting and information for the Miscellaneous Agenda will be delivered one week prior to the scheduled meeting. Appropriate pre- and post-board meeting notices will be mailed in conjunction with the new meeting dates. Furthermore, now that virtual participation is provided to applicants and their attorneys, they are able to participate with ease when their case is being heard by the Board. Also, staff has confirmed that the Board's new Medical Advisor can be available the first Wednesday of the month if the meetings are changed.

### **Disability Litigation**

The change will have little impact on Disability Litigation. Appropriate pre- and post-board meeting notices will be mailed in conjunction with the new meeting dates.

### **Retiree Health Care (RHC) and IBLC**

Impact addressed under **COMMITTEE MEETINGS** on page 2.

## **COMMUNICATION TO MEMBERS, COUNTY DEPARTMENTS, AND AFFILIATES**

It is necessary to notify members, County departments, and other affiliates such as applicant attorneys, that meeting dates have changed. This information will be communicated through letters to our members, the human resource offices for each of the County departments, and the applicant attorneys included in Disability Retirement's list of attorneys. Notification of the date changes will also be posted on LACERA's website ([lacera.com](http://lacera.com)) as well as LACERA's website Human Resources Professionals (HR Pros).

## **CONCLUSION**

For these reasons, it is recommended that beginning September 2021, on a trial period through January 2022, the Board of Retirement temporarily combine its Administrative, Disability, and its Committee meetings to the first Wednesday of the month and disband its Disability Procedures and Services Committee meeting directing items to the Board of Retirement.

c:

Santos H. Kreimann	Steven P. Rice
Luis Lugo	Jon Gabel
JJ Popowich	Carly Ntoya
Ricki Contreras	Vincent Lim
Cassandra Smith	Carlos Barrios

November 16, 2021

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Santos H. Kreimann <sup>SHK</sup>  
Chief Executive Officer

FOR: Board of Retirement Meeting on December 1, 2021  
Board of Investments Meeting on December 8, 2021

SUBJECT: **BOARD OF RETIREMENT AND BOARD OF INVESTMENTS 2022  
MEETING CALENDAR**

### **RECOMMENDATION**

It is recommended the Boards review the 2022 meeting calendar and consider rescheduling meeting dates that conflict with a holiday and/or the potential of a lack of quorum.

### **DISCUSSION**

At its December meeting, the Board of Retirement will further discuss the Board meeting schedule and approve a single day combined meeting or having regular meetings on the first Wednesday and the Thursday following the second Wednesday in each month. Regular meetings of the Board of Investments shall be held on the second Wednesday of each month. It is encouraged to focus on rescheduling meeting dates that conflict with a holiday and/or the potential of a lack of quorum.

Following are meeting dates that the Board of Retirement and/or Board of Investments may consider rescheduling due to a lack of quorum:

May 1-4, 2022 – Milken Global Conference (BOR)  
May 10-13, 2022 - SACRS Spring Conference (BOR & BOI)  
November 8-11, 2022 - SACRS Fall Conference (BOR & BOI)

A copy of the 2022 meeting and educational calendar is attached for your reference

SHK:bn

Attachment

# January 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1 New Year's Day
2	3 New Year's Day Observed	4	5 BOR	6	7	8
9	10	11	12 BOI	13 BOR	14	15
16	17 Martin Luther King Jr. Day	18	19	20 NACD Innovation, Technology, and ESG - Virtual	21	22
23	24	25	26	27	28	29
30	31 IFEBP Health Benefits Conference - Clearwater Beach, FL					

## February 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2 <b>BOR</b>	3	4	5
		IFEBP Health Benefits Conference - Clearwater Beach, FL				
6	7	8	9 <b>BOI</b>	10 <b>BOR</b>	11	12
13	14 Valentine's Day	15	16	17	18	19
20	21 Presidents' Day	22	23	24	25	26
		PPI Winter Roundtable - Westlake Village, CA				
27	28					

## March 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2 <b>BOR</b>	3	4	5 CALAPRS General Assembly - TBD
6	7	8 NACD Master Class – Laguna Beach, CA	9 <b>BOI</b>	10 <b>BOR</b>	11	12 CII Spring Conference – Washington, DC CALAPRS General Assembly - TBD
13	14	15	16	17	18	19 AHIP National Conference on Health Policy – Washington, DC
20	21	22	23 NASP Day of Education in Private Equity for Trustees/Staff – TBD	24	25	26
27	28 Cesar Chavez Day	29	30 CALAPRS Adv. Principles of Pension Governance for Trustees - Los Angeles, CA	31		

## April 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat	
			30	31	1	2	
			CALAPRS Adv. Principles of Pension Governance for Trustees - Los Angeles, CA				
3	4	5	6 BOR	7	8	9	
	Wharton Investment Strategies and Portfolio Management - Virtual						
10	11	12	13 BOI	14 BOR	15	16	
17 Easter Sunday	18	19	20	21	22	23	
24	25	26	27	28	29	30	



## May 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4 <b>BOR</b>	5	6	7
Milken Global Conference – Los Angeles, CA						
8 Mother's Day	9	10	11 <b>BOI</b>	12 <b>BOR</b>	13	14
			SACRS Spring Conference – Rancho Mirage, CA			
15	16	17	18	19	20	21
						NCPERS TEDS/PATS/ NAFS - Washington, DC
22	23	24	25	26	27	28
NCPERS Annual Conference - Washington, DC						
NCPERS TEDS/PATS/ NAFS - Washington, DC	IFEBP Washington Legislative Update - Washington, DC					
29	30 Memorial Day	31				

## June 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1 BOR	2	3	4
5	6	7	8 BOI	9 BOR	10	11
12	13	14	15	16	17	18
19 Father's Day	20	21	22	23	24	25
	AHIP Conference – Las Vegas, NV					
	ICGN Annual Conference – Toronto					
26	27	28	29	30		
	IFEBP Public Employee Benefits Institute - Seattle, WA					
	NASP Annual Financial Services Conference – Chicago, IL					
	World Healthcare Congress – National Harbor, MD					

# July 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 Independence Day Holiday	5	6 BOR	7	8	9
10	11	12	13 BOI	14 BOR	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

# August 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3 BOR	4	5	6
7	8	9	10 BOI	11 BOR	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
NCPERS Funding Forum - Los Angeles, CA						
28	29	30	31			

# September 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5 Labor Day	6	7 BOR	8	9	10
11	12	13	14 BOI	15 BOR	16	17
18	19	20	21	22	23	24
		CII Fall Conference – Boston, MA				
25	26	27	28	29	30	

## October 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4 Yom Kippur	5 <b>BOR</b> ICGN Seoul Conference – Seoul, South Korea	6	7	8 NACD Summit – National Harbor, MD
9 NACD Summit – National Harbor, MD	10 Indigenous People's Day	11	12 <b>BOI</b>	13 <b>BOR</b>	14	15
16	17	18	19 PPI Roundtable – Singapore	20	21	22
23 NCPERS Public Safety Conference - Nashville, TN	24	25	26 IFEBP Employee Benefits Conference – Las Vegas, NV	27	28	29
30	31 Halloween					

## November 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2 BOR	3	4	5
6	7	8	9 BOI	10 BOR	11 Veterans Day	12
SACRS Fall Conference – Long Beach, CA						
13	14	15	16	17	18 Hanukkah Begins	19
20	21	22	23	24 Thanksgiving Day	25 Thanksgiving Day Holiday	26
27	28 Hanukkah Ends	29	30			


## December 2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7 BOR	8	9	10
11	12	13	14 BOI	15 BOR	16	17
			NACD Master Class – Scottsdale, AZ			
18	19	20	21	22	23	24 Christmas Eve Holiday
25 Christmas Day	26 Christmas Day Holiday	27	28	29	30	31 New Year's Eve



November 22, 2021

TO: Each Trustee,  
Board of Retirement

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: December 1, 2021 Board of Retirement Meeting

SUBJECT: Board Officer Rotational Policy – Concurrent Board Officer on Both Boards

### **RECOMMENDATION**

That the Board of Retirement discuss whether a trustee of the Board of Retirement can serve as Chair while concurrently serving as Chair of the Board of Investments and provide guidance or a policy amendment, if deemed appropriate.

### **BACKGROUND**

The Board of Retirement approved the Board Officer Rotation Policy at its November 3, 2021 meeting (Attachment A). During this meeting, trustees discussed the issue of a Board of Retirement trustee serving as Chair of the Board of Retirement and Board of Investments and requested that this item be agendaized for further discussion. Currently, there is no limit on trustees serving as officers of both Boards.

Attachment

**BOARD OF RETIREMENT  
BOARD OFFICER ROTATION POLICY**

**PURPOSE**

The Board of Retirement (BOR) has three officers who provide leadership and facilitate the operations of the board as provided by the BOR Charter: Chair, Vice Chair, and Secretary. The BOR Regulations provide that each January the BOR shall elect a trustee to serve as an officer in each of these positions for a term of one year. This policy replaces the process of electing board officers by prescribing a seniority-based system to select board officers each January.

**AUTHORITY**

Pursuant to Article XVI, Section 17 of the California Constitution, the BOR has plenary authority and exclusive fiduciary responsibility for the administration of the system. As such, the BOR may make administrative policies related to the governance of its board operations.

**DEFINITIONS**

“Appointed” means appointed by the Board of Supervisors.

“Board Officer” means Chair, Vice Chair, or Secretary.

“Elected” means elected by the general, safety, or retired members of LACERA.

“Ex officio” means the Treasurer and Tax Collector.

“Opt out” means a trustee’s choice to not commence service as a board officer when eligible based on seniority.

“Seniority” means a trustee’s total accumulated service.

“Seniority list” means a list of appointed, elected, and ex officio trustees ordered by seniority from highest to lowest in each trustee’s years of service. In case of a tie for years of service between trustees, the trustee who has served fewer times in the board officer position for which the seniority list is promulgated shall be higher in position on the list.

“Service” means the trustee’s service while in office as a member of the BOR and includes all combinations of service in his or her capacity as an appointed, elected, or ex officio trustee, including interim ex officio service. Service for an appointed trustee includes the one year between consecutive appointments during which the trustee is not in service to the BOR. Service for an appointed trustee does not include the time he or she remains on the BOR after the expiration of his or her term because his or her successor has not

been appointed in time to take office in January. Service for an elected trustee does not include any breaks in elected service on the BOR.

## **STATEMENT OF POLICY**

### Seniority List

For each board officer position of Chair, Vice Chair, and Secretary, a separate seniority list shall be maintained.

The seniority list shall also include the alternate seventh and alternate retired trustees but not the alternate ex officio trustee.

### Board Officer Selection

With reasonable and sufficient time before the first regular meeting in January, the Executive Board Assistant shall verify the seniority of each trustee on the list for each board officer position who would be in office during the calendar year commencing in January and ascertain in order of seniority which trustee opts to serve as a board officer for the upcoming year. There is no minimum service requirement for eligibility to serve as a board officer. A trustee may serve in only one board officer position during any year.

At the first regular meeting in January, the BOR shall hold a vote to ratify the slate of board officers determined by the Executive Board Assistant under this policy.

An appointed trustee whose term of service has ended but remains on the BOR because his or her successor has not been appointed in time to take office in January is not eligible to serve as a board officer.

A trustee who opts to serve as a board officer shall have his or her seniority reset to zero and begin accruing seniority anew.

Although the alternate seventh and alternate retired trustees may serve as board officers, they shall not vote on board matters unless their voting rights are invoked under the County Employees Retirement Law of 1937.

### Opting Out

A trustee who is eligible to commence service as a board officer based on seniority may opt out of serving without loss of seniority. There is no limit to the number of times a trustee may opt out. A trustee who has seniority in more than one officer position and opts to serve in one position shall not be considered as having opted out of serving in the other position.

### Vacancy

In the event of a vacancy in the office of Chair, Vice Chair, or Secretary, the seniority list for that board officer position will be used to determine which trustee is eligible to serve out the remaining term of office as a successor. The BOR shall vote to ratify the filling of


such vacancy at the next meeting following the vacancy. A trustee who opts to serve out the remaining term of office resulting from a vacancy shall not have his or her seniority reset to zero and, if eligible, may serve as an officer in this position the following year.

Adopted: November 3, 2021



November 17, 2021

To: Each Trustee,  
Board of Retirement

From: Francis J. Boyd,   
Senior Staff Counsel

For: December 1, 2021, Board of Retirement Meeting

Subject: **PROPOSED RECOMMENDATION TO UPDATE LACERA'S  
PROCEDURES FOR DISABILITY RETIREMENT HEARINGS**

### **RECOMMENDATION**

It is recommended that the Board of Retirement adopt the proposed recommendations to update LACERA's Procedures for Disability Retirement Hearings as described below.

### **INTRODUCTION**

After reviewing LACERA's Procedures for Disability Retirement Hearings, staff has concluded the rules need to be updated to comport with the practices followed during the appeal process, clarify some timing inconsistencies, and facilitate the electronic service of records. The rules were last updated in October 2013 when Rule 32, the rule addressing dismissal of the appeal for lack of prosecution, was amended. The current procedural rules are attached for the Board's reference.

### **LEGAL AUTHORITY**

The Board of Retirement has the plenary authority and fiduciary responsibility to administer the retirement system, and it holds executive, legislative, and quasi-judicial powers. It has the sole authority to determine eligibility for a disability retirement. In administering its duties, the Board has the authority to promulgate rules, regulations, and policies.<sup>1</sup>

### **PROPOSED CHANGES**

The below proposed changes are presented in a red-line format so the Board can compare the language of the rules before and after the changes.

#### **A. Rule 5. Applicant's Prehearing Statement**

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<sup>1</sup> Cal. Const., art. XVI, § 17, subd. (a) and (b); Gov. Code Sec. 31725; *Preciado v. County of Ventura, et al.* (1982) 143 Cal.App.3d 783, 789.

Before a hearing can be scheduled, both the applicant and respondent must submit a prehearing statement which states the issues and identifies the evidence to be introduced into the administrative record. Rule 5 of the procedures addresses Applicant's Prehearing Statement. Below are the changes being proposed to Rule 5.

**Changes to Rule 5(a):**

The applicant shall serve upon the referee and the respondent's attorney a prehearing statement, ~~no later than 90 days from the date the applicant is given notice of the assignment of the attorney representing the respondent.~~ The prehearing statement shall contain the following . . .

**Reason for Changes:**

The requirement for the applicant to file a prehearing statement within 90 days of the date the applicant is given notice of the attorney representing the respondent is being removed because Rules 7, 11, and 32 allow an applicant up to 30 months to file a prehearing statement. This inconsistency between the Rules is confusing, especially for unrepresented applicants.

**Changes to Rule 5(a)(2):**

A list and copies of any medical reports and depositions of medical witnesses and any other documentary evidence on which the applicant will rely . . .

**Reason for Changes:**

Submission of documentary evidence into the administrative record that is not medical in nature has always been permitted. The addition of the phrase "and any other documentary evidence" clarifies that the parties can submit non-medical evidence in support of their respective positions which, in turn, satisfies the need to afford them due process.

**Changes to Rule 5(b):**

If in the prehearing statement or at any time during the administrative appeal process, the applicant both alleges and offers medical proof that incapacity is the result of an injury or disease not listed on the application submitted to the Board, or, if listed, on which the applicant

submitted no medical evidence for the Board's review when it considered the application, the administrative appeal shall be suspended, the allegation shall be treated as an amendment to the application and the matter shall be referred back to the Board for its original determination on the application. ~~Upon service of notification of the action taken by the Board on the application, the original appeal shall be considered active. The applicant shall have 90 days from the notice in which to serve on the referee and the respondent's attorney an amended prehearing statement.~~

If the amended application is granted by the Board, the appeal becomes moot. If the amended application is denied by the Board, the amended application joins the original, and, except as otherwise stated in Rule 5(b)(1) below, the appeal resumes as of the date of the notice of the Board action. The date of the notice of the Board action will control any Rule 32 deadline that was not met prior to the amendment. The Rule 32 deadlines will be recalculated in accordance with the time that was remaining as of the date the amended application was accepted by Disability Retirement Services staff for processing.

\*If the applicant met the Rule 32(a)(1) deadline prior to the amendment, then the applicant must serve an amended prehearing statement within 90 days of the notice of the Board action on the amended application.

### **Reason for Changes:**

The above changes clarify how amended applications are handled, noting that when an amended application is granted, the pending appeal is no longer necessary. The changes also clarify how Rule 32 deadlines are calculated when the amended application is denied.

### **Addition of Rule 5(b)(1):**

#### Rule 5(b)(1)

For applications processed under LACERA's Release of Psychiatric/Psychological Records/Reports Policy for Pro Per Applicants, the original appeal shall resume once the applicant has been served with the psychiatric/psychological records permitted by the policy.

**Reason for Addition:**

In December 2017, the Board of Retirement adopted a policy that psychiatric/psychological reports and/or records are not to be given directly to the applicant without confirmation from the authoring psychiatrist, psychologist, or other mental healthcare provider that release of the reports or records do not pose a substantial risk of significant adverse or detrimental consequences to the applicant or another person. Obtaining confirmation from an authoring physician can take up to 60 days. Since the adoption of this policy, it has been the practice not to resume the original appeal until the applicant has been served with the psychiatric/psychological records permitted by the policy or has been notified that the authoring medical providers have determined that the reports or records should not be released to the applicant. This practice prevents penalizing pro per applicants for any delays caused by the policy.

**B. Rule 6. Respondent's Prehearing Statement**

Rule 6 states that the Respondent's Prehearing Statement shall be served on the parties within 90 days from the date of service of the Applicant's Prehearing Statement. Below are the changes being proposed to Rule 6.

**Changes to Rule 6(b):**

A list and copies of any medical reports and depositions of medical witnesses and any other documentary evidence on which the respondent will rely . . .

**Reason for Changes:**

Submission of documentary evidence into the administrative record that is not medical in nature has always been permitted. The addition of the phrase "and any other documentary evidence" clarifies that the parties can submit non-medical evidence in support of their respective positions which, in turn, satisfies the need to afford them due process.

**C. Rule 7. Extension of Time for Filing Prehearing Statement**

**Changes to Rule 7:**

Any party may request an extension of time to submit a prehearing statement upon showing of good cause. A party requesting the extension of time to submit a prehearing statement shall direct the request in writing to the assigned referee. The request shall state the reason the prehearing statement cannot be submitted within the



prescribed time limit. An adverse party shall have 10 days in which to file a written opposition to the request. The referee shall have the power to rule on such a request. This rule cannot be used to circumvent the time limitations imposed by Rule 32.

#### **Reason for Changes:**

Rule 32 addresses the dismissal of appeals for lack of prosecution. Rule 32(d) states: "Rule 7 cannot be used to circumvent the time limitations imposed by Rule 32." Emphasizing in Rule 7 that the rule cannot be used to circumvent the time limitations imposed by Rule 32 provides consistency between Rules 7 and 32.

#### **D. Rule 8. Setting of Hearing Date**

##### **Changes to Rule 8:**

Within 30 days of the filing of respondent's prehearing statement the applicant shall contact the respondent's attorney and the referee to select a mutually agreeable hearing date. ~~The hearing date selected must be within 90 days of the filing of respondent's prehearing statement.~~ If the parties cannot agree on a hearing date ~~within the time specified~~, either party may by letter petition the Board to set the matter for hearing. An adverse party shall have 10 days from the date of the petition to present a written opposition to the Board. The Board may then set the matter for hearing or refer the matter to the assigned referee, who shall either set the matter for a prehearing conference or for a hearing. Arrangements for hearings for the presentation of medical testimony after the close of testimony of lay witnesses may be made at any time prior to the submission of the matter for decision.

#### **Reason for Changes:**

Rules 8 and 32 are inconsistent in describing when the hearing must take place. Rule 8 says the hearing must take place within 90 days of the filing of respondent's prehearing statement. Rule 32 says that the hearing must take place within 3 years from the date the applicant is notified of the assignment of the referee. The proposed change will eliminate the inconsistency.

## **E. Rule 10. Time and Place of Hearings:**

### **Changes to Rule 10:**

Unless the parties and the referee agree otherwise, a hearing shall be deemed set for one half-day; ~~m~~ Morning sessions ~~shall~~ begin at 9:00 a.m. and end at 12:00 noon, and afternoon sessions ~~shall~~ begin at 1:30 p.m. and end at 5:00 p.m. Hearings which are not concluded within either the half-day session, or whatever other time period to which there has been a stipulation, shall be continued to the next agreeable hearing date. When the hearing date and time have been selected, ~~the Board~~ staff shall ~~arrange for a reporter and use of a hearing room and shall in writing notify the parties and the referee send notice to the parties and the referee~~ of the time and place of the hearing ~~and arrange for a~~ the court reporter.

### **Reason for Changes:**

- Removal of the word “shall” allows the parties some flexibility for the start time of the hearing. This change will help to accommodate various issues such as traffic, availability of witnesses, and the disabling conditions of the applicants.
- “Board staff” has been changed to “staff” because approximately 8 years ago, for efficiency reasons, the function of arranging for the court reporter was moved from Disability Retirement Services staff (the Board’s staff) to Disability Litigation Office staff. Using the generic term of “staff” prevents the need to change this rule again if Disability Retirement Services decides in the future to resume this task.

## **F. Rule 12. Written Medical Reports as Evidence**

### **Changes to Rule 12(b)**

A medical witness is a person who by profession is a physician, surgeon, holding an M.D. or D.O. degree, ~~psychologist~~ psychologist, optometrist, dentist, podiatrist, or chiropractic practitioner, licensed by the State of California or by such other jurisdiction in which such person maintains his or her regular practice.

### **Reason for Change:**

This change corrects a misspelling of the word psychologist.

## **G. Rule 29. Oral Argument in Support of Objections to Referee's Recommended Decision**

### **Changes to Rule 29(b)**

When a party has given notice of intent to present oral argument, ~~a continuance to the next Board meeting will be granted only upon a showing of good cause made in writing and directed to the chair of the Board. The Board shall determine if there is good cause for the continuance at the meeting at which the referee's recommended decision would otherwise be considered.~~ the Disability Retirement Services division manager will place the matter on the Board's agenda as soon as practicable with due consideration given to the parties.

#### **Reason for Change:**

In practice, parties have not been required to show good cause to the Board for a continuance of oral argument. Instead, the scheduling of oral argument has been handled by the Disability Retirement Services division manager, taking into consideration a variety of factors such as the availability of the parties.

## **H. Rule 30. Board's Decision After Review of The Record**

### **Changes to Rule 30**

In any case where the Board makes a decision based upon a transcript or summary of all the testimony, plus all other evidence received by the referee, or where the Board sets the matter for hearing before itself, the Board may approve and adopt proposed findings and recommendations of the referee; otherwise, the Board shall direct the prevailing party to prepare proposed findings of fact and conclusions of law consistent with its tentative decision. If the prevailing party is an in pro per applicant, the Board shall direct the Board's counsel to prepare proposed findings of fact and conclusions of law consistent with its tentative decision.

#### **Reason for Change:**

Findings of fact and conclusions of law issued by the Board of Retirement are subject to review in Superior Court and require proper legal justification. Pro per applicants may not be qualified to prepare such findings. Currently, the Board's legal counsel prepares the findings of fact and conclusions of law in circumstances where the Board has not adopted

the referee's recommendation and the prevailing party is in pro per. The changes to Rule 30 ensure that the will of the Board is accurately reflected in the proposed findings of fact and conclusions of law where the prevailing party is an unrepresented applicant.

**I. Rule 33. Service of Documents**

Unless otherwise provided, service of documents provided for in these rules may be made by mail, by electronic service, or by personal service, pursuant to Code of Civil Procedure Section 1013. The time requirements of Code of Civil Procedure Section 1010.6 shall govern all electronic service and Section 1013 shall govern all service by mail.

**Reason for Change:**


This rule explicitly allows the parties to use electronic service of documents in accordance with the Code of Civil Procedure.

**CONCLUSION**

Adopting the proposed changes to the Procedures for Disability Retirement Hearings will clarify the parties' due process rights, eliminate inconsistencies, and allow for the electronic service of documents.


**IT IS THEREFORE RECOMMENDED THAT THE BOARD** adopt the proposed changes to the Procedures for Disability Retirement Hearings.

REVIEWED AND APPROVED

  
\_\_\_\_\_  
JJ Popowich

Assistant Executive Officer

Reviewed and approved.

  
\_\_\_\_\_  
Steven P. Rice, Chief Counsel

Steven P. Rice, Chief Counsel

Enclosure

**L//CERA**

Los Angeles County Employees Retirement Association

# Procedures for Disability Retirement Hearings

***Board of  
Retirement***

As Revised and Approved  
By The Board of Retirement  
October 2013

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# **PROCEDURES FOR DISABILITY RETIREMENT HEARINGS**

## **1. HEARING DE NOVO ON APPEAL:**

When a request for a hearing is received by the Board of Retirement, hereinafter called the Board, the matter shall be referred for hearing de novo before a Board-appointed referee. If the appeal is limited to a dispute concerning the proper date for the commencement of a pension, the hearing shall be limited to that issue.

## **2. NOTIFICATION OF REFERRAL TO HEARING; APPLICANT'S NOTIFICATION OF ASSIGNED REFEREE:**

The Board shall notify the applicant that the matter will be placed on calendar. Notification shall be made within 10 days of the Board's action referring the matter for hearing and shall contain a copy of these procedures. This notification shall show that a copy is going to the assigned referee whose full name and address will be set forth and shall constitute notification to the applicant of the assignment of the referee.

## **3. NOTIFICATION OF ATTORNEY ASSIGNED TO REPRESENT THE RESPONDENT:**

A copy of the Board's file shall be forwarded to the respondent's attorney for assignment. Within 10 days of his or her receipt of the file from the Board, the respondent's attorney will in writing notify the Board and the applicant of the respondent's attor-



ney's name, address and telephone number. Receipt of the Board's file by the respondent's attorney shall constitute notification to the respondent of the assignment of the referee.

#### **4. PETITION FOR AUTOMATIC REASSIGNMENT:**

Each party to a hearing shall be entitled to reassignment of the hearing to another referee in accordance with the provisions of this section. Each party shall be entitled to make only one such petition. Proceedings for such assignment shall be instituted by the making of a petition supported by a declaration under penalty of perjury in substantially the following form:

STATE OF CALIFORNIA )

SS.

COUNTY OF )

, declares under penalty of perjury: That (s)he is (a party) (an attorney for a party) to the above-named case. That affiant believes that (s)he cannot have a fair and impartial trial before the referee to whom the case is assigned. I declare under penalty of perjury that the foregoing is true and correct.

Executed on \_\_\_\_\_  
at

\_\_\_\_\_, California.

\_\_\_\_\_

(Signature)

The declaration shall be filed not more than ten days after the service of the notification of assignment of the referee and shall be directed to the attention of the Board of Retirement.

## **5. APPLICANT'S PREHEARING STATEMENT:**

- (a) The applicant shall serve upon the referee and the respondent's attorney a prehearing statement no later than 90 days from the date the applicant is given notice of the assignment of the attorney representing the respondent. The prehearing statement shall contain the following:

  - (1) A statement of the issues and the contentions of the applicant, and a summary of the evidence to be presented;
  - (2) A list and copies of any medical reports and depositions of medical witnesses on which the applicant will rely;
  - (3) The names, business addresses and telephone numbers of any lay witnesses whose testimony the applicant intends to present at the hearing, and a synopsis of each witness's expected testimony.
  - (4) The names of any medical witnesses the applicant intends to call for oral testimony at a hearing or hearings set for that purpose and a synopsis of the expected testimony. Such a listing shall not constitute a waiver of a subsequent request for medical testimony under Rules 8 and 13 made prior to the submission of the case for decision.
- (b) If in the prehearing statement or at any time during the administrative appeal process, the applicant both alleges and offers medical proof that incapacity is the result of an injury or disease not listed on the application submitted to the Board, or, if listed, on which the applicant submitted no medical evi-

dence for the Board's review when it considered the application, the administrative appeal shall be suspended, the allegation shall be treated as an amendment to the application and the matter shall be referred back to the Board for its original determination on the application. Upon service of notification of the action taken by the Board on the application, the original appeal shall be considered active. The applicant shall have 90 days from the notice in which to serve on the referee and the respondent's attorney an amended prehearing statement.

- (c) If the applicant has a dispute with the date selected by the Board for the commencement of a pension or, if the Board in its initial decision found that the applicant asserts that the proper commencement date for the pension is a date other than the date of the application, the applicant shall raise the pension commencement date as an issue and shall state the applicant's contention in the prehearing statement. Nothing in this rule relieves the applicant from the requirements of Article VIII of the Bylaws of the Board of Retirement.

## **6. RESPONDENT'S PREHEARING STATEMENT:**

The respondent shall serve upon the referee and the applicant a prehearing statement no later than 90 days from the date of service of the applicant's prehearing statement. The prehearing statement shall contain the following:

- (a) A statement of the issues and the contentions of the respondent, and a sum-

- mary of the evidence to be presented;
- (b) A list and copies of any medical reports and depositions of medical witnesses on which the respondent will rely;
  - (c) The names, business addresses and telephone numbers of any lay witnesses whose testimony the respondent intends to present at the hearing, and a synopsis of each witness's expected testimony.
  - (d) The names of any medical witnesses the respondent intends to call for oral testimony at a hearing or hearings set for that purpose and a synopsis of the expected testimony. Such a listing shall not constitute a waiver of a subsequent request for medical testimony under Rules 8 and 13 made prior to the submission of the case for decision.

## **7. EXTENSION OF TIME FOR FILING PREHEARING STATEMENT:**

Any party may request an extension of time to submit a prehearing statement upon showing of good cause. A party requesting the extension of time to submit a prehearing statement shall direct the request in writing to the assigned referee. The request shall state the reason the prehearing statement cannot be submitted within the prescribed time limit. An adverse party shall have 10 days in which to file a written opposition to the request. The referee shall have the power to rule on such a request.

## **8. SETTING OF HEARING DATE:**

Within 30 days of the filing of respondent's prehearing statement the applicant shall contact the respondent's attorney and the

referee to select a mutually agreeable hearing date. The hearing date selected must be within 90 days of the filing of respondent's prehearing statement. If the parties cannot agree on a hearing date within the time specified, either party may by letter petition the Board to set the matter for hearing. An adverse party shall have 10 days from the date of the petition to present a written opposition to the Board. The Board may then set the matter for hearing or refer the matter to the assigned referee, who shall either set the matter for a prehearing conference or for a hearing. Arrangements for hearings for the presentation of medical testimony after the close of testimony of lay witnesses may be made at any time prior to the submission of the matter for decision.

#### **9. TWO-YEAR REVIEW HEARINGS:**

The date selected for two-year review hearings must be within 90 days of the date the respondent's attorney is assigned to the case. Failure of the applicant to cooperate in setting a hearing within the prescribed 90 day limit shall be deemed a waiver of the right to a hearing. If the matter is not heard within this 90-day period without specific approval of an extension by the Board, the referee shall report this fact to the Board and the applicant will be deemed no longer incapacitated for duty.

#### **10. TIME AND PLACE OF HEARINGS:**

Unless the parties and the referee agree otherwise, a hearing shall be deemed set for one half-day. Morning sessions shall begin at 9:00 a.m. and end at 12:00 noon, and afternoon sessions shall begin at 1:30 p.m.

and end at 5:00 p.m. Hearings which are not concluded within either the half-day session, or whatever other time period to which there has been a stipulation, shall be continued to the next agreeable hearing date. When the hearing date and time have been selected, the Board staff shall arrange for a reporter and use of a hearing room and shall in writing notify the parties and the referee of the time and place of the hearing.

## **11. PENALTIES FOR FAILURE TO COMPLY WITH REQUIREMENTS OF THE RULES:**

- (a) Failure of the applicant to comply with the requirements set forth in these rules shall result in the matter being taken off calendar until such time as said requirements have been met.
- (b) Failure of the respondent's attorney to comply with the requirements set forth in these rules shall result in an extension in favor of the applicant of any period in which the applicant must act. The extension shall be an amount equal to any delay resulting from the respondent's attorney's failure to comply.

## **12. WRITTEN MEDICAL REPORTS AS EVIDENCE:**

### **(a) *Statement of Policy:***

It is policy of the Board that production of medical evidence shall be in the form of written medical reports attached to the parties' prehearing statements. A written medical report bearing the signature of the medical witness shall be admissible in evidence as the author's direct testimony. Such medical reports shall not be inadmissible on the basis

that they constitute hearsay, but each party shall have the right to cross-examine the authors of medical reports at a hearing or hearings held subsequent to the hearing of lay testimony.

**(b) *“Medical Witness” Defined:***

A medical witness is a person who by profession is a physician, surgeon, holding an M.D. or D.O. degree, psychologist, optometrist, dentist, podiatrist, or chiropractic practitioner, licensed by the State of California or by such other jurisdiction in which such person maintains his or her regular practice.

**(c) *Late Submission of Medical Reports:***

Submission of a medical report subsequent to the filing of the party’s pre-hearing statement shall be allowed only upon a showing of good cause. The party requesting submission of such a medical report shall address the request to the referee assigned the case. The request shall state the reason the medical report was not timely produced. The referee shall have the power to rule on such a request.

“Good Cause” shall include an opportunity to have a medical witness comment in a written report on testimony produced at a hearing.

However, if the medical report is allowed to be submitted into evidence, the adverse party shall have the right to a continuance to engage in further discovery, obtain rebuttal medical evidence and/or to cross-examine the medical witness.

### **13. ORAL TESTIMONY OF MEDICAL WITNESSES:**

**(a) *Hearings:***

- (1) Oral testimony of a medical witness on direct or cross-examination, for any purpose, shall be taken at a hearing set at a reasonable time as requested by the medical witness in the office of the medical witness, or such other reasonable place requested by the medical witness. If the parties and the referee so agree, the referee need not attend such a hearing and the referee shall consider the transcript of the medical witness's testimony as evidence in reaching the recommended decision.
- (2) Hearings for oral testimony of medical witnesses for any purpose shall be requested at any time prior to the submission of the case for decision and shall be set to take place after the completion of testimony of lay witnesses.

**(b) *Depositions:***

A medical witness's deposition may be taken before the referee. The deposition shall be scheduled at a reasonable time as requested by the medical witness. The deposition shall take place in the office of the medical witness, or such other reasonable place as requested by the medical witness. If the parties and the referee so agree, the referee need not be present at such a deposition.

**(c) *Subpoenas and Fees:***

- (1) Issuance of a subpoena for a medical witness's attendance at a hearing or deposition shall be contingent on the party accepting the ob-



ligation to pay the medical witness the fees set forth herein.

- (2) The party requesting oral testimony of a treating physician shall advance to the treating physician such fees and mileage as Government Code Section 68093 prescribes for ordinary, non-expert witnesses in the superior court. If the party requesting oral testimony of a treating physician intends to question the physician as to the physician's expert opinion, the party requesting the oral testimony shall advance to the medical witness an expert witness fee.
- (3) The party requesting oral testimony of a medical witness who is not the applicant's treating physician shall in all cases advance to the medical witness an expert witness fee. The witness shall be entitled to claim an expert witness fee on the same conditions that such witness would be entitled to claim under Government Code Section 68092.5 if subpoenaed to testify in a civil action or proceeding.
- (4) When payment of an expert witness fee is required, the party requesting the oral testimony shall contact the office of the medical witness and determine the witness's reasonable and customary hourly or daily fee and shall advise the office of the medical witness of the anticipated length of the deposition or hearing. The medical witness's fee, based on the witness's reasonable customary rate and anticipated length of the testimony, shall be delivered to the

medical witness at least 10 days in advance of the deposition or hearing. If a balance is due following the testimony, the party requesting the oral testimony shall pay the balance upon receipt of an itemized statement. Disputes as to fees between the medical witness and the party requesting the oral testimony shall be resolved by the referee in the manner prescribed in Rule 16.

- (5) Failure to serve a subpoena and/or pay the prescribed witness fee in advance may be treated by the referee and Board as a waiver of the right to question such witness. Failure to advance the expert witness fee will be deemed a waiver of the right to question the witness or require the witness's appearance at the deposition or hearing, and any subpoena which may have been issued to compel the witness's attendance shall be canceled and shall be of no further force or effect. Service of the subpoena and payment of the fee may be made by mail if the witness so agrees.

#### **14. TESTIMONY OF WITNESSES WITHOUT NOTICE:**

Upon request made to the referee, a witness not listed in the prehearing statement may be called to testify provided the party making the request presents a synopsis of the expected testimony and a showing of good cause as to why such witness was not originally listed in the prehearing statement.

The referee shall have the power to rule on the request. If the witness is allowed to tes-

tify, the adverse party shall have the right to a continuance to obtain rebuttal evidence and/or to cross-examine the witness. The party originally calling the witness to testify shall bear the responsibility of insuring the witness's attendance at a further hearing set for the witness's cross-examination.

## **15. DEPOSITIONS OF LAY WITNESSES:**

Any party to the proceeding may cause the depositions of lay witnesses, including the applicant, to be taken in the manner prescribed by law for depositions in civil actions in the superior courts of this state. Attendance of lay witnesses and the production of records in regard to depositions may be required and appropriate subpoenas will be issued by the Board. The parties shall bear their own costs for such depositions. If the parties and the referee so agree, the referee need not be present at such a deposition. Depositions of medical witnesses shall be governed by Rule 13.

## **16. RESOLUTION OF DISPUTES IN REGARD TO DISCOVERY AND HEARING PROCEDURE:**

Disputes in regard to depositions and other discovery and hearing procedure shall be resolved by the referee. If not made at a hearing, a request for resolution of a dispute shall be made in writing and may be supported by declarations, a copy of the deposition transcript if appropriate, a memorandum of points and authorities and a proposed resolution. The adverse party and the witness involved shall have 10 days after service of such a request in which to respond. The response may be accompanied by declarations, a copy of the

deposition transcript if appropriate, a memorandum of points and authorities and a proposed resolution.

The referee shall notify the parties and the witness involved of the referee's resolution of the dispute within 30 days of receipt of the request for resolution of the dispute.

## **17. ENFORCEMENT OF RIGHT OF DISCOVERY AND COMPELLING TESTIMONY:**

If a deponent or witness refuses to appear at a deposition or hearing, refuses to answer questions or otherwise obstructs discovery contrary to the resolution made by the referee, upon the request of either party supported by a declaration as to the facts with proof of service on the adverse parties and the deponent or witness, and upon the referee's determination that good cause has been shown therefore, the referee shall refer the matter to the Board with a recommendation that the deponent or witness be held in contempt and that a report of the fact be made by the chair to a judge of the superior court under the provisions of Government Code Sections 31535 and 25170-25175. The referee shall serve his recommendation on the parties and the deponent or witness. The deponent or witness shall be personally served with a subpoena to attend the hearing before the Board in regard to contempt, a copy of the request of the moving party, the referee's recommendation, and a notice that the Board will consider the referee's recommendation following the deponent or witness being given an opportunity to be heard.

## **18. AGREED MEDICAL EXAMINERS:**

Upon review of the medical evidence presented, the referee may at his discretion refer either the applicant, all of the medical reports submitted, or both, to a physician acceptable to both the respondent's attorney and the applicant for a medical evaluation by an Agreed Medical Examiner. A copy of the report of the Agreed Medical Examiner shall be furnished to each party. Each party shall have 10 days after service of the report to examine said report and demand in writing an opportunity to cross-examine the Agreed Medical Examiner who shall be deemed to be the referee's witness and may be examined by either party as if under cross-examination. Nothing in this section is intended to preclude any party from producing his or her own medical reports at the time of the hearing. Any physician selected under this section shall be compensated by the Board for the examination and report.

## **19. PROCEDURAL CONFERENCES; UNREPRESENTED APPLICANTS**

If either the respondent's attorney, the applicant, or the referee so desires, there may be a procedural conference for the purpose of attempting a reconciliation of any dispute to include attempting to agree to or to obtain the referee's ruling on a dispute as to the admissibility or inadmissibility of evidence.

The procedural conference will be scheduled for any applicant not represented by counsel.

## **20. SUBPOENAS:**

It shall be the responsibility of the applicant and the respondent's attorney to obtain those subpoenas which they deem necessary for the presentation of their respective portions of the case. All subpoenas are to be issued in accordance with Government Code Section 31535.1.

## **21. FURTHER MEDICAL AND LAY EVIDENCE:**

- (a) On the request of the respondent's attorney the Board's disability retirement staff may obtain independent medical examinations and/or investigations. The fees for these medical examinations and/or investigations shall be paid by the Board.
- (b) The applicant shall submit to examinations by physicians appointed by the Board's disability retirement staff where reasonably necessary to the respondent's discovery of the claim. Such examinations shall be scheduled with due consideration to the applicant's convenience and ability to attend.
- (c) A referee may, at his or her discretion, direct the disability staff to refer the applicant or medical records submitted, or both, to a physician appointed by the Board, for further medical evaluation. The physician will provide a written medical report to the referee with copies to counsel for the parties.  
The applicant shall submit to medical examinations pursuant to this rule.

## **22. DISABILITY APPLICATION; DISABILITY RETIREMENT EVALUATION REPORT:**

- (a) The disability retirement application shall be admitted as an exhibit and made a part of the record in all hearings.
- (b) The disability retirement evaluation report shall be admitted as an exhibit and made a part of the record in all hearings, subject to the right of either party to object to portions thereof.

## **23. CONDUCT OF HEARINGS:**

- (a) Oral evidence shall be taken only on oath or affirmation.
- (b) Each party shall have these rights: to call and examine witnesses; to introduce exhibits, to include reports and depositions of medical witnesses; to cross-examine opposing witnesses on any matter relevant to the issues even though that matter was not covered in the direct examination; to impeach any witness regardless of which party first called the witness to testify; and to rebut adverse evidence. If the applicant does not testify, the applicant may be called and examined as if under cross-examination.
- (c) The hearing need not be conducted according to technical rules relating to evidence and witnesses. Any relevant evidence shall be admitted if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs regardless of the existence of any common law or statutory rule which might make improper the admission of such evidence over objection in civil actions. The rules of privilege shall be effective to the extent that they are otherwise required by statute to be recognized at the hearing, and irrelevant

and unduly repetitious evidence shall be excluded.

- (d) Hearsay evidence may be used for the purpose of supplementing or explaining other evidence but shall not be sufficient in itself to support a finding unless it would be admissible over objection in civil actions. This section shall not be applicable to written medical reports received into evidence pursuant to Rule 12(a).

## **24. AFFIDAVITS:**

- (a) A party may include as part of the party's prehearing statement a copy of any affidavit which the party proposes to introduce in evidence, together with a notice as provided in subdivision (b) below. Unless the opposing party, within 15 days after such mailing or delivery, mails or delivers to the proponent a request to cross-examine an affiant, his right to cross-examine such affiant is waived and the affidavit, if introduced in evidence, shall be given the same effect as if the affiant had testified orally. If an opportunity to cross-examine an affiant is not afforded after request therefor is made as herein provided, the affidavit may be introduced in evidence, but shall be given only the same effect as other hearsay evidence.
- (b) The notice referred to in subdivision (a) shall be substantially in the following form:

The accompanying affidavit (here insert name of affiant) will be introduced as evidence at the hearing in (here insert title of proceeding). (Here insert name of affiant) will not be called to testify orally and



you will not be entitled to question him unless you notify (here insert name of proponent or his attorney) at (here insert address) that you wish to cross-examine him. To be effective your request must be mailed or delivered to (here insert name of proponent or his attorney) on or before (here insert a date [15] days after the date of mailing or delivering the affidavit to the opposing party).

## **25. OFFICIAL NOTICE:**

If the referee takes or has taken or proposes to take judicial notice of any matter specified in Section 452 or in subdivision (f) of Section 451 that is of substantial consequence to the determination of the action, Section 455 of the Evidence Code shall be followed to afford each party reasonable opportunity to be heard and submit evidence on the matter.

## **26. SERVICE OF PROPOSED FINDINGS OF FACT AND RECOMMENDED DECISION:**

The proposed findings of fact and recommended decision of the referee shall be served on the parties by the referee who presided at the hearing.

## **27. OBJECTIONS TO PROPOSED FINDINGS OF FACT AND RECOMMENDED DECISION:**

An unsuccessful party shall have 20 days after service of the proposed findings of fact and recommended decision to submit written objections to the referee and to the Board and to serve the objections on

adverse parties. Adverse parties shall have 10 days in which to respond. The objections and any response shall be incorporated in the record to be considered by the Board. Within 30 days after the referee has received the objections, the referee may:

- (a) Adopt the findings originally submitted, or
- (b) Make such changes in the findings as the referee deems appropriate in light of the evidence, the objections submitted by the unsuccessful party, and any response.
- (c) Upon a finding of good cause, reopen the record to admit additional evidence after allowing each party a reasonable opportunity to be heard and submit rebuttal evidence on the matter. A party must make the request to be heard within 30 days of service of notice that a matter is being judicially noticed.

## **28. FILING OF PROPOSED FINDINGS OF FACT AND RECOMMENDED DECISION AND RECORD ON APPEAL; ACTION BY THE BOARD:**

The referee shall then submit to the Board's staff the Proposed Findings of Fact and Recommended Decision together with a summary of the evidence, the pleadings of the parties, and the exhibits offered by the parties, both those received into evidence and those not received. The Board's staff shall refer to the Board for its consideration the referee's Proposed Findings of Fact and Recommended Decision, the objections and any replies by the parties and the documents the Board considered at the time of its initial determination. After reviewing the documents referred to it by the Board's staff, the Board may:

- (a) Approve and adopt the proposed findings and the recommendations of the referee, or
- (b) Require a transcript or summary of all the testimony, plus all other evidence received by the referee. Upon the receipt thereof, the Board shall take such action as in its opinion is indicated by such evidence, or
- (c) Refer the matter back with or without instructions to the referee for further proceedings, or
- (d) Set the matter for hearing before itself. At such hearing the Board shall hear and decide the matter as if it had not been referred to the referee.

## **29. ORAL ARGUMENT IN SUPPORT OF OBJECTIONS TO REFEREE'S RECOMMENDED DECISION:**

- (a) The Board will allow the presentation of oral argument in support of objections to the referee's recommended decision where the following requirements are met:
  - (1) Written objections to the referee's recommended decision must have been filed under Rule 27.
  - (2) Written notice of the intent to present oral argument must be given both to the chair of the Board and to opposing counsel no later than 10 days before the meeting at which the Board will consider the referee's recommended decision.
- (b) When a party has given notice of intent to present oral argument, a continuance to the next Board meeting will be granted only upon a showing of good cause made in writing and directed to the

chair of the Board. The Board shall determine if there is good cause for the continuance at the meeting at which the referee's recommended decision would otherwise be considered.

- (c) The order and time for oral argument shall be as follows:
- Party who requested oral argument (5 minutes)
  - Response (5 minutes)
  - Rebuttal to response (2-1/2 minutes)
  - Further argument and discussion (At Board's discretion)

If both parties submit objections, applicant's counsel shall address the Board first.

Time limits should not be construed as binding, but shall be at the discretion of the Board chair.

- (d) If a party who requested an opportunity to present oral argument wishes to withdraw the request, the party shall immediately notify the Board and opposing counsel.

### **30. BOARD'S DECISION AFTER REVIEW OF THE RECORD:**

In any case where the Board makes a decision based upon a transcript or summary of all the testimony, plus all other evidence received by the referee, or where the Board sets the matter for hearing before itself, the Board may approve and adopt proposed findings and recommendations of the referee; otherwise, the Board shall direct the prevailing party to prepare proposed findings of fact and conclusions of law consistent with its tentative decision. The proposed findings of fact and conclusions of law shall be served on the unsuccessful

party who shall have 10 days after such service to serve and file written objections thereto. Thereafter, the Board shall consider such written objections, if any, and shall adopt such findings of fact and conclusions of law as it deems appropriate.

### **31. ALTERATION OF TIME REQUIREMENTS:**

Nothing in these procedures is to be construed as preventing the parties from stipulating to lesser intervals than those prescribed above. The referee may for good cause shown, after giving both parties an opportunity to be heard, shorten or lengthen the times specified above.

### **32. DISMISSAL FOR LACK OF PROSECUTION:**

- (a) The applicant's case shall be dismissed with prejudice if the applicant fails to:
  - 1. File a prehearing statement pursuant to Rule 5 within 30 months from the date the applicant is notified of the assignment of the referee, or
  - 2. Commence a hearing within 3 years from the date the applicant is notified of the assignment of the referee.  
"Commence a hearing" means completion of at least one day of hearing where evidence and testimony is presented to a referee.
- (b) Notwithstanding the above, no case shall be dismissed without one-year prior written notice that failure to comply with subsection (a) will result in dismissal of the case with prejudice.
- (c) Rule 32 is not applicable to two-year hearing reviews.
- (d) Rule 7 cannot be used to circumvent the time limitations imposed by Rule 32.

- (e) In cases, where an applicant has failed to prosecute their appeal pursuant to the criteria above, the Disability Retirement Services Division Manager will document the applicant's account, and process the dismissal.

### **33. SERVICE OF DOCUMENTS:**

Unless otherwise provided, service of documents provided for in these rules may be made by mail or by personal service. The time requirements of Code of Civil Procedure Section 1013 shall govern all service by mail.

### **34. JUDICIAL REVIEW:**

Code of Civil Procedure Section 1094.6 has been adopted and applies to judicial review of any decision of the Board or of the Los Angeles County Employees Retirement Association. (Adopted June 3, 1981.)




**300 North Lake Avenue • Pasadena, CA 91101-4199**  
**800-786-6464 • [lacera.com](http://lacera.com)**

**FOR INFORMATION ONLY**

November 16, 2021

TO: Each Trustee  
Board of Retirement

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: December 1, 2021 Board of Retirement Meeting

SUBJECT: **State and Federal Legislative Updates**

Since our state and federal legislative advocates gave their last update on January 6, 2021, much has happened this past year against the backdrop of the pandemic that continues to affect employment and supply chains. Governor Newsom survived a recall election while the State of California enjoys a historical budget surplus. President Biden has been in office for a year, recently signed the bipartisan infrastructure bill into law, and continues to work on the budget reconciliation bill.

Our legislative advocates will provide an educational update of these and other significant legislative developments at the state and federal level that occurred this past year as well as their outlook for the upcoming year.

Joe Ackler of Ackler & Associates and Naomi Padron of McHugh Koepke & Associates will provide updates on the California Legislature and the Office of the Governor. Tony Roda of Williams & Jensen and Shane Doucet of Doucet Consulting Solutions will provide updates on Congress and the Executive Office of the President.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

**Attachments**

Presentation by Ackler & Associates and McHugh Koepke & Associates  
Presentation by Williams & Jensen and Doucet Consulting Solutions



State and Federal Legislative Updates  
Board of Retirement  
November 16, 2021  
Page 2

cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Jon Grabel  
Joe Ackler, Ackler & Associates  
Naomi Padron, McHugh Koepke & Associates  
Tony Roda, Williams & Jensen  
Shane Doucet, Doucet Consulting Solutions





LACERA BOARD OF RETIREMENT MEETING



- Governor Newsom's Recall Election
- Legislature's Recall Proposal
- State Budget - Record Surplus



- COVID Capitol Atmosphere
- CERL Legislation – 2021
- Retirement Committee Chairs
- Draper Initiative



- 2022 Elections
- Redistricting
- Capitol Day – Santos H. Kreimann
- Governor Newsom / Legislature a More Progressive Agenda





# CAPITOL RENOVATION





# CAPITOL RENOVATION





# CAPITOL RENOVATION

MASSING & FACADE DESIGN  
RELATIONSHIP TO HISTORIC WEST WING  
*Welcoming, light & transparent*



MASSING & FACADE DESIGN  
RELATIONSHIP TO PARK & TREES  
*The design protects the Moon Tree and Sisters of Mercy grove on the north side of the new Annex.*

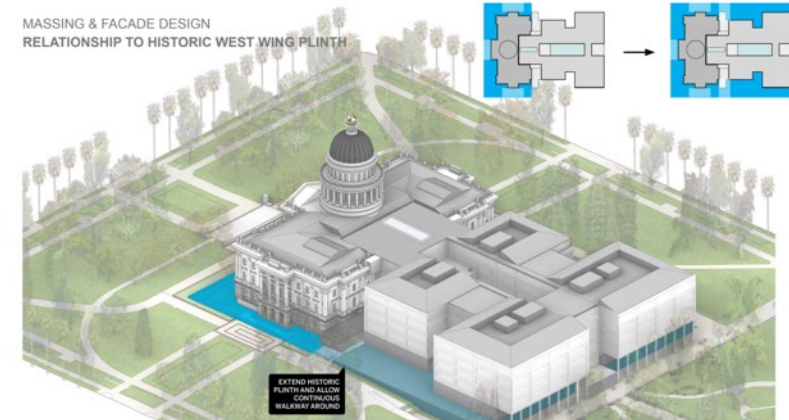
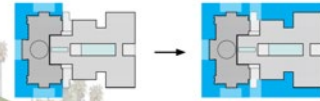


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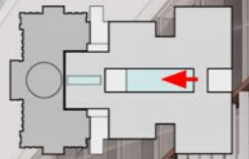
- FUNCTIONAL DESIGN
- ON BUDGET
- 506,000 GSF



MASSING & FACADE DESIGN  
RELATIONSHIP TO HISTORIC WEST WING PLINTH



BUILDING ENTRIES & PUBLIC SPACE  
LIGHT COURT





An aerial photograph of a city during sunset. The sky is a mix of deep blue and orange, with scattered white clouds. The sun is low on the horizon to the right, casting a golden glow over the city. In the center, a large, white, classical-style building with a prominent dome and arched windows is visible. Other buildings of various architectural styles are scattered throughout the cityscape. A semi-transparent dark rectangle with a white border is centered over the image, containing the text "QUESTIONS AND ANSWERS" in white, uppercase letters.

# QUESTIONS AND ANSWERS





THANK YOU





## **Federal Legislative & Regulatory Update**

# Non-Tax Issues

- Windfall Elimination Provision (WEP)
- Early Age Medicare for Retired First Responders

# WEP and Social Security Benefit

- Reduces your Social Security benefit if you also earn a retirement benefit from non-Social Security covered employment (i.e., no FICA tax)
- SS benefit is based on average monthly earnings and the following calculations:
  - 90% of first \$996 of average monthly earnings
  - 32% of \$997 through \$6,002, plus
  - 15% of remainder

# WEP Application

- SS benefit is based on average monthly earnings and the following calculations:
  - 90% of first \$996 (WEP reduces 90% to 40%)
  - 32% of \$997 through \$6,002, plus
  - 15% of remainder
- No WEP Penalty:  $\$996 \times 90\% \times 12 \text{ months} = \$10,757$
- WEP Penalty :  $\$996 \times 40\% \times 12 \text{ months} = \$4,781$
- Difference of \$5,976 annually!

# WEP Legislation

- Full Repeal of WEP and GPO (spousal benefit)
  - S. 1302, Sen Sherrod Brown (D-OH)
  - H.R. 82, Rep. Rodney Davis (R-IL)
  - H.R. 5723, Social Security Sub. Ch. John Larson (D-CT)
- WEP-only Reform
  - H.R. 2337, W&Ms Chairman Richard Neal (D-MA)
  - H.R. 5834, Ranking Member Kevin Brady (R-TX)

# New Medicare Proposal

Age 50 optional buy-in for retired first responders

Parts A, B, C, and D

Premiums set separately for new pool

S. 2236, Sen. Sherrod Brown  
(D-OH)

H.R. 4148, Rep. Tom Malinowski  
(D-NJ)



# Tax Issues

## SECURE Act 2.0 (H.R. 2954)

- **RMD** age trigger would be increased to 75 for years 2032 and beyond (now set at age 72)
- **Catch up** contribution annual limit for ages 62-64 increased to \$10k
- All **catch up** contributions made under Roth rules (post-tax contributions; tax-free distributions)

## SECURE 2.0, cont.

- Flexibility on recoupment of **overpayments**; plans will not fail to be qualified, if:
  - 1) The plan fails to obtain payment from participant, beneficiary, employer, sponsor, fiduciary or other party, or
  - 2) Amends plan to reduce past or future benefit payments in order to adjust for prior inadvertent overpayments
- This would not relieve trustees of their fiduciary duty of loyalty to all plan beneficiaries, including the satisfaction of all trust liabilities

# HELPS, IRC §402(I)

- Improvements to the Healthcare for Local Public Safety Act (HELPS), annual \$3k exclusion for retired first responders' health insurance premiums
  - Repeal direct payment requirement
  - Double \$3k annual exclusion cap
  - Index annual cap for inflation

# Regulatory Front

## Proposed ESG Rule

- See-saw guidance from U.S. DOL under ERISA reflecting different political dispositions of presidential administrations
- Why do we care? Because state and local policymakers and plan fiduciaries often look at federal ERISA rules for guidance on how to shape their policies
- Trump DOL Final Rule (2020): Fiduciaries may use non-financial factors to choose between two otherwise equal investment alternatives, but heavy documentation requirements – result in a chill in ESG investing

# Biden's Proposed Rule on ESG

- Biden DOL Proposed Rule (10/14/21)
- Comments due on or before 12/13/21
- Fiduciary duty of prudence is satisfied if, among other requirements, appropriate consideration is given to certain factors, including...

# Biden's Rule on ESG, cont.

- The projected return...relative to the plan objectives..., which may often require an evaluation of the economic effects of climate change and other ESG factors on the particular investment
- Close to a mandate, but still discretionary for fiduciaries

# Biden's Rule, cont.

Examples of ESG factors listed in Biden rule:

Climate change

Board composition and executive compensation

Workforce diversity and labor relations

# Potential Tax Increases “Revenue Raisers”

- Rothification
- Financial Transactions Tax
- Unrelated Business Income Tax



# Rothification

- Tax differences between traditional and Roth accounts (457(b), 403(b), 401(k), and IRAs)
- Contributions are pre-tax (traditional) and post-tax (Roth)
  - Traditional accounts are subject to regular income tax at distribution
  - Roth accounts are tax-free at distribution

# Rothification, cont.

- From a federal budgeting standpoint, requiring more contributions to be made on the Roth method (post-tax) means more dollars are taxed in the current 10-year budget window
- This means Rothification is a revenue raiser and very valuable in tax legislation

# Rothification, cont.

## Ways to impose Rothification:

- Roth mandate on all contributions immediately or phased in
- Dollar cap on annual traditional contributions, then all contributions in excess of cap must be made under the Roth method
- Roth mandate on all catch-up contributions (included in recent House SECURE 2.0 bill)

# Financial Transactions Tax (FTT)

- Sen. Bernie Sanders (I-VT), S. 1283, would impose a 50 basis points (bps) tax on trading transactions related to corporate stock, 10 bps on bonds (Rep. Barbara Lee, D-CA, H.R. 2735)
- Sen. Brian Schatz (D-HI), S. 817, would impose a 10 bps tax on trading transactions of stocks and bonds (Rep. Peter DeFazio, D-OR, H.R. 328)
- No exemptions for pension plans
- Would raise significant revenue

# Unrelated Business Income Tax (UBIT)

- Would subject state and local governmental plans to the federal UBIT tax
- Likely exposure would be to certain private equity and hedge fund investments
- Passed by House in 2017 (part of tax reform) but dropped in conference with the Senate

# UBIT Arguments, cont.

- House GOP argument:
  - Public pensions are qualified plans under IRC §401(a)
  - §401(a) is referenced in §511, which is the UBIT provision of the tax code
  - Therefore, the UBIT tax applies to public plans and the new provision is simply a clarification of existing law
- Counterargument was that public plans are exempt from all federal taxes by virtue of §115, which excludes from gross income any income derived from the exercise of any essential governmental function and accruing to a State or political subdivision

# UBIT Arguments, cont.

- Also, IRS News Release (IR-1869), posted 8/10/77, answered question of whether trusts related to governmental plans are subject to income tax:
  - “Under consideration is whether trusts relating to such 401(a) plans are subject to tax on their income. Pending completion of the review, the IRS will resolve these issues in favor of the taxpayer or governmental unit.”
- Again, in 1997 the IRS stated in a letter to the Florida Retirement System that, “the taxpayer or governmental unit may continue to rely on IR-1869 with respect to unrelated business income tax related to a governmental plan.”

## UBIT Revenue Estimate, cont.

- In 2017, Congress's Joint Committee on Taxation estimated that the imposition of the UBIT tax on state and local governmental pension plans would raise \$1.1 billion over 10 years (up from \$100 million in 2014)
- Many in our community believed that even the 2017 estimate probably understated the revenue potential



# Bipartisan Infrastructure Framework

While the BIF does not focus on healthcare issues, it does include some healthcare offsets, including:

- A three-year delay of the Medicare pharmacy benefit manager (PBM) rebate rule from 2023 to 2026; (*Note: The House Build Back Better Act reconciliation package will totally repeal the PBM rebate rule if enacted into law*);
- Reducing Medicare spending on discarded medications from single-dose drug vials;
- Rescinding certain COVID-19 related funding and;
- A one-year extension of the mandatory 2% Medicare sequester to 2031 and changing the collection percentages for that same calendar year (2031). Specifically, in 2031, all the funds would be collected in the first half of the year by increasing the withhold from 2 to 4 percent. This percentage would then be reset to zero percent at year's end.

# Build Back Better Act

## Medicare Benefit:

- It would provide new hearing benefits beginning January 1, 2024 at a cost of \$35 billion.
- The Medicare program would cover hearing services—specifically hearing aids and aural rehabilitation and treatment services by qualified audiologists.
- Hearing aids would be covered as a prosthetic device with Medicare paying 80 percent of the costs. Beneficiaries diagnosed with profound or severe hearing loss in one or both ears could qualify for non-over-the-counter hearing aids every five years.
- Audiologists would qualify for Medicare reimbursement

The bill would not offer a new dental and vision benefit as was included in the original House Budget bill.

# Build Back Better Act

Repeals Trump Administration Drug Rebate Rule:

- Installs a moratorium on implementing a controversial rule that eliminates the safe harbor for Part D drug rebates, leaving the rebates open to prosecution under federal anti-kickback laws.
- The Trump-era rule would have replaced the safe harbor with a new discount at the point of sale.
- The drug rebate rule was opposed by insurance and pharmacy benefit manager industries that said the rule would raise premiums on seniors. Pharmaceutical Research and Manufacturers of America (PhRMA) supported the rebate rule.

The repeal scored at \$145 billion in savings according to the White House.

# Build Back Better Act

## Medicare Prescription Drug Reform:

- Empowers HHS Secretary to negotiate drug prices directly with drug companies for high-priced drugs without competition beginning after nine years for small molecule on the market and 12 years for biologics that have been on the market.
- The Secretary would negotiate the prices of up to 10 drugs for plan year 2025, which then ramps up to 20 drugs by plan year 2028 and each plan year thereafter.
- The Secretary would collect information for drugs selected for negotiation, such as R&D costs, prior federal financial support, extent to which the drug addresses an unmet need, and more.

# Build Back Better Act

## Medicare Prescription Drug Reform (cont'd):

- Depending on how long the drug has been on the market, the Secretary will achieve at least a 25-60 percent reduction on a drug's price.
- The provision would limit the scope of negotiations for small biotechnology companies.
- The provision ensures that any negotiated drug is covered for Medicare beneficiaries.
- The provision also installs a yearly out-of-pocket cap of \$2,000 on Part D costs starting in 2024. It would also cap copays for insulin products by no more than \$35 in Part D starting the same year.

# Build Back Better Act

## Medicare Prescription Drug Reform (cont'd):

- The measure is still much less aggressive than what many Democrats had wished for in the original House bill.
- Overall, the new plan would save the government about half as much money (\$250 billion, by the White House's estimates) as the original plan (\$450 billion).
- However, by 2030, 100 drugs will have been eligible for negotiation and, unless a competitor has come to market, they'll still be locked into the negotiated price.

# Medicare Part B Premium Increase

- On November 12, 2021, the Centers for Medicare & Medicaid Services (CMS) released the 2022 premiums, deductibles, and coinsurance amounts for the Medicare Part A and Part B programs. This is an annual process.
- The standard monthly premium for Medicare Part B enrollees will be \$170.10 for 2022, an increase of \$21.60 from \$148.50 in 2021 (*one of the largest increases ever*). The annual deductible for all Medicare Part B beneficiaries is \$233 in 2022, an increase of \$30 from the annual deductible of \$203 in 2021.
- CMS said the hike is mostly due to potential use of Biogen's Aduhelm, a drug for Alzheimer's disease that's drawn criticism for carrying a high price tag despite questionable effectiveness;
- The drug is priced at \$56,000 a year on avg. and will add to the cost of outpatient coverage because it's given intravenously in a doctor's office.

# CURES 2.0

- On November 16, 2021, U.S. Reps. Fred Upton (R-MI) and Diana DeGette (D-CO) introduced their 173-page bill addressing biomedical research along with other healthcare reforms;
- The bill would create the Advanced Research Projects Agency for Health, or ARPA-H, to be housed within the National Institutes of Health and tasked with finding new cures and treatments to a slate of illnesses (cancer, diabetes, ALS, Alzheimer's and more);
- Increase access to telehealth services for Medicare (e.g. Telehealth Modernization Act ); and
- Develop a nationwide testing and vaccine distribution strategy to be used in future pandemics.



# Contact Information

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**FOR INFORMATION ONLY**

November 18, 2021

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Barry W. Lew *BW*  
Legislative Affairs Officer

FOR: December 1, 2021 Board of Retirement Meeting  
December 8, 2021 Board of Investments Meeting

SUBJECT: **2021 Year-End Legislative Report**

**INTRODUCTION**

This report presents a year-end summary of bills on which LACERA took a position and of enacted bills that may require implementation by LACERA.

The California State Legislature adjourned on September 10, 2021. Any bills that were not passed by the Legislature before adjournment would carry over to the next legislative session since 2022 is the second year of the 2021-22 two-year session. October 10, 2021 was the last day for the Governor to sign or veto bills. Unless otherwise noted, the bills signed into law become effective January 1, 2022.

The 1st session of the 117th Congress (2021-22) is not expected to conclude until January 2022, and staff will continue monitoring the federal legislation in this report.

**BOARD-ADOPTED POSITIONS**

The BOR reviewed and took positions on 5 bills during the 2021 legislative session. The BOI did not take any positions. Staff worked with LACERA's legislative advocates to send support letters during the legislative cycle.

Support – 4	AJR 9, HR 82, HR 2337, S 1302
Watch – 1	AB 826

## I. California Legislation

### ***AJR 9 (Cooper): Social Security***

Summary: Would request the Congress of the United States to enact, and the President to sign, legislation that would repeal the Government Pension Offset and the Windfall Elimination Provision from the Social Security Act.

Status: Chaptered by Secretary of State. (07/15/2021)

BOR Position: Support.

### ***AB 826 (Irwin): Compensation and Compensation Earnable***

Summary: Would provide that compensation and compensation earnable include flexible benefits plan allowances paid by a county or district on behalf of its employees as part of a cafeteria plan, if certain requirements are met. Applies only in Ventura County.

Status: In Senate. From third reading. To Inactive File. (09/08/2021)

BOR Position: Watch.

## II. Federal Legislation

### ***HR 82 (Davis): Social Security Fairness Act of 2021***

Summary: Would amend the Social Security Act to repeal the Government Pension Offset and the Windfall Elimination Provision.

Status: To House Committee on Ways and Means. (01/04/2021)

BOR Position: Support.

### ***HR 2337 (Neal): Public Servants Protection and Fairness Act of 2021***

Summary: Would amend the Social Security Act to provide an equitable Social Security formula for individuals with noncovered employment and to provide relief for individuals currently available by the Windfall Elimination Provision.

Status: To House Committee on Ways and Means. (04/01/2021)

BOR Position: Support.

***S 1302 (Brown): Social Security Fairness Act***

Summary: Would amend the Social Security Act to repeal the Government Pension Offset and the Windfall Elimination Provision.

Status: To Senate Committee on Finance. (04/22/2021)

BOR Position: Support.

**ENACTED LEGISLATION**

The following bills were enacted into law that pertained to the County Employees Retirement Law of 1937 (CERL), the Ralph M. Brown Act, and the California Public Records Act.

***AB 361 (Rivas): Open Meetings: State and Local Agencies: Teleconference***

Summary: Authorizes a local agency to use teleconferencing without complying with the teleconferencing requirements imposed by the Ralph M. Brown Act when a legislative body of a local agency holds a meeting during a declared state of emergency, when state or local health officials have imposed or recommended measures to promote social distancing during a proclaimed state of emergency, provided certain requirements are met.

***AB 473 (Chau): California Public Records Act***

Summary: Recodifies and reorganizes the California Public Records Act and is nonsubstantive in effect.

***AB 474 (Chau): California Public Records Act: Conforming Provisions***

Summary: Enacts various conforming and technical changes related to AB 473, which recodifies and reorganizes the California Public Records Act.

***AB 627 (Waldron): Recognition of Tribal Court Orders: Retirement Plans***

Summary: Establishes a procedure pursuant to which one or both of the parties to a tribal court proceeding may file an application for recognition of a tribal court order that establishes a right to child support, spousal support payments, or marital property rights to a spouse, former spouse, child, or other dependent of a participant in a retirement plan or other plan of deferred compensation, and that assigns all or a portion of the benefits payable with respect to the plan participant to an alternate payee.

***AB 845 (Rodriguez): Disability Retirement: COVID-19 Presumption***

Summary: Creates a presumption, applicable to the retirement systems that PEPRA regulates and to specified members in those systems, that would be applied to disability retirements on the basis, in whole or in part, of a COVID-19-related illness.

***SB 274 (Wieckowski): Local Government Meetings: Agenda and Documents***

Summary: Requires a local agency with an internet website, or its designee, to email a copy of, or website link to, the agenda or a copy of all the documents constituting the agenda packet if the person requests that the items be delivered by email. Requires the legislative body or its designee to send by mail a copy of the agenda or a website link to the agenda and to email a copy of all other documents constituting the agenda packet, if specified criteria or circumstances are met.

***SB 634 (Senate Labor, Public Employment and Retirement Committee): Public Employees' Retirement***

Summary: Omnibus bill that provides for technical clarifications to CERL. Authorizes a county health officer's duly authorized representative to advise the board of retirement with advice on medical matters. Authorizes the board to contract with a physician in private practice for the medical advice necessary to carry out the purpose of provisions relating to disability retirement. Makes various technical and stylistic changes and corrects obsolete cross-references.

**CONCLUSION**

In January 2022, the second year of the 2021-22 legislative session will begin. Staff will keep the Boards apprised through the regular monthly legislative status reports.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

**Attachments**

LACERA Legislative Report Index  
LACERA Legislative Report

cc: Santos H. Kreimann      Tony Roda, Williams & Jensen  
Luis Lugo                      Joe Ackler, Ackler & Associates  
JJ Popowich                    LACERA Division Managers  
Laura Guglielmo  
Steven P. Rice

LACERA Legislative Report  
 2021-22 Legislative Session  
 Status as of November 18, 2021

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AB 1133	Chen (R)	State Employee Hybrid Pension System	1
AB 1293	Cooley (D)	Judges' Retirement System II: Federal Law Limits	2
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**PUBLIC RETIREMENT**

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CA AB 551	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Rodriguez [D] Teachers' Retirement System: Individual Plans 02/10/2021 Pending - Carryover Authorizes the State Teachers' Retirement System to administer an individual retirement plan as described in Section 408 of Title 26 of the United States Code. Eliminates the requirement that the administration of these plans be for the purpose of accepting a rollover from an annuity contract or custodial account offered by the system.
	<b>STATUS:</b>	06/03/2021 In ASSEMBLY. To Inactive File.
CA AB 627	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Waldron [R] Recognition of Tribal Court Orders: Retirement Plans 02/12/2021 Enacted Establishes a procedure pursuant to which one or both of the parties to a tribal court proceeding may file an application for recognition of a tribal court order that establishes a right to child support, spousal support payments, or marital property rights to a spouse, former spouse, child, or other dependent of a participant in a retirement plan or other plan of deferred compensation, and that assigns all or a portion of the benefits payable with respect to the plan participant to an alternate payee.
	<b>STATUS:</b>	07/09/2021 Chaptered by Secretary of State. Chapter No. 2021-058
CA AB 826	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Irwin [D] Compensation and Compensation Earnable 02/16/2021 08/31/2021 Pending - Carryover Relates to the bill, which would apply only in Ventura County. Provides that compensation and compensation earnable include flexible benefits plan allowances paid by a county or a district on behalf of its employees as part of a cafeteria plan, as specified, if certain requirements are met.
	<b>STATUS:</b>	09/08/2021 In SENATE. From third reading. To Inactive File.
	<b>BOR_Position:</b>	Watch 09/01/2021
	<b>IBLC_Recommendation:</b>	Watch 08/12/2021
	<b>Staff_Recommendation:</b>	Watch
CA AB 1133	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Chen [R] State Employee Hybrid Pension System 02/18/2021 Pending - Carryover States the intent of the Legislature to enact legislation that would create a

hybrid retirement benefit, consisting of a defined benefit pension and a defined contribution program, within the Public Employees' Retirement System, that state employees would have the option of electing.

**STATUS:**

02/18/2021 INTRODUCED.

CA AB 1293

**AUTHOR:** Cooley [D]  
**TITLE:** Judges' Retirement System II: Federal Law Limits  
**INTRODUCED:** 02/19/2021  
**LAST AMEND:** 07/06/2021  
**DISPOSITION:** Enacted  
**SUMMARY:**

Prohibits the amount payable to a member or a judge under the Legislators' Retirement System, the Judges' Retirement System, and the Judges' Retirement System II, including specified adjustments, from exceeding the federal limits on annual defined benefit plan payments and would incorporate specified provisions of federal law by reference. Requires the retirement allowance of specified judges to be increased to reflect adjustments to payment limits prescribed by federal law under certain circumstances.

**STATUS:**

09/24/2021 Chaptered by Secretary of State. Chapter No. 2021-304

CA SB 278

**AUTHOR:** Leyva [D]  
**TITLE:** PERS Disallowed Compensation Benefit Adjustments  
**INTRODUCED:** 01/29/2021  
**LAST AMEND:** 09/03/2021  
**DISPOSITION:** Enacted  
**SUMMARY:**

Establishes new procedures under Public Employees' Retirement Law (PERL) for cases in which the Public Employees' Retirement System determines that the benefits of a member or annuitant are, or would be, based on disallowed compensation that conflicts with the California Public Employees' Pension Reform Act and other specified laws and thus impermissible under PERL. Applies these procedures retroactively to determinations made on or after a specified date, under specified circumstances.

**STATUS:**

09/27/2021 Signed by GOVERNOR.

09/27/2021 Chaptered by Secretary of State. Chapter No. 2021-331

CA SB 294

**AUTHOR:** Leyva [D]  
**TITLE:** Public Retirement: Leave of Absence: Service Credit  
**INTRODUCED:** 02/02/2021  
**LAST AMEND:** 06/14/2021  
**DISPOSITION:** Enacted  
**SUMMARY:**

Removes the 12-year limitation for service credit earned on a compensated leave of absence for purposes of service with an employee organization. States that this leave is in addition to any leave to which public employees may be entitled by other laws or by a memorandum of understanding or collective bargaining agreement.

**STATUS:**

10/05/2021 Signed by GOVERNOR.

10/05/2021 Chaptered by Secretary of State. Chapter No. 2021-539



CA SB 634      **AUTHOR:** Labor, Public Employment & Retirement Cmt  
**TITLE:** Public Employees' Retirement  
**INTRODUCED:** 02/19/2021  
**LAST AMEND:** 06/14/2021  
**DISPOSITION:** Enacted  
**SUMMARY:**  
Applies the above-described requirements regarding signed applications and documents to the Cash Balance Benefit Program and the requirement that State Teachers' Retirement System pay certain Medicare Part A premiums; prohibits a member from purchasing service credit for any school year if the purchase would result in more than one year of service for that school year; deletes an obsolete cross-reference and would extend the requirement to return the total gross distribution amount.  
**STATUS:**  
09/16/2021      Chaptered by Secretary of State. Chapter No. 2021-186  
**Comments:**  
This is an omnibus bill that covers technical amendments for CalPERS, CalSTRS, and CERL retirement systems.

US HR 2954      **SPONSOR:** Neal [D]  
**TITLE:** Strong Retirement  
**INTRODUCED:** 05/04/2021  
**DISPOSITION:** Pending  
**SUMMARY:**  
Secures a Strong Retirement Act of 2021.  
**STATUS:**  
05/04/2021      INTRODUCED.  
05/04/2021      To HOUSE Committee on WAYS AND MEANS.  
05/04/2021      To HOUSE Committee on FINANCIAL SERVICES.  
05/04/2021      To HOUSE Committee on EDUCATION AND LABOR.  
**Comments:**  
Would gradually raise the age for mandatory distributions to age 75 by 2032.

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**PUBLIC INVESTMENT**

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CA AB 539      **AUTHOR:** Cooley [D]  
**TITLE:** State Teachers' Retirement: Investment Managers  
**INTRODUCED:** 02/10/2021  
**DISPOSITION:** Enacted  
**SUMMARY:**  
Authorizes the Teachers' Retirement Board to contract with investment advisers upon the same finding by the Board and approval by the State Personnel Board. Authorizes the Board to establish a competitive bidding process and to specify the contract terms and conditions the Board solely deems necessary and prudent to contract with qualified investment managers and investment advisers.  
**STATUS:**  
10/07/2021      Chaptered by Secretary of State. Chapter No. 2021-619

CA AB 890      **AUTHOR:** Cervantes [D]  
**TITLE:** Public Employee Retirement Systems: Reports  
**INTRODUCED:** 02/17/2021  
**LAST AMEND:** 05/24/2021

**DISPOSITION:** Enacted

**SUMMARY:**

Requires the Board of Administration of the Public Employees' Retirement System and the Teachers' Retirement Board to provide reports to the Legislature on the status of achieving objectives and initiatives, to be defined by the boards, regarding participation of emerging managers or diverse managers responsible for asset management within each retirement system's portfolio of investments.

**STATUS:**

10/04/2021 Chaptered by Secretary of State. Chapter No. 2021-472

CA AB 1019

**AUTHOR:** Holden [D]

**TITLE:** Public Employee Retirement Systems: Investments

**INTRODUCED:** 02/18/2021

**DISPOSITION:** Pending - Carryover

**SUMMARY:**

prohibit state trust moneys from being used to make additional or new investments or to renew existing investments in investment vehicles issued or owned by the government of Turkey, unless the government adopts a policy to acknowledge the Armenian Genocide and embark on a path of affording justice to its victims.

**STATUS:**

03/04/2021 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA SB 457

**AUTHOR:** Portantino [D]

**TITLE:** Public Employee Retirement Systems: Investments

**INTRODUCED:** 02/16/2021

**DISPOSITION:** Pending - Carryover

**SUMMARY:**

Requires the boards of administration of the Public Employees Retirement System and the State Teachers Retirement System to provide employers that are school districts and cities that participate in the systems an option to elect an investment portfolio that does not contain investment vehicles that are issued or owned by the government of the Republic of Turkey.

**STATUS:**

05/28/2021 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

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**RETIREMENT PERSONNEL**

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CA AB 761

**AUTHOR:** Chen [R]

**TITLE:** County Employees' Retirement: Personnel: Orange County

**INTRODUCED:** 02/16/2021

**LAST AMEND:** 03/18/2021

**DISPOSITION:** Enacted

**SUMMARY:**

Authorizes the board of retirement for Orange County to appoint an administrator, assistant administrators, a chief investment officer, subordinate investment officers, senior management employees, legal counsel, and other specified employees. Provides that the personnel appointed pursuant to these provisions would not be county employees subject to county civil service and merit system rules, and instead would be employees of the retirement system.

**STATUS:**

06/28/2021 Signed by GOVERNOR.  
06/28/2021 Chaptered by Secretary of State. Chapter No. 2021-026

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**PUBLIC EMPLOYMENT**

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CA AB 17      **AUTHOR:** Cooper [D]  
**TITLE:** Peace Officers: Disqualification from Employment  
**INTRODUCED:** 12/07/2020  
**LAST AMEND:** 01/12/2021  
**DISPOSITION:** Pending - Carryover  
**SUMMARY:**  
Disqualifies a person from being a peace officer if the person has been discharged from the military for committing an offense that would have been a felony if committed in the state or if the person has been certified as a peace officer and has had that certification revoked by the Commission on Peace Officer Standards and Training.  
**STATUS:**  
01/12/2021      From ASSEMBLY Committee on PUBLIC SAFETY with author's amendments.  
01/12/2021      In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC SAFETY.

CA AB 444      **AUTHOR:** Public Employment and Retirement Cmt  
**TITLE:** State and Local Employees: Pay Warrants: Designees  
**INTRODUCED:** 02/08/2021  
**DISPOSITION:** Enacted  
**SUMMARY:**  
Relates to State and local employees. Prescribes a process by which an appointing power would issue a check directly to a designated person instead of delivering employee warrants to that person. Provides that upon sufficient proof of the designee's identity, the appointing power must endorse and deposit the warrant issued to a deceased employee back into the Treasury to the credit of the fund or appropriation upon which it was drawn, then issue a revolving fund check to the designated person.  
**STATUS:**  
07/09/2021      Chaptered by Secretary of State. Chapter No. 2021-055  
**Comments:**  
The SACRS Legislative Committee is considering a similar proposal that would clarify that a deceased member's last pension check may be issued to a corporation, trust, or estate.

CA AB 1354      **AUTHOR:** Grayson [D]  
**TITLE:** Public Employees' Retirement  
**INTRODUCED:** 02/19/2021  
**DISPOSITION:** Pending - Carryover  
**SUMMARY:**  
Makes nonsubstantive changes to provisions prohibiting a retired person from being employed by a public employer in the same public retirement system from which the retiree receives pension benefits without reinstatement from retirement into that system, subject to certain exceptions.  
**STATUS:**  
02/19/2021      INTRODUCED.  
**Comments:**  
This is a spot bill.

CA AB 1460      **AUTHOR:**                      Bigelow [R]  
**TITLE:**                                      State Employment: COVID-19 Telework: Costs  
**INTRODUCED:**                          02/19/2021  
**DISPOSITION:**                          Pending - Carryover  
**SUMMARY:**  
Authorizes the Department of Human Resources (CalHR) to provide a one-time payment of an unspecified amount to employees who have been required to telework as a result of the COVID-19 pandemic in order to offset costs associated with working remotely.  
**STATUS:**  
03/11/2021                      To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA SB 411        **AUTHOR:**                      Cortese [D]  
**TITLE:**                                      Public Employees' Retirement System  
**INTRODUCED:**                          02/12/2021  
**LAST AMEND:**                          04/13/2021  
**DISPOSITION:**                          Enacted  
**SUMMARY:**  
Eliminates the requirement that a person employed without reinstatement in a manner other than authorized by PERL be reinstated, instead providing that reinstatement is permissive. Makes conforming changes and makes specific reference to the duties of employees and employers regarding reinstatement after retirement in violation of PEPR.  
**STATUS:**  
07/23/2021                      Signed by GOVERNOR.  
07/23/2021                      Chaptered by Secretary of State. Chapter No. 2021-136

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**DISABILITY RETIREMENT**

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CA AB 845        **AUTHOR:**                      Rodriguez [D]  
**TITLE:**                                      Disability Retirement: COVID-19: Presumption  
**INTRODUCED:**                          02/17/2021  
**LAST AMEND:**                          03/30/2021  
**DISPOSITION:**                          Enacted  
**SUMMARY:**  
Creates a presumption, applicable to the retirement systems that PEPR regulates and to specified members in those systems, that would be applied to disability retirements on the basis, in whole or in part, of a Coronavirus disease 2019-related illness. Requires that it be presumed the disability arose out of, or in the course of, the member's employment.  
**STATUS:**  
07/23/2021                      Signed by GOVERNOR.  
07/23/2021                      Chaptered by Secretary of State. Chapter No. 2021-122

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**WORKERS COMPENSATION**

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CA AB 334        **AUTHOR:**                      Mullin [D]  
**TITLE:**                                      Workers Compensation: Skin Cancer  
**INTRODUCED:**                          01/27/2021  
**DISPOSITION:**                          Pending - Carryover  
**SUMMARY:**  
Relates to existing law which provides that skin cancer developing in active lifeguards, for purposes of workers' compensation, is presumed to arise out of

and in the course of employment, unless the presumption is rebutted. Expands the scope of this provision to certain peace officers of the Department of Fish and Wildlife and the Department of Parks and Recreation.

**STATUS:**

09/10/2021 In SENATE. From third reading. To Inactive File.

CA AB 415

**AUTHOR:** Rivas R [D]  
**TITLE:** Employment: Workers' Compensation  
**INTRODUCED:** 02/03/2021  
**LAST AMEND:** 02/12/2021  
**DISPOSITION:** Pending - Carryover

**SUMMARY:**

Relates to workers' compensation. Defines injury for certain public employees regularly exposed to active fires or health hazards directly resulting from firefighting operations to include cancer that develops or manifests during a period of exposure to a known carcinogen while in public employment. Establishes a presumption that the cancer arose out of, and in the course of, employment, unless the presumption is controverted by evidence.

**STATUS:**

02/12/2021 To ASSEMBLY Committee on INSURANCE.  
02/12/2021 From ASSEMBLY Committee on INSURANCE with author's amendments.  
02/12/2021 In ASSEMBLY. Read second time and amended. Re-referred to Committee on INSURANCE.

CA AB 772

**AUTHOR:** Ramos [D]  
**TITLE:** Workers' Compensation: Medical Treatment  
**INTRODUCED:** 02/16/2021  
**LAST AMEND:** 03/25/2021  
**DISPOSITION:** Pending - Carryover

**SUMMARY:**

Clarifies that an employer is not limited in its ability to insure against an act of domestic terrorism or to provide benefits in excess of those required by existing law following an act of terrorism. Clarifies that when an employer approves a request for medical treatment from a treatment provider, without modification, the employer has completed utilization review under the law.

**STATUS:**

03/25/2021 To ASSEMBLY Committee on INSURANCE.  
03/25/2021 From ASSEMBLY Committee on INSURANCE with author's amendments.  
03/25/2021 In ASSEMBLY. Read second time and amended. Re-referred to Committee on INSURANCE.

CA AB 872

**AUTHOR:** Wood [D]  
**TITLE:** Leave of Absence: Firefighters  
**INTRODUCED:** 02/17/2021  
**LAST AMEND:** 09/03/2021  
**DISPOSITION:** Vetoed

**SUMMARY:**

Makes enhanced industrial disability leave benefits for specified state employees employed by the Department of Forestry and Fire Protection applicable only to injuries that occur prior to a specified date. Provides that for injuries occurring on or after a specified date, specified benefits, such as one year of salary in lieu

of disability payments, shall be available to all rank-and-file and supervisory firefighters and members of State Bargaining Unit 8 engaged in active fire suppression or prevention.

**STATUS:**

10/08/2021 Vetoed by GOVERNOR.

CA AB 991

**AUTHOR:** Ward [D]  
**TITLE:** Workers' Compensation: Presumed Injuries  
**INTRODUCED:** 02/18/2021  
**LAST AMEND:** 03/11/2021  
**DISPOSITION:** Pending - Carryover  
**SUMMARY:**

Expands presumptions for hernia, pneumonia, heart trouble, cancer, tuberculosis, bloodborne infectious disease, methicillin-resistant Staphylococcus aureus skin infection, and meningitis-related illnesses and injuries to a lifeguard employed on a year-round, full-time basis by the City of San Diego.

**STATUS:**

03/11/2021 To ASSEMBLY Committee on INSURANCE.

03/11/2021 From ASSEMBLY Committee on INSURANCE with author's amendments.

03/11/2021 In ASSEMBLY. Read second time and amended. Re-referred to Committee on INSURANCE.

CA SB 213

**AUTHOR:** Cortese [D]  
**TITLE:** Workers' Compensation: Hospital Employees  
**INTRODUCED:** 01/12/2021  
**LAST AMEND:** 03/04/2021  
**DISPOSITION:** Pending - Carryover  
**SUMMARY:**

Defines injury, for a hospital employee who provides direct patient care in an acute care hospital, to include infectious diseases, cancer, musculoskeletal injuries, post-traumatic stress disorder, and respiratory diseases. Creates a rebuttable presumption that these injuries that develop or manifest in a hospital employee who provides direct patient care in an acute care hospital arose out of and in the course of the employment. Includes COVID-19 in the definitions of infectious and respiratory diseases.

**STATUS:**

06/03/2021 In SENATE. Read third time. Failed to pass SENATE. (20-10)

06/03/2021 In SENATE. Motion to reconsider.

06/03/2021 In SENATE. Reconsideration granted.

06/03/2021 In SENATE. To Inactive File.

CA SB 284

**AUTHOR:** Stern [D]  
**TITLE:** Workers' Compensation: Firefighters and Peace Officers  
**INTRODUCED:** 02/01/2021  
**LAST AMEND:** 08/30/2021  
**DISPOSITION:** Pending - Carryover  
**SUMMARY:**

Relates to existing Law which provides that injury includes post-traumatic stress that develops during a period in which the injured person is in the service of the department or unit. Makes that provision applicable to active firefighting members of the State Department of State Hospitals, the State Department of

Developmental Services, and the Military Department, and the Department of Veterans Affairs, including security officers of the Department of Justice when performing assigned duties.

**STATUS:**

08/30/2021 In ASSEMBLY. Read second time and amended. To second reading.

08/30/2021 In ASSEMBLY. To Inactive File.

CA SB 335

**AUTHOR:** Cortese [D]  
**TITLE:** Workers' Compensation: Liability  
**INTRODUCED:** 02/08/2021  
**LAST AMEND:** 03/10/2021  
**DISPOSITION:** Pending - Carryover  
**SUMMARY:**

Reduces the time periods after the date the claim form is filed with an employer in which the injury is presumed compensable and the presumption is rebuttable only by evidence discovered subsequent to the time period for certain injuries or illnesses, including hernia, heart trouble, pneumonia, or tuberculosis, among others, sustained in the course of employment of a specified member of law enforcement or a specified first responder.

**STATUS:**

07/13/2021 In ASSEMBLY Committee on INSURANCE: Failed passage.

CA SB 788

**AUTHOR:** Bradford [D]  
**TITLE:** Workers' Compensation: Risk Factors  
**INTRODUCED:** 02/19/2021  
**LAST AMEND:** 06/17/2021  
**DISPOSITION:** Vetoed  
**SUMMARY:**

Prohibits consideration of race, religious creed, color, national origin, gender, marital status, sex, sexual identity, or sexual orientation to determine the approximate percentage of the permanent disability caused by other factors. Expresses the Legislature's intent to eliminate bias and discrimination in the workers' compensation system.

**STATUS:**

09/28/2021 Vetoed by GOVERNOR.

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**BROWN ACT**

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CA AB 339

**AUTHOR:** Lee [D]  
**TITLE:** Local Government: Open and Public Meetings  
**INTRODUCED:** 01/28/2021  
**LAST AMEND:** 09/03/2021  
**DISPOSITION:** Vetoed  
**SUMMARY:**

Requires local agencies to conduct meetings subject to Ralph M. Brown Act consistent with applicable state and federal civil rights laws. Requires all open and public meetings to include an in person public comment opportunity, except in specified circumstances during a declared state or local emergency. Requires all meetings to provide the public with an opportunity to comment on proposed legislation in person and remotely via a telephonic or an internet based service option.

**STATUS:**

10/07/2021 Vetoed by GOVERNOR.

CA AB 361      **AUTHOR:**                    Rivas R [D]  
**TITLE:**                            Open Meetings: State and Local Agencies: Teleconference  
**INTRODUCED:**                02/01/2021  
**LAST AMEND:**                 09/03/2021  
**DISPOSITION:**                Enacted  
**SUMMARY:**  
Authorizes a local agency to use teleconferencing without complying with the teleconferencing requirements imposed by the Ralph M. Brown Act when a legislative body of a local agency holds a meeting during a declared state of emergency, when state or local health officials have imposed or recommended measures to promote social distancing during a proclaimed state of emergency, provided certain requirements are met. Prohibits the closing of the public comment period.  
**STATUS:**  
09/16/2021                    Chaptered by Secretary of State.    Chapter No. 2021-165

CA AB 703      **AUTHOR:**                    Rubio [D]  
**TITLE:**                            Open Meetings: Local Agencies: Teleconferences  
**INTRODUCED:**                02/12/2021  
**LAST AMEND:**                 04/29/2021  
**DISPOSITION:**                Pending - Carryover  
**SUMMARY:**  
Removes the requirements of the Ralph M. Brown Act particular to teleconferencing and allows for teleconferencing subject to existing provisions regarding the posting of notice of an agenda and the ability of the public to observe the meeting and provide public comment.  
**STATUS:**  
04/29/2021                    From ASSEMBLY Committee on LOCAL GOVERNMENT with author's amendments.  
04/29/2021                    In ASSEMBLY.    Read second time and amended.  
   Re-referred to Committee on LOCAL GOVERNMENT.  
**Comments:**  
According to SACRS lobbyists, this will be a two-year bill and not taken up in 2021.

CA SB 274      **AUTHOR:**                    Wieckowski [D]  
**TITLE:**                            Local Government Meetings: Agenda and Documents  
**INTRODUCED:**                01/29/2021  
**LAST AMEND:**                 04/05/2021  
**DISPOSITION:**                Enacted  
**SUMMARY:**  
Requires a local agency with an internet website, or its designee, to email a copy of, or website link to, the agenda or a copy of all the documents constituting the agenda packet if the person requests that the items be delivered by email. Requires the legislative body or its designee to send by mail a copy of the agenda or a website link to the agenda and to email a copy of all other documents constituting the agenda packet, if specified criteria or circumstances are met.  
**STATUS:**  
10/09/2021                    Chaptered by Secretary of State.    Chapter No. 2021-763

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**PUBLIC RECORDS ACT**

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CA AB 343	<p><b>AUTHOR:</b> Fong [R]  <b>TITLE:</b> California Public Records Act Ombudsperson  <b>INTRODUCED:</b> 01/28/2021  <b>LAST AMEND:</b> 05/24/2021  <b>DISPOSITION:</b> Pending - Carryover  <b>SUMMARY:</b>  Establishes, within the California State Auditor's Office, the California Public Records Act Ombudsperson. Requires the California State Auditor to appoint the Ombudsperson subject to certain requirements. Requires the Ombudsperson to receive and investigate requests for review, determine whether the denials of original requests complied with the California Public Records Act, and issue written opinions of its determination.  <b>STATUS:</b>  06/09/2021 To SENATE Committees on JUDICIARY and GOVERNMENTAL ORGANIZATION.</p>
CA AB 386	<p><b>AUTHOR:</b> Cooper [D]  <b>TITLE:</b> Public Employees Retirement: Investments: Confidential  <b>INTRODUCED:</b> 02/02/2021  <b>LAST AMEND:</b> 06/29/2021  <b>DISPOSITION:</b> Pending - Carryover  <b>SUMMARY:</b>  Exempts from disclosure under the California Public Records Act specified records regarding an internally managed private loan made directly by the Public Employees' Retirement Fund. Provides that these records would include quarterly and annual financial statements of the borrower or its constituent owners, unless the information has already been publicly released by the keeper of the information. Prescribes specified exceptions to this exemption from disclosure.  <b>STATUS:</b>  07/13/2021 In SENATE Committee on JUDICIARY: Failed passage.  07/13/2021 In SENATE Committee on JUDICIARY: Reconsideration granted.</p>
CA AB 473	<p><b>AUTHOR:</b> Chau [D]  <b>TITLE:</b> California Public Records Act  <b>INTRODUCED:</b> 02/08/2021  <b>LAST AMEND:</b> 08/16/2021  <b>DISPOSITION:</b> Enacted  <b>SUMMARY:</b>  Recodifies and reorganizes the provisions of the act. The bill would include provisions to govern the effect of recodification and states that the bill is intended to be entirely nonsubstantive in effect. The bill would contain related legislative findings and declarations. The bill would become operative on a specified date.  <b>STATUS:</b>  10/07/2021 Chaptered by Secretary of State. Chapter No. 2021-614</p>
CA AB 474	<p><b>AUTHOR:</b> Chau [D]  <b>TITLE:</b> California Public Records Act: Conforming Revisions  <b>INTRODUCED:</b> 02/08/2021  <b>LAST AMEND:</b> 08/16/2021  <b>DISPOSITION:</b> Enacted</p>

**SUMMARY:**

Enacts various conforming and technical changes related to another bill, AB 473, which recodifies and reorganizes the California Public Records Act.

**STATUS:**

10/07/2021 Chaptered by Secretary of State. Chapter No. 2021-615

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**SOCIAL SECURITY**

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CA AJR 9	<p><b>AUTHOR:</b> Cooper [D] <b>TITLE:</b> Social Security <b>INTRODUCED:</b> 03/01/2021 <b>DISPOSITION:</b> Adopted <b>SUMMARY:</b> Requests the Congress of the United States to enact, and the President to sign, legislation that would repeal the Government Pension Offset and the Windfall Elimination Provision from the Social Security Act. <b>STATUS:</b> 07/15/2021 Chaptered by Secretary of State. 07/15/2021 Resolution Chapter No. 2021-078 <b>BOR_Position:</b> Support 05/05/2021 <b>IBLC_Recommendation:</b> Support 04/15/2021 <b>Staff_Recommendation:</b> Support</p>
US HR 82	<p><b>SPONSOR:</b> Davis R [R] <b>TITLE:</b> Government Pension Offset Repeal <b>INTRODUCED:</b> 01/04/2021 <b>DISPOSITION:</b> Pending <b>SUMMARY:</b> Amends the Social Security Act; repeals the Government pension offset and windfall elimination provisions. <b>STATUS:</b> 01/04/2021 INTRODUCED. 01/04/2021 To HOUSE Committee on WAYS AND MEANS. <b>BOR_Position:</b> Support 05/05/2021 <b>IBLC_Recommendation:</b> Support 04/15/2021 <b>Staff_Recommendation:</b> Support</p>
US HR 2337	<p><b>SPONSOR:</b> Neal [D] <b>TITLE:</b> Noncovered Employment <b>INTRODUCED:</b> 04/01/2021 <b>DISPOSITION:</b> Pending <b>SUMMARY:</b> Amends Title II of the Social Security Act to provide an equitable Social Security formula for individuals with noncovered employment and to provide relief for individuals currently affected by the Windfall Elimination Provision. <b>STATUS:</b> 04/01/2021 INTRODUCED. 04/01/2021 To HOUSE Committee on WAYS AND MEANS. <b>BOR_Position:</b> Support 09/01/2021 <b>IBLC_Recommendation:</b> Support 08/12/2021 <b>Staff_Recommendation:</b> Support</p>
US S 1302	<p><b>SPONSOR:</b> Brown S [D] <b>TITLE:</b> Pension Offset</p>

**INTRODUCED:** 04/22/2021

**DISPOSITION:** Pending

**SUMMARY:**

Amends Title II of the Social Security Act to repeal the government pension offset and windfall elimination provisions.

**STATUS:**

04/22/2021 INTRODUCED.

04/22/2021 In SENATE. Read second time.

04/22/2021 To SENATE Committee on FINANCE.

**BOR\_Position:** Support 09/01/2021

**IBLC\_Recommendation:** Support 08/12/2021

**Staff\_Recommendation:** Support

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**HEALTHCARE**

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CA AB 1092

**AUTHOR:** Mayes [R]

**TITLE:** Public Employees' Retirement: Health Benefits

**INTRODUCED:** 02/18/2021

**LAST AMEND:** 04/26/2021

**DISPOSITION:** Pending - Carryover

**SUMMARY:**

Precludes a person who has retired under PERS and who obtains work with a subsequent employer from receiving any health benefits offered under PEMHCA if the person's subsequent employer offers health care coverage that provides reasonably comparable benefits. Prohibits, among other things, employees, annuitants, and family members who become eligible to enroll on or after a specified date in Part A and Part B of Medicare from being enrolled in a basic health benefit plan.

**STATUS:**

04/26/2021 From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments.

04/26/2021 In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA AB 1400

**AUTHOR:** Kalra [D]

**TITLE:** Guaranteed Health Care for All

**INTRODUCED:** 02/19/2021

**DISPOSITION:** Pending - Carryover

**SUMMARY:**

Creates the Guaranteed Health Care for All Program, or CalCare, to provide comprehensive universal single-payer health care coverage and a health care cost control system for the benefit of all residents of the state. Provides that CalCare cover a wide range of medical benefits and other services and would incorporate the health care benefits and standards of other existing federal and state provisions. Creates the CalCare Board to govern CalCare, made up of 9 voting members.

**STATUS:**

02/19/2021 INTRODUCED.

US HR 4148

**SPONSOR:** Malinowski [D]

**TITLE:** First Responders Medicare Option

**INTRODUCED:** 06/24/2021

**DISPOSITION:** Pending

**SUMMARY:**

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

**STATUS:**

06/24/2021 INTRODUCED.  
06/24/2021 To HOUSE Committee on WAYS AND MEANS.  
06/24/2021 To HOUSE Committee on ENERGY AND COMMERCE.  
06/25/2021 In HOUSE Committee on ENERGY AND COMMERCE:  
Referred to Subcommittee on HEALTH.

US S 2236

**SPONSOR:**

Brown S [D]

**TITLE:**

Medicare Buy In Option for First Responders

**INTRODUCED:**

06/24/2021

**DISPOSITION:**

Pending

**SUMMARY:**

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

**STATUS:**

06/24/2021 INTRODUCED.  
06/24/2021 In SENATE. Read second time.  
06/24/2021 To SENATE Committee on FINANCE.

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**FOR INFORMATION ONLY**

November 23, 2021

TO: Each Trustee  
Board of RetirementFROM: Ricki Contreras, Division Manager  
Disability Retirement Services

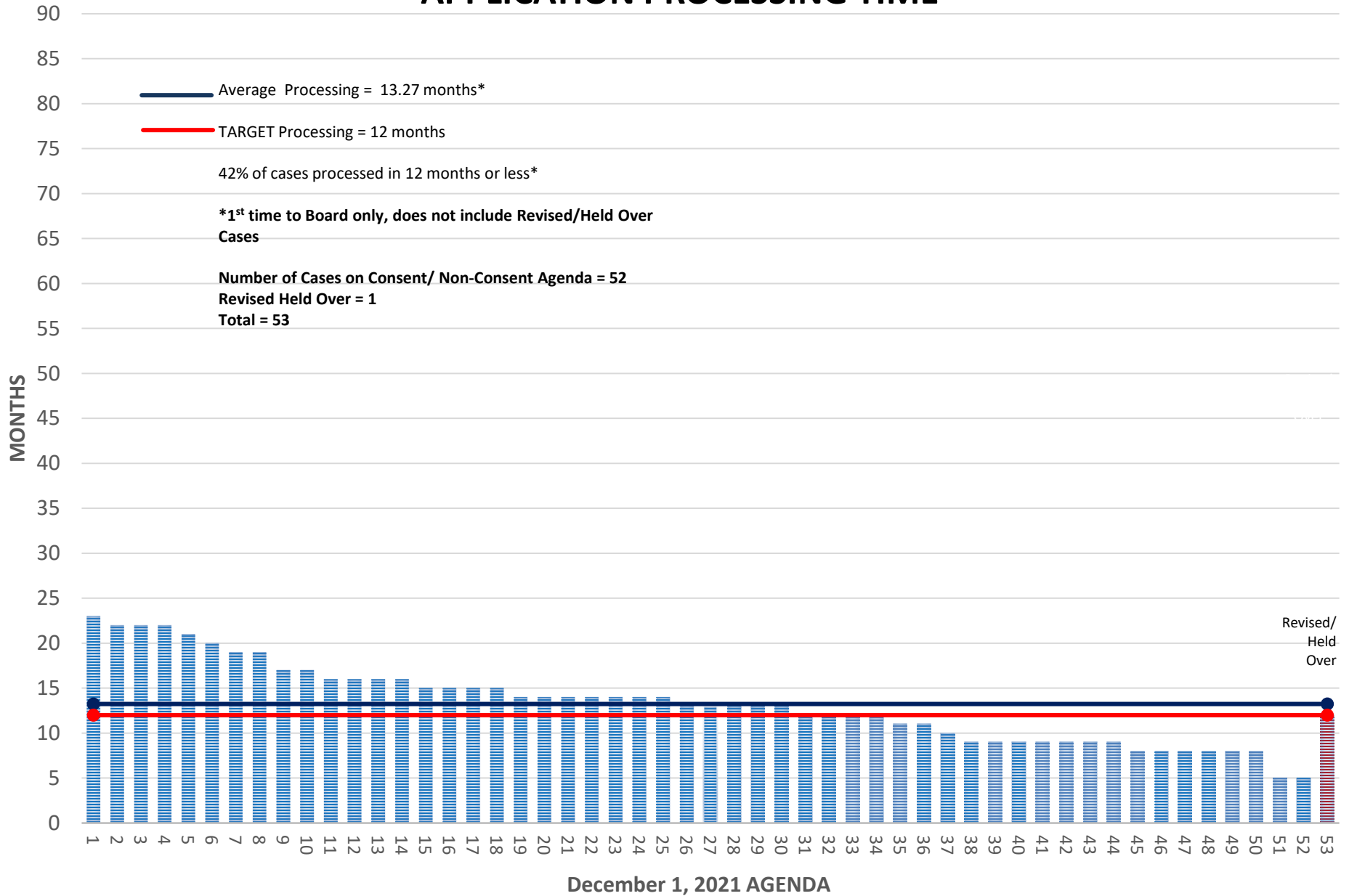
FOR: December 1, 2021, Board of Retirement Meeting

SUBJECT: **Application Processing Time Snapshot Reports**

The following chart shows the total processing time from receipt of the application to the first Board action for all cases on the December 1, 2021, Disability Retirement Applications Agenda.

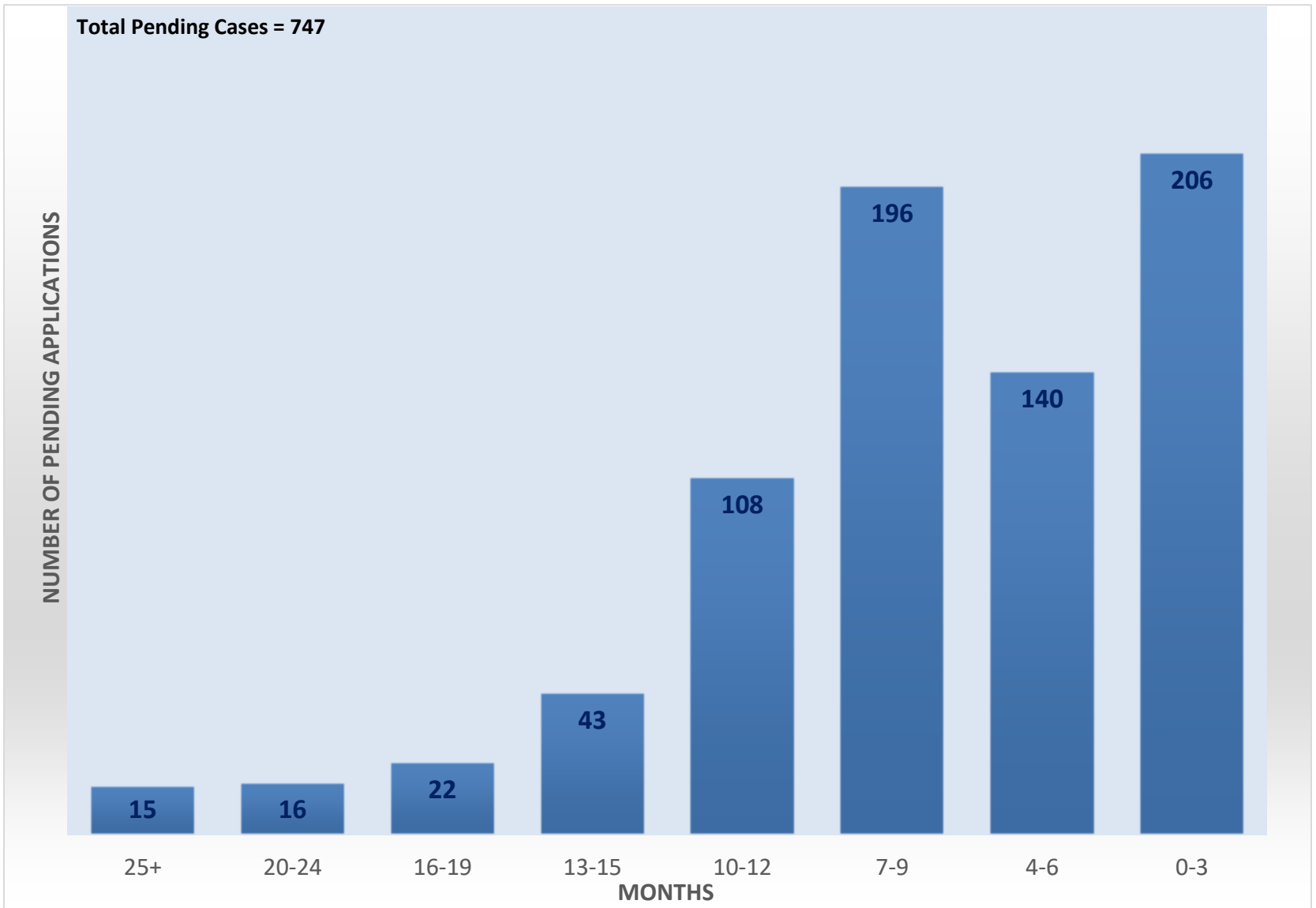
<b>Consent &amp; Non-Consent Calendar</b>	
Number of Applications	52
Average Processing Time (in Months)	13.27
<b>Revised/Held Over Calendar</b>	
Number of Applications	1
Processing Time Per Case (in Months)	12
<b>Total Average Processing Time All <u>53</u> Cases on Agenda</b>	
	13.25

# DISABILITY RETIREMENT SERVICES APPLICATION PROCESSING TIME



December 1, 2021 AGENDA

# DISABILITY RETIREMENT SERVICES PENDING APPLICATIONS/TIME INTERVALS




As of November 23, 2021

**FOR INFORMATION ONLY**

November 22, 2021

TO: Each Trustee  
Board of Retirement

FROM: Francis J. Boyd   
Senior Staff Counsel

FOR: December 1, 2021, Board of Retirement Meeting

**SUBJECT: GOVERNMENT CODE SECTION 7523: DISABILITY RETIREMENT  
COVID-19 PRESUMPTION SUNSET CLAUSE**

**INTRODUCTION**

On July 27, 2021, the Board of Retirement was provided a memorandum outlining the COVID-19 Presumption under Government Code sections 7523, 7523.1, and 7523.2. This memorandum explained that the presumption was effective from January 1, 2022, to January 1, 2023. A copy of this memorandum is attached for the Board's reference.

I have been informed that the presumption was discussed at the latest SACRS conference and that this discussion generated some confusion about whether the presumption could be applied after the January 1, 2023, repeal date. This memorandum will explain why the statute, in its current form, cannot be applied by the Board after January 1, 2023.

**DISCUSSION****A. The COVID-19 Presumption is a Statutory Right Available Under Government Code sections 7523, 7523.1, and 7523.2.**

Section 7523 describes the members who are eligible for the presumption and Section 7523.1 explains, in the following excerpt, how the presumption works:

- (a) For purposes of a member who retires for disability on the basis, in whole or in part, of a COVID-19-related illness, it shall be presumed that the disability arose out of, or in the course of, the member's employment.
- (b) The presumption described in subdivision (a) may be rebutted by evidence to the contrary, but unless controverted, the applicable governing board of a public retirement system shall be bound to find in accordance with the presumption.



Section 7523.2 contains the following sunset clause:

This article shall remain in effect *only until January 1, 2023, and as of that date is repealed.* (Italics added.)

**B. The Right to the COVID-19 Presumption Vests on the Date the Board of Retirement Issues its Final Decision on the Application.**

A vested right matures when there is an unconditional right to immediate payment.<sup>1</sup> In the course of deciding when the limitations period commenced in a mandate action against a pension board, the Supreme Court noted that a duty to grant the disability pension (i.e., the reciprocal obligation to a right to immediate payment) did not arise at the time of the injury itself but when the pension board determined that the employee was no longer capable of performing his duties.<sup>2</sup> This conclusion is bolstered by a recent California Court of Appeal decision concluding that the law applicable to retirement applications is that which is in effect *on the date that the Board approves or denies the application.*<sup>3</sup>

**C. All Unvested Rights Under a Statute Terminate When a Statute is Repealed.**

In the case of *Beverly Hilton Hotel v. Workers' Comp. Appeals Bd.*,<sup>4</sup> the court confirmed that when a pending action rests solely on a statute, and when no rights have vested under the statute, a repeal of the statute terminates all pending actions based on the statute. In *Beverly Hilton Hotel*, an employee sought vocational rehabilitation benefits under Labor Code section 139.5, which contained the following sunset clause: "This section shall remain in effect only until January 1, 2009, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2009, deletes or extends that date."

The following is a timeline of the pertinent events:

**March 2004:** Employee requested vocational rehabilitation benefits under Labor Code section 139.5, and the Hotel denied the request.

**July 2006:** The Rehabilitation Unit determined that the employee was entitled to vocational rehabilitation benefits under the statute. Hotel appealed to the Workers' Compensation Judge (WCJ).

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<sup>1</sup> *In re Marriage of Mueller* (1977) 70 Cal.App.3d 66, 71; see *In re Marriage of Brown* (1976) 15 Cal.3d 838, 842.

<sup>2</sup> *Tyra v. Board of Police & Fire Pension Comm'rs.* (1948) 32 Cal.2d 666, 671-672.

<sup>3</sup> *Wilmot v. CCCERA* (2021) 60 Cal.App.5th 631, 654.

<sup>4</sup> *Beverly Hilton Hotel v. Workers' Comp. Appeals Bd.* (2009) 176 Cal.App.4th 1597, 1604.

**January 31, 2008:** WCJ found that the employee was presumptively eligible for rehabilitation services as of July 27, 1997. Hotel filed a petition for reconsideration of the WCJ's decision.

**October 2008:** Workers' Compensation Appeal Board (WCAB) affirmed the WCJ's January 31, 2008, decision.

**November 2008:** Hotel filed a petition for review in the Court of Appeal.

**January 1, 2009:** Labor Code section 139.5 was repealed under the statute's sunset clause.

**August 26, 2009:** The Court of Appeal Issued its decision and provided the following analysis:

[W]hen a pending action rests solely on a statutory basis, and when no rights have vested under the statute, 'a repeal of such a statute without a saving clause will terminate all pending actions based thereon.'" (*Governing Board v. Mann* (1977) 18 Cal.3d 819, 829 [135 Cal. Rptr. 526, 558 P.2d 1] (*Mann*).) ""If final relief has not been granted before the repeal goes into effect it cannot be granted afterwards, even if a judgment has been entered and the cause is pending on appeal. The reviewing court must dispose of the case under the law in force when its decision is rendered."" (*Id.* at p. 831; see *Southern Service Co., Ltd. v. Los Angeles* (1940) 15 Cal.2d 1, 11–12 [97 P.2d 963]; *Krause v. Rarity* (1930) 210 Cal. 644, 653 [293 P. 62] ["a repeal of the statute conferring the right, prior to final judgment, would abolish the right and place the parties in the same position as if the statute never existed"]; *Napa State Hospital v. Flaherty* (1901) 134 Cal. 315, 317 [66 P. 322] ["It is a rule of almost universal application, that, where a right is created solely by a statute, and is dependent upon the statute alone, and such right is still inchoate, [\*\*\*9] and not reduced to possession, or perfected by final judgment, the repeal of the statute destroys the remedy, unless the repealing statute contains a saving clause."].)

The *Beverly Hilton Hotel* court determined that the employee had no rights to vocational rehabilitation benefits under Labor Code section 139.5 because his rights to the benefit had not vested before January 1, 2009—no final decision regarding eligibility was reached before this date. The court concluded that neither it nor the Board had jurisdiction to award benefits under the statute.

## CONCLUSION

### **The Board of Retirement Cannot Apply the COVID-19 Presumption to any Applications Decided After January 1, 2023.**

Like the statute addressed in the *Beverly Hilton Hotel* decision, the COVID-19 Presumption is a statutory right which contains a sunset clause under Government Code section 7523.2 which repeals the law as of January 1, 2023. Unless the statute is amended by the Legislature prior to this date there will be no statutory right for the Board to apply the presumption to applications decided after January 1, 2023.

I will keep the Board apprised of any further developments of the law, including any future amendments to the statute.

Reviewed and approved.



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Steven P. Rice, Chief Counsel

Attachment


FJB: jm



ATTACHMENT

## FOR INFORMATION ONLY

July 29, 2021

TO: Each Trustee  
Board of Retirement  
  
Senior Staff Counsel

FOR: August 12, 2021, Board of Retirement Meeting

**SUBJECT: GOVERNMENT CODE SECTIONS 7523-7523.2: DISABILITY RETIREMENT COVID-19 PRESUMPTION**

On Friday, July 23, 2021, Government Code sections 7523-7523.2, a Disability Retirement COVID-19 Presumption, was signed into law by Governor Gavin Newsom. The law becomes **effective on January 1, 2022, and has a sunset clause of January 1, 2023**. This rebuttable presumption is applicable to select government employees who are members of a retirement system that is regulated by the California Public Employees' Pension Reform Act of 2013 (PEPRA). This would include LACERA members. A copy of the statute is attached.

The following is a summary of the COVID-19 presumption.

**1. Government Code Section 7523.1:**

Gov Code Sec. 7523.1 provides the following explanation of the COVID-19 presumption:

- (a) For purposes of a member who retires for disability on the basis, in whole or part, of a COVID-19-related illness, it shall be presumed that the disability arose out of, or in the course of, the member's employment.
- (b) The presumption described in subdivision (a) may be rebutted by evidence to the contrary, but unless controverted, the applicable governing board of a public retirement shall be bound to find in accordance with the presumption.

**2. Members eligible for the presumption:**

Government Code section 7523(b)(1) states that "*member*" means "a member of a public retirement system who meets either of the following criteria:

**a. Job classifications contained in Labor Code section 3212.87(a).**

Government Code section 7523 (b)(1)(A) provide that covered members include those in job classification described in Labor Code section 3212.87(a) or the functional equivalent. Section 3212.87(a) includes a number of job classifications for various state agencies. The following list only includes positions applicable to the County of Los Angeles.

**1) Active Firefighting Members:**

- “Active firefighting members, whether volunteers, partly paid, or fully paid, of a fire department of a city, county, city and county, district, or other public or municipal corporation or political subdivision.” (Labor Code section 3212.87 (a)(1)(A).)

**2) Peace Officers**

- **Peace Officers as defined in Penal Code section 830.1:** For the County of Los Angeles, this would include any sheriff, undersheriff, or deputy sheriff, and any inspector or investigator employed in the office of the district attorney.
- **Peace Officers as defined in Penal Code sections 830.37(a) and (b):** “Members of an arson-investigating unit, regularly paid and employed in that capacity, of a fire department or fire protection agency of a county, city, city and county, district, or the state, if the primary duty of these peace officers is the detection and apprehension of persons who have violated any fire law or committed insurance fraud. (b) Members other than members of an arson-investigating unit, regularly paid and employed in that capacity, of a fire department or fire protection agency of a county, city, city and county, district, or the state, if the primary duty of these peace officers, when acting in that capacity, is the enforcement of laws relating to fire prevention or fire suppression.”
- **Probation Officers as defined in Penal Code section 830.5(a):** “A parole officer of the Department of Corrections and Rehabilitation, or the Department of Corrections and Rehabilitation, Division of Juvenile Parole Operations, **probation officer, deputy probation officer**, or a board coordinating parole agent employed by the Juvenile Parole Board. . .” (Emphasis added.)

**3) Medical Providers**

- **Employees providing direct patient care as defined in Labor Code section 3212.87(a)(7):** “An employee who provides direct patient care, or a custodial employee in contact with COVID-19 patients, who works at a health facility. For the purposes of this subdivision, ‘health facility’ means a health facility as defined

in subdivision (a), (b), (c), (m), or (n) of Section 1250 of the Health and Safety Code.”

- **Registered nurses and medical technicians as defined in Labor Code section 3212.87(a)(8):** “An authorized registered nurse, emergency medical technician-I, emergency medical technician-II, emergency medical technician-paramedic, as described in Chapter 2 (commencing with Section 1797.50) of Division 2.5 of the Health and Safety Code.”
- **Home health care employees as described in Labor Code section 3212.87(a)(9):** “An employee who provides direct patient care for a home health agency, as defined under Section 1727 of the Health and Safety Code.”
- **As described in Labor Code section 3212.87(a)(10), employees of health facilities who do not provide direct patient care or do not come in contact with COVID-19 patients as described in Labor Code section 3212.87(a)(10):** “Employees of health facilities, other than those described in paragraph (7). [Paragraph (7) applies to employees who provide direct patient care or a custodial employee in contact with COVID-19 patients]. For these employees, the presumption shall not apply if the employer can establish that the employee did not have contact with a health facility patient within the last 14 days who tested positive for COVID-19. If it is determined that the presumption does not apply, the claim shall be evaluated pursuant to Sections 3202.5 and 3600. [Employee carries the evidentiary burden by a preponderance of the evidence.]
- **Provider of in-home supportive services as described in Labor Code section 3212.87(a)(11):** “A provider of in-home supportive services . . . [under the Welfare and Institutions Code] when they provide the in-home supportive services outside their own home or residence.”

**b. Members who test positive during an outbreak at the place of employment.**

Government Code section 7523(b)(1)(B) states the presumption also applies to members:

“Whose job classification is neither described in subdivision (a) of Section 3212.87 [of the Labor Code] nor is the functional equivalent for a job classification described in that subdivision, but who tests positive during an outbreak at the member’s specific place of employment.” The following definitions are provided:

- **“‘Specific place of employment’** means the building, store, facility, or agricultural field where an employee performs work at the employer’s direction. ‘A specific place of employment’ does not include the employee’s home or residence, unless the employee provides home health care

services to another individual at the employee's home or residence." (Labor Code 3212.88(m)(3)(A).)

- **Multiple places of employment:** "In the case of an employee who performs work at the employer's direction in multiple places of employment within 14 days of the employee's positive test, the employee's positive test shall be counted for the purpose of determining the existence of an outbreak at each of those places of employment, and if an outbreak exists at any of those places of employment, that shall be the employee's 'specific place of employment.'" (Labor Code 3212.88(m)(3)(B).)
- **Outbreak:** An "outbreak" exists if within 14 calendar days one of the following occurs at a specific place of employment:
  - a. "If the employer has **100 employees or fewer** at a specific place of employment, 4 employees test positive for COVID-19." (Labor Code 3212.88(m)(4)(A).) (Emphasis added.)
  - b. "If the employer has **more than 100 employees** at a specific place of employment, 4 percent of the number of employees who reported to the specific place of employment, test positive for COVID-19." (Labor Code 3212.88(m)(4)(B).) (Emphasis added.)
  - c. A specific place of employment is ordered to close by a local public health department, the State Department of Public Health, the Division of Occupational Safety and Health, or a school superintendent due to risk of infection with COVID-19. (Labor Code 3212.88(m)(4)(C).)

### 3. Retroactivity

As noted above, this presumption will be effective from January 1, 2022, to January 1, 2023, when it will be repealed. The bill does not contain any language regarding retroactivity, and it was not passed as urgency legislation. In California, a statute is presumed to operate prospectively. In construing statutes, there is a presumption against retroactive application unless the Legislature plainly directed otherwise by means of express language of retroactivity or other source that provide a clear and unavoidable implication that the Legislature intended retroactive application of the statute.<sup>1</sup> In addition, by law, non-urgent statutes take effect on January 1 of the following year.<sup>2</sup>

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<sup>1</sup> *Quarry v. Doe I* (2012) 53 Cal.4<sup>th</sup> 945, 955.

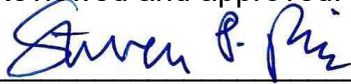
<sup>2</sup> Cal. Const, Art. IV § 8(c)(3).

However, a vested right matures when there is an unconditional right to immediate payment.<sup>3</sup> In the course of deciding when the limitations period commenced in a mandate action against a pension board, the Supreme Court noted that a duty to grant the disability pension (i.e., the reciprocal obligation to a right to immediate payment) did not arise at the time of the injury itself but when the pension board determined that the employee was no longer capable of performing his duties.<sup>4</sup> This conclusion is bolstered by a recent California Court of Appeal decision concluding that the law applicable to retirement applications is that which is in effect *on the date that the Board approves or denies the application*.<sup>5</sup>

Therefore, the Board will be able to apply the COVID-19 presumption under Government Code section 7523.2 on all relevant cases decided between January 1, 2022, through December 31, 2022, regardless of when the member contracted the COVID-19 virus.

I will keep the Board apprised of any further developments of the law, including any future amendments to the statute.

Reviewed and approved.



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Steven P. Rice, Chief Counsel

Attachment

FJB: jm

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<sup>3</sup> *In re Marriage of Mueller* (1977) 70 Cal.App.3d 66, 71; see *In re Marriage of Brown* (1976) 15 Cal.3d 838, 842.

<sup>4</sup> *Tyra v. Board of Police & Fire Pension Comm'rs.* (1948) 32 Cal.2d 666, 671-672.

<sup>5</sup> *Wilmot v. CCCERA* (2021) 60 Cal.App.5th 631, 654.



# 2021 Cal AB 845

Chaptered, July 23, 2021

## Reporter

2021 Cal ALS 122 | 2021 Cal AB 845 | 2021 Cal Stats. ch. 122

**CALIFORNIA ADVANCE LEGISLATIVE SERVICE > 2021 Regular Session > CHAPTER 122 > Assembly Bill No. 845**

## Digest

### LEGISLATIVE COUNSEL'S DIGEST

AB 845, Rodriguez. Disability retirement: COVID-19: presumption.

Existing law, until 2023, defines "injury" for purposes of workers' compensation insurance to include illness or death resulting from the 2019 novel coronavirus disease (COVID-19) under specified circumstances, and creates a disputable presumption, as specified, that the injury arose out of the course of employment and is compensable. This presumption is applicable to specified public safety, firefighter, and medical occupation, among others, as specified.

Existing law prescribes various requirements for the organization and administration of public retirement systems, which typically provide pension, disability, and death benefits to their members. These systems are governed by their boards of administration, to which the California Constitution grants the sole and exclusive responsibility to administer the system in a manner that will ensure prompt delivery of benefits and related services.

Existing law provides that participants in certain membership categories may be entitled to special benefits if death or disability arises in the course of employment. The California Public Employees' Pension Reform Act of 2013 (PEPRA) generally requires a public retirement system, as defined, to modify its plan or plans to comply with that act and establishes, among other things, limits on defined benefit formulas and caps on pensionable compensation.

This bill, until January 1, 2023, would create a presumption, applicable to the retirement systems that PEPRA regulates and to specified members in those systems, that would be applied to disability retirements on the basis, in whole or in part, of a COVID-19-related illness. In this circumstance, the bill would require that it be presumed the disability arose out of, or in the course of, the member's employment. The bill would authorize the presumption to be rebutted by evidence to the contrary, but unless

controverted, the applicable governing board of a public retirement system would be required to find in accordance with the presumption. The bill would apply this presumption to members employed in specified firefighter, public safety officer, and health care job classifications, or their functional equivalents, and to members in other job classifications who test positive for COVID-19 during an outbreak of the disease at their places of employment, as defined.

## Synopsis

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An act to add and repeal Article 5 (commencing with [Section 7523](#)) of Chapter 21 of Division 7 of Title 1 of the Government Code, relating to retirement.

[Approved by Governor July 23, 2021. Filed with Secretary of State July 23, 2021.]

## Text

*The people of the State of California do enact as follows:*

**SECTION 1.** Article 5 (commencing with Section 7523) is added to Chapter 21 of Division 7 of Title 1 of the Government Code, to read:

- **Article 5. COVID-19 Disability Retirement Presumption**
  - **7523.**
    - For purposes of this article:
      - **(a)** “COVID-19” means the 2019 novel coronavirus disease.
      - **(b)**
        - **(1)** “Member” means a member of a public retirement system who meets either of the following:
          - **(A)** Whose job classification is either described in subdivision (a) of [Section 3212.87 of the Labor Code](#) or is the functional equivalent of a job classification described in that subdivision.
          - **(B)** Whose job classification is neither described in subdivision (a) of Section 3212.87 nor is the functional equivalent of a job classification described in that subdivision, but who tests positive during an outbreak at the member’s specific place of employment. The definitions set forth in subdivision (m) of [Section 3212.88 of the Labor Code](#) shall apply to this subparagraph.

- **(2)** Paragraph (1) shall only apply to a member of a public retirement system, as defined pursuant to subdivision (c).
  - **(c)** “Public retirement system” means any public employee retirement system that is subject to the California Public Employees’ Pension Reform Act of 2013 (Article 4 (commencing with Section 7522)).
- **7523.1.**
  - **(a)** For purposes of a member who retires for disability on the basis, in whole or in part, of a COVID-19-related illness, it shall be presumed that the disability arose out of, or in the course of, the member’s employment.
  - **(b)** The presumption described in subdivision (a) may be rebutted by evidence to the contrary, but unless controverted, the applicable governing board of a public retirement system shall be bound to find in accordance with the presumption.
- **7523.2.**
  - This article shall remain in effect only until January 1, 2023, and as of that date is repealed.

## History

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Filed with Secretary of State July 23, 2021

Approved by the Governor July 23, 2021

Effective date: January 1, 2022

## Sponsor

Rodriguez



**FOR INFORMATION ONLY**

October 29, 2021

TO: Trustees – Board of Investments

FROM: Ted Granger   
Interim Chief Financial Officer

FOR: November 17, 2021 – Board of Investments Meeting

**SUBJECT: Semi-Annual Interest Crediting for Reserves as of June 30, 2021 (AUDITED)**

Pursuant to the County Employees Retirement Law Section 31591, regular interest shall be credited semi-annually on June 30 and December 31 to all eligible member contributions in the retirement fund, which have been on deposit six months immediately prior to such date at an interest rate of 2.5% per annum, until otherwise determined by the Board.

The semi-annual interest crediting rate applicable for this cycle, June 30, 2021, was 3.50% (i.e., one-half of the 7.00% annual rate). The Board approved a reduction in the investment return assumption from 7.25% to 7.00% in January 2020. The new assumed rate of return and corresponding employer and employee contribution rates were implemented with the March 2020 Board’s action to adopt the June 30, 2019 actuarial valuation.


The Retirement Benefit Funding Policy stipulates that interest credits for Reserve accounts are allocated in the same priority order as the allocation of actuarial assets. Such interest credits are allocated based on Realized Earnings for the six-month period.

As of June 30, 2021, there were sufficient Realized Earnings to meet the required interest credit rates for Priority 1, the Member Reserve, through Priority 5, the Employer Reserve. In as much as there were no balances in the Advanced Employer Contributions Reserve and the County Contribution Credit Reserve at the beginning of the fiscal year, the remaining realized earnings were applied to Priority 6, the Contingency Reserve.

The table below depicts the actual interest credit allocations for the six-month period ended June 30, 2021.

Priority Order	Reserve Account	Interest Credit Rate Applied
1	Member	3.50%
2	Advanced Employer Contributions	N/A
3	Employer	3.50%
4	County Contribution Credit	N/A
5	Employer	3.50%
6	Contingency Reserve	0.16%

REVIEWED AND APPROVED:

  
Santos H. Kreimann  
Chief Executive Officer

Interest Credit Rate Jun 2021 (Audited).doc  
SHK:tg:mh

c: Board of Retirement, LACERA  
Fesia Davenport, CEO, Los Angeles County

**FOR INFORMATION ONLY**

November 17, 2021

TO: Each Trustee  
Board of Retirement  
Board of Investments

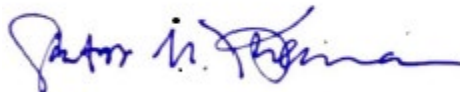
FROM: Ted Granger   
Interim Chief Financial Officer

FOR: December 1, 2021 Board of Retirement Meeting  
December 8, 2021 Board of Investments Meeting

SUBJECT: **MONTHLY TRAVEL & EDUCATION REPORT – OCTOBER 2021**

Attached, for your review, is the Trustee Travel & Education Report. This report includes all events (i.e., attended and canceled) from the beginning of the fiscal year through October 2021. Staff travel and education is not included in this report and is reported to the Chief Executive Officer separately.

REVIEWED AND APPROVED:



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Santos H. Kreimann  
Chief Executive Officer

TG/EW/krh

Attachments

c: L. Lugo  
J. Popowich  
L. Guglielmo  
J. Grabel  
S. Rice  
J. Beasley

**TRUSTEE TRAVEL AND EDUCATION REPORT  
FOR FISCAL YEAR 2021 - 2022  
OCTOBER 2021**

<b>Attendee</b>	<b>Purpose of Travel - Location</b>	<b>Event Dates</b>	<b>Travel Status</b>
<b>Alan Bernstein</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
	2 Edu - 2021 SuperReturn North America - Boston, MA	10/04/2021 - 10/05/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
<b>Vivian Gray</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
V	- Edu - Congressional Black Caucus Foundation - VIRTUAL	09/12/2021 - 09/17/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - NASP 32nd Annual Financial Services Virtual Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
<b>David Green</b>			
X	- Edu - 2021 CII Fall Conference - Chicago, IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Elizabeth Greenwood</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
<b>Patrick Jones</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu -SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Harvard Kennedy School Executive Education: Leading Smart Policy Design: A Multisectoral Approach to Economic Decisions - VIRTUAL	09/21/2021 - 10/12/2021	Attended
X	- Edu - Duke University Executive Education Program - Corporate Social Responsibility - Durham, NC	09/23/2021 - 09/25/2021	Host Canceled
<b>Shawn Kehoe</b>			
X	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Canceled
<b>Joseph Kelly</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu -SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - 2021 Institute of Internal Auditors Los Angeles Conference: Governance, Grit and Gravitass - VIRTUAL	10/04/2021 - 10/06/2021	Attended
<b>Keith Knox</b>			
V	- Edu - Harvard Business School Audit Committees In A New Era of Governance - VIRTUAL	07/21/2021 - 07/23/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
<b>Wayne Moore</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended

**TRUSTEE TRAVEL AND EDUCATION REPORT  
FOR FISCAL YEAR 2021 - 2022  
OCTOBER 2021**

<b>Attendee</b>	<b>Purpose of Travel - Location</b>	<b>Event Dates</b>	<b>Travel Status</b>
<b>Les Robbins</b>			
V	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
<b>Gina Sanchez</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu - 2021 CII Fall Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - 2021 Virtual NACD Summit - VIRTUAL	10/04/2021 - 10/08/2021	Attended
	- Edu - PRI Roundtable: The Road to Net-Zero with Ophir Bruck - VIRTUAL	10/12/2021 - 10/12/2021	Attended
	- Edu - PRI Roundtable: Equity, Diversity & Inclusion with Ophir Bruck - VIRTUAL	10/14/2021 - 10/14/2021	Attended
	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
X	- Edu - 2021 CII Fall Conference - Chicago, IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Herman Santos</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - PPI Asia Pacific Roundtable - Pasadena CA	10/27/2021 - 10/29/2021	Attended
V	- Edu - PPI Roundtable - July 2021 - VIRTUAL	07/13/2021 - 07/15/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C2 and Trustee Travel Policy Section IV.

V - Virtual Event

X - Canceled events for which expenses have been incurred.

Z - Trip was Canceled - Balance of \$0.00



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**



**FOR INFORMATION ONLY**

November 17, 2021

TO: Trustees  
Board of Retirement  
Board of Investments

FROM: Ted Granger   
Interim Chief Financial Officer

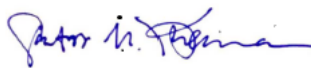
FOR: December 1, 2021 Board of Retirement Meeting  
December 8, 2021 Board of Investments Meeting

SUBJECT: **1ST QUARTER TRUSTEE TRAVEL & EDUCATION REPORTS**

Attached for your review is the Trustee Travel & Education Report which includes expenses submitted for reimbursement, paid, or credited relating to events beginning July 1, 2021 through September 30, 2022.

In addition, the Trustee Cancellation & Credit Expenditures Report for Fiscal Years 2020-2021 and 2021-2022 are attached for your reference.

REVIEWED AND APPROVED:



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Santos H. Kreimann  
Chief Executive Officer

TG/EW/krh

Attachments

c: L. Lugo  
J. Popowich  
L. Guglielmo  
J. Grabel  
S. Rice  
J. Beasley



**1ST QUARTER BOARD**  
**TRUSTEE TRAVEL AND EDUCATION EXPENDITURE**  
**REPORT FOR FISCAL YEAR 2022**  
**FOR EVENTS DURING JULY 2021 - SEPTEMBER 2021**

Cat	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Transp.	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
<b>Alan Bernstein</b>													
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY - 08/22/2021 - 08/24/2021	Attended	\$3,684.58	\$685.00	\$529.83	\$1,922.40	\$348.35	\$0.00	\$0.00	\$0.00	\$0.00	\$157.00	\$42.00
<b>Totals for Alan Bernstein:</b>			\$3,684.58	\$685.00	\$529.83	\$1,922.40	\$348.35	\$0.00	\$0.00	\$0.00	\$0.00	\$157.00	\$42.00
<b>Vivian Gray</b>													
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY - 08/22/2021 - 08/24/2021	Attended	\$3,569.08	\$685.00	\$529.83	\$1,810.81	\$149.44	\$0.00	\$0.00	\$0.00	\$0.00	\$195.00	\$199.00
V	- Edu - NASP 32nd Annual Financial Services Virtual Conference - VIRTUAL - 09/22/2021 - 09/24/2021	Attended	\$75.00	\$75.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals for Vivian Gray:</b>			\$3,644.08	\$760.00	\$529.83	\$1,810.81	\$149.44	\$0.00	\$0.00	\$0.00	\$0.00	\$195.00	\$199.00
<b>David Green</b>													
X	- Edu - 2021 CII Fall Conference - Chicago, IL - 09/22/2021 - 09/24/2021	Host Canceled	\$130.33	\$0.00	\$0.00	\$130.33	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals for David Green:</b>			\$130.33	\$0.00	\$0.00	\$130.33	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Patrick Jones</b>													
V	- Edu - SACRS Public Pension Investment Management Program - VIRTUAL - 07/13/2021 - 07/22/2021	Attended	\$500.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals for Patrick Jones:</b>			\$500.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



**1ST QUARTER BOARD  
TRUSTEE TRAVEL AND EDUCATION EXPENDITURE  
REPORT FOR FISCAL YEAR 2022  
FOR EVENTS DURING JULY 2021 - SEPTEMBER 2021**

Cat	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Transp.	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
<b>Joseph Kelly</b>													
V -	Edu -SACRS Public Pension Investment Management Program - VIRTUAL - 07/13/2021 - 07/22/2021	Attended	\$500.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals for Joseph Kelly:</b>			\$500.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Keith Knox</b>													
V -	Edu - Harvard Business School Audit Committees In A New Era of Governance - VIRTUAL - 07/21/2021 - 07/23/2021	Attended	\$5,700.00	\$5,700.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals for Keith Knox:</b>			\$5,700.00	\$5,700.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Herman Santos</b>													
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY - 08/22/2021 - 08/24/2021	Attended	\$2,709.58	\$685.00	\$706.45	\$503.40	\$160.99	\$84.00	\$0.00	\$160.00	\$0.00	\$263.00	\$146.74
V -	Edu - PPI Roundtable - July 2021 - VIRTUAL - 07/13/2021 - 07/15/2021	Attended	\$175.00	\$175.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals for Herman Santos:</b>			\$2,884.58	\$860.00	\$706.45	\$503.40	\$160.99	\$84.00	\$0.00	\$160.00	\$0.00	\$263.00	\$146.74
<b>Grand Totals:</b>			\$17,043.57	\$9,005.00	\$1,766.11	\$4,366.94	\$658.78	\$84.00	\$0.00	\$160.00	\$0.00	\$615.00	\$387.74

Category Legend:

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- C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C2 and Trustee Travel Policy Section IV.
- V - Virtual Event
- X - Canceled events for which expenses have been incurred.
- Z - Trip was Canceled - Balance of \$0.00



**TRUSTEE CANCELLATION AND CREDIT EXPENDITURES REPORT  
FOR FISCAL YEAR 2022  
FOR EVENTS DURING JULY 2021 - JUNE 2022**

Purpose of Travel - Location - Date - Travel Status	Category	Total Expense	Registration (Reg.)	Lodging	Airfare	Other Misc. Travel Exp.	Chair Pardon	Reg. Credit	Reg. Credit Expiration Date	Airfare Credit	Airfare Credit Expiration	Refund Pending
<b>Alan Bernstein</b>												
Edu - SuperReturn International Berlin - Berlin, Germany - 11/09/2021 - 11/12/2021 - Canceled	X	\$375.02	\$375.02	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$375.02
<b>Attendee Totals:</b>		\$375.02	\$375.02	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$375.02
<b>David Green</b>												
Edu - 2021 CII Fall Conference - Chicago, IL - 09/22/2021 - 09/24/2021 - Host Canceled	X	\$130.33	\$0.00	\$0.00	\$130.33	\$0.00	Yes	\$0.00		\$256.80	7/27/2022	\$0.00
<b>Attendee Totals:</b>		\$130.33	\$0.00	\$0.00	\$130.33	\$0.00		\$0.00		\$256.80		\$0.00
<b>Patrick Jones</b>												
Edu - Duke University Executive Education Program - Corporate Social Responsibility - Durham, NC - 09/23/2021 - 09/25/2021 - Host Canceled	X	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$616.80	12/31/2021	\$0.00
<b>Attendee Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$616.80		\$0.00
<b>Shawn Kehoe</b>												
Edu - 2021 Milken Institute Global Conference - Los Angeles, CA - 10/17/2021 - 10/20/2021 - Canceled	X	\$23.96	\$0.00	\$23.96	\$0.00	\$0.00	Yes	\$0.00		\$0.00		\$0.00
Edu - SACRS Fall Conference - Los Angeles CA - 11/09/2021 - 11/12/2021 - Canceled	X	\$120.00	\$120.00	\$0.00	\$0.00	\$0.00	Yes	\$0.00		\$0.00		\$0.00
<b>Attendee Totals:</b>		\$143.96	\$120.00	\$23.96	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Gina Sanchez</b>												
Edu - 2021 CII Fall Conference - Chicago, IL - 09/22/2021 - 09/24/2021 - Host Canceled	X	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$282.80	7/27/2022	\$0.00
<b>Attendee Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$282.80		\$0.00
<b>Grand Totals:</b>		\$649.31	\$495.02	\$23.96	\$130.33	\$0.00		\$0.00		\$1,156.40		\$375.02

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C.2 and Trustee Travel Policy Section IV.

V - Virtual Event

X - Canceled events for which expenses have been incurred.

Z - Trip was Canceled - Balance of \$0.00

**TRUSTEE CANCELLATION AND CREDIT EXPENDITURES  
REPORT FOR FISCAL YEAR 2021  
FOR TRAVEL DURING JULY 2020 - JUNE 2021**

Purpose of Travel - Location - Date - Travel Status	Category	Total Expense	Registration (Reg.)	Lodging	Airfare	Other Misc. Travel Exp.	Chair Pardon	Reg. Credit	Reg. Credit Expiration Date	Airfare Credit	Airfare Credit Expiration Date	Refund Pending
<b>Alan Bernstein</b>												
Edu - IFEBP 66th Annual Employee Benefits Conference - Honolulu HI - 11/15/2020 - 11/18/2020 - Host Canceled	Z	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Attendee Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Vivian Gray</b>												
Edu - CII & NYU Corporate Governance Bootcamp - VIRTUAL -9/23/2020 - 9/25/2020 - Canceled	Z	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Attendee Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Shawn Kehoe</b>												
Edu - IFEBP 66th Annual Employee Benefits Conference - Honolulu HI - 11/15/2020 - 11/18/2020 - Host Canceled	Z	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Attendee Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Les Robbins</b>												
Edu - IFEBP 66th Annual Employee Benefits Conference - Honolulu HI - 11/15/2020 - 11/18/2020 - Host Canceled	X	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$2,125.00	12/31/2022	\$0.00		\$0.00
Edu- 2020 SACRS Fall Virtual Conference -VIRTUAL - 11/10/2020 - 11/13/2020 - Canceled	Z	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$0.00
<b>Attendee Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$2,125.00		\$0.00		\$0.00
<b>Grand Totals:</b>		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$2,125.00		\$0.00		\$0.00

Category Legend:

X - Canceled events for which expenses have been incurred.  
Z - Trip was Canceled - Balance of \$0.00

November 22, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Steven P. Rice, *SPR*  
Chief Counsel

FOR: December 1, 2021 Board of Retirement Meeting  
December 8, 2021 Board of Investments Meeting

SUBJECT: Fiduciary Counsel Annual Self-Assessments

At a joint meeting on October 28, 2020, the Boards approved the engagement of three law firms – Klausner, Kaufman, Jensen & Levinson, Nossaman LLP, and Olson Remcho LLP – to serve as a panel of fiduciary counsel. The contracts entered into with the firms require a self-assessment to be delivered by November 1 each year. Attached are the self-assessments for 2021. The assessments are privileged and confidential and protected from public disclosure. Staff welcomes input on fiduciary counsel’s services, provided that discussion of this item, which will take place in open session, should not include privileged information about specific assignments.

Staff’s input is that all three firms are responsive and giving quality service. From staff’s perspective, the three-firm panel approach is working well to ensure that counsel is available for all assignments, obtain different points of view, and capture expertise to serve all of LACERA’s fiduciary counsel needs.

**Legal Authority**

The Boards have plenary authority and fiduciary responsibility for the administration and investment of the fund. Cal. Const., art. XVI, § 17; Cal. Gov’t Code §§ 31520, 31595. Government Code Section 31529.6, which is a LACERA-specific provision, states that “the board of retirement and the board of investment may contract with attorneys in private practice for legal services and advice. The boards shall pay reasonable compensation for the legal services and advice.” In exercising this authority, it is prudent for the Boards to periodically monitor and assess fiduciary counsel.

Privileged Attachments (Cal. Gov’t Code §§ 6254(k), 54957.5(a))

- A – Assessment provided by Klausner, Kaufman, Jensen & Levinson
- B – Assessment provided by Nossaman LLP
- C – Assessment provided by Olson Remcho LLP

c: Santos H. Kreimann                      Luis Lugo                      Laura Guglielmo  
Jonathan Grabel                              JJ Popowich



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**





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