

AGENDA

A REGULAR MEETING OF THE BOARD OF RETIREMENT LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, DECEMBER 4, 2019

*The Board may take action on any item on the agenda,
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. PLEDGE OF ALLEGIANCE
- III. APPROVAL OF MINUTES
 - A. Approval of the Minutes of the Regular Meeting of November 6, 2019
- IV. OTHER COMMUNICATIONS
 - A. For Information
 - 1. October 2019 All Stars
 - 2. Awards
 - 3. Chief Executive Officer's Report
(Memo dated November 22, 2019)
- V. PUBLIC COMMENT
- VI. CONSENT ITEMS
 - A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated November 22, 2019)
- VII. EXCLUDED CONSENT ITEMS

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Bernie Buenaflor, Division Manager, Benefits Division: That the Board 1) Determine, based upon medical evaluation conducted October 8, 2019, that Tammy Bersing-Steiner is not incapacitated for the duties assigned to her in the position of Psychiatric Social Worker I; and 2) Grant the application of Tammy Bersing-Steiner for reinstatement to active membership. (Memo dated November 21, 2019)
- B. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board review the 2020 meeting calendar and consider rescheduling dates that conflict with a holiday and/or the potential of a lack of quorum. (Memo dated November 27, 2019)
- C. Recommendation as submitted by Gina Sanchez, Chair, Audit Committee, and Richard Bendall, Chief Audit Executive: That the Board authorize staff to amend the Plante Moran Audit Services Agreement for the additional audit services required as a result of LACERA's Post-Employment Benefits (OPEB) plan restructure from a cost sharing multiple employer plan to an agent multiple employer plan. (Memo dated November 25, 2019)

IX. REPORTS

- A. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer regarding an Update on SACRS 2020 Legislative Platform. (Memo dated November 20, 2019)
- B. For Information Only as submitted by Fern M. Billingsy, Senior Staff Counsel, regarding the Report of Pensionable Compensation and Compensation Earnable Items. (Memo dated November 20, 2019)
- C. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the Semi-Annual Interest Crediting for Reserves as of June 30, 2019 (Audited). (Memo dated October 29, 2019)
- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:
 - Monthly Education and Travel Report for October 2019
(Public Memo dated November 25, 2019)
(Confidential Memo dated November 25, 2019 – Includes Anticipated Travel)
 - 1st Quarter Education and Travel Expenditure Reports
(Memo dated November 22, 2019)

IX. REPORTS (Continued)

- E. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the November 2019 Fiduciary Counsel Contact and Billing Report. (Memo dated November 25, 2019) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

- XI. GOOD OF THE ORDER
(For information purposes only)

XII. EXECUTIVE SESSION

- A. Conference with Legal Counsel – Existing Litigation
(Pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9)

- 1. Bruce Emerson, Sara Erickson, Mary Tate, and Jane Osumi v. LACERA
LASC Case No. 19 STCP04057

XIII. ADJOURNMENT

Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Members at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.

Persons requiring an alternative format of this agenda pursuant to Section 202 of the Americans with Disabilities Act of 1990 may request one by calling the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday, but no later than 48 hours prior to the time the meeting is to commence. Assistive Listening Devices are available upon request. American Sign Language (ASL) Interpreters are available with at least three (3) business days notice before the meeting date.

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, NOVEMBER 6, 2019

PRESENT: Alan Bernstein, Chair
Les Robbins, Vice Chair
Gina Zapanta-Murphy, Secretary
Vivian H. Gray
JP Harris (Alternate Retired)
Shawn R. Kehoe
Keith Knox
Ronald Okum
William Pryor (Alternate Safety)
Herman Santos
Thomas Walsh

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer
John Popowich, Assistant Executive Officer
Steven P. Rice, Chief Counsel
Barry W. Lew, Legislative Affairs Officer
Fern M. Billingsy, Senior Staff Counsel
Cassandra Smith, Retiree Healthcare Director

STAFF ADVISORS AND PARTICIPANTS

Richard Bendall, Chief Audit Executive

Johanna Fontenot, Senior Staff Counsel

Ted Granger, Assistant Financial Officer

Leisha Collins, Principal Internal Auditor

Kathryn Ton, Senior Internal Auditor

I. CALL TO ORDER

The meeting was called to order by Mr. Bernstein at 9:22 a.m., in the Board Room of Gateway Plaza.

II. PLEDGE OF ALLEGIANCE

Mr. Okum led the Board Members and staff in reciting the Pledge of Allegiance.

III. APPROVAL OF MINUTES

A. Approval of the Minutes of the Regular Meeting of October 2, 2019

Mr. Harris made a motion, Mr. Knox seconded, to approve the minutes of the regular meeting of October 2, 2019. The motion passed unanimously by all members present.

IV. OTHER COMMUNICATIONS

A. For Information

1. September 2019 All Stars

Mr. Popowich announced the eight winners for the month of September: Katy Tieu, Michelle Yanes, Lauren DeCoudreaux, Araceli Gamboa, Theodore King, Roberta Van

IV. OTHER COMMUNICATIONS (Continued)

A. For Information

1. September 2019 All Stars (Continued)

Norrick, Catherine Lumpkin, and Ernestine Brown for the Employee Recognition Program. Tina Young, Alejandro Ochoa, Joie Dang, and Elsy Gutierrez were the winners of LACERA's RideShare Program.

2. Chief Counsel's Report
(Memo dated October 31, 2019)

Mr. Rice provided a brief overview of the Chief Counsel's Report. In addition, he thanked Mr. Knox for attending the Employee Forum, the Forum event speakers, and staff who helped organize the event.

V. PUBLIC COMMENT

There were no requests from the public to speak.

VI. CONSENT ITEMS

Mr. Walsh made a motion, Mr. Bernstein seconded, to approve Consent Items A, B, D, E, and Non-Consent Item B. The motion passed unanimously by all members present.

A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated October 28, 2019)

B. Recommendation as submitted by Shawn R. Kehoe, Chair, Operations Oversight Committee: That the Board approve the revised policy for Purchasing Goods and Services. (Memo dated October 28, 2019)

VI. CONSENT ITEMS (Continued)

- C. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board direct its voting delegate to vote YES on sponsorship by the State Association of County Retirement Systems (SACRS) of “Nonservice-connected Disability Retirement and Intemperate Use of Alcoholic Liquor or Drugs” as proposed by the Los Angeles County Employees Retirement Association for the SACRS 2020 legislative platform. (Memo dated October 23, 2019)

This Item was discussed under Item VII. Excluded Consent Items.

Mr. Lew was present to answer questions from the Board.

- D. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board 1) Approve a visit to Congress by Board members and staff as designated by the Chair of the Board of Retirement during the week of January 26, 2020 in Washington, D.C.; 2) Approve the visit as an Administrative Meeting; and 3) Approve reimbursement of all travel costs incurred in accordance with LACERA’s Education and Travel Policy. (Memo dated October 24, 2019)
- E. Recommendation as submitted by Fern M. Billings, Senior Staff Counsel: That the Board 1) Adopt Resolutions, Nos. 2019-BR014 and No. 2019-BR015, specifying pay items as “compensation earnable” and “pensionable compensation;” and 2) Instruct staff to coordinate with the County of Los Angeles to establish necessary reporting mechanism and procedures to permit LACERA to include the qualifying items in the calculation of final compensation. (Memo dated October 24, 2019)

VII. EXCLUDED CONSENT ITEMS

Consent Item VI. C. was addressed by the Board.

Mr. Harris made a motion, Mr. Walsh seconded, to approve staff’s recommendation. The motion passed unanimously with Mr. Kehoe voting no.

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Cassandra Smith, Director, Retiree Healthcare Division: That the Board authorize staff to 1) Sign a separate contract with the new Kaiser Permanente Washington service; and 2) Allow a temporary waiver of the 6-month waiting period for eligible members electing to transfer into the new Kaiser Permanente Washington service area plan. (Memo date October 21, 2019)

Ms. Smith was present and answered questions from the Board.

Mr. Robbins made a motion, Ms. Gray seconded, to approve staff's recommendation. The motion passed unanimously by all members present.

- B. Recommendation as submitted by Barry W. Lew, Legislative Affairs Officer: That the Board direct its voting delegate to vote YES on sponsorship by the State Association of County Retirement Systems (SACRS) of Senate Bill 783 for the SACRS 2020 legislative platform. (Memo dated October 24, 2019)

This Item was approved under Item VI. Consent Items.

- C. Recommendation as submitted by Johanna M. Fontenot, Senior Staff Counsel: That the Board approve the Chief Counsel Reporting Structure. (Memo dated October 31, 2019)

Ms. Gray made a motion, Mr. Santos seconded, to approve staff's recommendation. The motion passed unanimously by all members present.

IX. REPORTS

- A. For Information Only as submitted by Richard Bendall, Chief Audit Executive; Leisha Collins, Principal Internal Auditor, Kathryn Ton, Senior Internal Auditor; and Perla Gonzalez, Senior Secretary regarding the New Ethics Hotline and Web Intake Site Presentation. (Presentation Memo dated October 28, 2019)

Ms. Collins and Ton were present and provided a brief presentation to the Board.

IX. REPORTS (Continued)

The following items were received and filed.

- B. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Monthly Status Report on Legislation. (Memo dated October 24, 2019)
- C. For Information Only as submitted by Beulah S. Auten, Chief Financial Officer, regarding the Monthly Board and Staff Education and Travel Report for September. (Public Memo dated October 31, 2019) (Confidential Memo dated October 31, 2019 – Includes Anticipated Travel)
- D. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the October 2019 Fiduciary Counsel Contact and Billing Report. (Memo dated November 1, 2019) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

In regards to Item IX. A., the Board requested that a structure be developed in order to apprise the Board of complaints and/or allegations made regarding management as well as areas that may affect the Board. Lastly, the Board requested that staff look into the browsing history capabilities for internal staff in order to better secure anonymous submissions.

XI. GOOD OF THE ORDER
(For information purposes only)

The Board extended its deepest gratitude to Steven P. Rice, Chief Counsel, for taking on the Chief Executive Officer responsibilities for the last five months. Lastly, the Board thanked and welcomed new Chief Executive Officer, Santos H. Kreimann to LACERA.

XII. EXECUTIVE SESSION

- A. Conference with Legal Counsel – Existing Litigation
(Pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9)

1. Robert Morgan: Los Angeles County Civil Service Commission
No. 18-251 and DFEH #201903-05340905

The Board met in Executive Session with counsel pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9. The Board unanimously by all members present voted to authorize a resolution.

XIII. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 10:57 a.m.

GINA ZAPANTA-MURPHY, SECRETARY

ALAN BERNSTEIN, CHAIR



November 22, 2019

TO: Each Member
Board of Retirement
Board of Investments

FROM: Santos Kreimann *SKC*
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT**

I am pleased to present the Chief Executive Officer's Report that highlights a few of the operational activities that have taken place during the past month, key business metrics to monitor how well we are meeting our performance objectives, and an educational calendar.

A New Beginning

I would like to begin my first CEO Report by thanking your Boards again for the opportunity and honor of joining the LACERA family. As I have mentioned to our managers and staff members, as a County employee for nearly 30 years, joining the LACERA family feels both familiar, but also carries with it the exciting opportunities of new beginnings. I would also like to thank the managers and staff members for their warm welcome and for their openness and willingness to share their hopes, ideas and concerns with me.

My first 100 days will be devoted to getting to know LACERA better. I have been meeting with the management team and staff members to begin the mutual learning process. In addition, I have been sharing with the staff members my leadership philosophy and my expectations going forward. Over the next few months, I will begin to formulate more detailed plans for our future that I will share with the Boards and staff.

In the meantime, we are not remaining idle. As discussed at the November Board meetings, the management team and I have met and discussed our plans to address the recent Los Angeles County financial and operations audit. While we do not agree with everything in the audit, it does provide an opportunity to continue the reflection, review, and development of plans to address the operational areas we internally identified as needing improvement. Under my leadership, LACERA will remain focused on continually improving our operations, enhancing our work culture, and providing opportunities for our staff members to grow both professionally and personally. We are working towards the March 2020 timeframe for making administrative changes we feel are appropriate. As always, we will keep your Boards informed about our progress.

Finally, we are beginning the annual budgeting process. This year we will be carrying forward the current Strategic Plan, while making slight changes to the budget process to meet the requirements of the Joint Organizational Governance Committee Charter and incorporate Board feedback. I have already begun working with the Budget Team to review the process and potential plans under consideration for modifying the planning and budgeting process. Once I have had an opportunity to understand the current process the team and I will provide an overview of a new strategic planning and budgeting process that we will implement in FY 2021-2022.

March Madness Begins

I am pleased to announce that staff in Member Services and Systems have been working together to expand our ability to serve members on the weekends, throughout the March Madness retirement season. Beginning in November, we will be hosting workshops and one-on-one counseling sessions in the Member Services Center on selected weekends. This takes a tremendous amount of coordination between Member Services and our Systems Division to ensure that we have enough people to support the expanded counseling offerings. I thank all of our hard working staff for being flexible and member focused. Beginning with the next CEO Report, we will report out the March Madness season numbers as they come in.

2020 Board of Retirement Offsite

We are pleased to announce the 2020 Board of Retirement Offsite scheduled for Tuesday, January 21 and Wednesday, January 22 at the Millennium Biltmore Hotel in Los Angeles. The first day of the educational meeting will focus on Board of Retirement matters, and the second day will be dedicated to our Retiree Healthcare Program. All Board members are encouraged to attend both days, as we appreciate your ideas and observations for improving our member programs and services.

We will be working on developing an informative and educational agenda. Should any Board members have a suggested topic or educational focus you would like us to consider, please reach out to myself or a member of the Executive team. Details to follow!

Update on Key Retirements

Chief Financial Officer: Beulah Auten, Chief Financial Officer (CFO), has retired effective October 31, 2019. Recruitment of her replacement will be initiated once I have had time to review the structure and job functions of the CFO. We will continue updating the Boards regularly. In the meantime, Ted Granger, will continue to serve as the Interim CFO.

Director of Human Resources: As mentioned in last month's CEO Report we have re-opened our search for a new Human Resources Director, after the previous selected candidate had to back out of the process. I will be working with the search team and the recruiter to fill this critical position.

SHK: jp
CEO report December 2019.doc

Attachments

Striving for Excellence in Service



Outreach Attendance
4,356

14,317 Year-to-Date



Outreach Events
56

198 Year-to-Date



Outreach Satisfaction
95.7%

0.4% Change Since Last Mo



Member Service Center
100.0%

-2% Change Since Last Mo

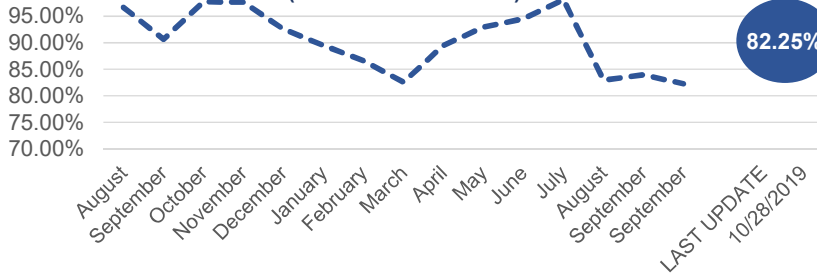


Member Services Calls
12,371

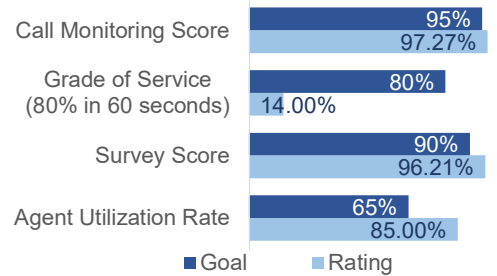
12,470 3 Mo. Avg.

Key Performance Indicator (Overall Performance)

Goal: 100%



Key Performance Indicator (Components)



Member Services



■ Calls Answered ■ Calls Abandoned

Top Calls

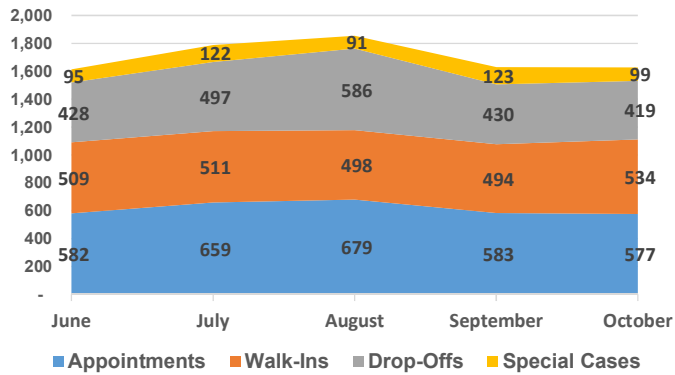
1. Workshop Information\Appointments: Inquiry
2. Retirement Counseling: Process Overview
3. My LACERA: Portal Login Issues



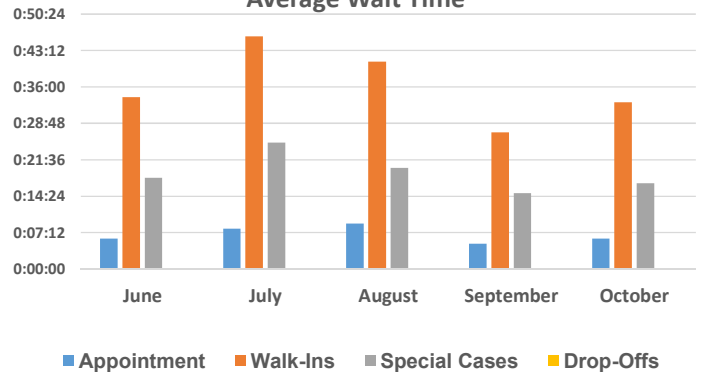
Emails 501
5:45 hours
Avg. Response Time (ART)

Secure Messages 202

Member Service Center Visits



Member Service Center Average Wait Time

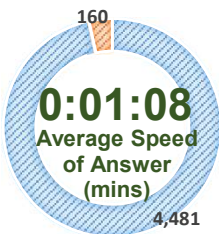


*Drop Off Wait Time: No Waiting



Total RHC Calls: 4,644

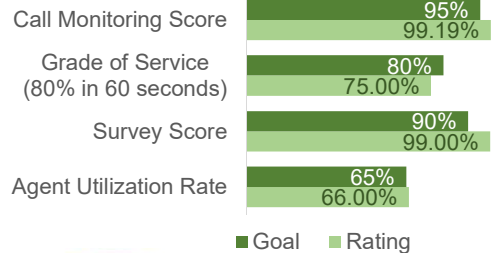
Retiree Healthcare



■ Calls Answered ■ Calls Abandoned

Top Calls

1. Med. Benefits - General Inquiries (RHC)
2. Medical-New Enrollment/Change/Cancel
3. General Inquiries (RHC)



Emails 265
1 Day
Avg. Response Time

Secure Messages n/a

Striving for Excellence in Service (Continued)

Applications

Appeals

Disability

683

**In Process
As Of:
10/30/2019**

681 Pending as of:

41 Received

182 Year-to-Date

0 Re-Opened

0 Year-to-Date

36 To Board - Initial

167 Year-to-Date

3 Closed

8 Year-to-Date

102

**In Process
As Of:
10/30/2019**

98 Pending as of:

4 Received

15 Year-to-Date

0 Admin Closed/Rule 32

6 Year-to-Date

0 Referee Recommended

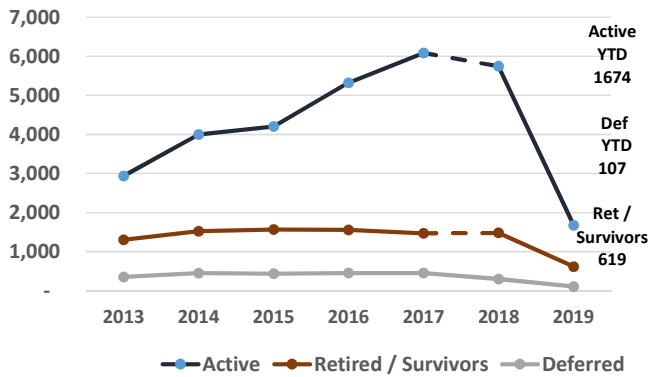
0 Year-to-Date

0 Revised/Reconsidered for Granting

0 Year-to-Date

My LACERA Registrations

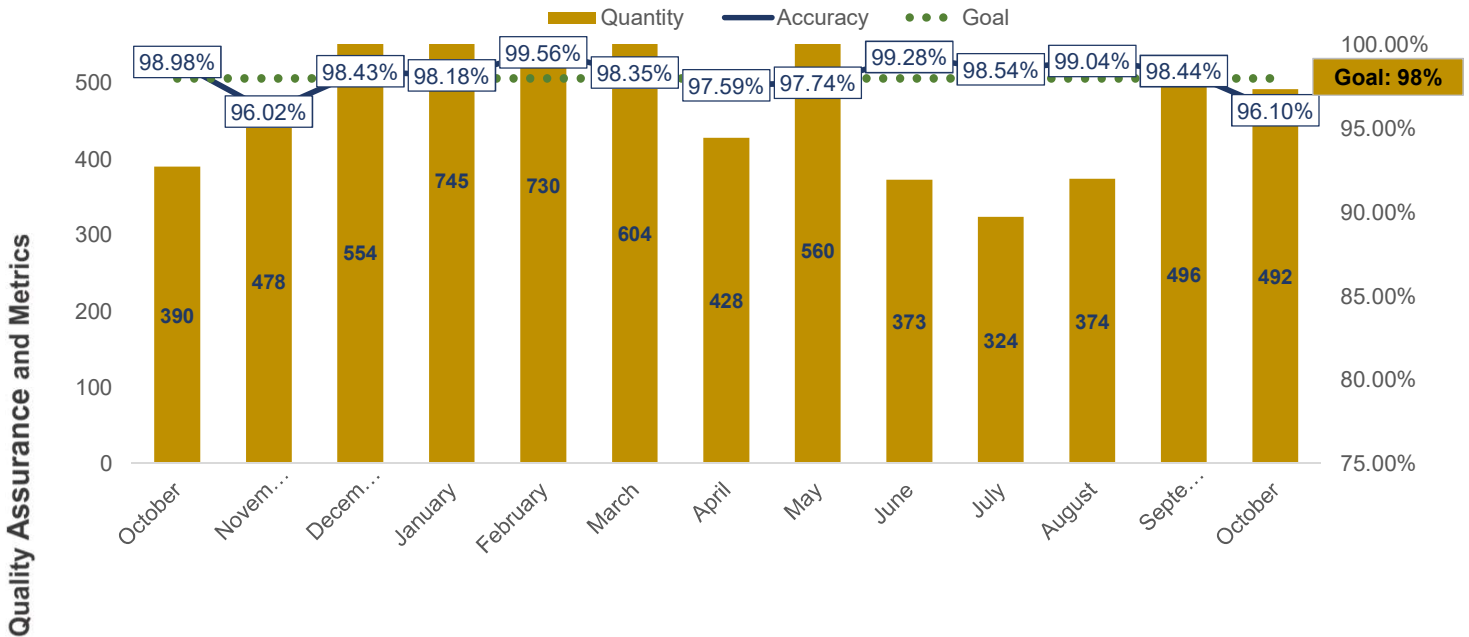
My LACERA



MORE COMING SOON!

Striving for Excellence in Quality

Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



**October
2019
96.10%**



Retirement Elections

309 Samples
90.49% Accuracy

Payment Contracts

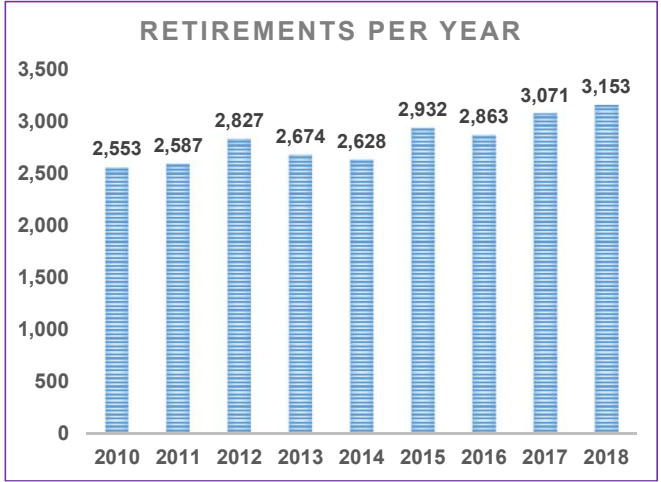
123 Samples
98.39% Accuracy

Data Entry

60 Samples
99.42% Accuracy

Member Snapshot

		Members as of 11/14/19				
		Plan	Active	Retired	Survivors	Total
General	Plan A		100	16,504	4,453	21,057
	Plan B		32	677	70	779
	Plan C		39	426	69	534
	Plan D		41,378	15,818	1,487	58,683
	Plan E		17,106	13,197	1,203	31,506
	Plan G		28,087	39	3	28,129
	Total General		86,742	46,661	7,285	140,688
Safety	Plan A		4	5,206	1,585	6,795
	Plan B		9,615	5,979	298	15,892
	Plan C		3,319	8	0	3,327
	Total Safety		12,938	11,193	1,883	26,014
TOTAL MEMBERS			99,680	57,854	9,168	166,702
% by Category			60%	35%	5%	100%

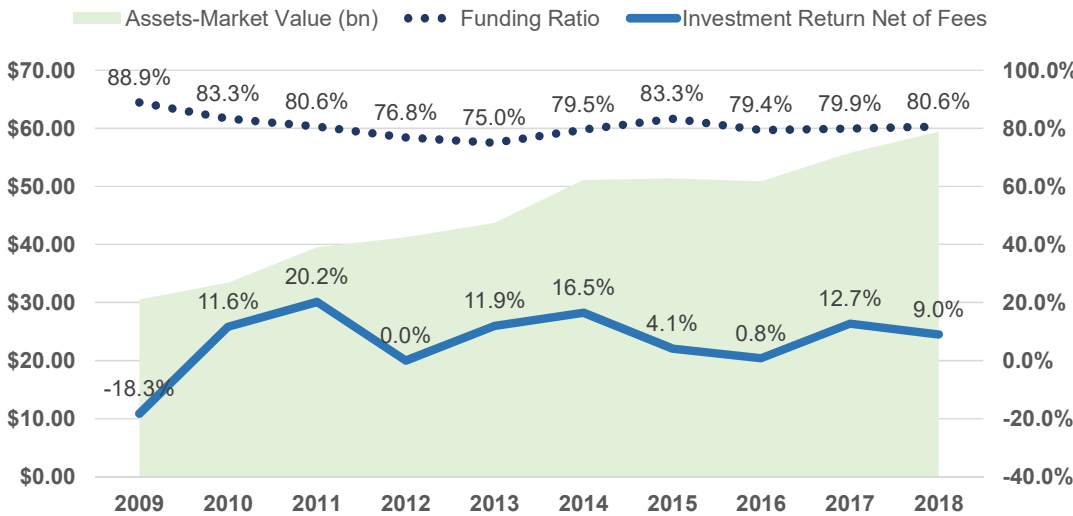


Average Monthly Benefit Allowance Distribution				
	General	Safety	Total	%
\$0 to \$3,999	29,916	2,036	31,952	55.38%
\$4,000 to \$7,999	12,365	3,571	15,936	27.62%
\$8,000 to \$11,999	3,095	3,967	7,062	12.24%
\$12,000 to \$15,999	836	1,238	2,074	3.59%
\$16,000 to \$19,999	245	234	479	0.83%
\$20,000 to \$23,999	72	76	148	0.26%
\$24,000 to \$27,999	26	12	38	0.07%
> \$28,000	6	2	8	0.01%
Totals	46,561	11,136	57,697	100%

Average Monthly Benefit Allowance: \$ 4,320.00

Healthcare Program (YTD)		Healthcare Enrollments (Monthly)	
	Employer	Member	
Medical	\$179m	\$14.8m	Medical 51,342
Dental	\$14.9m	\$1.5m	Dental 52,647
Part B	\$22.6m	xxxx	Part B 34,733
Total	\$216.5m	\$16.3m	Total 139,351

Key Financial Metrics



Funding Metrics (as of 6/30/19)	
Employer NC	9.92%*
UAAL	10.99%*
Assumed Rate	7.25%*
Star Reserve	\$614m
Total Assets	\$58.3b

Contributions (as of 6/30/18)		
	Employer	Member
Annual Add	\$1,524.8m	\$591.3m
% of Payroll	20.91%*	6.88%*

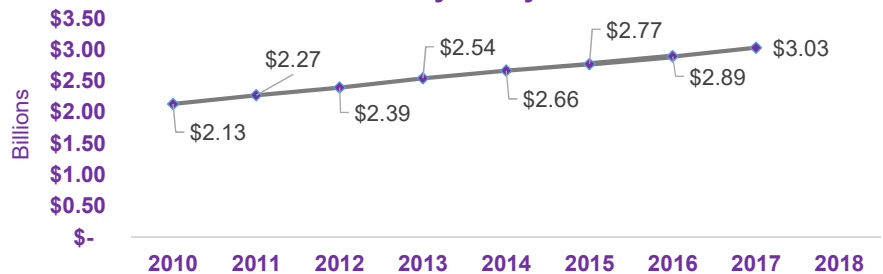
*Effective July 1, 2019, as of 06/30/18 actuarial valuation

TOTAL FUND RETURN (Net of Fees)		
	5 YR:	10 YR:
	8.5%	6.3%

Retired Members Payroll

Monthly Payroll	\$299.98m
Payroll YTD	1.2b
New Retired Payees Added	281
Seamless %	95.73%
New Seamless Payees Added	1,149
Seamless YTD	96.78%
By Check %	3.00%
By Direct Deposit %	97.00%

Retiree Payroll by Year



Date	Conference
January, 2020 26-28	NCPERS (National Conference on Public Employee Retirement Systems) Legislative Conference Washington D.C.
February, 2020	
10-11	Pension Bridge ESG Summit 2020 San Diego, CA
11-12	2020 Milken Institute MEA Summit Abu Dhabi, United Arab Emirates
12-13	IMN (Information Management Network) Annual Beneficial Owners' Intl. Securities Finance & Collateral Mgmt. Conference Fort Lauderdale, FL
12-14	Pacific Pension Institute (PPI) North American Winter Roundtable Rancho Palos Verdes, CA
27-28	International Corporate Governance Network (ICGN) Conference Seoul, South Korea
March, 2020	
2-3	National Institute on Retirement Security (NIRS) Annual Conference Washington D.C.
4-5	PREA (Pension Real Estate Association) Spring Conference Beverly Hills, CA
7-10	CALAPRS (California Association of Public Retirement Systems) General Assembly Meeting Rancho Mirage, CA
9-11	Council of Institutional Investors (CII) Spring Conference Washington D.C.
18-19	AHIP (America's Health Insurance Plans) National Health Policy Conference Washington D.C.
29-April 1	World Healthcare Congress Washington D.C.
30-April 1	CALAPRS (California Association of Public Retirement Systems) Advanced Principles of Pension Management for Trustees at UCLA Los Angeles, CA
April, 2020	
6-8	CRCEA (California Retired County Employees Association) Spring Conference Visalia, CA
6-8	IFEBC (International Foundation of Employment Benefit Plans) Health Care Mgmt. Conference Phoenix, AZ



November 22, 2019

TO: Board Trustees, Board of Retirement

FOR: Board of Retirement Meeting on December 12, 2019

SUBJECT: Ratification of Service Retirement and Survivor Benefit Application Approvals

The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LOUIS P. BOOKOUT	SHERIFF Dept.#SH	12-31-2019	30 YRS 04½ MOS
MARK D. CENOVICH	DISTRICT ATTORNEY Dept.#DA	12-31-2019	33 YRS 04½ MOS
ALLEN R. DOLLENS	SHERIFF Dept.#SH	12-31-2019	27 YRS 11½ MOS
JOSEPH M. DULLA JR	SHERIFF Dept.#SH	12-28-2019	37 YRS 10 MOS
ROBERT M. FARKAS J R	SHERIFF Dept.#SH	12-28-2019	30 YRS 00 MOS
RAYMOND A. GARCIA	L A COUNTY FIRE DEPT Dept.#FR	12-31-2019	32 YRS 04½ MOS
JAMES R. GRADY	SHERIFF Dept.#SH	01-31-2020	36 YRS 00 MOS
JACK L. HAYNES	L A COUNTY FIRE DEPT Dept.#FR	11-17-2019	32 YRS 01 MOS
CHET W. HOPKINS	L A COUNTY FIRE DEPT Dept.#FR	11-27-2019	33 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DAVID S. ISHIBASHI	DISTRICT ATTORNEY Dept.#DA	11-30-2019	20 YRS 09 MOS
DOUGLAS K. LACOUNT	L A COUNTY FIRE DEPT Dept.#FR	12-31-2019	31 YRS 10½ MOS
RICHARD J. LEUCK JR	L A COUNTY FIRE DEPT Dept.#FR	10-31-2019	33 YRS 06½ MOS
RANDAL J. MC NARY	DISTRICT ATTORNEY Dept.#DA	12-28-2019	31 YRS 04 MOS
TODD MCCRAVEN	SHERIFF Dept.#SH	12-31-2019	31 YRS 05½ MOS
ANGEL MONTOYA	L A COUNTY FIRE DEPT Dept.#FR	12-31-2019	33 YRS 11½ MOS
DONALD P. REYNOLDS	SHERIFF Dept.#SH	12-28-2019	31 YRS 00 MOS
CYRIL A. SABBAGH	SHERIFF Dept.#SH	01-31-2020	20 YRS 03 MOS
DEBORAH L. TREJO	SHERIFF Dept.#SH	11-30-2019	30 YRS 08 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RODNEY A. WILLIAMS	L A COUNTY FIRE DEPT Dept.#FR	12-10-2019	28 YRS 08½ MOS
EDWARD R. WINSLOW	SHERIFF Dept.#SH	12-06-2019	30 YRS 07½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HAREGNESH ADAMU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-27-2019	26 YRS 04 MOS
THERESA C. ALLEN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2019	33 YRS 11 MOS
ROSALINDA ALVARADO-GOM	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-28-2019	16 YRS 08 MOS
STEPHANIE D. ARTH	AMBULATORY CARE NETWORK Dept.#HN	12-12-2019	22 YRS 04½ MOS
ELIZABETH J. ATKINSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2019	30 YRS 01½ MOS
JOANNEM AVILA-BAKER	CHILDREN & FAMILY SERVICES Dept.#CH	11-30-2019	27 YRS 10 MOS
LUZ L. BARAJAS	PUBLIC HEALTH PROGRAM Dept.#PH	12-18-2019	29 YRS 00 MOS
LEONARD G. BARAJAS	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-30-2019	15 YRS 01 MOS
ANITSA BOGHOSSIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-28-2019	28 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ILEANA BONILLA	PUBLIC HEALTH PROGRAM Dept.#PH	12-28-2019	33 YRS 02½ MOS
VALERIE M. BROOKS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2019	18 YRS 02 MOS
DONALD M. BROSTOFF	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-07-2019	30 YRS 07½ MOS
THOMAS H. BROWNE	PUBLIC WORKS Dept.#PW	12-28-2019	32 YRS 09 MOS
SONIA E. CARTER ROSAD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2019	35 YRS 10½ MOS
JOSEFINA C. CASTILLO	SHERIFF Dept.#SH	12-28-2019	32 YRS 03 MOS
VERONICA CASTRO	PUBLIC WORKS Dept.#PW	12-27-2019	18 YRS 02 MOS
ELENA CATBAGAN	CHILDREN & FAMILY SERVICES Dept.#CH	12-28-2019	30 YRS 04 MOS
MIRKO CAVKA	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	01-01-2020	29 YRS 10½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SILVIA COLON	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-16-2019	17 YRS 01½ MOS
DEBORAH A. CONEY-REED	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2019	17 YRS 01½ MOS
SANDY J. CORONADO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2019	28 YRS 02½ MOS
PATRICIA L. COTA	MENTAL HEALTH Dept.#MH	11-01-2019	19 YRS 07½ MOS
SANDRA A. CURTIS	AUDITOR - CONTROLLER Dept.#AU	11-30-2019	49 YRS 11 MOS
MARK DANA	CHILDREN & FAMILY SERVICES Dept.#CH	10-31-2019	22 YRS ½ MOS
DEBORA DE LA ROSA	CHILD SUPPORT SERVICES Dept.#CD	10-18-2019	18 YRS 10½ MOS
SEMRET DESSALEGN	MENTAL HEALTH Dept.#MH	12-31-2019	18 YRS 05 MOS
NORA P. DIAZ	CORRECTIONAL HEALTH Dept.#HC	12-28-2019	26 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JACELENE DONNER	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-16-2019	41 YRS 00 MOS
MARYZELA DORADO	PUBLIC HEALTH PROGRAM Dept.#PH	12-28-2019	19 YRS 07½ MOS
GARY D. FOUNTAIN	REGIONAL PLANNING Dept.#RP	11-30-2019	53 YRS 02½ MOS
AMELIA GARCIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2019	44 YRS 05 MOS
MARIA E. GARIBAY	HEALTH SERVICES ADMINISTRATION Dept.#HS	10-31-2019	27 YRS 09½ MOS
ALEXANDER GATCHALIAN	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-01-2019	15 YRS ½ MOS
RHONDA L. GILLAND	CORRECTIONAL HEALTH Dept.#HC	01-31-2020	18 YRS 00 MOS
MARGARITA GOMEZ	CHILD SUPPORT SERVICES Dept.#CD	11-23-2019	29 YRS 08 MOS
DEBRA E. GOODE	PUBLIC HEALTH PROGRAM Dept.#PH	12-31-2019	27 YRS 01½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARGARET E. HARGRAVE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-27-2019	21 YRS 04 MOS
HASMIK HATAMIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2019	26 YRS 01½ MOS
LINDA L. HAWES	CHILDREN & FAMILY SERVICES Dept.#CH	11-30-2019	05 YRS 07 MOS
JOHN M. HERRERA	MENTAL HEALTH Dept.#MH	11-05-2019	08 YRS 08½ MOS
CONSUELO IRUSTA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2019	28 YRS 04½ MOS
ROZIK ISSAKHANIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-31-2020	12 YRS 08 MOS
OLUFUNKE C. JAIYEOLA	CORRECTIONAL HEALTH Dept.#HC	12-28-2019	25 YRS 08 MOS
RICHARD S. JEFFERSON	PUBLIC HEALTH PROGRAM Dept.#PH	12-27-2019	19 YRS 02½ MOS
SHIH-HUEY KAO	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2019	14 YRS 07½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SUNG-IM KIM	DISTRICT ATTORNEY Dept.#DA	12-20-2019	38 YRS 04 MOS
WENDY C. KNITTLE	CHILD SUPPORT SERVICES Dept.#CD	10-30-2019	25 YRS 04 MOS
EVA P. LABARDA	TREASURER AND TAX COLLECTOR Dept.#TT	12-28-2019	29 YRS 03 MOS
CONNIE P. LAI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-27-2019	33 YRS 01 MOS
MING-MING H. LEE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2019	34 YRS 01 MOS
MARIA E. LEPE	MENTAL HEALTH Dept.#MH	11-26-2019	29 YRS 07 MOS
VERONICA LEWIS-HOUSTO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2019	38 YRS 10½ MOS
CYNTHIA LOPEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-28-2019	41 YRS 09 MOS
REBECCA F. LUMASAG	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-30-2019	40 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
STEVEN A. LUND	PUBLIC DEFENDER Dept.#PD	11-30-2019	35 YRS 03 MOS
GILBERT MARES JR	PUBLIC DEFENDER Dept.#PD	12-31-2019	29 YRS 03½ MOS
EMMA MARIANO-MANA	CORRECTIONAL HEALTH Dept.#HC	01-31-2020	28 YRS 01½ MOS
ANAIT A. MARKARYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2019	20 YRS ½ MOS
ELSA R. MARTINEZ-ALY	HEALTH SERVICES ADMINISTRATION Dept.#HS	12-28-2019	45 YRS 04 MOS
AARON A. MIRANDA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-28-2019	14 YRS 05 MOS
MICHAEL S. MONTOYA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-19-2019	24 YRS 09 MOS
SHADIA S. MORCOS	INTERNAL SERVICES Dept.#IS	12-31-2019	32 YRS 01½ MOS
MARK J. MORROW	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-03-2019	20 YRS 02½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DOLORES MOYA	INTERNAL SERVICES Dept.#IS	01-01-2020	10 YRS ½ MOS
JUAN MUNOZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-28-2019	30 YRS 01 MOS
ALFONSO M. MUNOZ	PUBLIC WORKS Dept.#PW	11-30-2019	35 YRS 00 MOS
ALICIA MUNOZ	INTERNAL SERVICES Dept.#IS	11-30-2019	41 YRS 09 MOS
MARIO MUNOZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-28-2019	28 YRS 00 MOS
DOROTHY A. NEAL	DISTRICT ATTORNEY Dept.#DA	11-30-2019	56 YRS 02 MOS
ROSALINDA M. NERI	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-31-2019	13 YRS 09 MOS
PAUL L. NGUYEN	INTERNAL SERVICES Dept.#IS	12-31-2019	28 YRS 04½ MOS
PAUL D. NUMER	PARKS AND RECREATION Dept.#PK	11-01-2019	48 YRS 03½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CHARITO C. OCAMPO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-28-2019	32 YRS 06 MOS
EVELYN OLIVAS	PROBATION DEPARTMENT Dept.#PB	12-31-2019	26 YRS ½ MOS
KRISTINA ORVIS	COUNTY COUNSEL Dept.#CC	12-28-2019	16 YRS 10 MOS
DAVID E. OZUNA	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	01-31-2020	10 YRS 01 MOS
WARREN C. PAK	ASSESSOR Dept.#AS	11-15-2019	33 YRS 10 MOS
MARIVIC G. PANLILIO	PUBLIC HEALTH PROGRAM Dept.#PH	12-28-2019	34 YRS 11 MOS
MARTHA C. PINEDA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-28-2019	30 YRS 08 MOS
JUAN E. POLANCO-MART	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-14-2019	14 YRS 03½ MOS
MARIBEL ROQUE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2019	33 YRS 10 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
OLGA L. RUBI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2019	14 YRS 01½ MOS
DERNICE SAMUEL	SHERIFF Dept.#SH	12-28-2019	45 YRS 00 MOS
MARIA R. SANCHEZ	CHILDREN & FAMILY SERVICES Dept.#CH	12-27-2019	25 YRS 00 MOS
HILDA SEVERSON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-31-2019	28 YRS 07½ MOS
IZOLDA SIGAL-LIBERM	CHILDREN & FAMILY SERVICES Dept.#CH	10-29-2019	28 YRS 06 MOS
VICTORIA SLATER	CHILD SUPPORT SERVICES Dept.#CD	12-31-2019	38 YRS 05½ MOS
KATHARINE R. SLOAN	PUBLIC DEFENDER Dept.#PD	11-30-2019	21 YRS 00 MOS
COLLEEN E. SMEAD	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2019	12 YRS 02 MOS
LAURA M. SMITH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-31-2019	32 YRS 08½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PHYLLIS M. STEVENSON	SHERIFF Dept.#SH	12-29-2019	28 YRS 07 MOS
REGINA M. STEWART	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-29-2019	47 YRS 05 MOS
FRANCIS D. TAYLOR	ASSESSOR Dept.#AS	12-31-2019	33 YRS 00 MOS
JINIA TOOROOSIAN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-15-2019	13 YRS 10 MOS
LORRAINE TORRES	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	12-28-2019	35 YRS 06 MOS
PHUONG X. TRIEU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2019	33 YRS 03½ MOS
PAULINE T. TRUONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2019	18 YRS 11 MOS
CYNTHIA L. TUCKER	CONSUMER AFFAIRS Dept.#CA	12-27-2019	26 YRS 07 MOS
JERROLD K. TURNEY	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	01-31-2020	25 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOHN F. URGO	DISTRICT ATTORNEY Dept.#DA	12-28-2019	39 YRS 00 MOS
DONNA M. VALDEZ	SHERIFF Dept.#SH	12-10-2019	36 YRS 05 MOS
RICARDO M. VILLAPANDO	CHILDREN & FAMILY SERVICES Dept.#CH	12-28-2019	20 YRS 07 MOS
RENIECE A. WADE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2019	31 YRS 02½ MOS
JACQUELINE M. WALKER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2019	32 YRS 09 MOS
JANE J. WHITE	PUBLIC WORKS Dept.#PW	12-28-2019	37 YRS 04 MOS
SHARON M. WILLIAMS	MENTAL HEALTH Dept.#MH	12-31-2019	46 YRS 09 MOS
DONALD Q. WOODS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2019	39 YRS 05 MOS
SHERRIE WORKU	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-28-2019	30 YRS 10 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
FLOYD WYATT JR	DISTRICT ATTORNEY Dept.#DA	11-16-2019	29 YRS 03 MOS
EVELYN P. YAMBAO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-31-2019	34 YRS 08½ MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PAMELA P. CHEATOM	INTERNAL SERVICES Dept.#IS	10-31-2019	06 YRS 10 MOS
WIFE of SAMMIE T CHEATOM JR. dec'd on 10-30-2019, Sect. #31781.3			

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
STEPHEN J. CLARK	INTERNAL SERVICES Dept.#IS	12-15-2019	12 YRS 07½ MOS
DANNY GARCIA	PARKS AND RECREATION Dept.#PK	11-30-2019	43 YRS 02½ MOS
MARY J. GOODING	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-01-2019	18 YRS 06 MOS
STEVEN R. GUTIERREZ	BOARD OF SUPERVISORS Dept.#BS	11-01-2019	07 YRS 04 MOS
HELENE F. HANDLER	CHILDREN & FAMILY SERVICES Dept.#CH	10-16-2019	18 YRS 10½ MOS
JOSEPH A. HERNANDEZ	PUBLIC WORKS Dept.#PW	10-15-2019	21 YRS 04 MOS
MARTIN J. KIRCH	TREASURER AND TAX COLLECTOR Dept.#TT	10-29-2019	11 YRS ½ MOS
LAURA J. KNIGHTON	CHILDREN & FAMILY SERVICES Dept.#CH	11-07-2019	10 YRS 10 MOS
JAMES D. MARTIN	PUBLIC DEFENDER Dept.#PD	04-01-2018	10 YRS 07 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CONSTANCE F. MEISSEN	CHILDREN & FAMILY SERVICES Dept.#CH	11-01-2019	39 YRS 04½ MOS
TINA R. MORELLO	AMBULATORY CARE NETWORK Dept.#HN	10-31-2019	31 YRS 04½ MOS
RICHARD J. MURRAY	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	10-24-2019	12 YRS 01 MOS
LOC H. NGUYEN	CHILDREN & FAMILY SERVICES Dept.#CH	11-12-2019	18 YRS ½ MOS
KAREN PALERMO GEAN	MENTAL HEALTH Dept.#MH	11-13-2019	25 YRS 08 MOS
ROSEMARY QUEZADA	REG-RECORDER/COUNTY CLERK Dept.#RR	04-01-2018	15 YRS 06 MOS
KENNETH R. REYNOLDS	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-01-2019	10 YRS 11 MOS
MARIA T. RIVERA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-08-2019	13 YRS 08 MOS
JAMES ROBERTS	SHERIFF Dept.#SH	10-04-2019	06 YRS 06 MOS

BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BERNARDITA RUIZ	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	10-17-2019	16 YRS 04 MOS
BERGERETTE V. SAINT-VICTOR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	11-10-2019	20 YRS 09 MOS
GERARDO SALDANA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-17-2019	21 YRS 04 MOS
CYNTHIA A. SCOTT	BOARD OF SUPERVISORS Dept.#BS	01-01-2020	10 YRS ½ MOS
ROBERT W. SEAMAN JR	PUBLIC WORKS Dept.#PW	11-06-2019	23 YRS 02½ MOS
RIGOBERTO SIERRA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-18-2019	32 YRS 08 MOS
NED D. WATERS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-03-2019	11 YRS 06 MOS

**BOARD OF RETIREMENT MEETING OF DECEMBER 4, 2019
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST
APPROVED ON NOVEMBER 6, 2019**


GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
ANN JOPLING	SUPERIOR COURT/COUNTY CLERK	CHANGE OF DATE TO December 01, 2019
DEBORA KENNISON	DEPT OF PUBLIC SOCIAL SERVICES	RESCINDED RETIREMENT
DEBRA A. POTTER	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO December 31, 2019
DEEANN GEICH	COASTAL CLUSTER-HARBOR/UCLA MC	RESCINDED RETIREMENT
TIMOTHY E. REEFF	CHILDREN & FAMILY SERVICES	CHANGE OF DATE TO December 17, 2019
VELORA J. HALL	CHILDREN & FAMILY SERVICES	RESCINDED RETIREMENT
ZABEL ALADZHAYAN	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO December 24, 2019



November 21, 2019

TO: Each Member
Board of Retirement

FROM: Bernie Buenaflor 
Division Manager, Benefits Division

FOR: Meeting of December 4, 2019

SUBJECT: **Application of Tammy Bersing-Steiner for Reinstatement to Active Membership Pursuant to Government Code Section 31680.4 and 31680.5**

Government Code Sections 31680.4 and 31680.5 permit a service retired member to be re-employed by the County and reinstated as an active member of LACERA if the Board of Retirement, based upon medical examination, determines that the member is not incapacitated for the assigned duties. The member's retirement allowance would be suspended immediately upon re-employment. Reinstatement to active membership becomes effective the first day of the month following the date of re-employment. The returning member would only be eligible for a retirement plan that is currently available for new members regardless of the member's prior retirement plan.

Tammy Bersing-Steiner was employed by the Department of Mental Health. She resigned on December 10, 2007 and retired on August 1, 2013. Unaware member had retired, the Department of Mental Health re-employed Ms. Bersing-Steiner on May 6, 2019 as a Psychiatric Social Worker I.

On May 9, 2019, LACERA received a Member Sworn Statement informing LACERA that the member had returned to Active service. We immediately suspended the member's retirement account, preventing further monthly retirement payments. Because of the late notification, the member incurred an overpayment for April 2019, which LACERA is collecting. Since the return to work process was initiated after the member actually returned to work, the various steps required in the process extended the time it took to bring this matter before the Board of Retirement. With the requisite steps now completed, we are now asking your Board to reinstate the member as of May 6, 2019.

Under CERL 31680.5, all reinstated general members are entitled to a retirement allowance, upon subsequent retirement, "determined as if the member were first entering the system." Thus, this member is only eligible for Retirement Plan G General. Note that the member was in Plan D for her past membership period and will be in Plan G General for her new membership period, if approved for reinstatement.



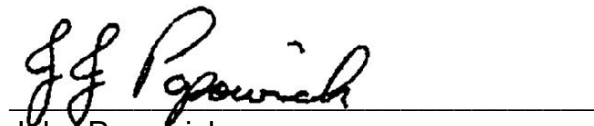
Attached are copies of documents prepared in support of the member's application for reinstatement:

- The October 24, 2019 letter from the County of Los Angeles, Chief Executive Office confirming the Board of Supervisors' Constructive Approval of the request to re-employ the member. (Attachment 1)
- The October 29, 2019 letter from the member to LACERA requesting reinstatement of membership as a Psychiatric Social Worker I with the Department of Mental Health. (Attachment 2)
- The October 29, 2019 letter from the Department of Mental Health Office to the LACERA requesting re-employment as a Psychiatric Social Worker I with the Department of Public Social Services. (Attachment 3)
- The member's Medical Clearance report signed on October 8, 2019 finding the member not incapacitated for the proposed duties. (Attachment 4)
- A copy of the Class Specification for a Psychiatric Social Worker I. (Attachment 5)

IT IS THEREFORE RECOMMENDED THAT YOUR BOARD:

1. Determine, based upon medical evaluation conducted October 8, 2019, that Tammy Bersing-Steiner is not incapacitated for the duties assigned to her in the position of Psychiatric Social Worker I; and
2. Grant the application of Tammy Bersing-Steiner for reinstatement to active membership.

REVIEWED AND APPROVED:



John Popowich
Assistant Executive Officer

BB:bb
Div\ben\retstaff\Bersing-Steiner,Tammy.doc

Attachments





SACHI A. HAMAI
Chief Executive Officer

County of Los Angeles CHIEF EXECUTIVE OFFICE

Kenneth Hahn Hall of Administration
500 West Temple Street, Room 713, Los Angeles, California 90012
(213) 974-1101
<http://ceo.lacounty.gov>

Board of Supervisors
HILDA L. SOLIS
First District

MARK RIDLEY-THOMAS
Second District

SHEILA KUEHL
Third District

JANICE HAHN
Fourth District

KATHRYN BARGER
Fifth District

October 24, 2019

To: Manager, Claims Processing Division
Los Angeles County Employees Retirement Association
300 N. Lake Avenue
Pasadena, CA 91101

From: Matthew McGlone *Matthew*
Senior Assistant, Chief Executive Officer
Budget and Operations Management Branch

RE: Tammy Bersing-Steiner Retiree Rehire

To whom it may concern:

The Chief Executive Office (CEO) submitted a memorandum to the Los Angeles County Board of Supervisors (Board) on September 13, 2019, requesting authorization to re-employ and reinstate the above-referenced retired employee.

There was no inquiry, formal action, nor objection from Board offices by the two-week deadline of September 27, 2019. Therefore, in accordance with Board Policy 9.150, all parties should understand that the Board's constructive approval to proceed has been obtained, and the County Department of Mental Health and the Los Angeles County Employee Retirement Association may proceed with associated arrangements for re-employment and reinstatement of the above mentioned employee.

County of Los Angeles
Board of Supervisors
Policy 9.150 - Hiring Retired County Employees To Permanent Positions

The County may hire former retired County employees to permanent positions on an indefinite basis. The Board's action provides the County with an additional management tool to fill critical, emergent or hard to fill positions which require special skills, training and experience or certification and may not be reasonably filled by other than the County retiree. Such authorizations must be approved by the Board. Departments are to submit a Board memo to their CEO budget analyst

Manager, Claims Processing Division
October 24, 2019
Page 2

prior to filling any position on an indefinite basis with a County retiree. The memo, with CEO recommendation, will be forwarded to the Board for approval, stating that a two-week period exists for a Board member to request formal action prior to filling the position.

The retired employee being hired must cancel his/her retirement allowance through the Board of Retirement until termination of the new re-employment. This policy does not replace the statute which allows rehiring retired county employees temporarily for up to 960 hours (120 working days) per fiscal year.

Retirees under the Early Separation Plan are not eligible to fill these positions without direct Board approval.

If you have any questions or need additional information, please contact James Sokalski at jsokalski@ceo.lacounty.gov or (213) 974-1274.

MM:MM:EB
JS:bs

c. Health Agency
Mental Health

102419_HMHS_M_Bersing-Steiner

October 29, 2019

Manager, Benefits Division
P.O. Box 7060
Pasadena, CA 91109

Dear Manager:

I wish to be re-employed as a permanent employee. This letter is to request that my monthly retirement benefit be suspended and that I be re-employed as a Psychiatric Social Worker I with the Department of Mental Health pursuant to Government Code Section 31680.4.

Enclosed is a medical evaluation stating that I am not incapacitated for the duties I will be performing.

Sincerely,


Tammy Bersing-Steiner

c: Angelique L. Blair, Administrative Services Manager II

Enclosure



DEPARTMENT OF MENTAL HEALTH
hope. recovery. wellbeing.

JONATHAN E. SHERIN, M.D., Ph.D.
Director

Curley L. Bonds, M.D.
Chief Medical Officer
Clinical Operations

Gregory C. Polk, M.P.A.
Chief Deputy Director
Administrative Operations

October 29, 2019

Manager, Benefits Division
P.O. Box 7060
Pasadena, CA 91109

RE: Tammy Bersing-Steiner
Employee ID#: 442659
Last 4 Digits of SS#:
Retired: August 1, 2013

Dear Manager:

The Department of Mental Health would like to re-employ Tammy Bersing-Steiner as a permanent Psychiatric Social Worker I in accordance with Government Code Section 31680.4. We have secured all the necessary approvals from the Chief Executive Office and the Board of Supervisors to rehire Ms. Bersing-Steiner. The approved documents are attached.

Ms. Bersing-Steiner has special knowledge and skill set in the delivery of direct mental health services that the Department can claim Federal and State reimbursement to receive revenue. Additionally, given her level of maturity, life experience and previous work experience with the Department of Children and Family Services (DCFS), since many of the children and families we serve interface with DCFS, Ms. Bersing-Steiner will be an asset and benefit to the Department.

I understand that the Department may re-employ, Tammy Bersing-Steiner, only upon approval of the attached submitted documents referenced above, and the approval of the Board of Retirement.

Sincerely,

Angelique L. Blair
Administrative Services Manager II
213/972-7088

Attachments

REEMPLOYMENT AND REINSTATEMENT TO ACTIVE LACERA MEMBERSHIP

SECTION C-MEDICAL AFFIDAVIT PURSUANT TO CERL SECTION 31680.4 or 31680.8

On 10/8/19, I, the undersigned, conducted or oversaw the
<date of medical examination>,

medical examination of Tammy Beising
<Name of Retiree>

pursuant to Section 31680.4 or 31680.8 of the County Employees Retirement Law, in connection with
this Retiree's application to be re-employed as:

Psychiatric Social Worker I
<Prospective Job Title>.

I have also reviewed the Class Specification for this position. Based on this examination, I find that this
individual is not incapacitated for the duties assigned to this position. A copy of the medical
examination report is retained at Los Angeles County's Occupational Health Programs.

Signature of Physician performing or overseeing medical examination:



Print Name and Title of Physician:

Ken Carrigan, M.D.

Date Signed: OCT 08 2019

**PSYCHIATRIC SOCIAL WORKER I**Class Code:
9034COUNTY OF LOS ANGELES
Established Date: Nov 16, 2011
Revision Date: Nov 16, 2011**SALARY RANGE**

\$5,439.18 - \$6,756.82 Monthly

DEFINITION/STANDARDS:**DEFINITION:**

Performs professional social work services in connection with the treatment of clients in need of mental health services.

CLASSIFICATION STANDARDS:

Positions allocable to this class are assigned to psychiatric hospital wards, outpatient mental health clinics, and specialized mental health treatment programs. Under the close supervision of a higher level psychiatric social worker position, a supervisory mental health position, or a psychiatrist, positions in this class perform psychotherapy and clinical case management with clients, families, and significant others to help resolve or find solutions to mental health related problems. Incumbents of these positions receive technical guidance and consultation to increase their skills in developing culturally and age appropriate psychosocial and emotional assessments, in formulating differential diagnoses and effective treatment plans, in applying the appropriate psychotherapeutic methods, and in implementing Client Care Coordination Plans (CCCP) to provide appropriate intervention for a wide range of mental health related problems. Duties and responsibilities require the application of professional skills and knowledge pertaining to the principles, practices, and theory of psychiatric social work. The Psychiatric Social Worker I is distinguished from Psychiatric Social Worker II in that the latter performs at the full professional level and may provide technical direction and guidance to the Psychiatric Social Worker I.

EXAMPLES OF DUTIES:

Interviews individuals, their families and/or significant others to obtain a family, social, employment, medical, substance abuse, and mental health treatment history.

Assesses client's functioning in his/her environmental setting.

Develops a tentative CCCP designed to resolve or cope with the mental or emotional problem, which may include individual, group, family or marital counseling, case management, and/or referral to another treatment source such as a psychiatrist, a psychologist, a vocational or rehabilitation counselor, or another agency.

Plans and conducts the client's therapy program under the guidance of supervisory staff by considering the client's treatment goal and by determining the priorities of the programs to be dealt with and the length and modality of therapy.

Provides psychotherapy to individuals, groups, families, and significant others.

Provides case management services to secure resources for clients, families, and significant others in order to achieve treatment goals.

Serves as a member of a mental health emergency intervention team and/or joint law enforcement/mental health emergency intervention team by evaluating the behavior of persons to determine if they are, as a result of a mental disorder, dangerous to themselves, others, or gravely disabled.

Requests LPS-authorized persons to complete an application for immediate 72-hour detention at a hospital facility.

Interviews persons seeking psychiatric assistance on an emergency basis and utilizes crisis intervention techniques to prevent hospitalization and to aid the person in dealing with the emotional crisis.

Assists clients, families, and significant others by discussing their reactions and attitudes concerning mental health problems and co-occurring substance abuse disorders; explains need for treatment; and encourages all concerned parties to work together cooperatively to resolve the problem and minimize the stresses that impact social functioning.

Works with families and significant others toward gaining their acceptance of and participation in treatment recommendations.

Participates in multi-disciplinary team conferences to discuss and evaluate the nature of the client's problem, to evaluate the client's progress, and to develop a CCCP.

May participate in research to increase knowledge of mental health problems and to improve therapeutic treatment methods.

REQUIREMENTS:**MINIMUM REQUIREMENTS:****TRAINING AND EXPERIENCE:**

A Master's degree from an accredited school of social work.

LICENSE:

A valid and active Associate Clinical Social Worker registration issued by the California Department of Consumer Affairs, Board of Behavioral Sciences.

Master of Social Work graduates must become registered as an Associate Clinical Social Worker with the California Department of Consumer Affairs, Board of Behavioral Sciences within sixty (60) days from commencement of employment with the County of Los Angeles. Active and valid Associate Clinical Social Worker registration must be maintained continuously until successful completion of the examination process for licensure as a Licensed Clinical Social Worker. Registered Associate Clinical Social Workers must obtain licensure within six (6) years from the original date of registration with the California Department of Consumer Affairs, Board of Behavioral Sciences. Failure to obtain licensure within the aforementioned timeframes may result in termination.

A valid California Class C Driver License or the ability to utilize an alternative method of transportation when needed to carry out job-related essential functions.

PHYSICAL CLASS:

2 - Light.

OTHER REQUIREMENTS:**SPECIALTY REQUIREMENTS:****COMMENTS:**

November 27, 2019

TO: Board Trustees - Board of Retirement & Board of Investments

FROM: Santos H. Kreimann ^{SHK}
Chief Executive Officer

FOR: Board of Retirement Meeting on December 4, 2019
Board of Investments Meeting on December 11, 2019

SUBJECT: **BOARD OF RETIREMENT AND BOARD OF INVESTMENTS 2020
MEETING CALENDAR**

RECOMMENDATION

It is recommended the Boards review the 2020 meeting calendar and consider rescheduling meeting dates that conflict with a holiday and/or the potential of a lack of quorum.

DISCUSSION

Regular meetings of the Board of Retirement shall be held on the first Wednesday and the Thursday following the second Wednesday in each month. Regular meetings of the Board of Investments shall be held on the second Wednesday of each month. It is encouraged to focus on rescheduling meeting dates that conflict with a holiday and/or the potential of a lack of quorum.

For the Board of Retirement, following are meeting dates that the Board may consider rescheduling:

Thursday, May 14, 2020 – SACRS Spring Conference
Thursday, November 12, 2020 – SACRS Fall Conference

For the Board of Investments, following are meeting dates that the Board may consider rescheduling:

Wednesday, May 13, 2020 – SACRS Spring Conference
Wednesday, November 11, 2020 – Veteran's Day/SACRS Spring Conference

A copy of the 2020 meeting and educational calendar is attached for your planning convenience.

SHK:bn
Attachment

JANUARY 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1 New Year's Day	2	3	4
5	6	7	8 BOI	9 BOR	10	11
12	13	14	15	16	17	18
19	20 Martin Luther King, Jr. Day	21 BOR Board Offsite	22 BOR Board Offsite	23	24	25
26	27	28	29	30	31	
NCPERS Legislative Conference – Washington, DC						

FEBRUARY 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
						1
2	3	4	5 BOR	6	7	8
9	10	11 Milken MEA Summit – Abu Dhabi	12 BOI	13 BOR	14	15
	Pension Bridge ESG – San Diego, CA	PPI Winter Roundtable – Rancho Palos Verdes, CA				
	IFEBP Trustees & Administrators Institute – Orlando, FL					
16	17 President's Day	18	19	20 RELAC Luncheon	21	22
		NACD: From Battlefield to Boardroom – Washington, DC				
23	24	25	26	27	28	29
		SuperReturn – Berlin, Germany				
		ICGN Seoul Conference – Seoul, South Korea				

MARCH 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4 BOR	5	6	7
			PREA Spring Conference – Beverly Hills, CA			
	NACD Director Professionalism – Miami, FL			NACD Master Class – Miami, FL		
8	9	10	11 BOI	12 BOR	13	14
	CII Spring Conference – Washington, DC					
15	16	17	18	19	20	21
			AHIP National Health Policy Conference – Washington, DC			
22	23	24	25	26	27	28
			Cesar Chavez Day			
29	30	31	1			
	NACD Director Professionalism – Grapevine, TX					
	CALAPRS Advanced Principles of Pension Management for Trustees – Los Angeles, CA					
World Healthcare Congress – Washington, DC						

APRIL 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1 BOR	2	3	4
5	6 IFEBP Health Care Management Conference – Phoenix, AZ CRCEA Spring Conference – Visalia, CA	7	8 BOI	9 BOR	10	11
12 Easter	13	14	15	16	17	18
19	20 Wharton Portfolio Concepts & Management – Philadelphia, PA	21	22	23	24	25
26	27 IFEBP Investments Institute – New Orleans, LA	28	29	30		

MAY 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1	2 Milken Global Conference – Los Angeles, CA
3	4 IFEBP Washington Legislative Update – Washington, DC	5	6 BOR	7	8	9
Milken Global Conference – Los Angeles, CA						
10 Mother's Day	11	12	13 BOI	14 BOR	15	16
NCPERS Annual Conference – Las Vegas, NV						
17	18	19	20	21	22	23
24	25 Memorial Day	26	27	28	29	30
	31					

JUNE 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3 BOR	4	5	6
	Wharton Investment Strategies and Portfolio Management – Philadelphia, PA					
			NACD Future Trends – Washington, DC	NACD Master Class Washington DC Invite – Washington, DC		
7	8	9	10 BOI	11 BOR	12	13
		ICGN Annual Conference – Toronto				
14	15	16	17	18	19	20
	NASP 31 ST Conference – Chicago, IL		AHIP Institute & Expo Conference – Miami, FL			
21	22	23	24	25	26	27
Father's Day			SuperReturn Emerging Manager– Amsterdam, Netherlands			
28	29	30	1			
	IFEBP Public Employee Benefits Institute – San Francisco, CA					
	IFEBP Trustees & Administrators Institute – San Francisco, CA					

JULY 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	29	30	1 BOR	2	3	4 Independence Day
	IFEBP Public Employee Benefits Institute – San Francisco, CA					
	IFEBP Trustees & Administrators Institute – San Francisco, CA					
5	6	7	8 BOI	9 BOR	10	11
12	13	14	15	16	17	18
			PPI Summer Roundtable – Vancouver, Canada			
19	20	21	22	23	24	25
26	27	28	29	30	31	

AUGUST 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
						1
2	3	4	5 BOR	6	7	8
9	10	11	12 BOI	13 BOR	14	15
16	17	18	19	20	21	22
				NACD Master Class – Laguna Beach, CA		
23	24	25	26	27	28	29
NCPERS Public Pension Funding Forum – Chicago, IL			NACD Director Professionalism – Rancho Palos Verde, CA			
	CALAPRS Principles of Pension Governance for Trustees – Malibu, CA					
IFEBP 39 th Annual ISCEBS Employee Benefits Symposium – San Diego, CA						
30	31					

SEPTEMBER 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2 BOR	3	4	5
6	7 Labor Day	8	9 BOI	10 BOR	11	12
13	14	15	16	17	18	19
				AHIP State Issues Retreat – Washington, DC		
AHIP National Conferences on Medicare, Medicaid, and Dual Eligibles – Washington, DC						
20	21	22	23	24	25	26
		SuperReturn Asia – Hong Kong				
		ICGN – Stockholm, Germany				
		CII Fall Conference – Washington, DC				
27	28	29	30	1	2	
Yom Kippur	Yom Kippur	PREA Institutional Investor Conference – Boston, MA				

OCTOBER 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			30	1	2	3
			PREA Institutional Investor Conference – Boston, MA			
4	5	6	7 BOR	8	9	10
11	12 Indigenous People’s Day	13	14 BOI	15 BOR	16	17
18	19	20	21	22	23	24
PPI Executive Seminar & Asia Roundtable – Tokyo, Japan						
25	26	27	28	29	30	31
	NCPERS Public Safety Conference – Phoenix, AZ					Halloween

NOVEMBER 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4 BOR	5	6	7
8	9	10	11 BOI Veterans Day	12 BOR	13	14
SACRS Fall Conference – Indian Wells, CA						
15	16	17	18	19	20	21
Wharton Investment Strategies & Portfolio Management – Philadelphia, PA						
IFEBP 66 th Annual Employee Benefits Conference – Honolulu, HI						
22	23	24	25	26 Thanksgiving	27 Thanksgiving Holiday	28
29	30					

DECEMBER 2020

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2 BOR	3	4	5
			NACD Master Class – Scottsdale, AZ			
6	7	8	9 BOI	10 BOR	11	12
	NACD Director Professionalism – Orlando, FL			Hanukkah		
	AHIP Consumer Experience & Digital Health Forum – Nashville, TN					
13	14	15	16	17	18	19
20	21	22	23	24 Christmas Eve	25 Christmas Day	26 Kwanzaa
27	28	29	30	31 New Year's Eve		



November 25, 2019

TO: Each Member
Board of Retirement

FROM: Audit Committee
Gina Sanchez, Chair
Herman Santos, Secretary
Alan Bernstein
Shawn R. Kehoe
Ronald Okum
Les Robbins

Richard Bendall 
Chief Audit Executive

FOR: December 4, 2019 Board of Retirement Meeting

SUBJECT: **Amend Plante Moran's Audit Services Agreement**

RECOMMENDATION:

The Board of Retirement authorize staff to amend the Plante Moran Audit Services Agreement for the additional audit services required as a result of LACERA's Post-Employment Benefits (OPEB) plan restructure from a cost sharing multiple employer plan to an agent multiple employer plan.

BACKGROUND:

In October 2017, Los Angeles County (County) requested to change LACERA's Other Post-Employment Benefits (OPEB) plan structure from a multiple employer plan to an agent multiple employer plan beginning with fiscal year 2018-2019 (FY 2019). The County requested this new reporting structure to separate itself from the other employers and more effectively manage their own healthcare costs. The agent multiple employer plan's cost-sharing structure allows the County and other participating employers the benefit of pooling their assets for investment purposes in the OPEB Trust but while maintaining separate accounting records for their pay-as-you-go costs.

The American Institute of Certified Public Accountants (AICPA) recommends a two-part best practice solution so that the employers and their auditors can obtain sufficient audit evidence regarding the specific OPEB amounts allocated. The best practice solution requires the plan's external auditor to:

- 1) Issue a report on the Controls Over Census Data Maintained by the Plan, and
- 2) Opine on the **Schedule of Changes in Fiduciary Net Position by Employer**

In June 2018, Internal Audit and the Financial and Accounting Services Division (FASD) worked with our external auditor, Plante Moran, to understand better the AICPA's two-part solution. Plante Moran advised that LACERA could engage Plante Moran to:

- A. Perform a **Census Attestation**, which is an examination engagement of the census data submitted to LACERA's actuary. Since this option is a limited review, Plante Moran would provide an opinion on each employer in the **Schedule of Changes in Fiduciary Net Position by Employer**.
- B. Perform a **Systems and Organization Controls (SOC)1 Type 2** audit over the controls related to census data, and the calculation and allocations of additions and deductions to employer accounts. Since this option is more robust, Plante Moran would provide an opinion on the whole **Schedule of Changes in Fiduciary Net Position by Employer**.

Fiscal Year 2019 Additional Audit Services

In June and July of 2018, Internal Audit met with the Executive Office, FASD, Retiree Health Care, Legal and Systems Divisions to review the new reporting requirements for FY 2019. After carefully reviewing the timelines, requirements, and cost, staff concluded the Census Attestation (option A) was the more viable option for FY 2019. Since the additional audit services were within the criteria of a "special project" as defined in the Plante Moran contract, and the fee was within Executive Management's threshold for approval, Internal Audit proceeded with the Census Attestation engagement. The Audit Committee was informed of the additional audit services at the December 2018 Committee Meeting (Attachment I).

Fiscal Year 2020 and 2021 Additional Audit Services

During the July 2019 Audit Committee Meeting, staff discussed the need for Plante Moran to provide the additional audit work for the duration of their contract. To ensure transparency, and to appropriately document the terms of the additional audit services, staff recommended amending Plante Moran's contact to address the additional scope of work and fees for the two years FY 2020 and FY 2021. The Audit Committee approved bringing this recommendation to the Board of Retirement for approval (Attachment II).

Taking into consideration the long planning period, preparation time, and cost of the SOC audit, staff plans to engage Plante Moran to provide the Census Attestation (Option A) for FY 2020.

Staff is exploring having Plante Moran perform a SOC audit (Option B) for FY 2021. The SOC approach provides assurance on the design and operating effectiveness of LACERA's system of internal controls around the preparation of OPEB financial statements. Plante Moran will review the strength of LACERA's internal control environment in relation to the systems used to prepare the OPEB financial statements, and if needed, will provide recommendations to address areas where improvements could be made. Plante Moran recommends the SOC approach because it is a value-added service.

In order to ensure the engagement goes smoothly, Plante Moran also recommends engaging them to perform a readiness assessment prior to the audit. During the readiness assessment, Plante Moran will review the design and operating effectiveness of the controls that LACERA has in place to address the associated risks related to the systems, which affect the specific OPEB amounts. Staff anticipates the readiness assessment would begin in January 2020, and the SOC testing period would be from July 2020-June 2021.

Proposed Fee Schedule

Assuming LACERA engages Plante Moran to perform the Census Attestation for FY 2020, the readiness assessment in January 2020, and the SOC audit for FY 2021, the proposed fees (Attachment III and IV) are as follow:

Fiscal Year	Schedule of Changes in Fiduciary Net Pension By Employer	Census Attestation	SOC Readiness Assessment	SOC 1 Examination	Total
2020	\$30,215	\$34,190	\$29,500	N/A	\$93,905
2021	\$30,645	N/A	N/A	\$84,000	\$114,645

Amend Plante Moran's Audit Services Agreement

LACERA will need Plante Moran to provide the additional audit services for the remaining two years of their five-year contract, which was the period of FY 2017-2021. To ensure transparency, and to appropriately document the terms of the additional audit services, the Audit Committee recommends the Board of Retirement authorize staff to amend the Plante Moran's Audit Services Agreement to address the additional scope of work and the associated fees for FY 2020 and 2021.

The additional services will also be necessary if the Audit Committee and Board approve extending Plante Moran's contract for FY 2022 and 2023. Staff will request the Audit Committee and Board approve the extension during FY 2021.

RB:cl


Attachments

**Attachment 1**

November 30, 2018

TO: Each Member
2018 Audit Committee

Audit Committee Consultant
Rick Wentzel

FROM: Richard Bendall 
Chief Audit Executive

Christina Logan 
Senior Internal Auditor

FOR: December 2018 Audit Committee Meeting

SUBJECT: **Additional External Audit Work**

In October 2017, Los Angeles County (County) requested that LACERA's Other Post-Employment Benefits (OPEB) plan change its structure from a cost sharing multiple-employer plan to an agent multiple employer plan for fiscal year 2018-2019. This change allows participating employers to continue to pool their assets for investment purposes in the LACERA OPEB Trust, but LACERA will now maintain separate accounting records for each employers' pay-as-you-go costs. This allows LACERA to identify financial activities attributable to the employers' distinct retired population. The County requested this new reporting structure to separate itself from the other employers and better manage its own retiree healthcare costs.

As a result of this change, Plante Moran (PM) will prepare and issue two additional reports, A) a report on census data used by the actuaries for projecting the OPEB liabilities, and B) the calculation of the Changes in Fiduciary Net Position by Employer. The AICPA has identified two options to ensure the employer and the employer's auditor obtain sufficient appropriate audit evidence that effective controls exist related to these two reports. These two options are for Plante Moran to perform either 1) a Systems and Organization Controls (SOC)1 Type 2 audit, or 2) an attestation audit.

Internal Audit met with the Executive Office, Financial Accounting Services Division (FASD), and Systems Division to review the two options. After carefully reviewing the timeline required for the SOC option, it was agreed the SOC audit was not a viable option for fiscal year 2018-2019, as there was not sufficient time to adequately plan and prepare for a SOC audit. Staff concluded the attestation engagement for the current year was the more feasible option.



November 25, 2019

TO: Each Member
Board of Retirement

FROM: Audit Committee
Gina Sanchez, Chair
Herman Santos, Secretary
Alan Bernstein
Shawn R. Kehoe
Ronald Okum
Les Robbins

Richard Bendall 
Chief Audit Executive

FOR: December 4, 2019 Board of Retirement Meeting

SUBJECT: **Amend Plante Moran's Audit Services Agreement**

RECOMMENDATION:

The Board of Retirement authorize staff to amend the Plante Moran Audit Services Agreement for the additional audit services required as a result of LACERA's Post-Employment Benefits (OPEB) plan restructure from a cost sharing multiple employer plan to an agent multiple employer plan.

BACKGROUND:

In October 2017, Los Angeles County (County) requested to change LACERA's Other Post-Employment Benefits (OPEB) plan structure from a multiple employer plan to an agent multiple employer plan beginning with fiscal year 2018-2019 (FY 2019). The County requested this new reporting structure to separate itself from the other employers and more effectively manage their own healthcare costs. The agent multiple employer plan's cost-sharing structure allows the County and other participating employers the benefit of pooling their assets for investment purposes in the OPEB Trust but while maintaining separate accounting records for their pay-as-you-go costs.

The American Institute of Certified Public Accountants (AICPA) recommends a two-part best practice solution so that the employers and their auditors can obtain sufficient audit evidence regarding the specific OPEB amounts allocated. The best practice solution requires the plan's external auditor to:

- 1) Issue a report on the Controls Over Census Data Maintained by the Plan, and
- 2) Opine on the **Schedule of Changes in Fiduciary Net Position by Employer**

In June 2018, Internal Audit and the Financial and Accounting Services Division (FASD) worked with our external auditor, Plante Moran, to understand better the AICPA's two-part solution. Plante Moran advised that LACERA could engage Plante Moran to:

- A. Perform a **Census Attestation**, which is an examination engagement of the census data submitted to LACERA's actuary. Since this option is a limited review, Plante Moran would provide an opinion on each employer in the **Schedule of Changes in Fiduciary Net Position by Employer**.
- B. Perform a **Systems and Organization Controls (SOC)1 Type 2** audit over the controls related to census data, and the calculation and allocations of additions and deductions to employer accounts. Since this option is more robust, Plante Moran would provide an opinion on the whole **Schedule of Changes in Fiduciary Net Position by Employer**.

Fiscal Year 2019 Additional Audit Services

In June and July of 2018, Internal Audit met with the Executive Office, FASD, Retiree Health Care, Legal and Systems Divisions to review the new reporting requirements for FY 2019. After carefully reviewing the timelines, requirements, and cost, staff concluded the Census Attestation (option A) was the more viable option for FY 2019. Since the additional audit services were within the criteria of a "special project" as defined in the Plante Moran contract, and the fee was within Executive Management's threshold for approval, Internal Audit proceeded with the Census Attestation engagement. The Audit Committee was informed of the additional audit services at the December 2018 Committee Meeting (Attachment I).

Fiscal Year 2020 and 2021 Additional Audit Services

During the July 2019 Audit Committee Meeting, staff discussed the need for Plante Moran to provide the additional audit work for the duration of their contract. To ensure transparency, and to appropriately document the terms of the additional audit services, staff recommended amending Plante Moran's contract to address the additional scope of work and fees for the two years FY 2020 and FY 2021. The Audit Committee approved bringing this recommendation to the Board of Retirement for approval (Attachment II).

Taking into consideration the long planning period, preparation time, and cost of the SOC audit, staff plans to engage Plante Moran to provide the Census Attestation (Option A) for FY 2020.

Staff is exploring having Plante Moran perform a SOC audit (Option B) for FY 2021. The SOC approach provides assurance on the design and operating effectiveness of LACERA's system of internal controls around the preparation of OPEB financial statements. Plante Moran will review the strength of LACERA's internal control environment in relation to the systems used to prepare the OPEB financial statements, and if needed, will provide recommendations to address areas where improvements could be made. Plante Moran recommends the SOC approach because it is a value-added service.

In order to ensure the engagement goes smoothly, Plante Moran also recommends engaging them to perform a readiness assessment prior to the audit. During the readiness assessment, Plante Moran will review the design and operating effectiveness of the controls that LACERA has in place to address the associated risks related to the systems, which affect the specific OPEB amounts. Staff anticipates the readiness assessment would begin in January 2020, and the SOC testing period would be from July 2020-June 2021.

Proposed Fee Schedule

Assuming LACERA engages Plante Moran to perform the Census Attestation for FY 2020, the readiness assessment in January 2020, and the SOC audit for FY 2021, the proposed fees (Attachment III and IV) are as follow:

Fiscal Year	Schedule of Changes in Fiduciary Net Pension By Employer	Census Attestation	SOC Readiness Assessment	SOC 1 Examination	Total
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2021	\$30,645	N/A	N/A	\$84,000	\$114,645

Amend Plante Moran's Audit Services Agreement

LACERA will need Plante Moran to provide the additional audit services for the remaining two years of their five-year contract, which was the period of FY 2017-2021. To ensure transparency, and to appropriately document the terms of the additional audit services, the Audit Committee recommends the Board of Retirement authorize staff to amend the Plante Moran's Audit Services Agreement to address the additional scope of work and the associated fees for FY 2020 and 2021.

The additional services will also be necessary if the Audit Committee and Board approve extending Plante Moran's contract for FY 2022 and 2023. Staff will request the Audit Committee and Board approve the extension during FY 2021.

RB:cl

Attachments

Additional External Audit Work
December 2018

PM's current contract allows for LACERA to engage PM to perform special projects that are "directly related to or result from the annual financial statement audit." This additional attestation engagement relates directly to the annual financial statement audit. The not-to exceed fee in PM's Attestation proposal is \$63,645, which is within Staff's threshold to approve. With concurrence from Legal, Internal Audit has accepted PM's Attestation proposal for fiscal year 2018-2019, as a special project.

Internal Audit is currently working with FASD to determine the scope of work for the subsequent two years of PM's contract, as LACERA and its employers work through these new requirements. At the March 2019 Audit Committee meeting, Internal Audit will request the Audit Committee approve amending PM's original contract for the remaining two fiscal years, 2019-2020 and 2020-2021, to include the additional work and related fees.



June 28, 2019

Attachment 2

TO: 2019 Audit Committee
Joseph Kelly, Chair
Gina Sanchez, Vice Chair
Herman Santos, Secretary
Alan Bernstein
Shawn Kehoe
Les Robbins

Audit Committee Consultant
Rick Wentzel

FROM: Richard Bendall 
Chief Audit Executive

Leisha Collins 
Principal Internal Auditor

FOR: July 11, 2019 Audit Committee Meeting

SUBJECT: **Plante & Moran Contract Amendment for Additional Audit Work**

RECOMMENDATION:

- 1. Authorize staff to draft amendments to the Plante & Moran Audit Services Agreement for the additional audit services required as a result of LACERA's Other Post-Employment Benefits (OPEB) plan restructure from a cost sharing multiple employer plan to an agent multiple employer plan.**
- 2. Recommend the Board of Retirement approve amendments to the Plante & Moran Audit Services Agreement.**

BACKGROUND:

In October 2017, Los Angeles County (County) requested to change LACERA's Other Post-Employment Benefits (OPEB) plan structure from a cost sharing multiple employer plan to an agent multiple employer plan beginning with fiscal year 2019 (FY 2019). The County requested this new reporting structure to separate itself from the other employers and more effectively manage their own healthcare costs. The cost sharing structure allows the County and other participating employers the benefit of pooling their assets for investment purposes in the OPEB Trust and still have separate accounting records for their pay-as-you-go costs.

As a result of the OPEB plan restructure, and in accordance with the American Institute of Certified Public Accountants (AICPA), Plante & Moran must issue the following two additional reports each fiscal year:

- Schedule of Changes in Fiduciary Net Position by Employer and related notes.
- Independent Auditor’s Report over management’s assertion that census data for retirees is complete and accurate.

The AICPA has identified two options to ensure the employer and the employer’s auditor obtain sufficient and appropriate audit evidence for the two reports listed above; either a 1) Census Attestation Report, or 2) Systems and Organization Controls SOC 1 Type 2 (SOC 1) Report. Regardless of the option selected, the additional audit work occurs simultaneously with LACERA’s Comprehensive Annual Financial Report (CAFR) Audit. Plante & Moran’s proposed fees for the additional audit services for each option is provided in the charts below.

Option 1 - Census Attestation:

Fiscal Year	Schedule of Changes in Fiduciary Net Pension By Employer	Census Attestation	Total
2019	\$29,855	\$33,790	\$63,645
2020	\$30,215	\$34,190	\$64,405
2021	\$30,645	\$35,215	\$65,860

Option 2 - SOC1 Type 2:

Fiscal Year	Schedule of Changes in Fiduciary Net Pension By Employer	SOC Readiness Assessment ¹	SOC 1 Examination	Total
2019	\$29,855	\$29,500	\$78,500	\$137,855
2020	\$30,215	\$29,500	\$84,000	\$143,715
2021	\$30,645	\$29,500	\$84,000	\$144,145

FY 2019 Attestation Engagement

Throughout 2018, Internal Audit conducted several meetings with the Executive Office, Financial Accounting Services Division (FASD), Retirement Health Care, and Systems Division to review the new reporting requirements and plan for the additional attestation engagements for FY 2019. After carefully reviewing the cost, timelines and requirements of the two options, staff concluded that the Census Attestation (option 1) was the more viable option for FY 2019. Since the additional audit services were within the criteria of a “special project” as defined in the Plante & Moran contract, and the \$63,645 fee was within Executive Office management’s threshold for approval, Internal Audit proceeded

¹ The readiness assessment fee is only performed in the first year of the SOC 1 engagement. This fee is stated in the chart for each fiscal year to demonstrate the maximum cost that would be incurred if the SOC 1 engagement started that year.

with the Census Attestation engagement. The Audit Committee was informed of the additional audit services at the December 2018 Committee Meeting, Attachment A.

FY 2020-2021 Attestation Engagement

LACERA will need Plante & Moran to provide the additional attestation services for the duration of their contract, which is for FY 2017-2021, and two one-year extensions. To ensure transparency, and to appropriately document the terms of the additional audit services, staff recommends amending Plante & Moran's contract to address the additional scope of work and fees for the two years FY 2020 and FY 2021. Taking into consideration the long planning period, prep time, and cost of the SOC 1 engagement, staff will opt for the Census Attestation for FY 2020 and possibly FY 2021. However, the SOC 1 engagement could greatly benefit LACERA in that it will test our organization's System design and operating effectiveness to determine if control objectives are appropriate and being met. Therefore, Internal Audit will continue to work with other key stakeholders in evaluation when best to initiate SOC 1.

RB:lc

Attachment

Make the mark.

Los Angeles County Employees Retirement Association | May 2019
SOC Services Proposal



plante moran | Audit. Tax. Consulting.
Wealth Management.



Plante & Moran, PLLC
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P.O. Box 307
Southfield, MI 48037-0307
Tel: 248.352.2500
Fax: 248.352.0018
plantemoran.com

May 6, 2019

Ms. Christina Logan
Senior Internal Auditor
Los Angeles County Employees Retirement Association
300 N. Lake Ave., Suite 840
Pasadena, CA 91101

Dear Ms. Christina Logan:

Thank you for the opportunity to present our proposal to provide System and Organization Controls (SOC) services to Los Angeles County Employees Retirement Association (LACERA). We are excited about the prospect of working with LACERA and are confident we can become a valuable resource for your organization.

Based on our experience assisting clients in becoming ready for and performing SOC examinations, we're intimately familiar with the challenges faced by our clients. Plante Moran brings extensive experience, perspective, and insight based on a multitude of engagements assisting clients in identifying and evaluating risks and controls, as well as developing recommendations to address deficiencies.

We feel confident we are the right firm to partner with LACERA and stand ready to provide these services. Plante & Moran, PLLC is guided by its philosophy, which is based on certain core values and is best expressed in the condensed form by our Golden Rule... "We care". We care about our clients, we care about our work, and we care about each other. We truly believe that this simple, sincere philosophy is what makes our firm unique and is the key to our success. A prime example of this philosophy being more than just words on paper is our firm's selection to FORTUNE magazine's list of "100 Best Companies To Work For" in America for the 19th straight year. We believe that satisfied staff leads to satisfied clients.

In the event you have any questions regarding our proposal, please contact Michelle at 248.223.3520, michelle.watterworth@plantemoran.com or Sarah at 248.223.3891, sarah.pavelek@plantemoran.com.

Plante & Moran, PLLC

Sincerely,

Michelle Watterworth, CPA
Colleague Partner

Sarah J. Pavelek, CISSP, CRISC
Engagement Partner

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Executive Summary

What we heard from you

Thank you for considering our firm to provide you with SOC examination services. During our meetings, we listened to your team discuss the project and have prepared our proposal keeping these things in mind.

What We Know about LACERA

- LACERA assists employers within LA County for their retirement plan needs
- LACERA is located in Pasadena, California
- Currently, LACERA has 6 employer plans; 95% of the plan is LA County
- LACERA utilizes a proprietary system (Workspace) for participant tracking and benefit calculation
- Workspace is hosted by LACERA
- A third party is used for investments and actuarial services
- Security appliances (such as firewall, IDS) are administered by LACERA
- Physical security is administered by a third party building manager
- LACERA utilizes an online portal for participants

What We Heard – LACERA’s Needs & Our Proposed Scope

- LACERA needs a SOC 1 Type 2 examination to meet user requirements
- We are proposing a SOC 1 Readiness assessment to be performed during Q1 2020
- A six month SOC 1 Type 2 Examination would be performed for the period January 1, 2021 to June 30, 2021
- Pasadena, California location is the only location in scope
- The system boundaries include the defined benefit plan (OPEB) services as well as the related information technology general controls (ITGC)

Why Plante Moran

The Right Fit



Plante Moran as a Partner

We believe LACERA is looking for a partner to help you meet and exceed your client expectations. That is, a partner who will adapt, learn, and evolve with you as your needs change and grow. We believe Plante Moran is ideally suited to serve as that partner. A critical component of any Plante Moran engagement is understanding what each client needs and working collaboratively to face each challenge.



Our Team

Plante Moran is consistently rated as a great place to work and is one reason why we have exceptionally low turnover. This low turnover allows us to have a high rate of staff continuity on your projects and enables us to provide you with a team who have worked together for years. You will typically see the same staff on your project year after year. We have a dedicated SOC team; SOC work is what we do.



Industry Expertise

We have experience in your industry. Our go-to-market model aligns our services with industry verticals. This means in addition to our team specializing in SOC, they also have an industry focus. This gives our team exposure to and access to leaders throughout the firm that are entrenched in your industry space.



Quality is Important

Our SOC practice is evaluated every three years as part of our peer review conducted by an independent CPA firm. Receiving a PASS rating is a result of the individual and collective efforts of all of our staff and we are proud of this achievement. (PASS doesn't sound like an overwhelming endorsement, but it's the best grade you can get. In a peer review, firms can receive a rating of pass, pass with deficiencies, or fail.) Ask our competitors for a copy of their peer review report.



Not a One Trick Pony

Plante Moran's service capabilities add to the value of our services. Our SOC team is positioned within our cybersecurity practice. This allows our team to work on different types of cybersecurity projects throughout the year, adding to their knowledge of the industry and technical best practices. We also interact regularly with financial auditors on staff that have used SOC audits in the context of performing their financial audits. Furthermore, Plante Moran offers a broad array of compatible services that enable us to serve our clients in multiple facets.

Our Approach

Here's our plan

SOC examinations are ultimately designed to provide the information needed by your clients to gain an understanding of your:

- Controls over the system through which services are provided;
- Design and operating effectiveness of the relevant controls in place to meet the control objectives that are related to significant risks your customers are concerned about.

Our approach when assisting Service Organizations with successfully completing a SOC examination consists of several phases designed to provide for a structured and focused effort throughout the project. The second phase is optional but highly recommended for those clients new to the SOC process, who haven't undergone an examination in quite some time, or have major scoping changes. At the conclusion of each phase, there will be a scheduled management meeting to confirm progress. The major phases of our approach are as follows:



Based on your needs and expectations, we are proposing a SOC 1 Readiness Assessment and Type II examination January 1, 2021 to June 30, 2021 for the defined benefit plan (OPEB) services of LACERA.

SOC Readiness Assessment

A SOC Readiness Assessment performed by Plante Moran is designed to:

- Provide you access to our expert staff that will help you define the boundaries of your system
- Help you determine the scope of the eventual SOC examination, including the control objectives and relevant control activities
- Evaluate the strength of LACERA's internal control environment in relation to the scope of the SOC report
- Produce a deliverable that provides LACERA with a project plan and roadmap to prepare LACERA for a SOC examination

Our SOC Readiness Assessment process takes a hands-on approach, allowing our experts to help you prepare for a SOC examination. We use a combination of preliminary questionnaires, informal meetings with your personnel, and information made available by your team to gain an understanding of the boundaries of your system and the controls you already have in place. We will conduct a three to four day office visit to evaluate what you do and how you do it. Our staff will work closely with your team to identify risks, important systems, processes, internal controls, available control evidence, and potential improvement opportunities. The value of having an interactive, in-person Readiness Assessment performed is that we gain an understanding of your environment. Our Assessment will capture unique, often important, elements of internal control because of distinct circumstances involving your people, processes and technology.

Our deliverable at the conclusion of the SOC Readiness Assessment is meant to provide you with a sense of where you stand from an examination point of view (i.e., are you ready for a SOC examination, or are remediation activities needed to improve your internal control environment). The deliverables will function as a project plan and roadmap to prepare LACERA for a successful SOC examination and will include the following elements:

- **Summary of Findings.** The findings are organized by control objectives, presenting the recommendations for control improvements that should be implemented prior to undergoing a SOC examination.
- **Description of Controls.** This deliverable, also organized by control objectives, documents the controls in place to meet the control objectives and areas where control gaps exist. The description of controls will also indicate relevant complementary user entity controls and subservice organizations.
- **Executive Summary.** The executive summary will present the boundaries of the system, and other observations and findings deemed relevant to the engagement.

SOC 1 Type II Examination

We will perform tests of design and operating effectiveness to determine the control objectives within the SOC report are appropriately met. The control objectives to be included in the scope of the examination will be limited to the objectives defined by LACERA Management. We will assist LACERA in determining if the control objectives are appropriate based on our experience with your industry and client base. The following are examples of relevant control objectives; these will be finalized during the Readiness Assessment.

1	Information Security	Controls provide reasonable assurance that policies and procedures are in place to ensure effective communication of information security practices.
2	Logical Access	Controls provide reasonable assurance that logical access to applications and data is limited to authorized individuals.
3	Physical Security	Controls provide reasonable assurance that physical access to systems equipment and data is restricted to authorized personnel.
4	Environmental Controls	Controls provide reasonable assurance that systems equipment and data is adequately protected from environmental factors.
5	Data Backup and Recovery	Controls provide reasonable assurance that data and systems are backed up successfully, completely, stored offsite, and validated periodically.
6	Systems Development and Change Management	Controls provide reasonable assurance that changes to or development of applications is authorized, tested, and approved. Controls also provide reasonable assurance that segregation of duties exists.
7	System Monitoring & Maintenance	Controls provide reasonable assurance that systems are monitored for security issues; and that patches and antivirus definition file updates are applied in a timely manner.
8	Account Setup and Maintenance	Controls provide reasonable assurance that subservice organizations are monitored for quality and compliance with confidentiality practices and security standards.
9	Census Data	Controls provide reasonable assurance that appropriate and accurate defined benefit plan participant census data is provided to the actuary.
10	Additions/Deductions	Controls provide reasonable assurance that additions and deductions are appropriately allocated and recorded to the respective employer accounts, in the proper period.
11	Benefit Payments	Controls provide reasonable assurance that defined benefit distributions are made to eligible participants and/or beneficiaries, are calculated in

		accordance with employer plan provisions, and are recorded in the proper employer account and period.
12	Net Investment Income & Administrative Expense Allocation	Controls provide reasonable assurance that defined benefit net investment income and administrative expenses are completely and accurately posted to the employer accounts.

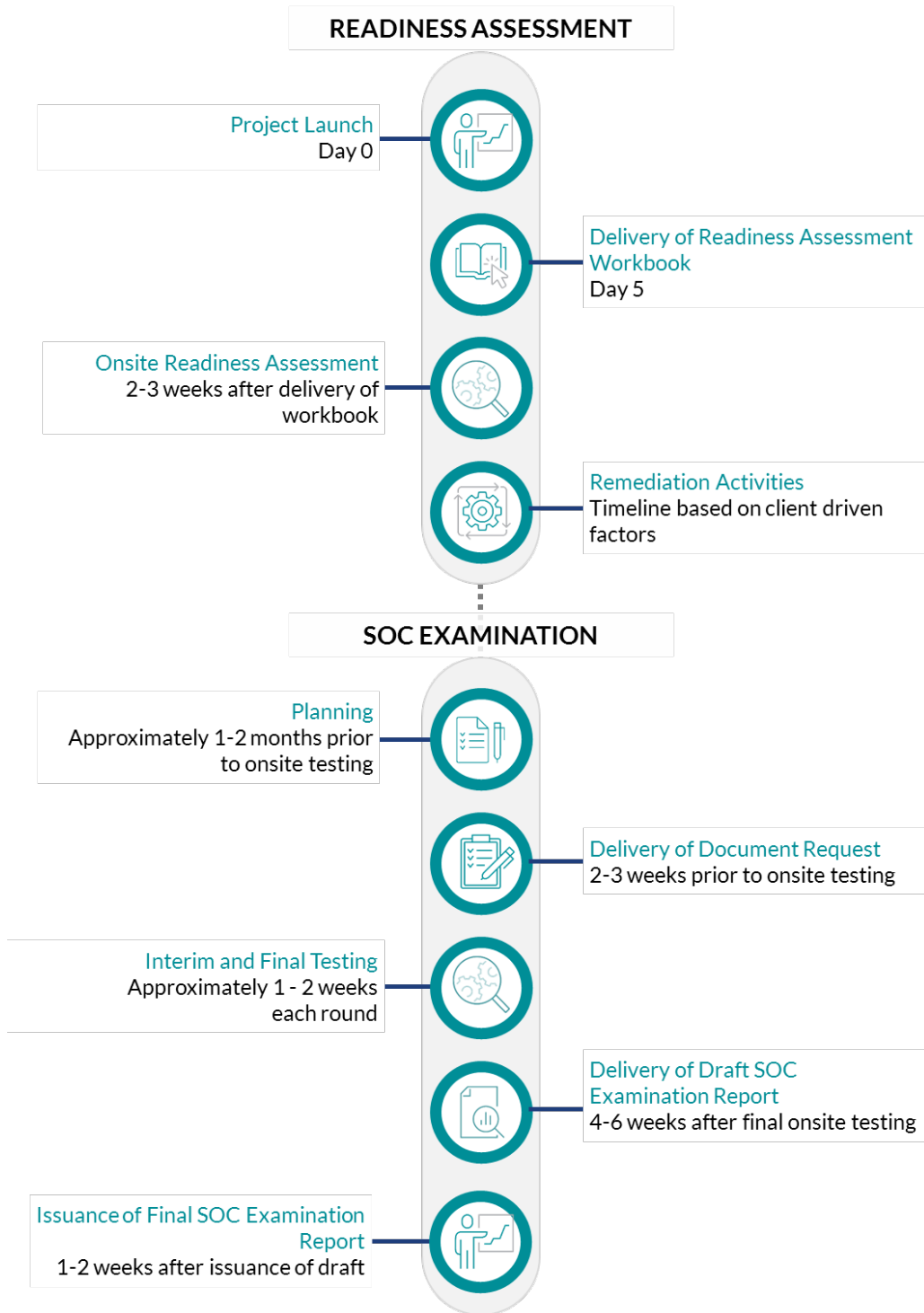
Our procedures will not cover controls or services performed by outsourced service providers (subservice organizations). We will employ the carve-out method in relation to any subservice organizations. However, management should request SOC reports from any subservice organizations.

The engagement deliverables include a Service Auditor’s Report, as well as a list of recommendations to address any deviations found during the examination (if applicable) or best-practice control improvements.

Engagement Timeline

A roadmap to success.

Below is an example timeline for the scope of services outlined in this proposal.



Engagement Fees

Fair and square.

We estimate our fees for this engagement as shown in the table below, plus travel related costs and expenses we incur.

SERVICES	Fees ¹	Initials
2020 SOC 1 Readiness Assessment	\$29,500	
2021 SOC 1 Type II six month examination	\$78,500 ²	

Upon acceptance of this proposal, Plante Moran will provide LACERA with an engagement letter containing our Professional Services Agreement for signature.

Value Proposition

The fees above reflect our best estimate based on our knowledge of your business, discussions with you and review of other information. With our deep SOC and Cybersecurity expertise, world-class service and personal attention, we feel strongly that we are the right firm for LACERA and would welcome the opportunity to discuss our fees in more detail if you feel there is a significant discrepancy in our fees and your expectations.

Future year fees

Fees for the SOC Readiness Assessment are a one-time charge and not expected to recur. Future examinations will not require the readiness assessment activities unless the scope of the report significantly changes (i.e. adding activities not initially covered in the readiness assessment or adding an additional application or service to the scope of the report). Our fees for subsequent years for the SOC Examination(s) would be subject only to inflation and significant changes in the complexity or structure of LACERA's internal control environment, scope of the description, or time period covered by the report. We will discuss these events with you at the beginning of each project.

¹ Fees are based on the factors defined in the *What we Know about LACERA* section above. If these factors should change, it may impact our proposed fees.

² During the readiness assessment, we will review and discuss LACERA's internal audit plan in detail to determine if we can place reliability on testing that the LACERA internal audit function performs. After the readiness assessment, we will adjust our examination fee estimate if applicable.

Project Staff

Get to know us...



Sarah Pavelek, CISSP, CRISC | Engagement Partner

Sarah has over eighteen years of cybersecurity consulting experience in a number of industries, including technology, service, insurance, financial institution, and healthcare. Sarah is one of the leaders in the System and Organization Controls (SOC) practice and her experience includes SOC readiness assessments & examinations, IT risk assessments, IT audits, application security controls reviews, privacy assessments (GLBA, HIPAA Security), and Sarbanes-Oxley 404 IT compliance reviews. Sarah has a BS in Accounting from the University of Alabama (Summa Cum Laude) with a minor in Computer Science. Sarah is Certified in Risk and Information Systems Control (CRISC) and Information Systems Security (CISSP), and is a member of the Information Systems Audit & Control Association (ISACA) and the International Information Systems Security Certification Consortium (ISC)². She has also achieved the Advanced SOC for Service Organizations Certificate from the AICPA. Sarah has presented on cybersecurity related topics in various white papers, newsletters, TV outlets, webinars, and conferences.



Michelle Watterworth, CPA | Colleague Partner

Michelle is Plante Moran's Public Sector Professional Standards Technical Leader, responsible for the technical quality assurance of the firm's government and other public sector industries along with all impacted Single Audit industries. She oversees all technical aspects of these practices, including addressing emerging accounting, auditing and compliance issues. With 26 years of experience, she collaborates with internal teams and clients, particularly on complex issues, to uphold high-quality standards and develop solutions to governmental accounting, auditing and compliance issues that satisfy all parties. She has been appointed by the AICPA to the select 15-member AICPA State and Local Government Expert Panel. Her participation in the panel has allowed her to contribute toward authoring various chapters of the AICPA State and Local Governments Audit Guide, including both the new chapter on pensions and the latest chapter on OPEB.



Alexis Kennedy, CPA, CISSP, CISA, CCSFP | Senior Manager

Alexis has over nine years of information security, control and IT audit experience in a number of industries, including technology, service, insurance, and healthcare industries. Alexis' experience includes: SOC 1, 2 and 3 examinations, HITRUST Assessments, ISO 27001 Certification audits, IT risk assessments, IT audits, application security controls reviews, security & privacy assessments (GLBA, HIPAA/HITECH), and Sarbanes-Oxley 404 IT compliance reviews. Alexis holds a BA degree in Accounting and Information Systems and an MS degree in Accounting and Information Systems from Michigan State University. Alexis is a Certified Public Accountant (CPA), a Certified Information Systems Security Professional (CISSP), a Certified Information Systems Auditor (CISA) and a Certified HITRUST CSF Practitioner (CCSFP), an ISO 27001 Lead Auditor, and is a member of the Michigan Council of Women in Technology (MCWT), and a member of Information Systems Audit & Control Association (ISACA). Alexis has presented on security related topics in various webinars and articles.



Jenny Trotta, CPA, CISA | Manager

Jenny has over eight years of information security, control and IT audit experience in a number of industries including, financial institutions, healthcare, service, insurance, manufacturing, and educational institutions. Jenny's experience includes SOC 1, 2, and 3 examinations and SOC readiness assessments, ISO 27001 Certification Audits, IT risk assessments, IT audits, application security controls reviews, security & privacy assessments (GLBA, HIPAA/HITECH), and Sarbanes-Oxley 404 IT compliance reviews. Jenny holds a Bachelor of Arts degree in Accounting and a specialization in Information Technology from Michigan State University and a Master of Accounting degree from Oakland University. Jenny is a Certified Public Accountant (CPA) licensed in Michigan and a Certified Information Systems Auditor (CISA), and an ISO 27001 Lead Auditor. Jenny is a member of the Information Systems Audit & Control Association (ISACA), the American Institute of Certified Public Accountants (AICPA), Michigan Association of Certified Public Accountants (MACPA). Jenny has also written whitepapers and presented on various information security topics.



Kyle Cawley, CPA, CISSP, CISA | Senior Consultant

Kyle has over four years of experience in information security, control and IT audit in a number of industries, including financial institutions, technology, and service. Kyle's experience includes SOC/SAS 70 examinations, Information Systems General Controls reviews, and PCI Audits. Kyle holds a BA degree in Accounting and Information Systems from Michigan State University and a MS in Accounting, with specializations in Information Systems and Financial Reporting from Michigan State University. Additionally, he is a Certified Public Accountant (CPA), Certified Information Systems Security Professional (CISSP) and a Certified Information Systems Auditor (CISA).



Jay Monga | Consultant

Jay has over one year of experience in information security, control and IT audit in a number of industries, including financial institutions, service and technology. His experience includes SOC 1 and SOC 2 examinations and Information Systems General Controls reviews. He works one-on-one with clients, testing and ensuring that their current cybersecurity controls are aligned with industry and best practice standards while also assisting in providing recommendations to mitigate risks. Jay is a member of the Information Systems Audit & Control Association (ISACA), and holds a BBA degree in Computer Information Systems from Eastern Michigan University.



Ethan Keenan | Consultant

Ethan has experience in information security, control and IT audit in a number of industries, including financial institutions, service and technology. His experience includes SOC 1 and SOC 2 examinations and Information Systems General Controls reviews. He works one-on-one with clients, testing and ensuring that their current cybersecurity controls are aligned with industry and best practice standards while also assisting in providing recommendations to mitigate risks. Ethan holds a BA degree in Accounting and an MS degree in Accounting and Information Systems from Michigan State University.



Lauren Rinkus | Consultant

Lauren has experience in information security, control and IT audit in a number of industries, including financial institutions, service and technology. Her experience includes SOC 1 and SOC 2 examinations and Information Systems General Controls reviews. She works one-on-one with clients, testing and ensuring that their current cybersecurity controls are aligned with industry and best practice standards while also assisting in providing recommendations to mitigate risks. Lauren holds a BBA degree in Computer Information Systems from Eastern Michigan University.

References

Who do we work for?

Municipal Employees Retirement System

Leon Hank
Chief Financial Officer
800.767.6377
1134 Municipal Way
Lansing, MI 48917

RouteOne

Janice Basile
Chief Financial Officer
248-862-7053
31500 Northwestern Highway
Farmington Hills, MI 48334

Exela Technologies

Tom Dolan
Senior Vice President of Global Information Security & Risk
612-359-2900
625 S Marquette Ave
Minneapolis, MN 55402

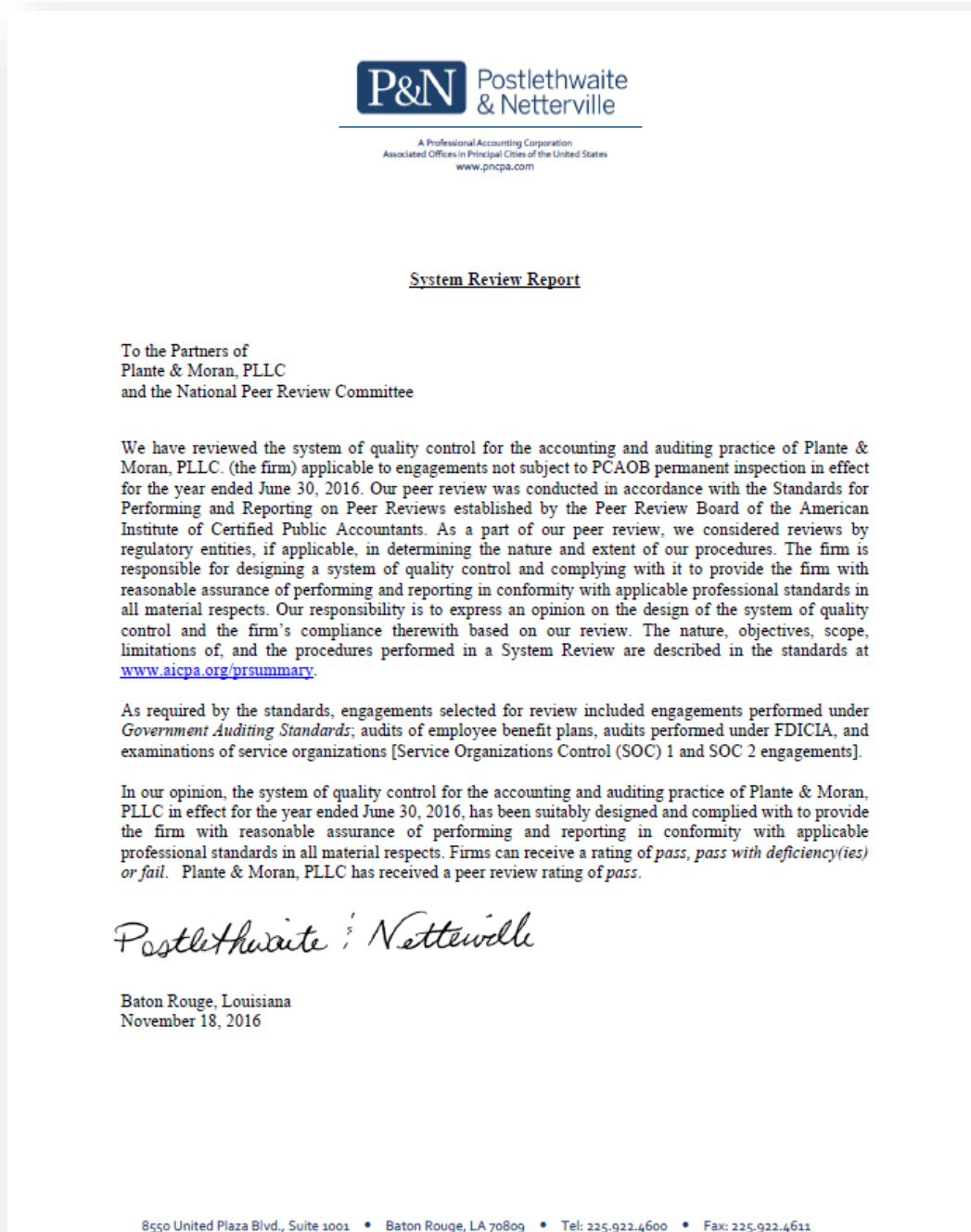
AlixPartners

Brian Clippard
Chief Information Security Officer
248-204-0693
2000 Town Center Suite 2400
Southfield, MI 48075

AICPA Peer Review Report

Proof of Quality.

The following is a copy of our most recent AICPA Peer Review Report, which covers our SOC practice. We are proud that our peers think so highly of our quality.



Plante Moran in Brief

More than 90 years of history in 30 seconds or less.

We are the 11th largest certified public accounting and management consulting firm in the nation. With a **history spanning more than 94 years**, our firm provides clients with financial, human capital, operations improvement, strategic planning, technology selection and implementation, cybersecurity, and family wealth management services.

Fast facts



Our “One-Firm” firm philosophy

We are built fundamentally different from most because of our “one-firm” firm philosophy and structure. Our structure does not have competing regional, office, or service-level profit centers and, as a result, clients receive the **best resources regardless of office location or geography**. This guarantees our ability to identify professionals within our firm with specific experience and skills, and to seamlessly deploy resources to meet each client’s needs.

Organized to better serve You

Our firm is organized by industry group, with a focus on more than 25 industries and business segments. You will receive the following benefits from our industry-based service model:

- Collaboration with professionals who have dedicated their careers to serving clients in your industry
- Practical solutions and timely updates on industry trends
- Added efficiency—your team will never need to spend time training our staff on industry topics

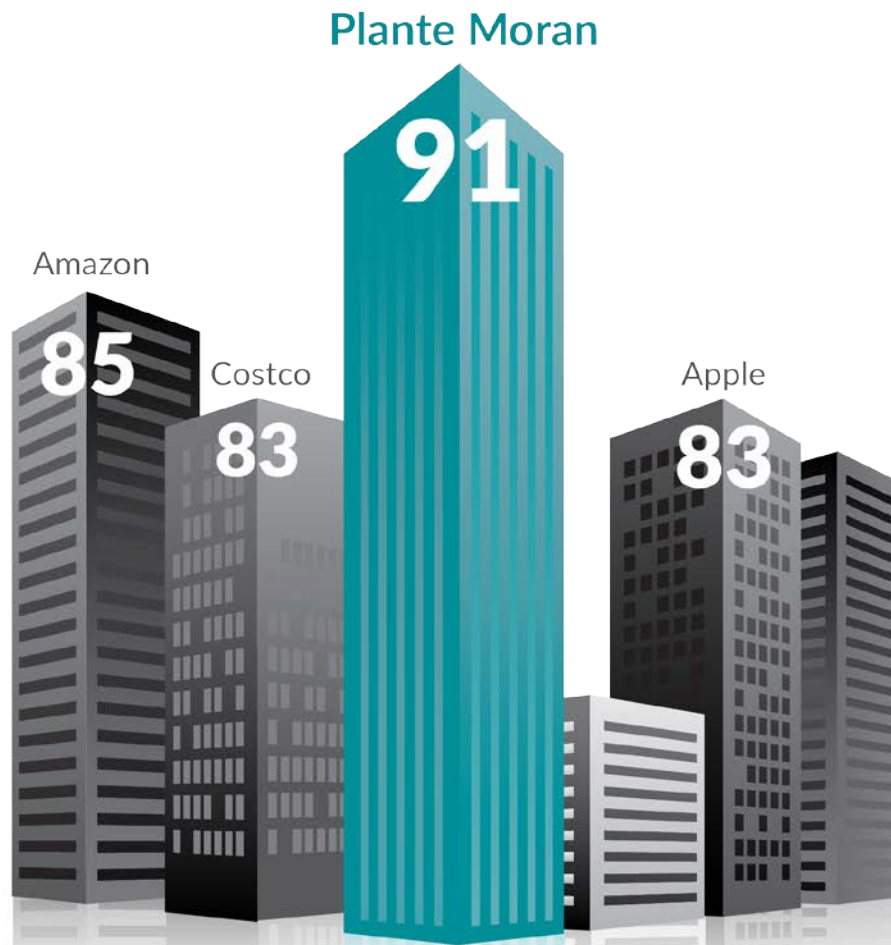
Client Feedback

You deserve world-class client service.

Let Our customers do the talking

Our client satisfaction survey is performed by an independent firm that utilizes the American Customer Satisfaction Index (ACSI) methodology to compare our rating against a diverse group of companies. The ACSI represents aggregated customer satisfaction benchmarks based upon key drivers such as service approach, level of expertise, quality of work, and value added.

Our clients rate Plante Moran above the world's most respected brands for best-in-class service.



Source: Plante Moran's rating is from our client satisfaction survey conducted by the CFI Group using the American Customer Satisfaction Index (ACSI) methodology. Other companies' scores were provided by the ACSI.

Thank you



plante moran | Audit. Tax. Consulting.
Wealth Management.

For more information contact:

Michelle Watterworth, Partner

248.223.3520

michelle.watterworth@plantemoran.com

Sarah Pavelek, Partner

248.223.3891

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plantemoran.com

Make the mark.

Los Angeles County Employees Retirement
Association

May 2, 2019

A d d i t i o n a l A t t e s t a t i o n
S e r v i c e s



plante moran | Audit. Tax. Consulting.
Wealth Management.

May 2, 2019

(Update to our original single year proposal dated 8/21/2018)

Ms. Christina Logan
Los Angeles County Employees Retirement Association
Senior Internal Auditor
300 N. Lake Ave, Suite 840
Pasadena, CA 91101

Dear Christina:

Thank you for the opportunity to submit a fee estimate to provide the Los Angeles County Employees Retirement Association (“LACERA”) with additional attestation services in accordance with the change in its OPEB plan from a cost-sharing multiple employer plan to an agent multiple employer plan. We continue to be complimented by this opportunity to serve LACERA.

Plante Moran was involved with the AICPA in coming up with the attestation solutions that have been outlined in the AICPA Pension Whitepaper series, which was the basis for this request for a fee estimate. As such, we have a high degree of expertise surrounding the nature of these services and understand the employers need for such additional assurances. We have been providing similar attestation services for other agent plans since the issuance of those Whitepapers.

As your engagement partner, I will continue to ensure that LACERA receives the highest quality service, in a timely manner and at a fair price.

Thank you again for taking the time to review our fee estimate. Please let me know if you have any questions.

Sincerely,



Michelle Watterworth, CPA
Partner

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01 **Scope of Services**

03 **Work Plan and Timing**

04 **Fee Estimate**

05 **Appendix**

6 *Firm at a Glance*

7 *Reach and reputation*

Scope of Services

Understanding Your Needs

In the transition of LACERA's OPEB plan from a cost-sharing multiple employer plan to an agent multiple employer plan, we understand that LACERA is looking for two new attestation reports as outlined as recommendations in the AICPA State and Local Government Pension Whitepaper Series dated May 2014 as it relates to governmental employer participation in agent multiple-employer plans.

The concepts in the AICPA whitepaper, while focused on pensions, applies equally to the new OPEB standards issued by the GASB (Statement 75). The AICPA recommends a two-part best practice solution to the plan providing information to the employer in order for the employer and the employer auditor to obtain sufficient appropriate audit evidence regarding the specific OPEB amounts.

We have previously discussed with LACERA two options, namely one that relies on a new service organization controls (SOC 1) Type 2 report on controls over both census data maintained by the plan as well as controls over the calculation and allocation of additions and deductions to employer accounts or one that is outlined in the AICPA Whitepaper as a non-SOC option. It is our understanding that LACERA has chosen the non-SOC alternatives, at least for FY 2019 and FY 2020.

As a result, LACERA is requesting a fee estimate on the following new attestation reports:

- An examination engagement over selected management's assertions related to census data maintained by the plan.
- A Schedule of Changes in Fiduciary Net Position by Employer and related notes to the schedule for which the auditor would express the following opinions:
 - An opinion on the schedule as a whole combined with
 - A separate opinion on just the COUNTY columns or rows

Starting in FY 2021, LACERA may be interested in the SOC alternative. We have provided attestation fee estimates for FY 2019 and FY 2020, under the non-SOC alternative, and attestation fee estimates for FY 2021 under the assumption that LACERA will then chose the SOC path (thereby, eliminating the need for one of the attestation reports).

Facts inherent in the fee estimate

Based on our previous discussions, the fee quote included herein has been developed based on the following assumptions as they have been confirmed to us by LACERA:

- The only contributing entities in the OPEB plan are the County, LACERA and the Superior Court. At this time, it is not anticipated that additional entities will contribute to the plan.
- Neither LACERA nor the Superior Court desire an opinion on their respective employer columns/rows within the Schedule of Changes in Fiduciary Net Position by Employer.
- It is currently not anticipated that the OPEB Trust will invest in investments outside of those classified as Level 1 or 2 within the GAAP hierarchy.

Should any of the above facts change, it may significantly impact the magnitude of the audit effort required to provide these additional opinions, and therefore may significantly affect the fees outlined in this document. Any changes to the scope or facts above, will require a revision to the estimate we have provided.

Reports to be issued

As a result of the services provided, we anticipate issuing the following two additional reports for FY 2019 and FY 2020:

- Independent Auditor's Report over management's assertion that census data for retirees is complete and accurate
- Schedule of Changes in Fiduciary Net Position by Employer

If LACERA opts in FY 2021 to go the SOC route, in accordance with the AICPA audit approach outlined in their whitepapers and now the AICPA Audit Guide, the SOC report will replace the need for the census attestation report. Therefore, the only additional report that we believe LACERA would require in FY 2021, aside from the SOC report, would be the Schedule of Changes in Fiduciary Net Position by Employer.

Work Plan and Timing

We would anticipate that the audit effort related to these additional attestation services would occur at the same time that the plan's CAFR audit is being performed. All planning, interim and fieldwork time will be concurrent with the timing of the CAFR audit. Therefore, the audit staff utilized to perform these services will be the same audit staff that LACERA is used to working with on the financial statement engagement.

	Preparation of Financial Schedules	Preparation of Financial Report	Timing of attestation procedures	Final Deliverable	Anticipated Issuance Date
Census Attestation	N/A – No additional schedules required	N/A	Concurrent with CAFR audit	Census attestation report issued by Plante Moran	November 15, 2019
Schedule of Changes in Fiduciary Net Position by Employer	LACERA to prepare the Schedule of Changes in Fiduciary Net Position by Employer	Plante Moran will prepare the footnotes to the Schedule to combine with the Schedule prepared by LACERA	Concurrent with CAFR audit	Schedule of Changes in Fiduciary Net Position by Employer, with footnotes and audit opinion	November 15, 2019*

*This timing is highly dependent upon the timing of LACERA's preparation of the Schedule.

For FY 2020 and FY 2021, we would anticipate similar timing.

Fee Estimate – FY 2019

A straightforward, transparent approach

	Census Attestation	Schedule of Changes in Fiduciary Net Position by Employer
Not-to-exceed fee estimate – FISCAL YEAR 2019	\$33,790	\$29,855

Our fee for this engagement is based on the estimated time we expect to incur in the performance of the quoted services. Travel and out-of-pocket costs are included in the above fee estimate.

The above fee quote is based on the following anticipated audit hours at the quoted hourly rates outlined in our original audit proposal dated June 17, 2016; we have held those quoted rates constant for purposes of this proposal. These fees are for fiscal year 2019.

Census Attestation Report			
		Quoted Hourly	
	Audit Hours	Rates	Total
Partners	20	\$ 215	\$ 4,300.00
Managers	42	\$ 165	\$ 6,930.00
Seniors/Supervisory	80	\$ 120	\$ 9,600.00
Staff	120	\$ 95	\$ 11,400.00
Administrative	10	\$ 70	\$ 700.00
Other - Professional Standards Review	4	\$ 215	\$ 860.00
Total Expected Hours	276		
Estimated Out of Pocket Expenses - NONE			\$ -
Total Not-To-Exceed Maximum Fees			\$ 33,790.00
Schedule of Fiduciary Net Position by Employer			
		Quoted Hourly	
	Audit Hours	Rates	Total
Partners	26	\$ 215	\$ 5,590.00
Managers	49	\$ 165	\$ 8,085.00
Seniors/Supervisory	72	\$ 120	\$ 8,640.00
Staff	60	\$ 95	\$ 5,700.00
Administrative	14	\$ 70	\$ 980.00
Other - Professional Standards Review	4	\$ 215	\$ 860.00
Total Expected Hours	225		
Estimated Out of Pocket Expenses - NONE			\$ -
Total Not-To-Exceed Maximum Fees			\$ 29,855.00

Fee Estimate – FY 2020

A straightforward, transparent approach

	Census Attestation	Schedule of Changes in Fiduciary Net Position by Employer
Not-to-exceed fee estimate – FISCAL YEAR 2020	\$34,190	\$30,215

Our fee for this engagement is based on the estimated time we expect to incur in the performance of the quoted services. Travel and out-of-pocket costs are included in the above fee estimate.

The above fee quote is based on the following anticipated audit hours at the quoted hourly rates outlined in our original audit proposal dated June 17, 2016; we have held those quoted rates constant for purposes of this proposal. These fees are for fiscal year 2020.

	Audit Hours	Quoted Hourly		Total
		Rates		
Partners	20	\$ 215	\$	4,300.00
Managers	42	\$ 165	\$	6,930.00
Seniors/Supervisory	80	\$ 125	\$	10,000.00
Staff	120	\$ 95	\$	11,400.00
Administrative	10	\$ 70	\$	700.00
Other - Professional Standards Review	4	\$ 215	\$	860.00
Total Expected Hours	276			
Estimated Out of Pocket Expenses - NONE			\$	-
Total Not-To-Exceed Maximum Fees			\$	34,190.00
Schedule of Fiduciary Net Position by Employer				
	Audit Hours	Quoted Hourly		Total
		Rates		
Partners	26	\$ 215	\$	5,590.00
Managers	49	\$ 165	\$	8,085.00
Seniors/Supervisory	72	\$ 125	\$	9,000.00
Staff	60	\$ 95	\$	5,700.00
Administrative	14	\$ 70	\$	980.00
Other - Professional Standards Review	4	\$ 215	\$	860.00
Total Expected Hours	225			
Estimated Out of Pocket Expenses - NONE			\$	-
Total Not-To-Exceed Maximum Fees			\$	30,215.00

Fee Estimate – FY 2021

A straightforward, transparent approach

	Census Attestation	Schedule of Changes in Fiduciary Net Position by Employer
Not-to-exceed fee estimate – FISCAL YEAR 2020	N/A	\$30,645

Our fee for this engagement is based on the estimated time we expect to incur in the performance of the quoted services. Travel and out-of-pocket costs are included in the above fee estimate.

The above fee quote is based on the expectation that, in FY 2021, LACERA will obtain a SOC audit, thereby eliminating the need for the census attestation. The above quote is based on the following anticipated audit hours at the quoted hourly rates outlined in our original audit proposal dated June 17, 2016; we have held those quoted rates constant for purposes of this proposal. These fees are for fiscal year 2021.

Schedule of Fiduciary Net Position by Employer			
	Audit Hours	Quoted Hourly Rates	Total
Partners	26	\$ 220	\$ 5,720.00
Managers	49	\$ 165	\$ 8,085.00
Seniors/Supervisory	72	\$ 125	\$ 9,000.00
Staff	60	\$ 100	\$ 6,000.00
Administrative	14	\$ 70	\$ 980.00
Other - Professional Standards Review	4	\$ 215	\$ 860.00
Total Expected Hours	225		
Estimated Out of Pocket Expenses - NONE			\$ -
Total Not-To-Exceed Maximum Fees			\$ 30,645.00

Appendix



Make the mark

We're structured differently – to serve you differently

Our “one-firm” firm philosophy is a unifying structure that prioritizes client service over maximizing profits. Unlike other accounting firms, we don't have office-level profit centers, meaning our offices don't compete. What does that mean for you? It means you receive the collective power of the firm and the expertise you need regardless of location. The result: seamless service, a personal touch, and future-focused thinking.



Seamless service

One touchpoint will give you unfiltered access to the right experts at the right time.



Personal touch

The better we know you, the better we can serve you. We build lasting relationships to foster a client-focused, collaborative culture.



Future-focused

Your future is our priority. We partner with you to ensure you achieve your goals today and beyond.

Firm at a glance



1924

Year founded



3,100+

Staff



26

Offices worldwide



25+

Industries served



50

States with clients



120+

Countries with clients



45+

Services available



27

Languages spoken firmwide

Reach and reputation

We serve clients across the country and around the world

Every day, we integrate technology into our engagements to reduce on-site travel, minimize disruptions to client staff, and lower our overall fees. This significant investment in technology sets us apart from our competitors, and led to our inclusion on the InformationWeek 500 list as one of the nation's most innovative users of business technology.



Let our clients do the talking

Our client satisfaction survey is performed by an independent firm that utilizes the American Customer Satisfaction Index (ACSI) methodology to compare our rating against a diverse group of companies. The ACSI represents aggregated customer satisfaction benchmarks based upon key drivers such as service approach, level of expertise, quality of work, and value added.



Source: Plante Moran's score is from our client satisfaction survey conducted by the CFI Group using the American Customer Satisfaction Index (ACSI) methodology. Other companies' scores were provided by the ACSI. The scores are not satisfaction percentages, but rather raw scores.

We look forward to working with you.
Please contact us with any questions.



Michelle Watterworth, CPA
Engagement Partner

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Plante Moran

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Southfield, MI 48037-0307
Phone: 248-352-2500

98%
OF CLIENTS
SAY THEY
WOULD
RECOMMEND
PLANTE MORAN



11th largest CPA and consulting firm in the United States

November 20, 2019

FOR INFORMATION ONLY

TO: Each Member
Board of Retirement

FROM: Barry W. Lew 
Legislative Affairs Officer

FOR: December 4, 2019 Board of Retirement Meeting

SUBJECT: **Update on SACRS 2020 Legislative Platform**

During its Business Meeting at the State Association of County Retirement Systems' (SACRS) Fall Conference on November 15, 2019, the SACRS membership voted on two legislative proposals under consideration for SACRS sponsorship. This is an update of the actions by the SACRS membership.

SB 783 Omnibus Bill

SB 783 is an omnibus bill for noncontroversial, technical, and clarifying amendments to the County Employees Retirement Law of 1937 (CERL) that have been developed by the SACRS Legislative Committee. The SACRS membership unanimously approved sponsorship of SB 783.

Nonservice-connected Disability Retirement and Intemperate Use of Alcoholic Liquor or Drugs

LACERA submitted this proposal to SACRS that seeks to remove the requirement for a reduction of a member's nonservice-connected disability retirement benefit if the member's disability was due to intemperate use of alcoholic liquor or drugs. The SACRS membership approved sponsorship of this proposal with 16 systems voting "Yes" and 4 systems voting "No."

Staff was informed by one system voting "No" that although it was not opposed to the substance of the proposal, it could not support the proposal because it was not elective and subject to local adoption.

Staff will continue to work with the SACRS Legislative Committee as it moves forward with this proposal through the legislative process.

Reviewed and Approved:



Steven P. Rice, Chief Counsel

SACRS Sponsorship
Board of Retirement
November 20, 2019
Page 2

cc: Santos H. Kreimann
JJ Popowich
Steven P. Rice
Ricki Contreras
Vincent Lim
Bernie Buenaflor
Allan Cochran
Frank Boyd
Joe Ackler, Ackler & Associates

**FOR INFORMATION ONLY**

November 20, 2019

TO: Each Member
Board of Retirement

FROM: Fern M. Billingsy 
Senior Staff Counsel

DATE: Meeting of December 4, 2019

SUBJECT: **REPORT OF PENSIONABLE COMPENSATION AND COMPENSATION
EARNABLE ITEMS**

Within the last few months, staff submitted recommendations defining pensionable compensation of newly created pay items. We also informed your Board that we would return with additional items until all requested pay items have been reviewed.

This memorandum will address existing pay items for which determinations have previously been made by your Board. The pay items have been slightly changed by adding new classifications or increasing the eligible pay amount.

ITEMS OF COMPENSATION

As you know, your Board is charged with determining which items of compensation qualify as pensionable earnings includable in the member's retirement allowance. Items of compensation have been analyzed as pensionable under the definition of "compensation earnable" in Government Code Section 31461, and the definition of "pensionable compensation" in Section 7522.34.

Based on these criteria, LACERA staff reviewed and analyzed these items of remuneration to determine whether the items should be included in the member's final compensation when calculating a retirement benefit. We are currently in the process of reviewing over 90 pay items as a result of recent labor negotiations. Over the past few Board meetings, your Board made determinations on 74 of these pay items. Twenty additional pay items are before you today.

Your Board has already made determinations regarding the inclusion of these twenty (20) items of compensation in the definition of compensation earnable and pensionable compensation. However, as the pay items have been modified, staff reviewed the revised items to ensure the prior determinations still apply. Our review of these revised pay items is attached. For your convenience, the changes to the pay items have been bolded.

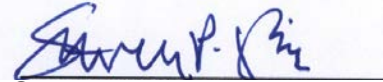
The pay items have been modified for the following reasons:

1. Changes to the amount of the standard salary levels allowed;
2. Inclusion of additional employment titles or responsibilities; and/or
3. Revisions of the flat dollar amount allowed.

Nothing has changed in the character of the existing pay items. The initial analysis regarding these pay items remain relevant.

As these pay items already exist and have been reviewed by your Board, no additional determination is necessary. We provided the attachment so that your Board can be assured that all items are independently reviewed.

Reviewed and Approved



Steven P. Rice
Chief Counsel

FMB/et
Attachment

Attachment

Revised Pay Codes INCLUDED under Section 31461 and 7522.34

Event	Description	Earnings Code Description
421	LAKE AQUATICS - EMT CERTIFICATE	<p>Paid to Lake Aquatics Manager (Item No. 2959) or as a Chief Lake Lifeguard (Item No. 2960) in the Parks and Recreation Department who are certified as an Emergency Medical Technician I or Emergency Medical Technician-P.</p> <p>Effective October 1, 2018, the County Code 6.94.020 C is being revised to reflect an increase in additional compensation from 14 to 22 standard salary levels (5.6468%). The rate or rates established by this provision shall constitute a base rate.</p>
466	SEWER MAINTENANCE ASSIGNMENT	<p>Paid to certain workers in the Public Works Department who are assigned on a regular basis to sewer maintenance duties.</p> <p>Employees assigned to the Waterworks and Sewer Maintenance Division and performing sewer maintenance duties on a permanent and full-time basis in the following Classifications are eligible for additional compensation:</p> <ul style="list-style-type: none"> - Helper, Electric (Item No. 6349) - Assistant Electro-Mechanic (Item No. 6490) - Electro-Mechanic (Item No. 6492) - Electro-Mechanic Working Supervisors (Item No. 6495) - Electro-Mechanic Supervisors (Item No. 6498) <p>Effective LAC Board of Supervisors approval (July 30, 2019), the additional compensation is four (4) salary schedules.</p>

Revised Pay Codes

INCLUDED under Section 31461 and EXCLUDED under 7522.34

Event	Description	Earnings Code Description
251	PEACE OFFICER STANDARDS AND TRAINING (POST) BONUS - SUPERVISORY	<p>Provides bonus increasing from one and one-half percent (1½%) to a maximum three and one-half percent (3½%) for deputies that meet requirements defined by a new section of BU 612's Article 7, Section 11.</p> <p>Effective October 1, 2018, County Code 6.10.105 D, establishes any person who is employed in one of the classifications identified below and possesses a POST Supervisory certificate, or who meets all of the conditions specified in this section, shall qualify for a Supervisory Bonus.</p> <p>Eligible employees are those in the following classifications:</p> <ul style="list-style-type: none"> – Captain (Item No. 2721) – Commander (Item No. 2723) – Captain, DA (Item No. 2896) – Detective (UC) (Item No. 9980) <p>Employees shall receive a bonus amount of 14 standard salary levels, which is 3.5574%.</p> <p>Eligible employees are those in the following classifications:</p> <ul style="list-style-type: none"> – Division Chief, Sheriff (UC) (Item No. 9968) – Assistant Sheriff (UC) (Item No. 9969) – Undersheriff (UC) (Item No. 9970) – Detective (UC) (Item No. 9977) – Detective (UC) (Item No. 9978) – Detective (UC) (Item No. 9979) <p>Employees shall receive a bonus amount of 3 ½%.</p>
340	A OR B MOTOR VEHICLE LICENSE BONUS	<p>Paid to employees who, with management approval, obtain or renew a Class "A" or "B" motor vehicle license, although neither are required for employment in their classes. IM states the rate is at 12 levels on the public pay schedule, but the MOU's indicate 3%.</p> <p>MOU 411/412 - Effective October 1, 2018, any employee within this BU is eligible to receive this additional compensation, with the approval of management, obtain or renew a class A or B motor vehicle license, that is not as a normal practice required for the class in which they are employed. The additional compensation is 3%.</p> <p>NOTE: This provision does not apply to employees that acquire an A or B motor vehicle license in connection with their voluntary participation in a departmental training program or that it is a normal practice required for the class in which they are employed.</p>
366	NURSING PHD/DOCTORATE DEGREE	<p>Effective 10/1/2018, any full-time permanent employee covered by these MOU's and working in the Health Agency (DHS, DPH and DMH) are eligible for this additional compensation. Employees who have a Doctorate in Nursing or PhD in Nursing or closely related health field shall receive additional compensation of 3%, which is 12 standard salary levels (3.0416%).</p> <p>This Section will apply to all County departments where Registered Nurses are employed. Said bonus will be provided only if the minimum requirements of the employee's classification do not require the degree. There shall be no pyramiding of advanced educational degree bonuses. This compensation does not constitute a base rate bonus.</p> <p>Non-Rep, County Code 6.08.565 D</p> <p>Effective 10/1/2018, a Nursing Manager shall receive additional compensation equivalent to 3% above the salary schedule established in Section 6.28.050 for a Doctorate in Nursing or PhD in Nursing or closely related health field.</p>

Revised Pay Codes

INCLUDED under Section 31461 and EXCLUDED under 7522.34

Event	Description	Earnings Code Description
384	HIGH DESERT HEALTH SYSTEM ASSIGNMENT BONUS	<p>Any Mental Health Psychiatrists (Item No. 4735) permanently assigned to work at Los Angeles County High Desert Health Systems (Palmdale or Lancaster) shall receive a 5.5% of the base salary on the appropriate D schedule. The bonus shall not constitute base rate.</p> <p>Effective March 1, 2019, any physician permanently assigned to work at Los Angeles County High Desert Health System, after January 1, 1986, shall receive 10% of the base salary on the appropriate D table. Physicians must be currently assigned to the High Desert Health System in order to be eligible for this additional compensation.</p> <p>Eligible Items #5455, 5473, 5474, 5475, 5476, 5477</p> <p><u>Non-Rep, County Code 6.08.450 F</u></p> <p>Effective March 1, 2019, a Physician in the Department of Health Services permanently assigned to the Los Angeles County High Desert Health System shall receive a flat monthly bonus equal to 10 percent of the step on the appropriate E schedule to which the Physician is assigned.</p>
388	DETENTION/CORRECTIONAL FACILITY ASSIGNMENT BONUS (PSYCHIATRY JAIL BONUS)	<p>This additional compensation is for permanent Department of Health Service employees covered by these MOUs, who are permanently assigned to a Los Angeles County detention or correctional facility including juvenile halls.</p> <p><u>BU 321:</u> Physician Assistants employed by the Department of Health Services, in a permanent, full-time position, will be provided a 5.5% bonus.</p> <p><u>BU 324:</u> Physician Specialist, Family Practice, and Physician Specialist, Internal Medicine/General – Endocrinology, in the Department of Health Services, shall receive an additional 5.5% above the compensation provided in Article 7. Compensation pursuant to this section does not constitute a base rate.</p> <p><u>BU 325:</u> Mental Health Psychiatrist (Item No. 4735) in the Department of Health Services, shall receive an additional 5.5% above compensation provided for in Article 7.</p> <p>Senior Dentist (Item No. 4766), Dental Specialist (Item No. 4767), and Dental Hygienist (Item No. 4751) will receive an additional 5.5% above compensation. Effective March 1, 2019, eligible classification includes Dentist (Item No. 4763). Compensation pursuant to this section does not constitute a base rate.</p> <p><u>Non-Rep, County Code 6.08.450 J:</u> Effective March 1, 2019, employees in a full-time position in the classification of Head Dentist (Item No. 4769), Dental Director I (Item No. 4776), or Dental Director II (Item No. 4777), permanently assigned to a detention or correctional facility shall receive additional compensation equal to 5.5% of the step on the appropriate E schedule to which the Dentist is assigned.</p> <p><u>BU 301:</u> Effective November 15, 2018, full-time Pharmacists shall receive additional compensation of 2.5%. This bonus shall not constitute a base rate.</p> <p><u>Non-Rep, County Code 6.78.350 J:</u> Effective November 15, 2018, employees in a full-time position in the classification of Pharmacy Supervisor I (Item No. 5516), Pharmacy Supervisor II (Item No. 5517), Pharmacy Services Chief I (Item No. 5528), Pharmacy Services Chief II (Item No. 5529), Pharmacy Services Chief III (Item No. 5530), assigned on a full-time permanent basis to a detention or correctional facility shall receive additional compensation of 2.5%.</p> <p>If an employee's permanent detention or correctional facility assignment ceases, the bonus shall be discontinued on the employee's last date of permanent assignment.</p>
389	MENTAL HEALTH PSYCHIATRIST BOARD CERTIFICATION	<p>Mental Health Psychiatrists (Item No. 4735) who are Board-Certified in Child Psychiatry, and/or Addiction Psychiatry, and/or Forensic Psychiatry, and/or Addiction Medicine, shall receive 2.75% of the base salary on the appropriate D schedule. The bonus shall not constitute a base rate.</p> <p>Effective March 1, 2019, Mental Health Psychiatrist (Item No. 4735) who are Board – Certified in Geriatrics and/or Consultation Liaison, shall receive 2.75% of the base salary on the appropriate D schedule. This bonus shall not constitute a base rate.</p> <p>In no case shall a Mental Health Psychiatrist receive more than the 2.75% in this section.</p> <p><u>Non-Rep, County Code 6.08.450 K</u></p> <p>A Physicians holding the classification of Supervising Mental Health Psychiatrist (Item No. 4737) or Chief Mental Health Psychiatrist (Item No. 4739), is eligible for additional compensation when certified by the appropriate American Specialty Board in one of the following eligible sub-specialties :</p> <ul style="list-style-type: none"> – Addiction Medicine – Addiction Psychiatry – Child Psychiatry – Consultation-Liaison Psychiatry (effective 3/1/2019) – Forensic Psychiatry – Geriatric Psychiatry (effective 3/1/2019) <p>The additional compensation received is 2.75% of the step on the appropriate E schedule to which the Physician is assigned.</p>

Revised Pay Codes

INCLUDED under Section 31461 and EXCLUDED under 7522.34

Event	Description	Earnings Code Description
439	DEPUTY SHERIFF CUSTODY TRAINING OFFICER	Effective July 1, 2018, persons employed as a Deputy Sheriff (Item No. 2708) who are assigned to a Custody Division assignment as a Custody Training Officer (CTO) shall be entitled to additional compensation of 22 standard salary levels (5.6468%) above their salary step in three-month increments only during periods when a trainee (Deputy Sheriff or Custody Assistant) is assigned to CTO.
464	STATE OF CALIF STRUCTURAL ENGINEER LICENSE BONUS	<p>Employees in these Bargaining Units, licensed as a Structural Engineer from the State of California, and assigned by management to duties requiring the knowledge and skill characterized by a licensed and certified Structural Engineer in the following Classifications are eligible for additional compensation:</p> <ul style="list-style-type: none"> – Associate Civil Engineer (Item No. 3433) – Civil Engineer (Item No. 3435) – Senior Civil Engineer (Item No. 3436) <p>The additional compensation is a 5.5% bonus.</p>
468	LICENSED LAND SURVEYOR BONUS	<p>Employees in these Bargaining Units, who hold a valid license as a Land Surveyor, and assigned by management to duties requiring the knowledge and skill characterized by a licensed and certified Land Surveyor in the following Classifications are eligible for additional compensation:</p> <ul style="list-style-type: none"> – Associate Civil Engineer (Item No. 3433) – Civil Engineer (Item No. 3435) – Senior Civil Engineer (Item No. 3436) – Principal Engineer (Item No. 3438) – Principal Survey Mapping-Technician (Item No. 3628) – Supervising Survey-Mapping Technician (Item No. 3631) – Supervising Cadastral Engineer I (Item No. 3634) – Supervising Cadastral Engineer II (Item No. 3637) – Supervising Cadastral Engineer III (Item No. 3638) <p>The additional compensation is a 5.5% bonus.</p>
469	LICENSED REGISTERED TRAFFIC ENGINEER BONUS	<p>Employees in these Bargaining Units, who hold a Traffic Engineer license from the State of California, and assigned by management to duties requiring the knowledge and skill characterized by a licensed and certified Traffic Engineer in the following Classifications are eligible for additional compensation:</p> <ul style="list-style-type: none"> – Civil Engineering Assistant (Item No. 3424) – Senior Civil Engineering Assistant (Item No. 3428) – Principal Civil Engineering Assistant (Item No. 3430) – Supervising Civil Engineering Assistant (Item No. 3432) – Principal Engineer (Item No. 3438) – Highway Engineering Specialist (Item No. 3701) – Supervising Highway Engineering Specialist I (Item No. 3705) – Supervising Highway Engineering Specialist II (Item No. 3712) <p>The additional compensation is a 2.75% bonus.</p>
504	NIGHT SHIFT DIFFERENTIAL	<p>An additional hourly increment paid for each hour assigned to a night shift (i.e., 9:00pm and 8:00am), as defined by Code. Unless otherwise provided, the basic shift differential rate is \$1.00 per hour.</p> <p><u>Non-Rep. County Code 6.10.020 (Night Service Bonus)</u> Effective November 15, 2018, the night shift compensation is \$2.85 per hour for the following non-represented Classifications:</p> <ul style="list-style-type: none"> – Intern Pharmacist (Item No. 5508) – Pharmacy Supervisor I (Item No. 5516) – Pharmacy Supervisor II (Item No. 5517) <p><u>Non-Rep. County Code 6.08.445 D</u> Effective March 1, 2019, for a Physician in the Department of Medical Examiner-Coroner, the night shift compensation is \$7.00 per hour.</p>

Revised Pay Codes

INCLUDED under Section 31461 and EXCLUDED under 7522.34

Event	Description	Earnings Code Description
515	WEEKEND BONUS	<p>An hourly-rate bonus paid for each hour worked on a weekend by any employee in a class represented by Bargaining Units 111, 112, 121, 122, 221, 222, 321, 341, 342, 432, 721, 722, 724, and 729. For this bonus, a "weekend" is defined as any hours occurring between the hours of 7:00 p.m. Friday and 7:00 a.m. Monday.</p> <p>Sheriff Department employees in the classification of Institutional Services Supervisor (Item #6796), Inmate Crew Leader Supervisor (Item #6779) and Head Cook (Item #6402) who work on a weekend (i.e. 7:00 p.m. on Friday through 7:00 a.m. on Monday) shall receive an additional \$1.00 per hour bonus for each hour worked on a weekend.</p> <p><u>BU 301</u> – Effective November 15, 2018, the weekend shift compensation is \$2.25 per hour for the following Classifications: – Pharmacist (Item No. 5512) – Clinical Pharmacist (Item No. 5513) – Radiopharmacist (Item No. 5514)</p> <p><u>Non-Rep, County Code 6.10.025 (Weekend Service Bonus)</u> – Effective November 15, 2018, the weekend shift compensation is \$2.25 per hour for the following non-represented Classifications: – Intern Pharmacist (Item No. 5508) – Pharmacy Supervisor I (Item No. 5516) – Pharmacy Supervisor II (Item No. 5517)</p> <p><u>Non-Rep, County Code 6.08.445 E</u> – Effective March 1, 2019, for a Physician in the Department of Medical Examiner-Coroner, the weekend shift compensation is \$7.00 per hour.</p>
517	EVENING SHIFT DIFFERENTIAL	<p>An additional hourly increment for hours worked on an evening shift. An "evening shift" is a regularly established work shift in which at least five-eighths of the shift falls between 4:00 p.m. and 11:00 p.m. Evening shift bonuses are hourly bonuses and are paid only for hours actually worked. Unless otherwise provided, the basic shift differential rate is \$1.00 per hour. This rate is applicable to all otherwise eligible classes except where different rates have been approved by the Board of Supervisors or established through negotiations, on which case the rates are on TIER. Typical positions eligible for shift differential bonuses are those found in 24-hour facilities. The following groups, series, or classes are excluded from receiving shift differentials: Fire Protection Series, Human Relations Consultant, Deputy Sheriff Group, Law Investigation Series, Lifesaving Series; persons assigned to greater than 40-hour workweeks, training positions (such as students, interns and resident physicians), Rainfall Observers, Recreation Series, and Safety Police Series. Open to various item numbers.</p> <p><u>BU 301</u> – Effective November 15, 2018, the evening shift compensation is \$2.85 per hour for the following Classifications: – Pharmacist (Item No. 5512) – Clinical Pharmacist (Item No. 5513) – Radiopharmacist (Item No. 5514)</p> <p><u>Non-Rep, County Code 6.10.020 (Night Service Bonus)</u> – Effective 11/15/2018, the evening shift compensation is \$2.85 per hour for the following non-represented Classifications: – Intern Pharmacist (Item No. 5508) – Pharmacy Supervisor I (Item No. 5516) – Pharmacy Supervisor II (Item No. 5517)</p> <p><u>Non-Rep, County Code 6.08.445 D</u> – Effective March 1, 2019, for a Physician in the Department of Medical Examiner-Coroner, the evening shift compensation is \$7.00 per hour.</p>
531	STANDBY	<p>To provide a bonus for each hour that an employee is assigned to scheduled periods of standby service at off-duty times and who must remain available to return to work if called. Rate varies from \$1.05 to \$10.00/hour. Open to various items; not applicable to MAPP participants.</p> <p><u>Non-Rep, County Code 6.08.240 D & 6.08.445 C</u> Effective March 1, 2019, Physicians assigned to the Department of Health Services will receive an increased additional compensation for standby of \$10.00 per hour. All other LA County Physicians will receive an increased additional compensation for standby of \$7.67 per hour.</p>

Revised Pay Codes

INCLUDED under Section 31461 and EXCLUDED under 7522.34

Event	Description	Earnings Code Description
572	MOU LUMP SUM BONUS	<p>Used to make a one-time-only lump sum payment to certain employees as required by a negotiated MOU provision.</p> <p>BU 311/312 - One-time only settlement bonus of \$500 upon contract ratification for all permanent full-time employees. One-time payment of \$250 for part-time employees of BUs 311 and 312.</p> <p>BU 802/803 - \$1,000 for full-time and \$500 for part-time employees. The language for this settlement bonus is found on the Summary of Agreement and in the body of the LAC BOS Board Letter (adopted 5/21/2019).</p> <p>BU 131/132 - Effective April 1, 2019, an employee whose classification is contained in this bargaining unit shall receive a one-time bonus of \$250. This is related to the Assessors Modernization Project (AMP) and the conversion of the legacy system.</p> <p>BU 511 - Effective March 1, 2019, any permanent full-time Survey Mapping Technician (Item No. 3619), Senior Survey Mapping Technician (Item No. 3621), Principal Survey Mapping Technician (Item No. 3628), Survey Technician I (Item No. 3889) or Survey Technician II (Item No. 3890), who obtains a Land Surveyor-in-Training certificate, is eligible for a one-time bonus of \$500.</p>
590	CONTINUING EDUCATION/ EQUIPMENT/TRAINING	<p>BU 324 – Effective January 1, 2020, full-time permanent Physician Specialist, Pathology – Forensic, in the Department of the Medical Examiner - Coroner. The additional compensation received is \$1,000 per year, maybe payable between January 1 and January 15, by separate payroll warrant. This Section shall expire on September 30, 2021.</p> <p>Non-Rep, County Code 6.08.465 C – This additional compensation is for full-time permanent Physician specializing in Pathology-Forensic, in the Department of Medical-Examiner-Coroner. The allowance in the amount of \$1,000 per year to cover tuition, course registration, fees, and course materials. The allowance goes into effect January 1, 2020, and sunsets September 30, 2021.</p> <p>BU 801 – This additional compensation is for all full-time permanent employees covered by this MOU in the following identified Classifications: – Deputy District Attorney I (Item #9271) – Deputy District Attorney II (Item #9272) – Deputy District Attorney III (Item #9273) – Deputy District Attorney IV (Item #9274)</p> <p>Employees covered by this MOU shall receive an equipment/ education bonus in the amount of \$500. This bonus shall not constitute a base rate. NOTE: This bonus in its entirety will expire and be terminated at 12:00 midnight on September 30, 2021</p> <p>BU 803 – This additional compensation is for all full-time permanent employees covered under this MOU and employed with the Public Defender’s Office (PDO): – Deputy Public Defender I (Item #9246) – Deputy Public Defender II (Item #9248) – Deputy Public Defender III (Item #9251) – Deputy Public Defender IV (Item #9252)</p> <p>Employees covered by this MOU shall receive a professional development/ training bonus in the amount of \$500. This bonus does not constitute a base rate. NOTE: This Article will expire in its entirety and will be terminated on September 30, 2021 at 12:00 midnight.</p>
622	ADVANCED APPRAISER CERTIFICATION	<p>Provides additional compensation to for all full-time permanent Appraisers who possess an Advanced Appraiser Certification issued by the State Board of Equalization. Effective on February 16, 2019, the additional compensation increases to \$100.00 per pay period (\$200.00 per month). The additional pay is effective the first day of the following calendar month in which the Certification is issued. Open to all in BU’s 131, 132; and Non-Rep Assessor Staff.</p>

Revised Pay Codes EXCLUDED under Section 31461 and 7522.34

Event	Description	Earnings Code Description
591	LICENSE-CERTIFICATION REIMBURSEMENT	<p><u>BU 401</u> - Effective October 1, 2018, this reimbursement is for full-time permanent employees in the following classifications within the Internal Services Department (ISD):</p> <ul style="list-style-type: none"> - Stationary Engineer (Item No. 7198) - Stationary Engineer Controls Specialist (Item No. 7200) - Wastewater Treatment Plant Operator (Item No. 7224) <p>Employees shall receive reimbursement for renewing the following professional licenses:</p> <ul style="list-style-type: none"> - Wastewater Treatment Plant Operator Grades I, II, III, IV & V - Drinking Water Operator Certification Renewal - City of Los Angeles Department of Building & Safety Unlimited Steam Engineer License <p>This bonus shall not constitute a base rate.</p> <p><u>BU 331</u> - Effective January 1, 2019, this reimbursement is provided to all employees holding the following classifications within this MOU:</p> <ul style="list-style-type: none"> - Environmental Health Specialist II (Item No. 5671) - Environmental Health Specialist III (Item No. 5672) - Environmental Health Specialist IV (Item No. 5673) - Environmental Health Staff Specialist (Item No. 5675) - Industrial Hygienist (Item No. 4832) <p>The lump sum payment of \$103.50, for the renewal of the California State License as a Registered Environmental Health Specialist (REHS) will be paid between January 1 and January 15 on a separate pay warrant.</p> <p>The lump sum reimbursement of eligible REHS licenses are only for those required to use these licenses within their scope of their assignment. This reimbursement does not constitute a base rate.</p> <p><u>BU 511</u> - Reimbursement of Required Certifications Bonus – BEI Aid</p> <p>Effective March 1, 2019, full-time permanent Building Engineering Inspector Aid (Item No. 4167) assigned by management to duties requiring the knowledge skills acquired from such certification are eligible for additional compensation.</p> <p>Employees must hold a valid certification from the International Code Council (ICC) AND a valid certification from a recognized code certification organization in a code used by LA County in one of the following:</p> <ul style="list-style-type: none"> - Electrical Inspector - Plumbing Inspector - Mechanical Inspector <p>The additional compensation is \$150 monthly.</p> <p><u>BU 511/512</u></p> <p><u>Regional Planning AICP Certification</u></p> <p>Effective March 1, 2019, full-time permanent employees assigned to the Department of Parks and Recreation in the following Classifications are eligible for additional compensation:</p> <ul style="list-style-type: none"> - Departmental Facilities Planner I (Item No. 4108) - Departmental Facilities Planner II (Item No. 4112) - Park Planner (Item No. 4103) - Park Planning Assistant (Item No. 4099) <p>Employees must hold an American Institute of Certified Planners (AICP) Certification.</p> <p>The additional compensation is \$500 annually.</p> <p><i>(continued)</i></p>

Revised Pay Codes

EXCLUDED under Section 31461 and 7522.34

Event	Description	Earnings Code Description
591	LICENSE-CERTIFICATION REIMBURSEMENT	<p><u>BU 511/512</u> <u>Reimbursement of Required Certifications – Underground Storage Tank (UST)</u> Effective March 1, 2019, full-time permanent employees in the following Classifications in the Waste Control Engineering Group are eligible:</p> <ul style="list-style-type: none"> – Waste Control Engineering Inspector Trainee (Item No. 4211) – Waste Control Engineering Inspector (Item No. 4213) – Senior Waste Control Engineering Inspector (Item No. 4215) – Supervising Waste Control Engineering Inspector I (Item No. 4217) – Supervising Waste Control Engineering Inspector II (Item No. 4219) <p>Eligible employees must obtain a valid UST certification from a recognized organization used by LA County.</p> <p><u>Reimbursement of Required Certifications – ICC Certifications</u> Effective March 1, 2019, full-time permanent employees assigned by management to duties requiring the knowledge and skills acquired from such certification in the following Classifications in the Building Inspection Group are eligible for additional compensation:</p> <ul style="list-style-type: none"> – Building Permit Technician I (Item No. 4161) – Building Permit Technician II (Item No. 4162) – Building Engineering Inspector Aid (Item No. 4167) – Building Inspector I (Item No. 4169) – Building Engineering Inspector (Item No. 4171) – Building Inspector II (Item No. 4173) – Senior Building Engineering Inspector (Item No. 4175) – Building Inspector III (Item No. 4177) – Building Inspector IV (Item No. 4179) – Head Building Inspector (Item No. 4180) – District Building & Safety Engineering Assoc (Item No. 4183) – Building Rehabilitation Supervisor (Item No. 4185) <p>Eligible Certifications:</p> <ul style="list-style-type: none"> • ICC Building Plans Examiner • ICC Building Plans Examiner UBC • ICC Electrical Plans Examiner • ICC Building Inspector • ICC Commercial Building Inspector • ICC Commercial Electrical Inspector • ICC Commercial Mechanical Inspector • ICC Commercial Plumbing Inspector • ICC Building Inspector UBC • ICC Building Inspector UPC • ICC Mechanical Inspector UMC • ICC Plumbing Inspector • ICC California Combination Inspector • ICC Mechanical Plans Examiner • ICC Plumbing Plans Examiner • ICC California Commercial Building Inspector • ICC California Commercial Electrical Inspector • ICC California Commercial Plumbing Inspector • ICC California Commercial Combination Inspector • ICC California Residential Building Inspector • ICC California Residential Electrical Inspector • ICC California Residential Plumbing Inspector • ICC California Residential Combination Inspector • ICC Residential Electrical Inspector • ICC Mechanical Inspector • ICC Residential Building Inspector • ICC Electrical Inspector



FOR INFORMATION ONLY

October 29, 2019

TO: Each Member,
Board of Investments

FROM: Ted Granger, CPA, CGMA, CRMA
Assistant Chief Financial Officer

FOR: November 20, 2019 – Board of Investments Meeting

SUBJECT: **Semi-Annual Interest Crediting for Reserves as of June 30, 2019 (AUDITED)**

Pursuant to the County Employees Retirement Law Section 31591, regular interest shall be credited semi-annually on June 30 and December 31 to all contributions in the retirement fund, which have been on deposit six months immediately prior to such date at an interest rate of 2.5% per annum, until otherwise determined by your Board.

The semi-annual interest crediting rate applicable for June 30, 2019, was 3.625% (i.e., 7.25% annual rate). You may recall that in December 2016, your Board approved a reduction in the assumed actuarial earnings rate from 7.50% to 7.25%. The new rate was implemented with your Board's adoption of the June 30, 2016 actuarial valuation. To provide ample time for both the plan sponsor and LACERA to prepare for the rate change implementation, the new 7.25% rate became effective July 1, 2017, which was also when the corresponding employer and employee contribution rates as recommended in the June 30, 2016 valuation report, took effect. Going forward, this annual rate of 7.25% will remain in effect unless your Board adopts a different rate.

The Retirement Benefit Funding Policy stipulates that interest credits for Reserve accounts are allocated in the same priority order as the allocation of actuarial assets. Such interest credits are granted based on Realized Earnings for the period. The allocation of Realized Earnings is performed twice each year on June 30 and December 31.

As of June 30, 2019, there were sufficient Realized Earnings to meet the required interest credit rate of 3.625% applied to Priority 1, the Member Reserve. Inasmuch as there was no Advanced Employer Reserve balance at July 1, 2018, the remaining Realized Earnings were applied to Priority 3, Employer Reserve. The table below depicts the actual interest credit allocations for the six-month period ended June 30, 2019.

Priority Order	Reserve Account	Interest Credit Rate Applied
1	Member	3.625%
2	Advanced Employer Contributions	N/A
3	Employer	2.081%

REVIEWED AND APPROVED:

Steven P. Rice
Chief Counsel

Interest Credit Rate June 2019 (audited)_V1.doc
SR:BSA:tg:mh

c: Board of Retirement, LACERA
Sachi A. Hamai, CEO, Los Angeles County

**FOR INFORMATION ONLY**

November 25, 2019

TO: Each Member
Board of Retirement
Board of Investments

FROM: Ted Granger, CPA, CGMA, CRMA *TG*
Interim Chief Financial Officer

SUBJECT: MONTHLY EDUCATION & TRAVEL REPORT – OCTOBER 2019

Attached, for your review, are the Board and Staff Education & Travel Reports as of October 2019. These reports include travel (i.e., completed and canceled) during Fiscal Year 2019-2020.

REVIEWED AND APPROVED:

Santos H. Kreimann
Chief Executive Officer

TG/EW/krh

Attachments

c: J. Popowich
J. Gabel
S. Rice
K. Hines

**BOARD EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
Alan Bernstein			
A	1 Edu - PPI 2019 Summer Roundtable - Chicago IL	07/10/2019 - 07/12/2019	Attended
B	- Edu - NACD Southern California Chapter Luncheon - Los Angeles CA	09/10/2019 - 09/10/2019	Attended
	- Edu - 2019 Pension Bridge Alternatives - Beverly Hills CA	10/28/2019 - 10/29/2019	Attended
	- Edu - KACALP Annual Conference - Los Angeles CA	10/29/2019 - 10/30/2019	Attended
	- Edu - NACD Illuminating Data in the Boardroom - Los Angeles CA	10/30/2019 - 10/30/2019	Attended
Vivian Gray			
B	- Admin - SACRS Program Committee and SACRS Board of Directors Meeting - Sacramento CA	07/15/2019 - 07/16/2019	Attended
	- Admin - SACRS Legislative Committee - Sacramento CA	07/19/2019 - 07/19/2019	Attended
	- Edu - SACRS Public Pension Investment Management Program - Berkeley CA	07/22/2019 - 07/24/2019	Attended
James Harris			
B	- Edu - CALAPRS Principles of Pension Governance - Malibu CA	08/26/2019 - 08/29/2019	Attended
Shawn Kehoe			
A	1 Edu - IAFCI Annual Training Conference & Exhibitor Show - Raleigh NC	08/26/2019 - 08/30/2019	Attended
B	- Edu - KACALP Annual Conference - Los Angeles CA	10/29/2019 - 10/30/2019	Attended
X	- Edu - National Association of Corporate Directors - Global Board Leaders' Summit - Washington D.C. MD	09/21/2019 - 09/24/2019	Canceled
Keith Knox			
X	- Edu - CII's Trustee Training Course for California Public Fund Trustees - Berkeley CA	10/04/2019 - 10/04/2019	Canceled
Wayne Moore			
A	1 Edu - PPI 2019 Summer Roundtable - Chicago IL	07/10/2019 - 07/12/2019	Attended
	2 Edu - 2019 Council of Institutional Investors (CII) Fall Conference - Minneapolis MN	09/16/2019 - 09/18/2019	Attended
B	- Edu - NAIC 2019 Annual Private Equity & Hedge Fund Conference - Los Angeles CA	10/23/2019 - 10/24/2019	Attended
Ronald Okum			
B	- Edu - 2019 Pension Bridge Alternatives - Beverly Hills CA	10/28/2019 - 10/29/2019	Attended
	- Edu - KACALP Annual Conference - Los Angeles CA	10/29/2019 - 10/30/2019	Attended
William Pryor			
X	- Edu - NCPERS 2019 Public Safety Conference - New Orleans LA	10/27/2019 - 10/30/2019	Canceled
Les Robbins			
X	- Edu - CRCEA Fall 2019 Conference - Rohnert Park CA	10/28/2019 - 10/30/2019	Canceled

**BOARD EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
Gina Sanchez			
A	1 Edu - Oxford Impact Measurement Program - Oxford, United Kingdom	07/15/2019 - 07/19/2019	Attended
	2 Edu - 2019 Council of Institutional Investors (CII) Fall Conference - Minneapolis MN	09/16/2019 - 09/18/2019	Attended
	3 Edu - National Association of Corporate Directors - Global Board Leaders' Summit - Washington D.C. MD	09/21/2019 - 09/24/2019	Attended
B	- Edu - 2019 Western North American PRI Symposium - Los Angeles CA	10/24/2019 - 10/24/2019	Attended
	- Edu - 2019 Pension Bridge Alternatives - Beverly Hills CA	10/28/2019 - 10/29/2019	Attended
	- Edu - 2019 RFKennedy Human Rights Compass Conference - West Hollywood CA	10/29/2019 - 10/30/2019	Attended
Herman Santos			
A	1 Edu - 2019 Latin America Private Equity & Venture Capital Association Summit and Investor Roundtable and LAVCA Venture Investors Annual Meeting - New York NY	09/23/2019 - 09/26/2019	Attended
X	- Edu - INCA Investments Latin American Investments Conference - Buenos Aires, Argentina	10/16/2019 - 10/17/2019	Canceled
Gina Zapanta-Murphy			
B	- Edu - SACRS Public Pension Investment Management Program - Berkeley CA	07/22/2019 - 07/24/2019	Attended
	- Edu - Network Ethnic Physician Organizations (NEPO) Summit - Pasadena CA	08/23/2019 - 08/24/2019	Attended
X	- Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Canceled

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000.

C - Second of two conferences and/or meetings counted as one conference per Section 705.00.A.1 of the Travel Policy

X - Canceled events for which expenses have been incurred



**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Administrative Services				
Holly Henderson	1	Edu - GFOA Budgeting Best Practices: Budget Monitoring - Sacramento CA	09/16/2019 - 09/18/2019	Attended
Kimberly Hines	1	Edu - GFOA Budgeting Best Practices: Budget Monitoring - Sacramento CA	09/16/2019 - 09/18/2019	Attended
Benefits				
Sylvia Botros	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
Dmitriy Khaytovich	1	Edu - CALAPRS Benefits Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Linda Moss	1	Edu - 38th ISCEBS Employee Benefits Symposium - New Orleans CA	09/08/2019 - 09/11/2019	Attended
Communications				
Sarah Scott	1	Edu - Writing Compelling Digital Copy as part of the UX Conference - Chicago IL	09/12/2019 - 09/12/2019	Attended
Disability Litigation Services				
Eugenia Der	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Attended
Jason Waller	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Canceled

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Disability Retirement Services				
Stephanie Ashley	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Hernan Barrientos	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Redjan Bitri	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Tamara Caldwell	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Justin Chiu	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Ricki Contreras	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Attended
	2	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Amabelle Delin	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Attended
	2	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Shamila Freeman	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Russell Lurina	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Debra Martin	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Ruby Minjares	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Melena Sarkisian	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Attended
	2	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Maria Silva	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Attended
	2	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Frida Skugrud	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Justin Stewart	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Kerri Wilson	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended
Michelle Yanes	1	Edu - Council of Self-Insured Public Agencies (COSIPA) Fall Educational Seminar (South) - Costa Mesa CA	10/17/2019 - 10/17/2019	Attended

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Executive Offices				
John Popowich	1	Edu - GFOA Budgeting Best Practices: Budget Monitoring - Sacramento CA	09/16/2019 - 09/18/2019	Attended
Financial & Accounting Services				
Beulah Auten	1	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Canceled
Ana Chang	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
	2	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Attended
Esther Chang	1	Edu - Association of Government Accountants (AGA) 2019 Professional Development Training (PDT) - New Orleans LA	07/21/2019 - 07/24/2019	Attended
	2	Edu - CALAPRS Intermediate Retirement Plan Administration - San Jose CA	10/16/2019 - 10/18/2019	Canceled
Sabrina Chen	1	Edu - Great Plains (Dynamics) User Group Summit - Orlando FL	10/15/2019 - 10/18/2019	Attended
Margaret Chwa	1	Edu - CALAPRS Fall Accountants Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Ted Granger	1	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Attended
Michael Huang	1	Edu - Great Plains (Dynamics) User Group Summit - Orlando FL	10/15/2019 - 10/18/2019	Attended
Diana Huang	1	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Attended
Anh Huynh	1	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Attended
Chona Labtic-Austin	1	Edu - Association of Government Accountants (AGA) 2019 Professional Development Training (PDT) - New Orleans LA	07/21/2019 - 07/24/2019	Attended
	2	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Attended
Claro Lanting	1	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
Alyce Provencio	1	Edu - CALAPRS Fall Accountants Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
	2	Edu - CALAPRS Intermediate Retirement Plan Administration - San Jose CA	10/16/2019 - 10/18/2019	Attended
Gloria Rios	1	Edu - CALAPRS Fall Accountants Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
	2	Edu - CALAPRS Intermediate Retirement Plan Administration - San Jose CA	10/16/2019 - 10/18/2019	Attended
	3	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
Imelda Saldivar	1	Edu - CALAPRS Fall Accountants Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Canceled
	2	Edu - Great Plains (Dynamics) User Group Summit - Orlando FL	10/15/2019 - 10/18/2019	Canceled
	3	Edu - APP2P Fall Conference & Expo - Scottsdale AZ	10/15/2019 - 10/17/2019	Canceled

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Financial & Accounting Services				
Felisa Valdepenas	1	Edu - Association of Government Accountants (AGA) 2019 Professional Development Training (PDT) - New Orleans LA	07/21/2019 - 07/24/2019	Attended
Srbui Vartanian	1	Edu - APP2P Fall Conference & Expo - Scottsdale AZ	10/15/2019 - 10/17/2019	Attended
Elda Villarroel	1	Edu - Great Plains (Dynamics) User Group Summit - Orlando FL	10/15/2019 - 10/18/2019	Attended
Edward Wong	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
Koreana Wong	1	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Canceled
Ervin Wu	1	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
Alice Yen	1	Edu - Public Pension Financial Forum (P2F2) 16th Annual Conference - Salt Lake City UT	10/20/2019 - 10/23/2019	Canceled
Mei Zhang	1	Edu - Great Plains (Dynamics) User Group Summit - Orlando FL	10/15/2019 - 10/18/2019	Attended
Human Resources				
Ana Ronquillo	1	Edu - SHRM Diversity and Inclusion Conference - New Orleans LA	10/28/2019 - 10/30/2019	Attended
Roberta Van Nortrick	1	Edu - Society of Corporate Compliance and Ethics (SCCE) Annual Meeting - Washington D.C. (National Harbor, MD)	09/15/2019 - 09/18/2019	Attended
Internal Audit				
Nathan Amick	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
	2	Edu - Association of Public Pension Fund Auditors (APPFA) - Lake Tahoe CA	09/27/2019 - 09/30/2019	Attended
Richard Bendall	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
	2	Edu - Enterprise Risk Management (ERM) Pension Peer Group - Sacramento CA	09/22/2019 - 09/25/2019	Attended
Leisha Collins	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
	2	Edu - Association of Public Pension Fund Auditors (APPFA) - Lake Tahoe CA	09/27/2019 - 09/30/2019	Attended
Christina Logan	1	Edu - Association of Public Pension Fund Auditors (APPFA) - Lake Tahoe CA	09/27/2019 - 09/30/2019	Attended
Kristina Sun	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
Gabriel Tafoya	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
Summy Voong	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Investments				
Didier Acevedo	1	Admin - Due Diligence of Illiquid Credit Finalist Managers - New York, NY and Chicago, IL	08/27/2019 - 08/29/2019	Attended
	2	Edu - 2019 Latin America Private Equity & Venture Capital Association Summit and Investor Roundtable and LAVCA Venture Investors Annual Meeting - New York NY	09/23/2019 - 09/26/2019	Attended
Amit Aggarwal	1	Edu - Investors in Non-Listed Real Estate Vehicles (INREV) North America Conference. - New York NY	10/02/2019 - 10/02/2019	Attended
	2	Admin - Site inspections and meeting with perspective managers. - New York NY	10/03/2019 - 10/03/2019	Attended
Kevin Bassi	1	Admin - Due Diligence of Clarion Partners - Seattle WA	08/08/2019 - 08/08/2019	Canceled
Adam Cheng	1	Admin - Due diligence of Syndicated Bank Loan finalist managers (Credit Suisse and Barings) and visit with Brigade Capital Management. - New York, NY and Charlotte, NC	10/16/2019 - 10/17/2019	Attended
	2	Admin - Due diligence of Syndicated Bank Loan finalist manager, Voya. - Scottsdale AZ	10/21/2019 - 10/21/2019	Attended
David Chu	1	Admin - GGV Capital Limited Partner Advisory Committee Roundtable and Private Limited Partner Reception - San Francisco CA	07/25/2019 - 07/25/2019	Attended
	2	Admin - Due diligence on potential and existing managers (MBK Partners, BRV China, Joy Capital); and attend Lilly Asian Ventures annual investor meeting. - Singapore; Hong Kong; Shanghai, China	09/18/2019 - 09/27/2019	Attended
	3	Edu - SuperReturn Asia Conference. - Hong Kong, China	09/23/2019 - 09/26/2019	Attended
	4	Admin - GGV Annual General Meeting and meet with existing managers (AKKR, Lilly Asia Ventures). - Menlo Park CA	10/17/2019 - 10/18/2019	Attended
Esmeralda Del Bosque	1	Edu - 2019 Alternative Investments Forum (AIF) Women Investor's Forum - New York NY	09/09/2019 - 09/10/2019	Attended
	2	Edu - Investment Operations Forum at CalSTRS - Sacramento CA	09/24/2019 - 09/24/2019	Attended
	3	Admin - Meeting with State Street - Sacramento CA	09/24/2019 - 09/24/2019	Attended
	4	Admin - Meeting with Meketa - Carlsbad CA	10/18/2019 - 10/18/2019	Attended
Jon Grabel	1	Edu - Public CIO Forum - Detroit MI	09/17/2019 - 09/18/2019	Canceled
	2	Edu - Institutional Limited Partners Association (ILPA) 3rd Annual CIO Symposium - Cambridge MA	09/25/2019 - 09/25/2019	Attended
	3	Edu - Albourne 2019 Client Conference - Philadelphia PA	10/21/2019 - 10/23/2019	Canceled
Jeff Jia	1	Admin - Due diligence of Syndicated Bank Loan finalist managers (Credit Suisse and Barings) and visit with Brigade Capital Management. - New York, NY and Charlotte, NC	10/16/2019 - 10/17/2019	Attended
	2	Admin - Due diligence of Syndicated Bank Loan finalist manager, Voya. - Scottsdale AZ	10/21/2019 - 10/21/2019	Attended
Dale Johnson	1	Admin - Due Diligence with Prospective Manager - Plano TX	08/20/2019 - 08/20/2019	Attended
	2	Edu - 2019 Council of Institutional Investors (CII) Fall Conference - Minneapolis MN	09/16/2019 - 09/18/2019	Attended

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Investments				
John Kim	1	Edu - Investment Operations Forum at CalSTRS - Sacramento CA	09/24/2019 - 09/24/2019	Attended
	2	Admin - Meeting with State Street - Sacramento CA	09/24/2019 - 09/24/2019	Attended
	3	Admin - Meeting with Meketa - Carlsbad CA	10/18/2019 - 10/18/2019	Attended
Derek Kong	1	Admin - Due Diligence on potential managers and existing managers (Alchemy SOF, Triton, LivingBridge) - London, England; Paris, France; Amsterdam, Netherlands; Zurich, Switzerland	09/18/2019 - 09/26/2019	Attended
Vache Mahseredjian	1	Admin - Due Diligence of Illiquid Credit Finalist Managers - New York, NY and Chicago, IL	08/27/2019 - 08/29/2019	Attended
John McClelland	1	Edu - Pension Real Estate Association (PREA) Leadership Summit. - West Sacramento CA	09/10/2019 - 09/10/2019	Canceled
	2	Admin - Site inspections with DWS and Varsity. - Washington DC	10/15/2019 - 10/18/2019	Attended
	3	Edu - Pension Real Estate Association (PREA) 29th Annual Institutional Investor Conference. - Washington DC	10/16/2019 - 10/18/2019	Attended
Quoc Nguyen	1	Edu - Albourne 2019 Client Conference - Philadelphia PA	10/21/2019 - 10/23/2019	Attended
Cindy Rivera	1	Edu - 2019 Institutional Real Estate, Inc. (IREI) Springboard Conference - Ojai CA	10/01/2019 - 10/03/2019	Attended
Michael Romero	1	Admin - Gateway Empire Industrial site inspection. - Riverside CA	09/25/2019 - 09/25/2019	Attended
Robert Santos	1	Admin - Due diligence of Syndicated Bank Loan finalist managers (Credit Suisse and Barings) and visit with Brigade Capital Management. - New York, NY and Charlotte, NC	10/16/2019 - 10/17/2019	Attended
	2	Admin - Due diligence of Syndicated Bank Loan finalist manager, Voya. - Scottsdale AZ	10/21/2019 - 10/21/2019	Attended
David Simpson	1	Admin - Vinci Partners Annual General Meeting and Limited Partner Advisory Committee. Due diligence with potential manager and meet with existing managers (Incline Equity, Sterling IP, Clarion, and One Rock). - New York, NY; Pittsburgh, PA; Westport, CT	09/25/2019 - 09/27/2019	Attended
Shelly Tilaye	1	Admin - Attend Annual General Meetings (AGMs) and Limited Partner Advisory Committee (LPACs) hosted by Juggernaut and Vista. Meet with existing manager, Atlantic Street, for an update. - Washington, D.C. and New York, NY	10/22/2019 - 10/25/2019	Attended
Chad Timko	1	Admin - Due Diligence with Prospective Manager - Plano TX	08/20/2019 - 08/20/2019	Attended
	2	Admin - Due Diligence of Illiquid Credit Finalist Managers - New York, NY and Chicago, IL	08/27/2019 - 08/29/2019	Attended
Scott Zdrazil	1	Admin - Council of Institutional Board and Committee meetings - Washington D.C.	07/31/2019 - 08/01/2019	Attended
	2	Admin - Principles for Responsible Investment Private Equity Advisory Committee Meeting - Paris, France	09/08/2019 - 09/09/2019	Attended
	3	Edu - Annual PRI in Person Conference - Paris, France	09/10/2019 - 09/12/2019	Attended

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Investments				
Scott Zdrazil	4	Admin - Council of Institutional Investors (CII) Board of Directors Meeting - Minneapolis MN	09/16/2019 - 09/18/2019	Attended
Legal Services				
Frank Boyd	1	Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA	09/19/2019 - 09/19/2019	Attended
Michael Herrera	1	Admin - NAPPA Executive Board Meeting - Jackson WY	10/03/2019 - 10/04/2019	Attended
Barry Lew	1	Admin - SACRS Legislative Committee - Sacramento CA	07/19/2019 - 07/19/2019	Attended
Christine Roseland	1	Edu - Association of Corporate Counsel (ACC) Annual Meeting - Phoenix AZ	10/27/2019 - 10/30/2019	Attended
Member Services				
Carlos Barrios	1	Edu - 38th ISCEBS Employee Benefits Symposium - New Orleans CA	09/08/2019 - 09/11/2019	Attended
	2	EDU- 2019 National Preretirement Education Association (NPEA) Annual Conference - Naples FL	10/19/2019 - 10/23/2019	Attended
Jacqueline Boute	1	Edu - CALAPRS Benefits Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Renee Copeland	1	Edu - CALAPRS Benefits Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Beatriz Daryaie	1	Edu - CALAPRS Benefits Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Armendina Lejano	1	Edu - CALAPRS Intermediate Retirement Plan Administration - San Jose CA	10/16/2019 - 10/18/2019	Attended
Alejandro Ochoa	1	Edu - CALAPRS Benefits Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Persian Petrov	1	Edu - CALAPRS Benefits Roundtable - Oakland CA	09/20/2019 - 09/20/2019	Attended
Kelly Puga	1	EDU- 2019 National Preretirement Education Association (NPEA) Annual Conference - Naples FL	10/19/2019 - 10/23/2019	Attended
Jeff Shevlowitz	1	Edu - 38th ISCEBS Employee Benefits Symposium - New Orleans CA	09/08/2019 - 09/11/2019	Attended
QA & Metrics				
Derwin Brown	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
	2	Edu - ASQ Audit Conference 2019 - Orlando FL	10/17/2019 - 10/18/2019	Attended
Calvin Chow	1	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
Flora Zhu	1	Edu - ATD Certificate Program - Train the Trainer - Orlando FL	07/08/2019 - 07/10/2019	Attended

**STAFF EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2019 - 2020
OCTOBER 2019**

Attendee		Purpose of Travel - Location	Event Dates	Travel Status
Retiree Healthcare				
Tionna Fredericks	1	Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA	07/07/2019 - 07/10/2019	Attended
Leilani Ignacio	1	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
Kathy Migita	1	Edu - AHIP National Conferences on Medicare, Medicaid & Dual Eligibles - Washington, D.C.	09/23/2019 - 09/26/2019	Attended
	2	Admin - Annual Kaiser Due Diligence - Washington D.C. MD	09/27/2019 - 09/28/2019	Attended
	3	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
	4	Edu - NCPERS 2019 Public Safety Conference - New Orleans LA	10/27/2019 - 10/30/2019	Attended
Cassandra Smith	1	Edu - AHIP National Conferences on Medicare, Medicaid & Dual Eligibles - Washington, D.C.	09/23/2019 - 09/26/2019	Attended
	2	Admin - Annual Kaiser Due Diligence - Washington D.C. MD	09/27/2019 - 09/28/2019	Attended
	3	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
	4	Edu - NCPERS 2019 Public Safety Conference - New Orleans LA	10/27/2019 - 10/30/2019	Attended
Systems				
James Brekk	1	Edu - IAFCI Annual Training Conference & Exhibitor Show - Raleigh NC	08/26/2019 - 08/30/2019	Attended
	2	Edu - Cyber Threat Intelligence Leadership Forum - Orlando FL	09/16/2019 - 09/17/2019	Attended
Roxana Castillo	1	Edu - IFEBP 65th Employee Benefits Conference - San Diego CA	10/20/2019 - 10/23/2019	Attended
Irwin Devries	1	Admin - LACERA Co-location Lan Migration to new circuit - Mesa AZ	08/28/2019 - 08/28/2019	Attended
Francisco Jaranilla	1	Edu - Great Plains (Dynamics) User Group Summit - Orlando FL	10/15/2019 - 10/18/2019	Attended




Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**

**FOR INFORMATION ONLY**

November 22, 2019

TO: Each Member
Board of Retirement
Board of Investments

FROM: Ted Granger, CPA, CGMA, CRMA 
Interim Chief Financial Officer

SUBJECT: 1ST QUARTER EDUCATION & TRAVEL EXPENDITURE REPORTS

Attached, for your review, are the Board and Staff Education & Travel Reports as of September 2019. These include expenses paid or submitted for reimbursement for travel completed through the first quarter of Fiscal Year 2019-2020.

REVIEWED AND APPROVED:



Santos H. Kreimann
Chief Executive Officer

TG/EW/krh

Attachments

c: J. Popowich
J. Grabel
S. Rice
K. Hines



**1ST QUARTER BOARD
EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019**

Cat	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Alan Bernstein													
A	1 Edu - PPI 2019 Summer Roundtable - Chicago IL - 07/10/2019 - 07/12/2019	Attended	\$3,214.76	\$900.00	\$1,279.67	\$607.30	\$176.00	\$18.79	\$0.00	\$0.00	\$0.00	\$213.00	\$20.00
B	- Edu - NACD Southern California Chapter Luncheon - Los Angeles CA - 09/10/2019 - 09/10/2019	Attended	\$91.38	\$85.00	\$0.00	\$0.00	\$0.00	\$6.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Alan Bernstein:			\$3,306.14	\$985.00	\$1,279.67	\$607.30	\$176.00	\$25.17	\$0.00	\$0.00	\$0.00	\$213.00	\$20.00
Vivian Gray													
B	- Edu - SACRS Public Pension Investment Management Program - Berkeley CA - 07/22/2019 - 07/24/2019	Attended	\$4,132.24	\$2,500.00	\$1,106.28	\$525.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Vivian Gray:			\$4,132.24	\$2,500.00	\$1,106.28	\$525.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
James Harris													
B	- Edu - CALAPRS Principles of Pension Governance - Malibu CA - 08/26/2019 - 08/29/2019	Attended	\$3,056.84	\$3,000.00	\$0.00	\$0.00	\$0.00	\$56.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for James Harris:			\$3,056.84	\$3,000.00	\$0.00	\$0.00	\$0.00	\$56.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Shawn Kehoe													
A	1 Edu - IAFCI Annual Training Conference & Exhibitor Show - Raleigh NC - 08/26/2019 - 08/30/2019	Attended	\$4,062.81	\$490.00	\$574.17	\$2,712.86	\$54.02	\$20.76	\$0.00	\$0.00	\$0.00	\$191.00	\$20.00
X	- Edu - National Association of Corporate Directors - Global Board Leaders' Summit - Washington D.C. MD - 09/21/2019 - 09/24/2019	Canceled	\$4,765.50	\$4,765.50	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Shawn Kehoe:			\$8,828.31	\$5,255.50	\$574.17	\$2,712.86	\$54.02	\$20.76	\$0.00	\$0.00	\$0.00	\$191.00	\$20.00



**1ST QUARTER BOARD
EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019**

Cat	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Wayne Moore													
A	1 Edu - PPI 2019 Summer Roundtable - Chicago IL - 07/10/2019 - 07/12/2019	Attended	\$2,297.24	\$900.00	\$702.06	\$480.61	\$158.57	\$0.00	\$0.00	\$0.00	\$0.00	\$56.00	\$0.00
	2 Edu - 2019 Council of Institutional Investors (CII) Fall Conference - Minneapolis MN - 09/16/2019 - 09/18/2019	Attended	\$1,448.10	\$0.00	\$629.82	\$590.00	\$108.28	\$0.00	\$0.00	\$0.00	\$0.00	\$105.00	\$15.00
Totals for Wayne Moore:			\$3,745.34	\$900.00	\$1,331.88	\$1,070.61	\$266.85	\$0.00	\$0.00	\$0.00	\$0.00	\$161.00	\$15.00
Gina Sanchez													
A	1 Edu - Oxford Impact Measurement Program - Oxford, United Kingdom - 07/15/2019 - 07/19/2019	Attended	\$17,272.06	\$7,947.07	\$1,582.87	\$7,189.83	\$315.29	\$0.00	\$0.00	\$0.00	\$0.00	\$237.00	\$0.00
	2 Edu - 2019 Council of Institutional Investors (CII) Fall Conference - Minneapolis MN - 09/16/2019 - 09/18/2019	Attended	\$1,270.92	\$0.00	\$577.40	\$425.98	\$110.54	\$0.00	\$0.00	\$0.00	\$0.00	\$142.00	\$15.00
	3 Edu - National Association of Corporate Directors - Global Board Leaders' Summit - Washington D.C. MD - 09/21/2019 - 09/24/2019	Attended	\$8,138.05	\$5,632.50	\$1,672.96	\$484.59	\$135.00	\$0.00	\$0.00	\$0.00	\$0.00	\$193.00	\$20.00
Totals for Gina Sanchez:			\$26,681.03	\$13,579.57	\$3,833.23	\$8,100.40	\$560.83	\$0.00	\$0.00	\$0.00	\$0.00	\$572.00	\$35.00
Herman Santos													
A	1 Edu - 2019 Latin America Private Equity & Venture Capital Association Summit and Investor Roundtable and LAVCA Venture Investors Annual Meeting - New York NY - 09/23/2019 - 09/26/2019	Attended	\$4,239.36	\$0.00	\$2,633.09	\$583.30	\$140.67	\$55.68	\$0.00	\$136.62	\$393.00	\$267.00	\$30.00
Totals for Herman Santos:			\$4,239.36	\$0.00	\$2,633.09	\$583.30	\$140.67	\$55.68	\$0.00	\$136.62	\$393.00	\$267.00	\$30.00



1ST QUARTER BOARD
EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019

Cat	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.	
Gina Zapanta-Murphy														
B -	Edu - SACRS Public Pension Investment Management Program - Berkeley CA - 07/22/2019 - 07/24/2019	Attended	\$4,412.71	\$2,500.00	\$1,089.24	\$487.30	\$171.85	\$31.32	\$0.00	\$133.00	\$0.00	\$0.00	\$0.00	
-	Edu - Network Ethnic Physician Organizations (NEPO) Summit - Pasadena CA - 08/23/2019 - 08/24/2019	Attended	\$299.00	\$299.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
Totals for Gina Zapanta-Murphy:			\$4,711.71	\$2,799.00	\$1,089.24	\$487.30	\$171.85	\$31.32	\$0.00	\$133.00	\$0.00	\$0.00	\$0.00	
Cnt: 8			Grand Totals:	\$58,700.97	\$29,019.07	\$11,847.56	\$14,087.73	\$1,370.22	\$189.77	\$0.00	\$269.62	\$393.00	\$1,404.00	\$120.00

Category Legend:

- A - Pre-Approved/Board Approved
- B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000.
- C - Second of two conferences and/or meetings counted as one conference per Section 705.00.A.1 of the Travel Policy
- X - Canceled events for which expenses have been incurred



**1ST QUARTER STAFF
EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019**

Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Administrative Services													
Holly Henderson	1 Edu - GFOA Budgeting Best Practices: Budget Monitoring - Sacramento CA - 09/16/2019 - 09/18/2019	Attended	\$2,848.10	\$1,004.50	\$1,269.45	\$204.96	\$89.19	\$0.00	\$0.00	\$0.00	\$0.00	\$255.00	\$25.00
Totals for Holly Henderson:			\$2,848.10	\$1,004.50	\$1,269.45	\$204.96	\$89.19	\$0.00	\$0.00	\$0.00	\$0.00	\$255.00	\$25.00
Kimberly Hines	1 Edu - GFOA Budgeting Best Practices: Budget Monitoring - Sacramento CA - 09/16/2019 - 09/18/2019	Attended	\$3,453.56	\$1,414.50	\$1,463.56	\$22.00	\$273.50	\$0.00	\$0.00	\$0.00	\$0.00	\$255.00	\$25.00
Totals for Kimberly Hines:			\$3,453.56	\$1,414.50	\$1,463.56	\$22.00	\$273.50	\$0.00	\$0.00	\$0.00	\$0.00	\$255.00	\$25.00
Cnt: 2	Totals for Administrative Services:		\$6,301.66	\$2,419.00	\$2,733.01	\$226.96	\$362.69	\$0.00	\$0.00	\$0.00	\$0.00	\$510.00	\$50.00
Benefits													
Sylvia Botros	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$1,597.38	\$1,400.00	\$0.00	\$0.00	\$0.00	\$143.38	\$0.00	\$54.00	\$0.00	\$0.00	\$0.00
Totals for Sylvia Botros:			\$1,597.38	\$1,400.00	\$0.00	\$0.00	\$0.00	\$143.38	\$0.00	\$54.00	\$0.00	\$0.00	\$0.00
Dmitriy Khaytovich	1 Edu - CALAPRS Benefits Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$872.73	\$125.00	\$297.77	\$347.96	\$0.00	\$0.00	\$0.00	\$24.00	\$0.00	\$73.00	\$5.00
Totals for Dmitriy Khaytovich:			\$872.73	\$125.00	\$297.77	\$347.96	\$0.00	\$0.00	\$0.00	\$24.00	\$0.00	\$73.00	\$5.00



**1ST QUARTER STAFF
EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019**

Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Benefits													
Linda Moss	1 Edu - 38th ISCEBS Employee Benefits Symposium - New Orleans CA - 09/08/2019 - 09/11/2019	Attended	\$374.91	\$0.00	\$133.28	\$108.30	\$67.33	\$0.00	\$0.00	\$0.00	\$0.00	\$66.00	\$0.00
Totals for Linda Moss:			\$374.91	\$0.00	\$133.28	\$108.30	\$67.33	\$0.00	\$0.00	\$0.00	\$0.00	\$66.00	\$0.00
Cnt: 3	Totals for Benefits:		\$2,845.02	\$1,525.00	\$431.05	\$456.26	\$67.33	\$143.38	\$0.00	\$78.00	\$0.00	\$139.00	\$5.00
Disability Litigation Services													
Eugenia Der	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Attended	\$518.04	\$0.00	\$224.56	\$161.96	\$0.00	\$17.52	\$0.00	\$48.00	\$0.00	\$61.00	\$5.00
Totals for Eugenia Der:			\$518.04	\$0.00	\$224.56	\$161.96	\$0.00	\$17.52	\$0.00	\$48.00	\$0.00	\$61.00	\$5.00
Jason Waller	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Canceled	\$25.00	\$0.00	\$0.00	\$25.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Jason Waller:			\$25.00	\$0.00	\$0.00	\$25.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Cnt: 2	Totals for Disability Litigation Services:		\$543.04	\$0.00	\$224.56	\$186.96	\$0.00	\$17.52	\$0.00	\$48.00	\$0.00	\$61.00	\$5.00



**1ST QUARTER STAFF
EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019**

Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Disability Retirement Services													
Ricki Contreras	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Attended	\$506.70	\$0.00	\$224.56	\$164.96	\$0.00	\$0.00	\$0.00	\$54.00	\$63.18	\$0.00	\$0.00
Totals for Ricki Contreras:			\$506.70	\$0.00	\$224.56	\$164.96	\$0.00	\$0.00	\$0.00	\$54.00	\$63.18	\$0.00	\$0.00
Amabelle Delin	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Attended	\$798.60	\$250.00	\$230.90	\$164.96	\$62.36	\$0.00	\$0.00	\$0.00	\$90.38	\$0.00	\$0.00
Totals for Amabelle Delin:			\$798.60	\$250.00	\$230.90	\$164.96	\$62.36	\$0.00	\$0.00	\$0.00	\$90.38	\$0.00	\$0.00
Melena Sarkisian	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Attended	\$810.94	\$250.00	\$230.90	\$164.96	\$62.37	\$0.00	\$0.00	\$0.00	\$102.71	\$0.00	\$0.00
Totals for Melena Sarkisian:			\$810.94	\$250.00	\$230.90	\$164.96	\$62.37	\$0.00	\$0.00	\$0.00	\$102.71	\$0.00	\$0.00
Maria Silva	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Attended	\$796.52	\$250.00	\$230.90	\$155.96	\$62.37	\$0.00	\$0.00	\$0.00	\$97.29	\$0.00	\$0.00
Totals for Maria Silva:			\$796.52	\$250.00	\$230.90	\$155.96	\$62.37	\$0.00	\$0.00	\$0.00	\$97.29	\$0.00	\$0.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Cnt: 4	Totals for Disability Retirement Services:		\$2,912.76	\$750.00	\$917.26	\$650.84	\$187.10	\$0.00	\$0.00	\$54.00	\$353.56	\$0.00	\$0.00
Executive Offices													
John Popowich	1 Edu - GFOA Budgeting Best Practices: Budget Monitoring - Sacramento CA - 09/16/2019 - 09/18/2019	Attended	\$726.51	\$544.50	\$182.01	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for John Popowich:			\$726.51	\$544.50	\$182.01	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Cnt: 1	Totals for Executive Offices:		\$726.51	\$544.50	\$182.01	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Financial & Accounting Services													
Ana Chang	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,309.81	\$1,400.00	\$677.79	\$0.00	\$0.00	\$48.02	\$0.00	\$63.00	\$0.00	\$101.00	\$20.00
Totals for Ana Chang:			\$2,309.81	\$1,400.00	\$677.79	\$0.00	\$0.00	\$48.02	\$0.00	\$63.00	\$0.00	\$101.00	\$20.00
Esther Chang	1 Edu - Association of Government Accountants (AGA) 2019 Professional Development Training (PDT) - New Orleans LA - 07/21/2019 - 07/24/2019	Attended	\$1,435.48	\$875.00	\$560.48	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Esther Chang:			\$1,435.48	\$875.00	\$560.48	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Financial & Accounting Services													
Margaret Chwa	1 Edu - CALAPRS Fall Accountants Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$698.10	\$125.00	\$224.56	\$215.96	\$66.58	\$0.00	\$0.00	\$0.00	\$0.00	\$56.00	\$10.00
Totals for Margaret Chwa:			\$698.10	\$125.00	\$224.56	\$215.96	\$66.58	\$0.00	\$0.00	\$0.00	\$0.00	\$56.00	\$10.00
Chona Labtic-Austin	1 Edu - Association of Government Accountants (AGA) 2019 Professional Development Training (PDT) - New Orleans LA - 07/21/2019 - 07/24/2019	Attended	\$2,216.23	\$875.00	\$555.53	\$411.61	\$124.09	\$0.00	\$0.00	\$0.00	\$0.00	\$225.00	\$25.00
Totals for Chona Labtic-Austin:			\$2,216.23	\$875.00	\$555.53	\$411.61	\$124.09	\$0.00	\$0.00	\$0.00	\$0.00	\$225.00	\$25.00
Alyce Provencio	1 Edu - CALAPRS Fall Accountants Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$562.50	\$125.00	\$224.56	\$161.96	\$0.00	\$17.98	\$0.00	\$0.00	\$0.00	\$28.00	\$5.00
Totals for Alyce Provencio:			\$562.50	\$125.00	\$224.56	\$161.96	\$0.00	\$17.98	\$0.00	\$0.00	\$0.00	\$28.00	\$5.00
Gloria Rios	1 Edu - CALAPRS Fall Accountants Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$676.17	\$125.00	\$224.56	\$215.96	\$35.43	\$9.22	\$0.00	\$0.00	\$0.00	\$56.00	\$10.00
Totals for Gloria Rios:			\$676.17	\$125.00	\$224.56	\$215.96	\$35.43	\$9.22	\$0.00	\$0.00	\$0.00	\$56.00	\$10.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Financial & Accounting Services													
Felisa Valdepenas	1 Edu - Association of Government Accountants (AGA) 2019 Professional Development Training (PDT) - New Orleans LA - 07/21/2019 - 07/24/2019	Attended	\$2,226.99	\$875.00	\$555.53	\$411.61	\$134.85	\$0.00	\$0.00	\$0.00	\$0.00	\$225.00	\$25.00
Totals for Felisa Valdepenas:			\$2,226.99	\$875.00	\$555.53	\$411.61	\$134.85	\$0.00	\$0.00	\$0.00	\$0.00	\$225.00	\$25.00
Edward Wong	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$1,555.60	\$1,400.00	\$0.00	\$0.00	\$0.00	\$69.60	\$0.00	\$54.00	\$0.00	\$17.00	\$15.00
Totals for Edward Wong:			\$1,555.60	\$1,400.00	\$0.00	\$0.00	\$0.00	\$69.60	\$0.00	\$54.00	\$0.00	\$17.00	\$15.00
Cnt: 8	Totals for Financial & Accounting Services:		\$11,680.88	\$5,800.00	\$3,023.01	\$1,417.10	\$360.95	\$144.82	\$0.00	\$117.00	\$0.00	\$708.00	\$110.00
Human Resources													
Roberta Van Nortrick	1 Edu - Society of Corporate Compliance and Ethics (SCCE) Annual Meeting - Washington D.C. (National Harbor, MD) - 09/15/2019 - 09/18/2019	Attended	\$4,297.23	\$1,774.00	\$1,411.28	\$613.43	\$54.30	\$42.22	\$0.00	\$94.00	\$0.00	\$283.00	\$25.00
Totals for Roberta Van Nortrick:			\$4,297.23	\$1,774.00	\$1,411.28	\$613.43	\$54.30	\$42.22	\$0.00	\$94.00	\$0.00	\$283.00	\$25.00
Cnt: 1	Totals for Human Resources:		\$4,297.23	\$1,774.00	\$1,411.28	\$613.43	\$54.30	\$42.22	\$0.00	\$94.00	\$0.00	\$283.00	\$25.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Internal Audit													
Nathan Amick	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,132.85	\$1,400.00	\$705.24	\$0.00	\$0.00	\$27.61	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	2 Edu - Association of Public Pension Fund Auditors (APPFA) - Lake Tahoe CA - 09/27/2019 - 09/30/2019	Attended	\$425.00	\$425.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Nathan Amick:			\$2,557.85	\$1,825.00	\$705.24	\$0.00	\$0.00	\$27.61	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Richard Bendall	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$1,876.00	\$1,400.00	\$450.00	\$0.00	\$0.00	\$0.00	\$0.00	\$26.00	\$0.00	\$0.00	\$0.00
	2 Edu - Enterprise Risk Management (ERM) Pension Peer Group - Sacramento CA - 09/22/2019 - 09/25/2019	Attended	\$816.32	\$0.00	\$331.95	\$256.96	\$48.75	\$23.66	\$0.00	\$0.00	\$0.00	\$135.00	\$20.00
Totals for Richard Bendall:			\$2,692.32	\$1,400.00	\$781.95	\$256.96	\$48.75	\$23.66	\$0.00	\$26.00	\$0.00	\$135.00	\$20.00
Leisha Collins	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,248.04	\$1,400.00	\$705.24	\$0.00	\$0.00	\$34.80	\$0.00	\$93.00	\$0.00	\$0.00	\$15.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Internal Audit													
Leisha Collins	2 Edu - Association of Public Pension Fund Auditors (APPFA) - Lake Tahoe CA - 09/27/2019 - 09/30/2019	Attended	\$425.00	\$425.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Leisha Collins:			\$2,673.04	\$1,825.00	\$705.24	\$0.00	\$0.00	\$34.80	\$0.00	\$93.00	\$0.00	\$0.00	\$15.00
Christina Logan	1 Edu - Association of Public Pension Fund Auditors (APPFA) - Lake Tahoe CA - 09/27/2019 - 09/30/2019	Attended	\$425.00	\$425.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Christina Logan:			\$425.00	\$425.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Kristina Sun	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,231.50	\$1,400.00	\$705.24	\$0.00	\$0.00	\$48.26	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00
Totals for Kristina Sun:			\$2,231.50	\$1,400.00	\$705.24	\$0.00	\$0.00	\$48.26	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00
Gabriel Tafoya	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,183.24	\$1,400.00	\$705.24	\$0.00	\$0.00	\$0.00	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00
Totals for Gabriel Tafoya:			\$2,183.24	\$1,400.00	\$705.24	\$0.00	\$0.00	\$0.00	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Internal Audit													
Summy Voong	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,213.63	\$1,400.00	\$705.24	\$0.00	\$0.00	\$30.39	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00
Totals for Summy Voong:			\$2,213.63	\$1,400.00	\$705.24	\$0.00	\$0.00	\$30.39	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00
Cnt: 10	Totals for Internal Audit:		\$14,976.58	\$9,675.00	\$4,308.15	\$256.96	\$48.75	\$164.72	\$0.00	\$353.00	\$0.00	\$135.00	\$35.00
Investments													
Didier Acevedo	1 Admin - Due Diligence of Illiquid Credit Finalist Managers - New York, NY and Chicago, IL - 08/27/2019 - 08/29/2019	Attended	\$1,487.10	\$0.00	\$681.72	\$550.90	\$155.22	\$0.00	\$0.00	\$0.00	\$99.26	\$0.00	\$0.00
	2 Edu - 2019 Latin America Private Equity & Venture Capital Association Summit and Investor Roundtable and LAVCA Venture Investors Annual Meeting - New York NY - 09/23/2019 - 09/26/2019	Attended	\$2,186.74	\$0.00	\$921.66	\$484.60	\$285.87	\$0.00	\$0.00	\$0.00	\$494.61	\$0.00	\$0.00
Totals for Didier Acevedo:			\$3,673.84	\$0.00	\$1,603.38	\$1,035.50	\$441.09	\$0.00	\$0.00	\$0.00	\$593.87	\$0.00	\$0.00



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Investments													
David Chu	1 Admin - GGV Capital Limited Partner Advisory Committee Roundtable and Private Limited Partner Reception - San Francisco CA - 07/25/2019 - 07/25/2019	Attended	\$329.19	\$0.00	\$0.00	\$210.96	\$118.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	2 Admin - Due diligence on potential and existing managers (MBK Partners, BRV China, Joy Capital); and attend Lilly Asian Ventures annual investor meeting. - Singapore; Hong Kong; Shanghai, China - 09/18/2019 - 09/27/2019	Attended	\$4,747.15	\$0.00	\$1,332.35	\$2,891.58	\$277.98	\$0.00	\$0.00	\$0.00	\$207.93	\$0.00	\$37.31
	3 Edu - SuperReturn Asia Conference. - Hong Kong, China - 09/23/2019 - 09/26/2019	Attended	\$1,973.85	\$0.00	\$1,292.84	\$400.18	\$145.04	\$0.00	\$0.00	\$0.00	\$135.79	\$0.00	\$0.00
Totals for David Chu:			\$7,050.19	\$0.00	\$2,625.19	\$3,502.72	\$541.25	\$0.00	\$0.00	\$0.00	\$343.72	\$0.00	\$37.31
Jon Gabel	1 Edu - Institutional Limited Partners Association (ILPA) 3rd Annual CIO Symposium - Cambridge MA - 09/25/2019 - 09/25/2019	Attended	\$1,455.67	\$80.00	\$863.10	\$329.29	\$156.92	\$0.00	\$0.00	\$0.00	\$26.36	\$0.00	\$0.00
Totals for Jon Gabel:			\$1,455.67	\$80.00	\$863.10	\$329.29	\$156.92	\$0.00	\$0.00	\$0.00	\$26.36	\$0.00	\$0.00



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Investments													
Dale Johnson	1 Admin - Due Diligence with Prospective Manager - Plano TX - 08/20/2019 - 08/20/2019	Attended	\$415.46	\$0.00	\$0.00	\$287.98	\$87.33	\$0.00	\$0.00	\$16.00	\$24.15	\$0.00	\$0.00
	2 Edu - 2019 Council of Institutional Investors (CII) Fall Conference - Minneapolis MN - 09/16/2019 - 09/18/2019	Attended	\$1,751.04	\$295.00	\$777.33	\$533.00	\$4.00	\$7.54	\$0.00	\$64.00	\$70.17	\$0.00	\$0.00
Totals for Dale Johnson:			\$2,166.50	\$295.00	\$777.33	\$820.98	\$91.33	\$7.54	\$0.00	\$80.00	\$94.32	\$0.00	\$0.00
John Kim	1 Edu - Investment Operations Forum at CalSTRS - Sacramento CA - 09/24/2019 - 09/24/2019	Attended	\$112.55	\$0.00	\$0.00	\$53.98	\$0.00	\$9.57	\$0.00	\$24.00	\$0.00	\$22.50	\$2.50
	2 Admin - Meeting with State Street - Sacramento CA - 09/24/2019 - 09/24/2019	Attended	\$112.55	\$0.00	\$0.00	\$53.98	\$0.00	\$9.57	\$0.00	\$24.00	\$0.00	\$22.50	\$2.50
Totals for John Kim:			\$225.10	\$0.00	\$0.00	\$107.96	\$0.00	\$19.14	\$0.00	\$48.00	\$0.00	\$45.00	\$5.00



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Investments													
Derek Kong	1 Admin - Due Diligence on potential managers and existing managers (Alchemy SOF, Triton, LivingBridge) - London, England; Paris, France; Amsterdam, Netherlands; Zurich, Switzerland - 09/18/2019 - 09/26/2019	Attended	\$6,455.56	\$0.00	\$2,087.95	\$3,069.53	\$908.31	\$7.37	\$5.00	\$0.00	\$342.40	\$0.00	\$35.00
Totals for Derek Kong:			\$6,455.56	\$0.00	\$2,087.95	\$3,069.53	\$908.31	\$7.37	\$5.00	\$0.00	\$342.40	\$0.00	\$35.00
Vache Mahseredjian	1 Admin - Due Diligence of Illiquid Credit Finalist Managers - New York, NY and Chicago, IL - 08/27/2019 - 08/29/2019	Attended	\$1,363.83	\$0.00	\$589.92	\$506.09	\$188.22	\$0.00	\$0.00	\$0.00	\$79.60	\$0.00	\$0.00
Totals for Vache Mahseredjian:			\$1,363.83	\$0.00	\$589.92	\$506.09	\$188.22	\$0.00	\$0.00	\$0.00	\$79.60	\$0.00	\$0.00



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Investments													
David Simpson	1 Admin - Vinci Partners Annual General Meeting and Limited Partner Advisory Committee. Due diligence with potential manager and meet with existing managers (Incline Equity, Sterling IP, Clarion, and One Rock). - New York, NY; Pittsburgh, PA; Westport, CT - 09/25/2019 - 09/27/2019	Attended	\$1,930.51	\$0.00	\$1,241.22	\$453.30	\$211.01	\$0.00	\$0.00	\$0.00	\$24.98	\$0.00	\$0.00
Totals for David Simpson:			\$1,930.51	\$0.00	\$1,241.22	\$453.30	\$211.01	\$0.00	\$0.00	\$0.00	\$24.98	\$0.00	\$0.00
Chad Timko	1 Admin - Due Diligence with Prospective Manager - Plano TX - 08/20/2019 - 08/20/2019	Attended	\$399.40	\$0.00	\$0.00	\$287.98	\$58.29	\$27.96	\$0.00	\$13.00	\$12.17	\$0.00	\$0.00
	2 Admin - Due Diligence of Illiquid Credit Finalist Managers - New York, NY and Chicago, IL - 08/27/2019 - 08/29/2019	Attended	\$1,187.01	\$0.00	\$502.16	\$418.90	\$72.49	\$35.73	\$0.00	\$75.90	\$81.83	\$0.00	\$0.00
Totals for Chad Timko:			\$1,586.41	\$0.00	\$502.16	\$706.88	\$130.78	\$63.69	\$0.00	\$88.90	\$94.00	\$0.00	\$0.00
Scott Zdrzil	1 Admin - Council of Institutional Board and Committee meetings - Washington D.C. - 07/31/2019 - 08/01/2019	Attended	\$1,065.39	\$0.00	\$205.76	\$672.52	\$81.89	\$0.00	\$0.00	\$61.22	\$0.00	\$34.00	\$10.00



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Investments													
Scott Zdrazil	2 Admin - Principles for Responsible Investment Private Equity Advisory Committee Meeting - Paris, France - 09/08/2019 - 09/09/2019	Attended	\$646.96	\$0.00	\$307.06	\$329.90	\$0.00	\$0.00	\$10.00	\$0.00	\$0.00	\$0.00	\$0.00
	3 Edu - Annual PRI in Person Conference - Paris, France - 09/10/2019 - 09/12/2019	Attended	\$1,155.23	\$0.00	\$614.10	\$329.90	\$140.98	\$0.00	\$10.00	\$0.00	\$60.25	\$0.00	\$0.00
	4 Admin - Council of Institutional Investors (CII) Board of Directors Meeting - Minneapolis MN - 09/16/2019 - 09/18/2019	Attended	\$1,569.59	\$0.00	\$777.34	\$496.59	\$173.66	\$0.00	\$0.00	\$0.00	\$0.00	\$102.00	\$20.00
Totals for Scott Zdrazil:			\$4,437.17	\$0.00	\$1,904.26	\$1,828.91	\$396.53	\$0.00	\$20.00	\$61.22	\$60.25	\$136.00	\$30.00
Cnt: 19	Totals for Investments:		\$30,344.78	\$375.00	\$12,194.51	\$12,361.16	\$3,065.44	\$97.74	\$25.00	\$278.12	\$1,659.50	\$181.00	\$107.31
Legal Services													
Frank Boyd	1 Edu - CALAPRS Course in Retirement Disability Administration - Oakland CA - 09/19/2019 - 09/19/2019	Attended	\$542.36	\$0.00	\$224.56	\$226.96	\$0.00	\$22.27	\$7.00	\$0.00	\$61.57	\$0.00	\$0.00
Totals for Frank Boyd:			\$542.36	\$0.00	\$224.56	\$226.96	\$0.00	\$22.27	\$7.00	\$0.00	\$61.57	\$0.00	\$0.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Legal Services													
Barry Lew	1 Admin - SACRS Legislative Committee - Sacramento CA - 07/19/2019 - 07/19/2019	Attended	\$279.85	\$0.00	\$0.00	\$157.96	\$50.15	\$17.40	\$0.00	\$12.00	\$42.34	\$0.00	\$0.00
Totals for Barry Lew:			\$279.85	\$0.00	\$0.00	\$157.96	\$50.15	\$17.40	\$0.00	\$12.00	\$42.34	\$0.00	\$0.00
Cnt: 2	Totals for Legal Services:		\$822.21	\$0.00	\$224.56	\$384.92	\$50.15	\$39.67	\$7.00	\$12.00	\$103.91	\$0.00	\$0.00
Member Services													
Carlos Barrios	1 Edu - 38th ISCEBS Employee Benefits Symposium - New Orleans CA - 09/08/2019 - 09/11/2019	Attended	\$1,823.02	\$985.00	\$525.42	\$268.60	\$44.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Carlos Barrios:			\$1,823.02	\$985.00	\$525.42	\$268.60	\$44.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Jacqueline Boute	1 Edu - CALAPRS Benefits Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$654.73	\$125.00	\$201.68	\$183.96	\$0.00	\$14.09	\$0.00	\$64.00	\$0.00	\$56.00	\$10.00
Totals for Jacqueline Boute:			\$654.73	\$125.00	\$201.68	\$183.96	\$0.00	\$14.09	\$0.00	\$64.00	\$0.00	\$56.00	\$10.00
Renee Copeland	1 Edu - CALAPRS Benefits Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$536.46	\$125.00	\$184.52	\$142.96	\$0.00	\$17.98	\$0.00	\$0.00	\$0.00	\$56.00	\$10.00
Totals for Renee Copeland:			\$536.46	\$125.00	\$184.52	\$142.96	\$0.00	\$17.98	\$0.00	\$0.00	\$0.00	\$56.00	\$10.00



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EDUCATION AND TRAVEL EXPENDITURE REPORT
FOR FISCAL YEAR 2020
FOR TRAVEL DURING JULY 2019 - SEPTEMBER 2019**

Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Member Services													
Beatriz Daryaie	1 Edu - CALAPRS Benefits Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$602.32	\$125.00	\$201.68	\$166.96	\$0.00	\$18.68	\$0.00	\$24.00	\$0.00	\$56.00	\$10.00
Totals for Beatriz Daryaie:			\$602.32	\$125.00	\$201.68	\$166.96	\$0.00	\$18.68	\$0.00	\$24.00	\$0.00	\$56.00	\$10.00
Alejandro Ochoa	1 Edu - CALAPRS Benefits Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$452.48	\$125.00	\$184.52	\$142.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Alejandro Ochoa:			\$452.48	\$125.00	\$184.52	\$142.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Persian Petrov	1 Edu - CALAPRS Benefits Roundtable - Oakland CA - 09/20/2019 - 09/20/2019	Attended	\$452.48	\$125.00	\$184.52	\$142.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Persian Petrov:			\$452.48	\$125.00	\$184.52	\$142.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Jeff Shevlowitz	1 Edu - 38th ISCEBS Employee Benefits Symposium - New Orleans CA - 09/08/2019 - 09/11/2019	Attended	\$1,887.72	\$1,185.00	\$702.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for Jeff Shevlowitz:			\$1,887.72	\$1,185.00	\$702.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Cnt: 7	Totals for Member Services:		\$6,409.21	\$2,795.00	\$2,185.06	\$1,048.40	\$44.00	\$50.75	\$0.00	\$88.00	\$0.00	\$168.00	\$30.00



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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
QA & Metrics													
Derwin Brown	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,583.98	\$1,500.00	\$705.24	\$0.00	\$0.00	\$32.07	\$10.00	\$78.00	\$192.67	\$56.00	\$10.00
Totals for Derwin Brown:			\$2,583.98	\$1,500.00	\$705.24	\$0.00	\$0.00	\$32.07	\$10.00	\$78.00	\$192.67	\$56.00	\$10.00
Flora Zhu	1 Edu - ATD Certificate Program - Train the Trainer - Orlando FL - 07/08/2019 - 07/10/2019	Attended	\$2,764.12	\$1,595.00	\$445.52	\$501.60	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$197.00	\$25.00
Totals for Flora Zhu:			\$2,764.12	\$1,595.00	\$445.52	\$501.60	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$197.00	\$25.00
Cnt: 2	Totals for QA & Metrics:		\$5,348.10	\$3,095.00	\$1,150.76	\$501.60	\$0.00	\$32.07	\$10.00	\$78.00	\$192.67	\$253.00	\$35.00
Retiree Healthcare													
Tionna Fredericks	1 Edu - IIA Institute of Internal Auditors 2019 International Conference - Anaheim CA - 07/07/2019 - 07/10/2019	Attended	\$2,361.05	\$1,775.00	\$440.04	\$0.00	\$0.00	\$45.01	\$0.00	\$0.00	\$0.00	\$101.00	\$0.00
Totals for Tionna Fredericks:			\$2,361.05	\$1,775.00	\$440.04	\$0.00	\$0.00	\$45.01	\$0.00	\$0.00	\$0.00	\$101.00	\$0.00
Kathy Migita	1 Edu - AHIP National Conferences on Medicare, Medicaid & Dual Eligibles - Washington, D.C. - 09/23/2019 - 09/26/2019	Attended	\$2,531.95	\$995.00	\$800.07	\$275.98	\$0.00	\$0.00	\$0.00	\$80.90	\$0.00	\$355.00	\$25.00

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Attendee	Purpose of Travel - Location - Travel Dates	Travel Status	Total Expense	Registration	Lodging	Airfare	Ground Trans	Mileage	Porterage	Parking	Meals	Per Diem	Misc.
Retiree Healthcare													
Kathy Migita	2 Admin - Annual Kaiser Due Diligence - Washington D.C. MD - 09/27/2019 - 09/28/2019	Attended	\$1,207.39	\$0.00	\$800.06	\$275.97	\$0.00	\$0.00	\$0.00	\$32.36	\$0.00	\$89.00	\$10.00
Totals for Kathy Migita:			\$3,739.34	\$995.00	\$1,600.13	\$551.95	\$0.00	\$0.00	\$0.00	\$113.26	\$0.00	\$444.00	\$35.00
Cassandra Smith	1 Edu - AHIP National Conferences on Medicare, Medicaid & Dual Eligibles - Washington, D.C. - 09/23/2019 - 09/26/2019	Attended	\$3,618.17	\$995.00	\$1,600.13	\$527.84	\$18.56	\$14.27	\$0.00	\$138.37	\$0.00	\$299.00	\$25.00
	2 Admin - Annual Kaiser Due Diligence - Washington D.C. MD - 09/27/2019 - 09/28/2019	Attended	\$948.69	\$0.00	\$800.05	\$0.00	\$17.28	\$0.00	\$0.00	\$55.36	\$0.00	\$71.00	\$5.00
Totals for Cassandra Smith:			\$4,566.86	\$995.00	\$2,400.18	\$527.84	\$35.84	\$14.27	\$0.00	\$193.73	\$0.00	\$370.00	\$30.00
Cnt: 5	Totals for Retiree Healthcare:		\$10,667.25	\$3,765.00	\$4,440.35	\$1,079.79	\$35.84	\$59.28	\$0.00	\$306.99	\$0.00	\$915.00	\$65.00
Systems													
James Brekk	1 Edu - IAFCI Annual Training Conference & Exhibitor Show - Raleigh NC - 08/26/2019 - 08/30/2019	Attended	\$1,749.79	\$570.00	\$0.00	\$1,029.55	\$150.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals for James Brekk:			\$1,749.79	\$570.00	\$0.00	\$1,029.55	\$150.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Cnt: 1	Totals for Systems:		\$1,749.79	\$570.00	\$0.00	\$1,029.55	\$150.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Cnt: 67	Grand Totals:		\$99,625.02	\$33,087.50	\$33,425.57	\$20,213.93	\$4,426.79	\$792.17	\$42.00	\$1,507.11	\$2,309.64	\$3,353.00	\$467.31



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



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