

LIVE VIRTUAL BOARD MEETING



TO VIEW VIA WEB



TO PROVIDE PUBLIC COMMENT

You may submit a request to speak during Public Comment or provide a written comment by emailing PublicComment@lacera.com. If you are requesting to speak, please include your contact information, agenda item, and meeting date in your request.

Attention: Public comment requests must be submitted via email to PublicComment@lacera.com no later than 5:00 p.m. the day before the scheduled meeting.

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION
300 N. LAKE AVENUE, SUITE 650, PASADENA, CA

AGENDA

A REGULAR MEETING OF THE BOARD OF RETIREMENT LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA 91101

9:00 A.M., WEDNESDAY, OCTOBER 7, 2020

This meeting will be conducted by the Board of Retirement by teleconference under the Governor's Executive Order No. N-29-20.

Any person may view the meeting online at
https://members.lacera.com/lmpublic/live_stream.xhtml.

*The Board may take action on any item on the agenda,
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. APPROVAL OF MINUTES
 - A. Approval of the Minutes of the Regular Meeting of September 2, 2020
- III. OTHER COMMUNICATIONS
 - A. For Information
 - 1. August 2020 All Stars
 - 2. Chief Executive Officer's Report
(Memo dated September 22, 2020)

IV. PUBLIC COMMENT

(*You may submit written public comments by email to PublicComment@lacera.com. Please include the agenda number and meeting date in your correspondence. Correspondence will be made part of the official record of the meeting. Please submit your written public comments or documentation as soon as possible and up to the close of the meeting.

You may also request to address the Boards. A request to speak must be submitted via email to PublicComment@lacera.com no later than 5:00 p.m. the day before the scheduled meeting. Please include your contact information, agenda item, and meeting date so that we may contact you with information and instructions as to how to access the Board meeting as a speaker.)

V. CONSENT ITEMS

- A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated September 28, 2020)
- B. Recommendation as submitted by Thomas Walsh, Chair, Operations Oversight Committee: That the Board approve the purchase of Fiduciary Liability Insurance effective October 9, 2020 with Euclid/Hudson Insurance Company and Westchester Fire Insurance Company. (Memo dated September 28, 2020)
- C. Recommendation as submitted by Thomas Walsh, Chair, Operations Oversight Committee: That the Board adopt changes to the Board of Retirement Charter consistent with the recently adopted Audit Committee Charter with regard to appointment of the external financial auditor. (Memo dated September 29, 2020)

VI. NON-CONSENT ITEMS

- A. Recommendation as submitted by Trustee, Wayne Moore: That the Board approve the following:
 - 1) Expand LACERA's outreach to Los Angeles County employee groups, organizations, other community-based organizations, and LACERA's business partners to help engage, educate, and provide equity in opportunity to local high school and college to learn about pension fund administration, asset management and career opportunities in those fields; and 2) Provide equity in opportunity to qualified firms and individuals with varied backgrounds to compete to provide goods and services in support of LACERA's fund administration and operations. (Memo dated August 30, 2020)

VII. REPORTS

- A. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Monthly Status Report on Legislation. (Memo dated September 28, 2020)
- B. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:
 - Monthly Education and Travel Reports for August 2020
(Public Memo dated September 23, 2020)
(Confidential Memo dated September 23, 2020 – Includes Anticipated Travel)

VII. REPORTS (Continued)

- C. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the September 2020 Fiduciary Counsel Contact and Billing Report. (Privileged and Confidential Attorney-Client Communication/Attorney Work Product) (Memo dated September 29, 2020)

VIII. ITEMS FOR STAFF REVIEW

- IX. GOOD OF THE ORDER
(For information purposes only)

- X. ADJOURNMENT

Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Members at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.

****Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email PublicComment@lacera.com, but no later than 48 hours prior to the time the meeting is to commence.***

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA 91101

9:00 A.M., WEDNESDAY, SEPTEMBER 2, 2020

This meeting was conducted by the Board of Retirement by teleconference under the Governor's Executive Order No. N-29-20.

PRESENT: Herman Santos, Chair

Vivian H. Gray, Vice Chair

Gina Zapanta, Secretary

JP Harris (Alternate Retired)

Keith Knox

Shawn R. Kehoe

Wayne Moore

Ronald Okum

Les Robbins

Thomas Walsh

ABSENT: William Pryor (Alternate Safety)

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

John Popowich, Assistant Executive Officer

Steven P. Rice, Chief Counsel

Ted Granger, Interim Chief Financial Officer

Barry Lew, Legislative Affairs Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Fern Billingsy, Senior Staff Counsel

Jill Rawal, Staff Counsel

Kathy Delino, Interim Manager, Systems Division

Celso Templo, Information Technology Manager

I. CALL TO ORDER

The meeting was called to order by Mr. Santos at 9:00 a.m. in the Board Room of Gateway Plaza.

II. APPROVAL OF MINUTES

A. Approval of the Minutes of the Regular Meeting of August 5, 2020

Mr. Okum made a motion, Ms. Gray seconded, to approve the minutes of the regular meeting of August 5, 2020. The motion passed (roll call) with Messrs. Okum, Walsh, Moore, Robbins, Kehoe, Santos, Knox, Ms. Zapanta and Ms. Gray voting yes.

III. OTHER COMMUNICATIONS

A. For Information

1. July 2020 All Stars

Mr. Popowich announced the eight winners for the month of July: Monica Lopez, Phil Ting, Jay Lee, Kathy Quan, Eduardo Ascencio, Alex Yin, Dave Choe, and Mariela Mariscal for the Employee Recognition Program. Liliana Ronquillo, Regina Harris, Alejandro Ochoa, Dmitriy Khaytovich were the winners of LACERA's RideShare Program.

III. OTHER COMMUNICATIONS (Continued)

A. For Information

2. Chief Executive Officer's Report (Memo dated August 19, 2020)

Mr. Kreimann provided an update regarding the County election and answered questions from the Board. Ms. Delino provided an update to the Board regarding the Systems Division and introduced Bob Schlotfelt, Interim Chief Information Security Officer. Furthermore, an update to filling the open positions in the Systems Division were announced. Lastly, it was shared that the Disaster Recovery Plan is being addressed by the team and long-term solutions are being determined.

IV. PUBLIC COMMENT

There were no requests from the public to speak.

V. CONSENT ITEMS

Mr. Kehoe made a motion, Mr. Knox seconded, to approve the following items. The motion passed (roll call) with Messrs. Okum, Walsh, Moore, Robbins, Kehoe, Santos, Knox, Ms. Zapanta and Ms. Gray voting yes.

A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated August 26, 2020)

B. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board approve submission of a legislative proposal for inclusion in the SACRS 2021 Legislative Platform regarding clarifying and technical amendments to the County Employees Retirement Law of 1937. (Memo dated August 13, 2020)

V. CONSENT ITEMS (Continued)

- C. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board approve submission of a legislative proposal for inclusion in the SACRS 2021 Legislative Platform that would enable benefit option changes for members retired for service who subsequently apply for and are granted disability retirement.
(Memo dated August 13, 2020)

- D. Recommendation as submitted by Fern M. Billingsy, Senior Staff Counsel; That the Board 1) Adopt Resolution Nos. 2020-BR003 and 2020-BR004 specifying pay items as "compensation earnable" and "pensionable compensation"; and 2) Instruct staff to coordinate with the County of Los Angeles to establish necessary reporting mechanism and procedures to permit LACERA to include the qualifying items in the calculation of final compensation. (Memo dated August 17, 2020)

VI. NON-CONSENT ITEMS

- A. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board approve submission of a legislative proposal for inclusion in the SACRS 2021 Legislative Platform to amend the County Employees Retirement Law of 1937 to provide for a COVID-19 presumption for disability retirement.
(Memo dated August 24, 2020)

Mr. Lew was present to answer questions from the Board.

Ms. Gray made a motion, Mr. Santos seconded, to approve the recommendation. The motion passed (roll call) with Messrs. Okum, Walsh, Moore, Harris, Kehoe, Santos, Ms. Zapanta and Ms. Gray voting yes; and Mr. Knox voting no.

VII. REPORTS

The following items were received and filed.

- A. For Information Only as submitted by Fern M. Billingsy, Senior Staff Counsel, regarding the Report of Pensionable Compensation and Compensation Earnable Items. (Memo dated August 14, 2020)

Ms. Billingsy was present to answer questions from the Board.

VII. REPORTS (Continued)

- B. For Information Only as submitted by Jill P. Rawal, Staff Counsel, regarding Form 700 Statement of Economic Interests Training.
(Memo dated August 21, 2020)

Ms. Rawal was present to answer questions from the Board.

- C. For Information Only as submitted by Jill P. Rawal, Staff Counsel, regarding LACERA Conflict of Interest Code Biennial Update.
(Memo dated August 21, 2020)

Ms. Rawal was present to answer questions from the Board.

- D. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Monthly Status Report on Legislation.
(Memo dated August 24, 2020)

Mr. Lew was present to answer questions from the Board.

- E. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the 2021 STAR COLA Program.
(Memo dated August 21, 2020)

Mr. Granger was present to answer questions from the Board.

- F. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:

Monthly Education and Travel Reports for July 2020

(Public Memo dated August 20, 2020)

(Confidential Memo dated August 20, 2020 – Includes Anticipated Travel)

4th Quarter Education and Travel Expenditure Reports

(Memo dated August 24, 2020)

Mr. Granger was present to answer questions from the Board.

- G. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the Summary of California Supreme Court's *Alameda* Decision.
(Memo dated August 26, 2020)

Mr. Rice was present to answer questions from the Board.

VII. REPORTS (Continued)

- H. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the August 2020 Fiduciary Counsel Contact and Billing Report. (Privileged and Confidential Attorney-Client Communication/Attorney Work Product) (Memo dated August 25, 2020)

Mr. Rice was present to answer questions from the Board.

VIII. ITEMS FOR STAFF REVIEW

The Board requested demographic information regarding the retiree population.

- IX. GOOD OF THE ORDER
(For information purposes only)

There was nothing to report during Good of the Order.

- X. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 9:58 a.m.

GINA ZAPANTA, SECRETARY

HERMAN SANTOS, CHAIR



September 23, 2020

TO: Each Trustee,
Board of Retirement
Board of Investments

FROM: Santos H. Kreimann *SHK*
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT**

I am pleased to present the Chief Executive Officer's Report for September 2020 that highlights a few of the operational activities that have taken place during the past month, key business metrics to monitor how well we are meeting our performance objectives, and an educational calendar.

A Roadmap to Implementing the 100-Day Plan

In May of 2020, we released the "100-Day Management Report to the Trustees of the Board of Retirement and Board of Investments." I would like to take a moment to share with you that we have begun implementation of a number recommendations made in that report. Working with our consultant, KH Consulting Inc., we have developed a LACERA Roadmap for Implementing Action Steps in the 100-Day Management Plan" (a copy of the Roadmap is attached to this report). This is a working document that lays out the steps we will take, based on the 100-Day Plan, and defines accountability for the action steps and a timeline for completing the steps.

During the months of September and October we are conducting meetings with the management and supervisory teams throughout LACERA to discuss the roadmap and responsibilities for the management teams. These discussions are important to help make sure that the management team has a solid understanding of the plan and the steps needed for completion. Additionally, it helps everyone understand who is responsible for moving these steps forward and who will be needed to support these efforts. We have made these action steps part of the annual evaluation process for the management team responsible for completing the steps to ensure goal alignment throughout the organization.

COVID-19 Remote Teleworking Update

We are pleased to report that we have gone 30 days without any operationally impacting COVID-19 incidents. With Los Angeles County continuing to report a high number of new cases, we feel this critical milestone is largely due to our remote teleworking policy and adherence to the

COVID-19 Organizational protocols, and our efforts to keep as many staff members as possible working remotely. Over the last three weeks we have averaged about 71% of our staff working off-site. Our Remote Call Center operations and the Virtual Member Service Center continue to provide our members with the services they need.

We continue to look for opportunities to provide enhanced tools for our staff to serve members. During the last month we completed the rollout of e-fax, replacing the need to have staff in the office physically processing incoming and outgoing fax requests. Not only does this help address our business continuity planning, but in the future it will save LACERA money as we look at phasing out fax machines. We are also rolling out the ability for LACERA staff members to make outbound calls using Microsoft Teams. This will reduce the need for issuing cell phones or using personal phone lines to conduct business. Finally, we are working with Disability Retirement Services to utilize our remote call center system to improve their ability to answer more detailed member questions that our Member Services Call Center cannot answer. These and other improvements are consistently being made to make LACERA more efficient both during the pandemic and after.

Maintaining safe and secure systems while working remotely continues to be of paramount importance to the organization and our members. As such, our Acting IT manager, Interim Chief Information Security Officer, and IT Consultant, Transquest, will be making a closed session presentation at the Operations Oversight Committee (OOC) meeting detailing our efforts thus far to safeguard our member's data and secure our systems as staff process work in a remote environment.

Update on our Phased Return to Work

The Los Angeles County CEO Office of Emergency Management (OEM) worked with seven workgroups and several department heads throughout the County to create a framework for County departments to eventually re-open facilities to the public when it is safe to do so. This framework was issued by the Board of Supervisors in June of 2020 with the direction to County departments to draft a "reconstitution" plan and submit it to the OEM for evaluation. The framework focused on four main themes, Staffing and Safety, Facility Adjustments, Training & Support, and Messaging and Communication.

While LACERA is not a County department, we were invited to share our Phased Return to Work Plan (presented to the Board of Retirement in June of 2020) for review against the County's framework. Recognizing the value of input from a "fresh set of eyes," and wanting to take advantage of the work completed by the County, we submitted our plan. On September 3, 2020, the OEM notified LACERA they had reviewed our plan and determined that we addressed all the major themes and they had no major recommendations. A subsequent call with the OEM to discuss in more detail what they thought about the plan confirmed they thought we had done a good job. OEM made a few suggestions on how we could make it even better, such as explaining

terminology or providing pictures in the plan of some of the physical modifications we have made. Those recommendations have been addressed in the attached Addendum to the plan. I would like to recognize our Business Continuity Team - Roberta Van Nortrick, James Beasley, Lilit Bagdzhyan, and Dean Inouye, as well as everyone they have worked with, for their efforts to prepare us for a successful and safe return to the office.

We continue to review and modify the plan to make sure it fits our needs as we plan to re-open when it is safe to do so. We announced at the September Board of Retirement meeting that we had completed almost all of our physical modifications to prepare to re-open the Member Service Center. During that meeting Mr. Kehoe requested LACERA ensure that members can bring their spouse or a family member to an in person meeting. We would like to confirm the plan as presented to the Trustees in June does allow for a member to bring an additional person to their one-on-one counseling session. Additionally, our virtual counseling sessions can accommodate multiple participants if requested.

Since our September meeting we have reviewed and documented the physical modification needs for our Financial Accounting Services Division and begun the process of making those modifications. We have also worked with Disability Litigation to document their plans for hearings that comply with their Appeals process.

Finally, we are working with our Communications team to develop an improved internal communication plan to help all staff members stay current on our plans and have access to information resources they need for the duration of the pandemic. The Communications team had previously created an intern COVID-19 News section on our Intranet. We continue to update that page with relevant information ranging from incident reports to health and well-being information.

Disability Retirement Services Going Digital

One of our long standing goals to improve our Disability Retirement Services (DRS) operations has been to move to digitizing records received during the evaluation process. DRS orders hundreds of pages of medical and personnel records to help our investigators and panel physicians develop the recommendations submitted to the Boards. These records have, for the most part, always been in paper format.

Digitizing these records is critical to our business continuity plans and one of the important preparation steps we need to take to focus on improving our management of the process using a case management system. Digitizing these records ensures that staff members can securely and safely view and work with these documents while working remotely. Additionally, it reduces physical storage space requirements and will lead to an improvement of the security for these records.

September 23, 2020

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Effective October 1, 2020, DRS will no longer order physical copies of records – they will all be retrieved digitally. In time, we will develop plans for digitizing the existing active case records. I want to thank Ms. Contreras and her team, Elsy Gutierrez and her DPC team, and our Systems Division for helping us reach this important milestone.

SHK: jp
CEO Report September 2020.doc

Attachments

Los Angeles County Employees Retirement Association (LACERA)

Roadmap for Implementing Action Steps in the 100-Day Management Report

Updated: 8/20/2020

Focus		Action Steps		Accountability		Timeline										Percent Completed
						FY2020-21						FY2021-22	FY2022-23			
						Lead	Support	Jul	Aug	Sep	Q2			Q3	Q4	
CHAPTER II - UPDATE ON ACTIONS TAKEN																
Strategic Planning & Action Plans																
SP	II.1	Report review with Trustees	CEO	Trustees											75%	
SP	II.2	Refined "Next Action Steps"	CEO	Execs											75%	
SP	II.3	Strategic planning effort	CEO	Trustees, Execs												
SP	II.4	Strategic Plan actions defined	CEO	Execs												
COVID-19 Transition Plan																
C19	II.5	After action reviews	CEO	Execs	M	M	M	M	M	M		TBD based on			20%	
C19	II.6	COVID-19 Transition Plan	CEO, AEO/Ops	IT, Admin, HR	Starting with Member Services									25%		
C19	II.7	Plans to address the work backlog:	AEO/Ops	Mem Group												
C19	II.7a	-- Retiree Disability (moving to paperless)	AEO/Ops	Mgr/Disab	Slight delays in third-parties providing documentation									90%		
C19	II.7b	-- Member Services (heavily "real time")	AEO/Ops	Mgr/Mem											75%	
C19	II.7c	-- Benefit Services (rules, resources, tech)	AEO/Ops	Mgr/Benefits, IT	Heavy backlog pre-/post-COVID-19											
C19	II.7c1	. "Quick fix" Benefits backlog plan	Mgr/Benefits	Legal, IT												
C19	II.7c2	. Longer term Benefits backlog plan (self-service)	Mgr/Benefits	IT, PMG												
Business Continuity Planning (BCP)																
SP	II.8	Unified BCP	AEO/Ops	Admin., HR											60%	
IT	II.9	BCP/DRP initiatives implementation for IT	IT Exec		See Chapter V											
Management of Staff Working Remotely																
Culture	II.10	Remote staff management	Execs	HR Dir											10%	
CHAPTER III - NEW ORGANIZATIONAL ALIGNMENT																
Org	III.1	Structural review with both Boards	CEO	Trustees											100%	
Org	III.2	Staff announcement of new structure	CEO	Execs		MAC									20%	
Org	III.3	Routine executive meetings	CEO	Execs											100%	
Org	III.4	Member-facing functional alignment	AEO/Ops	Mem Ops											50%	
Org	III.5	New COO position	CEO	HR Dir												
Org	III.6	CFO position redefined & filled	CEO	HR Dir												
Org	III.7	CI&TO position defined; recruitment begun	CEO	HR Dir												
Org	III.8	Compliance Officer position filled	Chief Counsel	HR Dir											25%	
Org	III.9	PIO position filled	CEO	HR Dir											5%	
Org	III.10	Organizational refinements if any	CEO	Execs												
Org	III.11	Job titles/org hierarchy consistency	HR Dir													

Focus	Action Steps	Accountability		FY2020-21						FY2021-22	FY2022-23	Percent Completed	
		Lead	Support	Jul	Aug	Sep	Q2	Q3	Q4				
CHAPTER IV - PLANNING													
SP	IV.1	Best practices identified for Strategic Plan	Execs	Mgrs								See also VII.4	
SP	IV.2	Shared vision & strategic planning	CEO	Trustees								See Chapter II on Update	0%
SP	IV.3	Unified BCP	Admin Services	IT								See Chapter II on Update	60%
SP	IV.4	IT plans (strategy, security BCP, DRP)	IT	ITMC								See Chapter V on IT Modernization	
SP	IV.5	HR Plans	HR Dir	Execs									
SP	IV.6	Improved budgeting process	CFO, Admin									See also VII.1 on budgeting	5%
SP	IV.7	Communications Plan	Comm	Mem Ops, RHC, IT								Ongoing	Ongoing
SP	IV.8	Linkage of plans to Strategic Plan	Execs										
CHAPTER V - IT MODERNIZATION													
IT Planning, Structure, & Staffing													
IT-Org	V.1	IT Management Council established	IT Exec	CEO, Execs								Meets quarterly thereafter	
IT-Org	V.2	Priority IT plans developed & implemented	IT Exec	IT Team									
IT-Org	V.3	Priority IT policies & IT developed	IT Exec	ITMC								Ongoing	
		-- PMO											40%
		-- IT Operations											15%
IT-Org	V.4	IT Strategic Plan developed & aligned	IT Exec	ITMC									
IT-Org	V.5	IT Program Management Office established	CEO	CTO, AEO/Admin									100%
IT-Org	V.6	IT/IS structure realigned	CEO, CTO	AEO/Admin									
IT-Org	V.7	IT staffing plan implemented	IT Exec	HR Dir									
IT Security													
IT-Security	V.8	Immediate IT vulnerabilities addressed	IT Exec	IT Sec Consultant									20%
IT-Security	V.9	Security expert consultant study completed	CEO	IT Exec									70%
IT-Security	V.10	Security study recommendations adopted	IT Exec	ITMC									
IT Investment													
IT-Invest	V.11	IT recommended investments	CTO, AEO/Admin	ITMC									
IT-Invest	V.12	IT Modernization/IT Strategic Plan adopted	IT Exec	Trustees									
IT-Invest	V.13	IT capabilities/systems upgrade investments	Trustees, IT Exec	ITMC									
IT Implementation													
IT-Imple	V.14	IT "quick fixes" implemented	CTO, AEO/Admin										
IT-Imple	V.15	IT Strategic Plan/other IT plans implemented	CTO, AEO/Admin										
IT-Imple	V.16	Robust telecommuting capabilities	CTO, AEO/Admin	IT Exec									80%
IT-Imple	V.17	Migration to the cloud (vendor resources)	CTO, AEO/Admin	CIO									5%
IT-Imple	V.18	Migration to the cloud (servers & systems)	IT Exec										
IT-Imple	V.19	Virtual call center, including counseling	AEO/Ops, IT	Mem Group									100%
IT-Imple	V.20	Remote work capability for benefits analysts	IT										100%

Focus	Action Steps		Accountability		FY2020-21				FY2021-22	FY2022-23	Percent Completed
			Lead	Support	Jul	Aug	Sep	Q2			
CHAPTER VI - WORK CULTURE & CHANGE MANAGEMENT											
Culture	VI.1	Leadership & management dev program	CEO	HR Dir							
Culture	VI.2	Diversity/Inclusion Plan (culture/values)	CEO	Execs, HR Dir							50%
Culture	VI.3	Shortened technical training	QA	Mem Group			Prepare			Monitor	
Culture	VI.4	Levels of Protection defined & implemented	Chief Counsel	Compliance Officer							20%
CHAPTER VII - ENHANCED PROCESSES & OPERATIONS											
Improve	VII.1	New budget system and processes	Admin Services	CFO	Build on existing "Great Plains" platform						5%
Improve	VII.1	Division staffing & metrics in budget	CEO/COO	Mgrs/Mem Ops		Draft Budget				Ongoing	
Improve	VII.2	Continuous process improvement initiative	AEO/Mem Serv	PMG					Ongoing	Ongoing	
Improve	VII.3	Metrics & priority processes to improve	PMG	Mgrs/Mem Ops					Annual	Annual	25%
Improve	VII.4	Best practices & best-in-class standard	Execs	Mgrs/Mem Ops					See also IV.1		
Improve	VII.5	Process inventory/priorities approved	Execs	AEO/Ops, PMG					Annual	Annual	
Improve	VII.6	Process improvement with metrics	PMG	Mgrs/Mem Ops					Ongoing	Ongoing	
Improve	VII.7	Potential expansion of PMG's services	CEO	Execs							

Addendum to LACERA'S PHASED TRANSITION PLAN SEPTEMBER 16, 2020

This Addendum includes information to be added to LACERA's Phased Return to Work Plan that was submitted to Los Angeles County's Reconstitution Team (Team.) This information is organized according to the four main thematic areas established by the Team.

Staffing & Safety

Red/Blue/Purple/Black Rotating Teams Schedule

This team concept was established to minimize the risk that all Staff Members assigned to a specific work unit might be exposed to the virus, thus causing the entire unit to self-quarantine affecting the completion of daily tasks performed by that unit.

To insure that LACERA practiced social distancing for the protection of our staff, we implemented this rotating team schedule. Each staff member is assigned to a team based on the physical location of their workspace, if they are in the high-risk group, or if their job duties require them to be onsite daily. The Blue and Red teams were composed after considering the location of the staffs' worksite and separated those in the area on the different teams to assure social distancing. Those who in the high-risk group or have childcare issues are on the Purple team and they do not rotate into the office but work 100 percent from home. Those on the Black team are in the office every day due to the need for them to be there to complete their job duties.

The staff are assigned to one of these teams:

Blue – staff without any restrictions

Red – staff without any restrictions

Purple – staff who are high risk or have childcare issues

Black – staff whose job requires them to be onsite at LACERA daily

The Red and Blue team members alternate weeks for coming into the office. So, in any given month, the Blue team will be in the office for weeks 1 and 3 and the Red team will be in the office for weeks 2 and 4. This does not necessarily mean that these staff are required to be in the office for the entire week that they are "in the office" but if they need to come into the office, they may only do so during their assigned week. This allows us to practice social distancing and to alleviate any exposure to the entire team.

Sample Work Schedule for Team Rotations

Division Teleworking Teams Effective September 8, 2020

Staff Member Work Day/Schedule

Black Team*

Asst. Manager - Monday
Manager Monday – Friday
Supervisor - Wednesday
Supervisor - Tuesday (Thursday, as needed)

Blue Team

Staff 1 - Tuesday
Staff 2 - Friday
Staff 3 - Tuesday and Thursday
Staff 4 - Friday
Staff 5 - Wednesday

Red Team

Staff 6 - Monday – Friday
Staff 7 - Tuesday and Thursday
Staff 8 - Wednesday
Staff 9 - Tuesday, Thursday, and Friday

*Black Team Members are in the Office every week.

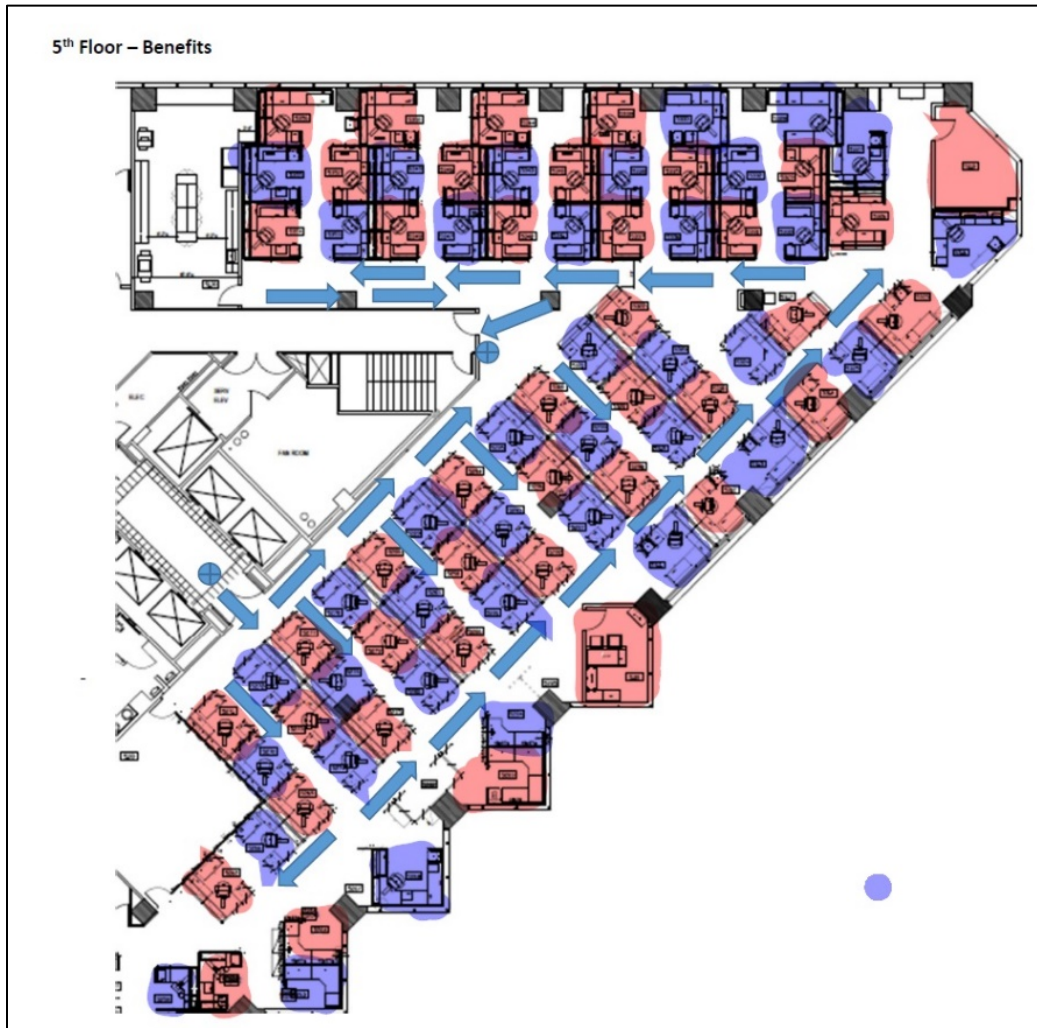
NOTE: Unless otherwise noted, staff members come into the office as indicated during their assigned week only unless other arrangements have been made and prior approval has been given/obtained.

Facility Adjustments

Habitable Space -

LACERA's Safety Officer visited each LACERA Division and measured the space between staffs working spaces. This measurement was recorded on a map for each Division, noting those workspaces that required any modifications to the workspace to adhere to the social distancing guidelines and to be used when establishing the rotating teams to also assure that staff sitting close to each other would be on different rotating teams to assure social distancing.

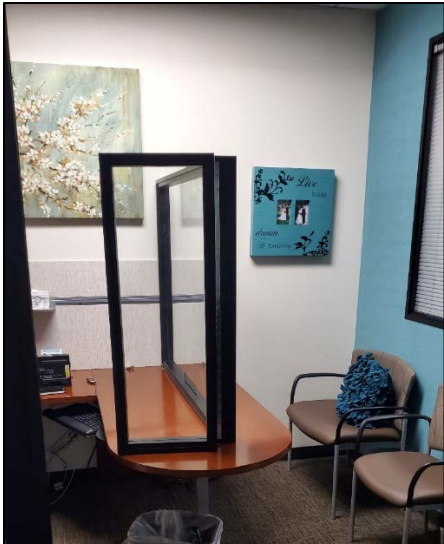
Here is actual habitable space map for one particular LACERA Division



Office Divider Installation -

We installed glass dividers in our Member Service Center offices where LACERA Staff meet with our Members for face-to-face discussions. We decided on glass rather than plexiglass as it is more durable, longer-lasting, and easier to clean.

Here are pictures of the glass dividers that have been installed.



We have also added signage in the Member Service Center Lobby, Reception area, and throughout the Office space where LACERA Staff meet with LACERA Members. This includes directional markings on the floors to control traffic. There are separate entrance and exit doors established.







Training & Support
Nothing to add.

Messaging & Communication

LACERA has established an Intranet page for LACERA Staff to access for the most current information. This page is used to house any communication to Staff regarding COVID-19, including policies, procedures, and protocols and all past and present messages to Staff.

LACERA Intranet Page

SEARCH
Go
-or- Search by TOPIC

COVID-19 NEWS

Home
Phone List
Calendar
Policies & Procedures
Sub Sites
lacera.com

COVID-19 NEWS

As we continue to serve our members during the COVID-19 pandemic, we want to keep you up to date on how LACERA is handling and adjusting to various scenarios. Your health and safety are the highest priority and we want to ensure you can continue to work confidently. This page will feature regular updates to keep you abreast of important information.

Internal Updates

- 8-14-20 [Message to All Staff: COVID-19 Organizational Protocols](#)
- 8-14-20 [Message to All Staff: COVID-19 Exposure Response Protocol](#)
- 8-14-20 [Message to All Staff: Cybersecurity Best Practices](#)
- 8-5-20 [Changes to Healthcare and Dependent Spending Accounts](#)
- 7-30-20 [Message to All Staff: COVID-19 Incident Notification](#)
- 7-23-20 [Message to All Staff: COVID-19 Incident Notification](#)
- 7-14-20 [Message to All Staff: COVID-19 Incident Notification](#)
- 7-7-20 [Message to All Staff: COVID-19 Incident Notification](#)
- 6-29-20 [Message to All Staff: COVID-19 Incident Notification](#)
- 6-24-20 [UPDATE: Message to All Staff: COVID-19 Incident Notification](#)
- 6-17-20 [Message to All Staff: COVID-19 Incident Notification](#)

COVID-19 and Your Job

- 7-7-20 [COVID-19 Exposure Response Protocol](#)
- 7-7-20 [Organizational Protocols](#)
- 5-14-20 [FFCRA FAQs](#)
- 4-8-20 [Understanding The FFCRA](#)
- 3-25-20 [FAQs](#)

Forms You May Need

- 4-8-20 [COVID-19 Leave of Absence Request Form](#)

Health Resources

- 7-20-20 [Health Plan Updates in Response to Coronavirus](#)
- 5-6-20 [Free COVID-19 Testing](#)
- 4-20-20 [Support and Counseling for County Employees](#)
- 4-17-20 [Managing Stress During COVID-19](#)
- 4-17-20 [What to Do If You Think You Are Sick](#)

8/14/20

LACERA website LACERA.com

The screenshot shows the LACERA website's COVID-19 News and Resources page. The browser's address bar shows "COVID-19 NEWS AND RESO...". The website's navigation menu includes "About LACERA", "Benefits", "Healthcare", "Investments", "Communications", and "Opportunities". A search bar is located at the top left. The main content area is titled "COVID-19 NEWS AND RESOURCES" and includes a message from the members, a "LACERA Updates" section with a list of news items, and a "County Information" section with a list of updates. The left sidebar contains navigation links such as "HOME", "ACERA News", "COVID-19 News and Resources", "About My LACERA", "FAQs", "FAQs - General Information", "Links", "Contact Us", and "Fund Performance". There is also a "my LACERA" logo and "Register or Sign In" links.

COVID-19 NEWS AND RESO... x

About LACERA Benefits Healthcare Investments Communications Opportunities

Search

LACERA Home Page > COVID-19 News and Resources

COVID-19 NEWS AND RESOURCES

Dear members: We understand that this is a time of great uncertainty. Please be assured that LACERA's essential operations are continuing and your benefits will not be impacted by the Coronavirus pandemic. Check this special resources section regularly, as we will be providing updates as this situation evolves.

LACERA Updates

- 8-26-20 [CEO Update: Ongoing LACERA Elections, Future Plans for Member Services](#)
- 8-12-20 [CEO Reminder: Virtual Member Services Center and My LACERA](#)
- 7-2-20 [CEO Reminder: My LACERA Document Upload and Other Services](#)
- 6-12-20 [CEO Update: Virtual Member Service Center](#)
- 5-29-20 [CEO Update: Normalization of Services and Planning for an Uncertain Future](#)
- 5-21-20 [CEO Update: Contribution Rate Changes & Upcoming Board Elections](#)
- 5-13-20 [CEO Update: Remote Call Center & Pre-Retirement Webinars](#)
- 5-6-20 [CEO Update: Remote Call Center and Member Participation in Board Meetings](#)
- 4-29-20 [CEO Update on RHC, Investments and Upcoming Board Elections](#)
- 4-29-20 [Healthcare Plans and COVID-19](#)
- 4-22-20 [CEO Update on Operations and Services](#)
- 4-15-20 [COVID-19 and the Safety of LACERA Benefits](#)
- 4-8-20 [LACERA's Investment Strength During Market Volatility](#)
- 3-31-20 [LACERA-Administered Retiree Healthcare Benefits](#)
- 3-20-20 [COVID-19 and Estate Planning: LACERA Special Durable Power of Attorney](#)
- 3-20-20 [Attention Retired Members Receiving Mailed Checks](#)
- 3-16-20 [Notice of LACERA Actions Regarding Coronavirus \(COVID-19\)](#)

County Information

- 8-14-20 [LA County Rent Relief Program](#)
- 8-5-20 [Changes to Healthcare and Dependent Spending Accounts](#)
- 4-20-20 [L.A. County Disaster Help Center](#)
- 4-15-20 [How to Receive Your Stimulus Check If You Haven't Filed Taxes](#)
- 4-15-20 [LA County CEO Update on Safer at Home and Work Requirements](#)
- 4-8-20 [LA County WDACS Launches Free Delivery Service For Older Adults](#)
- 4-3-20 [Use Face Coverings to Reduce the Spread of COVID-19](#)
- 4-3-20 [Families First Coronavirus Response Act](#)
- 3-31-20 [County Services for Caring for Seniors](#)
- 3-16-20 [Confronting COVID-19: L.A. County Responds](#)

ACERA News
COVID-19 News and Resources
About My LACERA
FAQs
FAQs - General Information
Links
Contact Us
Fund Performance

my LACERA

[Register or Sign In](#)

Striving for Excellence in Service

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: August 2020



Outreach Attendance
1,704

3,852 Year-to-Date



Outreach Events
11

22 Year-to-Date



Outreach Satisfaction
N/A

Change Since Last Mo



Member Service Center
N/A

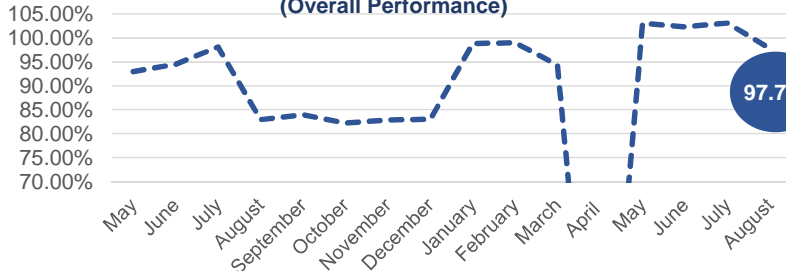
- Change Since Last Mo



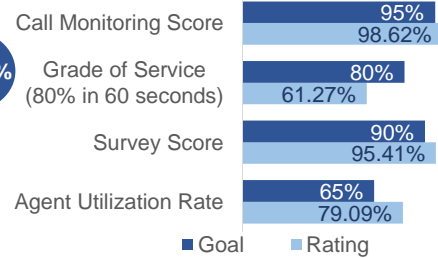
Member Services Calls
11,966

12,190 3 Mo. Avg.

Key Performance Indicator (Overall Performance)



Key Performance Indicator (Components)



Member Services



Top Calls

1. Retirement Counseling: Overview
2. Retirement Counseling: Estimate
3. MyLACERA Login Issues

Emails 535

24:00 hours

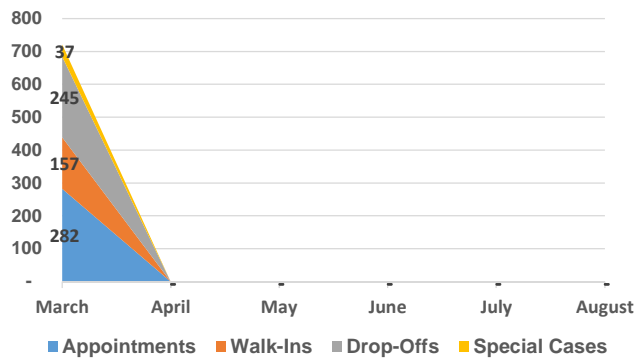
Avg. Response Time (ART)



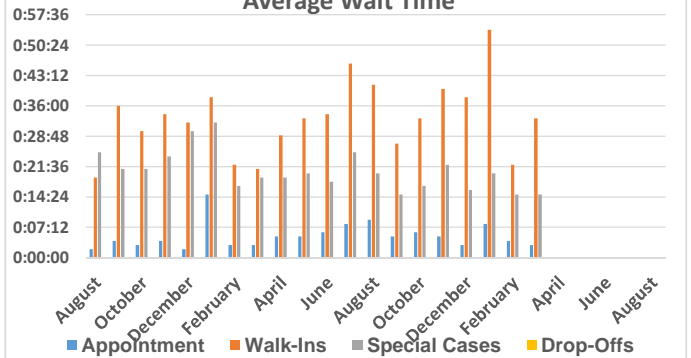
Secure Messages 715

■ Calls Answered ■ Calls Abandoned

Member Service Center Visits



Member Service Center Average Wait Time



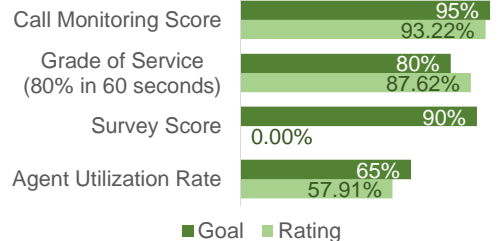
Total RHC Calls: 5,128

Retiree Healthcare



Top Calls

1. Medical-Enrollment/Change/Cancel
2. Medical Benefits
3. Medicare Part B Reimbursement



Emails 289

1 Day

Avg. Response Time (ART)



Secure Messages 163

■ Calls Answered ■ Calls Abandoned

Striving for Excellence in Service (Continued)

Disability

Applications

650

In Process

As Of:

8/31/2020

634 Pending on: 7/31/2020

65 Received

99 Year-to-Date

0 Re-Opened

0 Year-to-Date

47 To Board - Initial

86 Year-to-Date

2 Closed

6 Year-to-Date

Appeals

97

In Process

As Of:

8/31/2020

99 Pending on: 7/31/2020

1 Received

3 Year-to-Date

3 Admin Closed/Rule 32

5 Year-to-Date

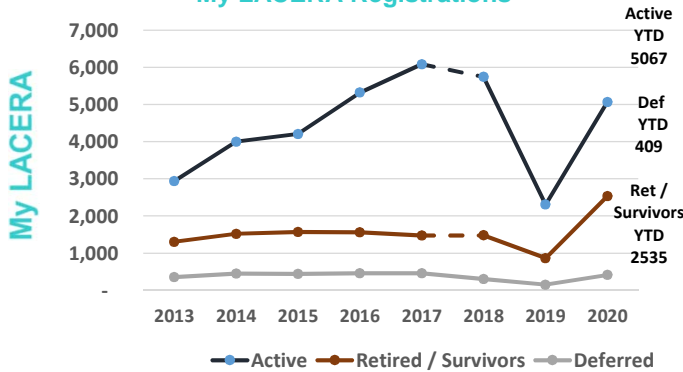
0 Referee Recommended

0 Year-to-Date

0 Revised/Reconsidered for Granting

0 Year-to-Date

My LACERA Registrations

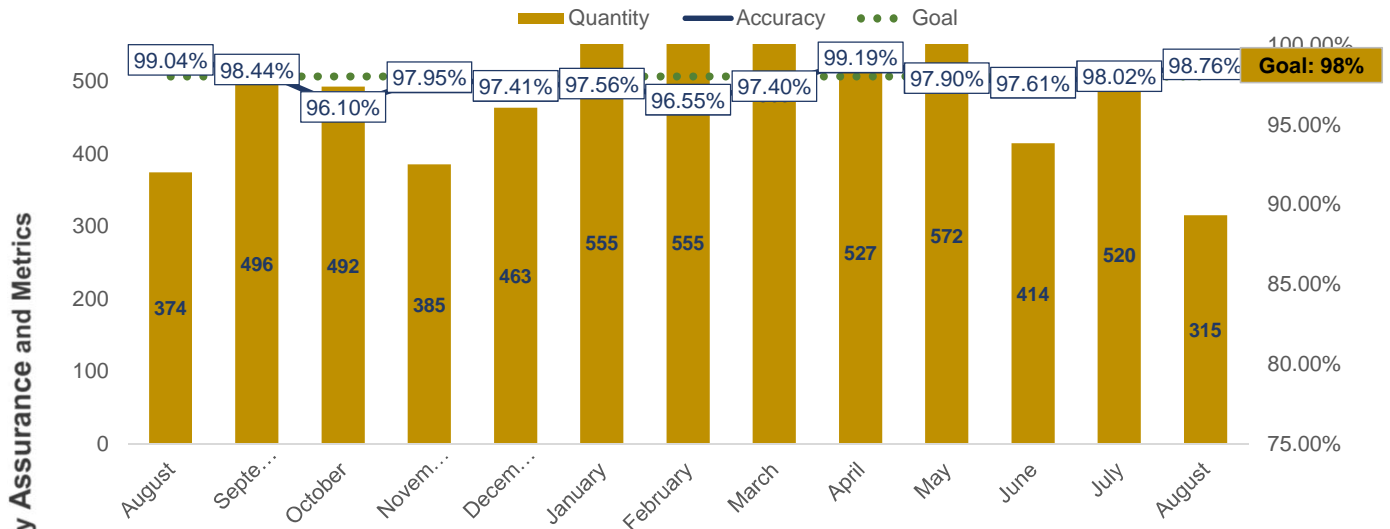


COVID-19 NOTE

Some Member Services, Retiree Healthcare, and Quality Assurance statistics for April were not available due to COVID-19 impacts. Where possible we have provided estimates based on manual reporting or in some cases a six month average for survey scores.

Striving for Excellence in Quality

Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



*Data for April 2020 is incomplete due to COVID-19 challenges. This is an estimated accuracy %

August



Retirement Elections

231 Samples

96.78% Accuracy

Payment Contracts

24 Samples

100.00% Accuracy

Data Entry

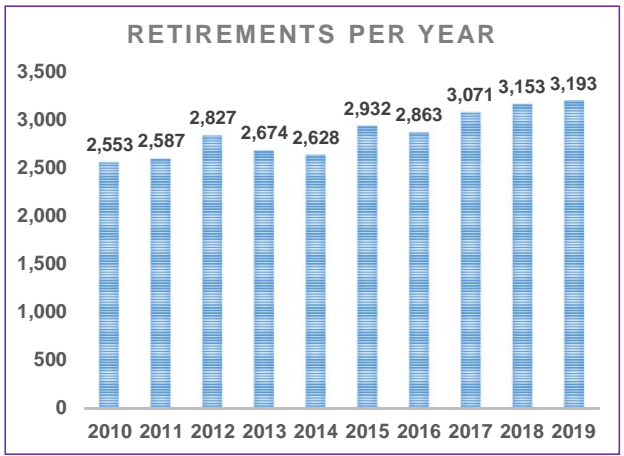
60 Samples

99.50% Accuracy

98.76%

Member Snapshot

		Members as of 09/15/2020			
	Plan	Active	Retired	Survivors	Total
General	Plan A	90	15,746	4,358	20,194
	Plan B	20	679	70	769
	Plan C	31	425	69	525
	Plan D	39,823	17,056	1,575	58,454
	Plan E	16,196	13,704	1,286	31,186
	Plan G	30,528	64	6	30,598
	Total General	86,688	47,674	7,364	141,726
Safety	Plan A	2	5,027	1,613	6,642
	Plan B	9,145	6,443	315	15,903
	Plan C	4,148	10	0	4,158
	Total Safety	13,295	11,480	1,928	26,703
TOTAL MEMBERS		99,983	59,154	9,292	168,429
% by Category		59%	35%	6%	100%



Average Monthly Benefit Allowance Distribution September 2020

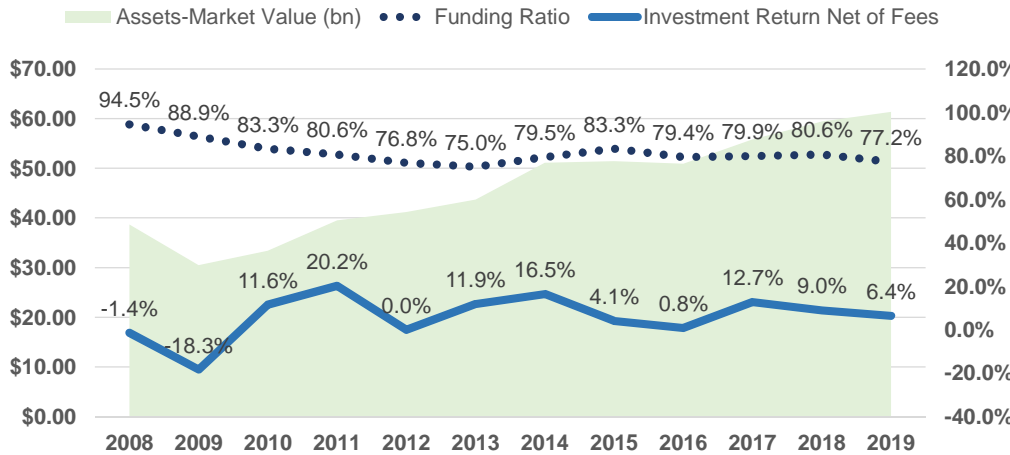
	General	Safety	Total	%
\$0 to \$3,999	29,833	1,840	31,673	53.81%
\$4,000 to \$7,999	12,898	3,502	16,400	27.86%
\$8,000 to \$11,999	3,375	4,150	7,525	12.79%
\$12,000 to \$15,999	931	1,510	2,441	4.15%
\$16,000 to \$19,999	294	276	570	0.97%
\$20,000 to \$23,999	83	106	189	0.32%
\$24,000 to \$27,999	27	18	45	0.08%
> \$28,000	12	3	15	0.03%
Totals	47,453	11,405	58,858	100%

Average Monthly Benefit Allowance: \$ 4,451.00

Healthcare Program		Healthcare Enrollments	
(YTD as of 08/31/20)		(Mo. Ending: 08/31/20)	
	Employer	Member	
Medical	95.2	7.4	Medical 52,350
Dental	7.6	742,438	Dental 53,710
Part B	12.4	0	Part B 35,558
LTC			LTC 605
Total	115.2	8.2	Total 142,233

Key Financial Metrics

Fiscal Year End Financial Update (as of 06/30/2019)



Funding Metrics (as of 6/30/19)	
Employer NC	10.86%
UAAL	13.92%
Assumed Rate	7.00%
Star Reserve	\$614m
Total Assets	\$58.3b

Contributions (as of 6/30/19)		
	Employer	Member
Annual Add	\$1.7b	\$635.4m
% of Payroll	22.59%	7.68%

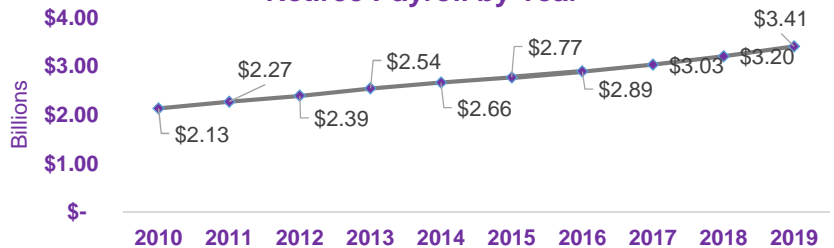
TOTAL FUND RETURN (Net of Fees)		
	5 YR:	10 YR:
	6.5%	9.1%

Retired Members Payroll

(As of 8/31/2020)

Monthly Payroll	\$314.9m
Payroll YTD	\$.6b
New Retired Payees Added	304
Seamless %	95.07%
New Seamless Payees Added	575
Seamless YTD	95.13%
By Check %	2.00%
By Direct Deposit %	98.00%

Retiree Payroll by Year



Date	Conference
October, 2020 23	CALAPRS (California Association of Public Retirement Systems) Round Table – Trustees DoubleTree Hotel San Jose <i>RESCHEDULED TO VIRTUAL CONFERENCE</i>
November, 2020	
10-13	SACRS Indian Wells, CA <i>RESCHEDULED TO VIRTUAL CONFERENCE</i>
11-12	Institutional Limited Partners Association (ILPA) General Partner Summit New York, NY <i>CANCELLED – VIRTUAL CONFERENCE NOVEMBER 10-12, 2020</i>
12-14	Harvard Business School-Audit Committees in a New Era of Governance Boston, MA <i>CANCELLED</i> <i>AUDIT COMMITTEES-VIRTUAL NOVEMBER 12-14, 2020</i>
15-18	IFEBP (International Foundation of Employment Benefit Plans) Annual Employee Benefits Conference Honolulu, HI <i>CANCELLED</i> <i>U.S. ANNUAL VIRTUAL CONFERENCE, NOVEMBER 3-19, 2020</i>
16-20	Investment Strategies & Portfolio Management (<i>prev. Pension Fund & Investment Mgmt.</i>) Wharton School, University of Pennsylvania <i>LIVE VIRTUAL</i>
<i>DUE TO COVID-19, SCHEDULED EVENTS FOR 2021 ARE SUBJECT TO CHANGE</i>	
January, 2021	
24-26	NCPERS (National Conference on Public Employee Retirement Systems) Legislative Conference Washington D.C.
February, 2021	
17-19	Pacific Pension Institute (PPI) North American Winter Roundtable Vancouver, Canada
March, 2021	
6-9	CALAPRS (California Association of Public Retirement Systems) General Assembly Meeting Monterey, CA
8-10	Council of Institutional Investors (CII) Spring Conference Washington D.C.
25-26	PREA (Pension Real Estate Association) Spring Conference Seattle, WA
31-April 2	CALAPRS (California Association of Public Retirement Systems) Advanced Principles of Pension Management for Trustees at UCLA Los Angeles, CA



September 29, 2020

TO: Trustees, Board of Retirement

FOR: Board of Retirement Meeting on October 7, 2020

SUBJECT: Ratification of Service Retirement and Survivor Benefit Application Approvals

The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
COE L. BUDGE	SHERIFF Dept.#SH	08-29-2020	31 YRS 01 MOS
MARC P. BURRUSS	SHERIFF Dept.#SH	10-17-2020	35 YRS 00 MOS
THOMAS D. BURT	SHERIFF Dept.#SH	08-29-2020	35 YRS 07 MOS
DON C. DAMANN	L A COUNTY FIRE DEPT Dept.#FR	10-15-2020	25 YRS 07½ MOS
WALTER T. DRIGHT	L A COUNTY FIRE DEPT Dept.#FR	09-01-2020	31 YRS 08½ MOS
MICHELLE R. EMENEGER	SHERIFF Dept.#SH	10-24-2020	32 YRS 00 MOS
RICHARD F. GARCIA	L A COUNTY FIRE DEPT Dept.#FR	09-16-2020	34 YRS 04 MOS
MICHAEL C. GAROFANO	L A COUNTY FIRE DEPT Dept.#FR	09-16-2020	02 YRS 08½ MOS
DAVID C. GONZALEZ	L A COUNTY FIRE DEPT Dept.#FR	08-09-2020	34 YRS 09½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HERBERT E. JOHNSON JR	L A COUNTY FIRE DEPT Dept.#FR	09-30-2020	32 YRS 01 MOS
ERIC A. KUCK	L A COUNTY FIRE DEPT Dept.#FR	10-31-2020	31 YRS 07½ MOS
RASAUNDRA J. MAROLLA	SHERIFF Dept.#SH	10-03-2020	20 YRS 01½ MOS
DAVID S. MAUPIN	DISTRICT ATTORNEY Dept.#DA	10-30-2020	24 YRS 00 MOS
SCOTT L. MC CORMICK	SHERIFF Dept.#SH	09-30-2020	34 YRS 04 MOS
MICHEL R. MCGRATTAN	SHERIFF Dept.#SH	08-31-2020	33 YRS 01½ MOS
ARTHUR R. MIRANDA	SHERIFF Dept.#SH	09-29-2020	38 YRS 01 MOS
MILTON N. MURPHY	SHERIFF Dept.#SH	09-12-2020	32 YRS ½ MOS
PHILLIP M. NAJEMNIK	L A COUNTY FIRE DEPT Dept.#FR	08-31-2020	32 YRS ½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SANDRA M. OKADA	SHERIFF Dept.#SH	09-30-2020	07 YRS 04 MOS
ROBERT C. ORONA	L A COUNTY FIRE DEPT Dept.#FR	08-05-2020	28 YRS 04½ MOS
ANDREW M. SANDOR	SHERIFF Dept.#SH	10-30-2020	25 YRS 10 MOS
EDWARD G. SHERIDAN	SHERIFF Dept.#SH	09-26-2020	30 YRS 02 MOS
MICHAEL P. STUVER	SHERIFF Dept.#SH	10-01-2020	29 YRS 03½ MOS
MARK S. SUTHERLAND	SHERIFF Dept.#SH	09-30-2020	25 YRS 08 MOS
COLLEEN M. THOMPSON	SHERIFF Dept.#SH	10-31-2020	28 YRS 10 MOS
MICHAEL P. TREINEN	SHERIFF Dept.#SH	10-10-2020	30 YRS 08½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
EDITHA S. ABAOAG	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2020	42 YRS 06 MOS
ASMERET ABRAHAM	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-01-2020	27 YRS 10½ MOS
DOLORES AGUILAR	CORRECTIONAL HEALTH Dept.#HC	09-30-2020	31 YRS 07 MOS
SUSAN N. AGUIRRE	SHERIFF Dept.#SH	08-13-2020	26 YRS 05 MOS
ANNA M. AGUIRRE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	40 YRS 01 MOS
MARICELA ALARCON	SHERIFF Dept.#SH	09-15-2020	33 YRS 10½ MOS
ROSEMARY ALDAZ	DISTRICT ATTORNEY Dept.#DA	09-30-2020	40 YRS 00 MOS
DEBORAH A. ALVAREZ	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2020	31 YRS 00 MOS
GABRIELLE AMMON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-31-2020	33 YRS 03½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SHIRLEY A. APARICIO	HEALTH PLAN ADMINISTRATION Dept.#HP	10-30-2020	31 YRS 03 MOS
STELLA A. ARCHER	MENTAL HEALTH Dept.#MH	09-30-2020	11 YRS 03 MOS
CRISE A. ARTEAGA	INTERNAL SERVICES Dept.#IS	10-31-2020	41 YRS 01 MOS
ROSA AVALOS	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-19-2020	39 YRS 05 MOS
RENATO AVILA	AMBULATORY CARE NETWORK Dept.#HN	09-11-2020	14 YRS 02 MOS
GLORIA AVILEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2020	36 YRS 00 MOS
STEEVE D. BAKER	ASSESSOR Dept.#AS	10-31-2020	11 YRS 10½ MOS
ANAHID BANDIKIAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2020	12 YRS 00 MOS
DOLORES G. BARCINAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-20-2020	33 YRS 10 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PATTY A. BAUCHAM	JUVENILE COURT HEALTH SERVICES Dept.#HJ	09-30-2020	43 YRS 11 MOS
GILBERT E. BAUTISTA	PROBATION DEPARTMENT Dept.#PB	10-30-2020	32 YRS 07 MOS
TERRI BOURGEOIS	PROBATION DEPARTMENT Dept.#PB	09-18-2020	35 YRS 05 MOS
BEVERLY BRAGG	MEDICAL EXAMINER-CORONER Dept.#ME	09-30-2020	40 YRS 02½ MOS
AIDA BRIMBUELA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-31-2020	39 YRS 03 MOS
DELORIS BROWN	CORRECTIONAL HEALTH Dept.#HC	11-28-2020	22 YRS ½ MOS
MARVIN D. BROWN	CHIEF EXECUTIVE OFFICE Dept.#AO	09-29-2020	24 YRS 07 MOS
ERROL I. BUCHANAN	DISTRICT ATTORNEY Dept.#DA	10-31-2020	33 YRS ½ MOS
LORRAINE D. BUCK	L A COUNTY FIRE DEPT Dept.#FR	10-09-2020	43 YRS 10½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KEVIN BULLEY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2020	25 YRS 07 MOS
VANRIA S. BUTLER	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2020	27 YRS 10½ MOS
JUANA D. CALDERON	AMBULATORY CARE NETWORK Dept.#HN	11-28-2020	22 YRS 00 MOS
JUAN C. CANTU	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2020	15 YRS 02 MOS
STEPHEN M. CANZONERI	PROBATION DEPARTMENT Dept.#PB	11-30-2020	35 YRS 10 MOS
ESTELA CARRILLO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2020	21 YRS 00 MOS
RENITA CARROLL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-20-2020	25 YRS 09 MOS
VALDA D. CARTER	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2020	21 YRS 09 MOS
FLORENCIA T. CASTELO	REG-RECORDER/COUNTY CLERK Dept.#RR	11-14-2020	22 YRS 09½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LIGIA CASTRO	PUBLIC HEALTH PROGRAM Dept.#PH	10-31-2020	34 YRS 08 MOS
RICHARD CASTRO	PUBLIC WORKS Dept.#PW	09-15-2020	22 YRS 02 MOS
RUBEN CERDA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2020	07 YRS 01 MOS
MARTHA CERVANTES	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-31-2020	34 YRS 10½ MOS
JIAN H. CHENG	SHERIFF Dept.#SH	10-31-2020	29 YRS 05½ MOS
KATHERINE S. CHOY	CHILDREN & FAMILY SERVICES Dept.#CH	11-07-2020	36 YRS 07½ MOS
LAP T. CHU	MENTAL HEALTH Dept.#MH	09-30-2020	25 YRS 06 MOS
IRMA CLARK	CHILD SUPPORT SERVICES Dept.#CD	10-31-2020	39 YRS ½ MOS
MICHAEL J. CLAYTON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-26-2020	17 YRS 07½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DARRYL CLAYTON	PARKS AND RECREATION Dept.#PK	10-31-2020	35 YRS 07½ MOS
PATRICIA D. CLAYTON BRAM	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-18-2020	40 YRS 08 MOS
DEBRA K. COLBERT	SHERIFF Dept.#SH	10-31-2020	47 YRS 07 MOS
CLARA R. COLE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-27-2020	40 YRS 11½ MOS
MARY L. CONNER	PROBATION DEPARTMENT Dept.#PB	10-01-2020	13 YRS 01 MOS
PAUL A. COYNE	CHIEF EXECUTIVE OFFICE Dept.#AO	10-30-2020	34 YRS 08 MOS
CANDIS M. CRAWFORD	SHERIFF Dept.#SH	08-31-2020	10 YRS 08½ MOS
CAROL S. CRISS-DOUGLA	PROBATION DEPARTMENT Dept.#PB	10-31-2020	33 YRS ½ MOS
ROGELIO T. CRUZ	PUBLIC HEALTH PROGRAM Dept.#PH	09-16-2020	19 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TIM C. DASTRUP	L A COUNTY FIRE DEPT Dept.#FR	10-15-2020	30 YRS 01½ MOS
ARNALDO C. DE LA PAZ	PARKS AND RECREATION Dept.#PK	11-29-2020	26 YRS 02 MOS
ALMA G. DELARMAN	PUBLIC HEALTH PROGRAM Dept.#PH	10-30-2020	21 YRS 00 MOS
MIRIAM D. DELEON	LACERA Dept.#NL	08-20-2020	14 YRS 02 MOS
RODGER M. DICKERSON	PROBATION DEPARTMENT Dept.#PB	08-15-2020	31 YRS 01½ MOS
NGHIA H. DO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	22 YRS 01 MOS
STEPHEN DONATI	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-31-2020	25 YRS 04 MOS
ALFRED DURON	REG-RECORDER/COUNTY CLERK Dept.#RR	09-30-2020	33 YRS 00 MOS
ELIZABETH K. EDWARDS	CHILDREN & FAMILY SERVICES Dept.#CH	10-31-2020	27 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HANAA N. ELMEHY	PUBLIC HEALTH PROGRAM Dept.#PH	10-01-2020	39 YRS 06½ MOS
KAREN ENGLY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	30 YRS 03½ MOS
SILVIA ESPINOZA	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-30-2020	37 YRS 01 MOS
MAHER FARAG	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	10-31-2020	31 YRS 02 MOS
HARRISON L. FERGUSON	INTERNAL SERVICES Dept.#IS	08-28-2020	17 YRS 08 MOS
HUGO A. FOCO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2020	25 YRS 07 MOS
DARRYL FORRESTER	PUBLIC WORKS Dept.#PW	10-30-2020	40 YRS 11 MOS
THELMA G. GERONIMO	JUVENILE COURT HEALTH SERVICES Dept.#HJ	10-31-2020	11 YRS 08½ MOS
MANOUSH GHARIBI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	35 YRS 09½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROSALIND GIDDENS	AMBULATORY CARE NETWORK Dept.#HN	10-31-2020	34 YRS 06 MOS
KAREN M. GILHAM	L A COUNTY FIRE DEPT Dept.#FR	09-25-2020	26 YRS 01½ MOS
DAVID R. GODDEN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2020	16 YRS 05 MOS
SARA M. GOMEZ	BOARD OF SUPERVISORS Dept.#BS	10-31-2020	26 YRS 02½ MOS
JOSEPHINA GOMEZ	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-31-2020	28 YRS 00 MOS
IRENE GONZALES	SHERIFF Dept.#SH	10-31-2020	30 YRS 02 MOS
PAMELA J. GONZALES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2020	35 YRS 04 MOS
MAUREEN P. GONZALES-BUR	CORRECTIONAL HEALTH Dept.#HC	11-28-2020	06 YRS 08 MOS
SANDRA G. GREEN	PROBATION DEPARTMENT Dept.#PB	09-30-2020	31 YRS 10 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOHNNY R. GUSTAVIA	PROBATION DEPARTMENT Dept.#PB	08-31-2020	23 YRS 01½ MOS
STEPHEN T. HALE	PUBLIC HEALTH PROGRAM Dept.#PH	10-31-2020	19 YRS 06½ MOS
BARBARA A. HALL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-04-2020	31 YRS 02½ MOS
PAUL HALTER	PUBLIC WORKS Dept.#PW	10-31-2020	37 YRS 08½ MOS
ROBERT F. HARBURDA	AMBULATORY CARE NETWORK Dept.#HN	09-15-2020	11 YRS 10 MOS
JOSEPH G. HEINZ	PUBLIC HEALTH PROGRAM Dept.#PH	09-03-2020	13 YRS 02 MOS
ANA P. HERNANDEZ	TREASURER AND TAX COLLECTOR Dept.#TT	09-30-2020	28 YRS 08 MOS
ESPERANZA HERNANDEZ	CONSUMER AFFAIRS Dept.#CA	08-27-2020	28 YRS 05 MOS
MARIA C. HERNANDEZ	SHERIFF Dept.#SH	10-29-2020	15 YRS 00 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
YOLANDA R. HERNANDEZ	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2020	29 YRS 06 MOS
LOUISE HILLIARD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	40 YRS 00 MOS
KAKI HO	BEACHES & HARBORS Dept.#BH	10-01-2020	16 YRS 05½ MOS
RANDOLPH J. HOFFMAN	INTERNAL SERVICES Dept.#IS	10-30-2020	22 YRS 00 MOS
ETTA C. HOOD	PROBATION DEPARTMENT Dept.#PB	10-31-2020	18 YRS 04½ MOS
GRACE HU	PARKS AND RECREATION Dept.#PK	10-16-2020	39 YRS 07½ MOS
KAMAR HUSAIN	PUBLIC HEALTH PROGRAM Dept.#PH	09-11-2020	29 YRS 05½ MOS
PAULINE IGNACIO	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2020	34 YRS 03 MOS
SHIRLEY IMSAND	REGIONAL PLANNING Dept.#RP	10-31-2020	12 YRS 05 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CRISTINA R. IONESCU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2019	03 YRS 04 MOS
KHALIL W. ISLAM	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-06-2020	42 YRS 10 MOS
BARBARA S. JACOBY	AMBULATORY CARE NETWORK Dept.#HN	09-11-2020	13 YRS 11½ MOS
BARBARA JAMES	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-31-2020	29 YRS 04 MOS
ALBERT A. JENNINGS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-20-2020	14 YRS 05 MOS
ELLEN G. JIMENEZ	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-31-2020	37 YRS 05½ MOS
DAVID E. JOHNSON	PUBLIC HEALTH PROGRAM Dept.#PH	10-31-2020	19 YRS 05½ MOS
LEATHA M. KELLEY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2020	25 YRS 07 MOS
DEBORA KENNISON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	39 YRS 09 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NAZIRAH KHAN	AMBULATORY CARE NETWORK Dept.#HN	10-31-2020	10 YRS 10½ MOS
MI M. KHIN	AMBULATORY CARE NETWORK Dept.#HN	10-30-2020	39 YRS 10 MOS
BARBARA A. KIMURA	SHERIFF Dept.#SH	10-30-2020	40 YRS 09 MOS
LAURA L. KISLOW	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2020	31 YRS 07 MOS
THAMPI P. KURUVILA	SHERIFF Dept.#SH	10-30-2020	29 YRS 00 MOS
TESSIE C. LASKY	CORRECTIONAL HEALTH Dept.#HC	09-30-2020	13 YRS 04 MOS
BECKY S. LEE	AMBULATORY CARE NETWORK Dept.#HN	08-31-2020	28 YRS 01½ MOS
HELEN H. LEE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	34 YRS 04½ MOS
LANDY C. LEE	ASSESSOR Dept.#AS	09-24-2020	30 YRS 11 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHAEL C. LEWIS	SHERIFF Dept.#SH	08-26-2020	20 YRS 06 MOS
KIM N. LUONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-01-2020	40 YRS ½ MOS
GILBERTO MADRID	PROBATION DEPARTMENT Dept.#PB	09-27-2020	15 YRS 03 MOS
CHIU C. MAHER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2020	31 YRS 05 MOS
JUAN C. MAISCH	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-30-2020	27 YRS 04 MOS
SUZANNE D. MANRIQUEZ	WORKFORCE DEV AGING & COMM SVC Dept.#CS	10-31-2020	19 YRS 00 MOS
MARIA C. MARTINEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2020	20 YRS 00 MOS
NANCY J. MARTINEZ	CORRECTIONAL HEALTH Dept.#HC	10-31-2020	19 YRS 01½ MOS
CHERYL L. MASON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2020	33 YRS 00 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARTHA MAYA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2020	24 YRS 01 MOS
JOHN C. MCCANN	ALTERNATE PUBLIC DEFENDER Dept.#AD	09-11-2020	14 YRS 01½ MOS
HOLLY A. MCCRAVEY	CORRECTIONAL HEALTH Dept.#HC	09-15-2020	11 YRS 06½ MOS
MARY A. MEHARG	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2020	51 YRS 07 MOS
CLARISSA T. MENA	CHILDREN & FAMILY SERVICES Dept.#CH	10-31-2020	24 YRS 03 MOS
YESHAREG MENGESHA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2020	25 YRS 07 MOS
TONI M. MERLO	SHERIFF Dept.#SH	10-31-2020	33 YRS 04½ MOS
ISABEL MILAN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-31-2020	43 YRS ½ MOS
GEORGE K. MITCHELL	INTERNAL SERVICES Dept.#IS	11-28-2020	27 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
REZA MOHAJER-SHOJ	HEALTH SERVICES ADMINISTRATION Dept.#HS	10-31-2020	20 YRS 00 MOS
ROBERT MONTES	PARKS AND RECREATION Dept.#PK	09-01-2020	17 YRS 08½ MOS
CAROLYN A. MONTGOMERY	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-30-2020	41 YRS 01 MOS
CECILIA C. MUNSON	SHERIFF Dept.#SH	09-30-2020	21 YRS 03 MOS
PATRICIA Y. MYERS	DISTRICT ATTORNEY Dept.#DA	10-31-2020	40 YRS 10 MOS
BARBARA R. NACK	BOARD OF SUPERVISORS Dept.#BS	10-31-2020	33 YRS ½ MOS
JANICE NAGODE	ASSESSOR Dept.#AS	10-10-2020	22 YRS 04½ MOS
LISA M. NASLUND	PUBLIC WORKS Dept.#PW	08-28-2020	22 YRS 09 MOS
RUDY E. NAVARRO	PUBLIC WORKS Dept.#PW	09-08-2020	17 YRS 06½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DEBBIE A. NELSON	PROBATION DEPARTMENT Dept.#PB	10-31-2020	47 YRS 08 MOS
MICHELLE R. NEWSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	29 YRS 08½ MOS
HUAN N. NGUYEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	20 YRS 08½ MOS
TUAN H. NGUYEN	WORKFORCE DEV AGING & COMM SVC Dept.#CS	11-01-2020	22 YRS 05½ MOS
DAVID D. NGUYENDO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2020	26 YRS 05 MOS
BARBARA L. NUNEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-15-2020	35 YRS 11½ MOS
MARIA J. OBRIEN	SHERIFF Dept.#SH	09-21-2020	05 YRS 08 MOS
MANUELA G. OCAMPO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-12-2020	19 YRS ½ MOS
IROABUCHI R. OKEREKE	SHERIFF Dept.#SH	11-30-2020	19 YRS 07½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOANNE E. OLTION	AMBULATORY CARE NETWORK Dept.#HN	10-31-2020	37 YRS 03½ MOS
REX H. OPPENHEIMER	PROBATION DEPARTMENT Dept.#PB	11-30-2020	29 YRS 11 MOS
MARIA E. OROZCO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2020	25 YRS 01 MOS
MARTHA OROZCO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-21-2020	31 YRS 05½ MOS
NORMA E. PACHECO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-01-2020	11 YRS 06 MOS
RUBEN G. PADILLA	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-30-2020	36 YRS 05 MOS
RODERICK K. PALMER	AMBULATORY CARE NETWORK Dept.#HN	08-29-2020	48 YRS 06½ MOS
MARIA E. PANDURO-MORA	MENTAL HEALTH Dept.#MH	09-30-2020	39 YRS 06 MOS
SEI GUL PARK	SHERIFF Dept.#SH	09-30-2020	14 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHAEL E. PARKER	SHERIFF Dept.#SH	10-31-2020	25 YRS 10 MOS
MARK A. PATTERSON	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-28-2020	40 YRS 10 MOS
CATHERINE A. PERDUE	INTERNAL SERVICES Dept.#IS	10-15-2020	42 YRS 11½ MOS
GUMERCINDO PEREZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-15-2020	19 YRS 11½ MOS
BRIAN K. PETRABORG	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-24-2020	19 YRS 07 MOS
YOLANDA POLONY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2020	29 YRS 00 MOS
CRUZ PORRAS	PUBLIC HEALTH PROGRAM Dept.#PH	11-28-2020	25 YRS ½ MOS
LETICIA RAMOS	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	10-31-2020	45 YRS 03½ MOS
MAE RENE'	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2020	42 YRS 04 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TOBIAS J. RENFRO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-01-2020	35 YRS 07½ MOS
CARLOS J. REYES	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2020	33 YRS 04 MOS
VERONIKA F. RODRIGUEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-31-2020	20 YRS ½ MOS
TRINIDAD H. ROMERO	PROBATION DEPARTMENT Dept.#PB	09-01-2020	12 YRS 08½ MOS
FAUSTO I. ROWLAN	PUBLIC WORKS Dept.#PW	11-28-2020	28 YRS 04 MOS
LEONCIO R. ROYO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-29-2020	27 YRS 11 MOS
GLORIA RUFF	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2020	26 YRS 08 MOS
JAMES T. RUSSELL	INTERNAL SERVICES Dept.#IS	08-28-2020	18 YRS 01 MOS
PATRICIA E. SALINE	MENTAL HEALTH Dept.#MH	03-01-2019	03 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LANY H. SETIA	JUVENILE COURT HEALTH SERVICES Dept.#HJ	10-01-2020	15 YRS 03 MOS
SEDA SHADKAMYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-26-2020	37 YRS 06 MOS
HAROLD SHIMIZU	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-15-2020	32 YRS 00 MOS
STEVE SHIRVANIAN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2020	25 YRS 01 MOS
TERESA SINES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	20 YRS ½ MOS
PHILLIS SINGLETON-HA	CORRECTIONAL HEALTH Dept.#HC	10-16-2020	27 YRS 11 MOS
SUBODH K. SINHA	PUBLIC WORKS Dept.#PW	10-31-2020	35 YRS 02½ MOS
STEPHANIE A. SLOAN	SHERIFF Dept.#SH	10-24-2020	33 YRS 08 MOS
ROSALIND E. SMITH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-29-2020	19 YRS 08 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SOOK H. SONG	CORRECTIONAL HEALTH Dept.#HC	10-16-2020	31 YRS 06½ MOS
SUPARB SOONTHORNPNON	JUVENILE COURT HEALTH SERVICES Dept.#HJ	08-24-2020	23 YRS 01 MOS
CHARLES J. SOPHY II	CHILDREN & FAMILY SERVICES Dept.#CH	08-27-2020	21 YRS 11 MOS
LOU SPINELLI	PUBLIC DEFENDER Dept.#PD	10-31-2020	29 YRS 10 MOS
JACQUELINE STEWART-BOUT	LACERA Dept.#NL	09-30-2020	25 YRS 00 MOS
PEGGY T. SUN	SHERIFF Dept.#SH	10-31-2020	32 YRS 07½ MOS
PATRICIA TALAMANTES	CHILDREN & FAMILY SERVICES Dept.#CH	11-28-2020	23 YRS 07 MOS
ELIZABETH THOMAS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-30-2020	28 YRS 10 MOS
KATHLEEN M. THOMPSON	LACERA Dept.#NL	08-29-2020	21 YRS 03 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MINH T. TRAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-31-2020	32 YRS ½ MOS
DANNY TRAYLOR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	10-30-2020	42 YRS 01 MOS
ANA URRUTIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-26-2020	47 YRS 03 MOS
JORGE L. VALLE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-24-2020	30 YRS 06 MOS
ANNETTE L. VAN OLDEN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-31-2020	26 YRS 01 MOS
ALTA VARGAS	SHERIFF Dept.#SH	11-19-2020	10 YRS 01 MOS
JOCELYN L. VARNAL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2020	21 YRS 03 MOS
PAVANI VASHISHTHA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	11-13-2020	35 YRS 02½ MOS
MICHAEL VASQUEZ	PUBLIC WORKS Dept.#PW	08-31-2020	32 YRS 02½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JULIE VAZQUEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-31-2020	30 YRS 01½ MOS
RAMONA R. VERGARA	AUDITOR - CONTROLLER Dept.#AU	09-28-2020	20 YRS 02 MOS
CHRISTINE S. VILLARREAL	CHILDREN & FAMILY SERVICES Dept.#CH	09-14-2020	36 YRS 05½ MOS
KIMBERLY WALKER	PUBLIC HEALTH PROGRAM Dept.#PH	10-31-2020	29 YRS 03½ MOS
MILLIE M. WANG	SHERIFF Dept.#SH	11-28-2020	19 YRS 07 MOS
JERRY M. WANHA	REG-RECORDER/COUNTY CLERK Dept.#RR	09-01-2020	34 YRS 08½ MOS
LINETTE C. WORON	MENTAL HEALTH Dept.#MH	11-02-2020	27 YRS 05½ MOS
SYBIL M. WRIGHT	PUBLIC HEALTH PROGRAM Dept.#PH	10-31-2020	18 YRS 00 MOS
ANNETTA WRIGHT	CHILD SUPPORT SERVICES Dept.#CD	10-31-2020	41 YRS 04½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DONNIE L. WRIGHT	INTERNAL SERVICES Dept.#IS	10-31-2020	30 YRS 07 MOS
JUDY WU	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-16-2020	35 YRS 07 MOS
ALEX YOUSEFI-RIZI	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-23-2020	22 YRS 04 MOS
LUZ YTOKAZU	AMBULATORY CARE NETWORK Dept.#HN	09-30-2020	13 YRS 02 MOS
JIAPEI YU	AMBULATORY CARE NETWORK Dept.#HN	10-01-2020	16 YRS 03½ MOS
DONALD C. YUAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2020	22 YRS 00 MOS

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BENEFIT APPROVAL LIST

SAFETY SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELIZABETH A. BALLENTINE WIFE of BRIAN K BALLENTINE dec'd on 07-14-2020, Sect. #31781.1	L A COUNTY FIRE DEPT Dept.#FR	07-15-2020	34 YRS 09½ MOS
TAMMY TURNER-JOHNS SPOUSE of ERIC JOHNSON dec'd on 08-14-2020, Sect. #31781.1	SHERIFF Dept.#SH	08-15-2020	30 YRS 09½ MOS

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BENEFIT APPROVAL LIST

GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ANA G. BARRIOS WIFE of JOSE M BARRIOS dec'd on 07-27-2020, Sect. #31781.3	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	07-28-2020	15 YRS 06½ MOS
ALONSO JACOBO DOMESTIC PARTNE of DENISE M JACOBO dec'd on 07-16-2020, Sect. #31781.1	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	07-17-2020	19 YRS 11½ MOS
REBECCA N. LEAL WIFE of FELIPE LEAL dec'd on 01-06-2020, Sect. #31781.1	SHERIFF Dept.#SH	01-07-2020	21 YRS 07½ MOS
ROOSEVELT NEWMAN SPOUSE of CHERRI M JENKINS-NEWM dec'd on 06-16-2020, Sect. #31781.3	AMBULATORY CARE NETWORK Dept.#HN	06-17-2020	20 YRS 10 MOS
TYLER J. SMITH SON of ERIKA J HOUSER dec'd on 01-26-2017, Sect. #31781.1	SHERIFF Dept.#SH	01-27-2017	09 YRS 07 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ADELA BARBOSA	SHERIFF Dept.#SH	09-13-2020	20 YRS 11 MOS
RICKY D. HERMOSILLO	SHERIFF Dept.#SH	09-24-2020	19 YRS 11 MOS
TYRONE POWE	SHERIFF Dept.#SH	09-14-2020	10 YRS 10 MOS
JIMMY YEE	SHERIFF Dept.#SH	09-08-2020	34 YRS 10 MOS
RICHARD W. YOUNG	SHERIFF Dept.#SH	10-08-2020	05 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DUANE M. ASAO	HEALTH PLAN ADMINISTRATION Dept.#HP	09-18-2020	11 YRS 09 MOS
DANIEL R. BACA	INTERNAL SERVICES Dept.#IS	03-01-2014	10 YRS 05 MOS
JANICE M. BATSON	CHILD SUPPORT SERVICES Dept.#CD	08-29-2020	00 YRS 08 MOS
ANNA BECKTON	PUBLIC DEFENDER Dept.#PD	08-26-2020	26 YRS 09 MOS
ESPERANZA BRIONES	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-01-2020	41 YRS 02 MOS
WALTER V. BUBNOW	PUBLIC WORKS Dept.#PW	10-05-2020	41 YRS 09 MOS
ANN C. CAIRNS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-15-2020	16 YRS 06 MOS
STEVEN J. CASAGRANDE	MECHANICAL Dept.#491	03-01-2019	11 YRS 07 MOS
WILLIAM CENTENO	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-01-2019	10 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BRUCE A. CHERNOF	HEALTH SERVICES ADMINISTRATION Dept.#HS	11-01-2020	08 YRS 10 MOS
STEPHANIE O. COLLINS	PROBATION DEPARTMENT Dept.#PB	09-14-2020	33 YRS 11 MOS
NORMAN G. COLLINS	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-01-2019	15 YRS 05 MOS
BELLA R. DILWORTH	PUBLIC DEFENDER Dept.#PD	10-03-2020	32 YRS 06½ MOS
ERIC EVANS	SHERIFF Dept.#SH	09-08-2020	14 YRS 10½ MOS
CARL E. EVANS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2019	18 YRS 02 MOS
ROSE C. FAGAN	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-12-2020	11 YRS 03 MOS
HARRIET D. FAIN-TVEDT	CALIFORNIA CHILDREN'S SERVICES Dept.#PS	08-10-2020	10 YRS 02½ MOS
DAVID A. FRITCH	CHILDREN & FAMILY SERVICES Dept.#CH	08-28-2020	32 YRS 08 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CATHY D. GENOVA	PROBATION DEPARTMENT Dept.#PB	09-08-2020	13 YRS 07 MOS
LISA R. GIBBS	ASSESSOR Dept.#AS	10-22-2020	40 YRS 00 MOS
ERNESTO GOMEZ	BOARD OF SUPERVISORS Dept.#BS	09-19-2020	31 YRS 07 MOS
HELEN M. HELLER	BEACHES & HARBORS Dept.#BH	08-10-2020	10 YRS ½ MOS
JOHN M. HERRERA	MENTAL HEALTH Dept.#MH	08-25-2020	08 YRS 08 MOS
WENDELL J. HILL	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-03-2020	22 YRS 04½ MOS
STUART L. HODGKINS	PUBLIC WORKS Dept.#PW	09-09-2020	06 YRS 03 MOS
JERALD S. HOWELL	SHERIFF Dept.#SH	09-01-2020	16 YRS 02 MOS
LOU E. HUTCHINSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2015	13 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HECTOR INZUNZA	PARKS AND RECREATION Dept.#PK	10-01-2020	03 YRS 08 MOS
EU-JENE JACKSON	ASSESSOR Dept.#AS	08-13-2020	13 YRS 04½ MOS
WILLIAM KIANG	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-01-2018	13 YRS 07 MOS
CARMA LEE KOHLHAGEN	PUBLIC WORKS Dept.#PW	09-14-2020	20 YRS 02 MOS
PATRICIA J. LEACH	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-01-2018	15 YRS 09 MOS
SARAI B. LEEB	CHILDREN & FAMILY SERVICES Dept.#CH	09-28-2020	12 YRS 00 MOS
EDWARD L. LOFTON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2019	12 YRS 09 MOS
SANDRA L. MACATEE	SHERIFF Dept.#SH	09-20-2020	06 YRS 04½ MOS
SHIRLEY MAYHEW	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-01-2017	12 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LISA A. MAZZOCCO	LACERA Dept.#NL	09-30-2020	24 YRS 01½ MOS
GREGORY J. MERRITT	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2020	23 YRS 06 MOS
LORENA J. NASMAN	L A COUNTY FIRE DEPT Dept.#FR	09-14-2020	10 YRS 06 MOS
JUANITA A. NUNEZ	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	07-28-2020	29 YRS 03 MOS
KATHLEEN S. ORLINSKY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-05-2020	10 YRS 04 MOS
KELLY J. PAULSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-14-2020	05 YRS 04 MOS
JAVIER PEREZ	DISTRICT ATTORNEY Dept.#DA	09-23-2020	28 YRS ½ MOS
MARIO A. PEREZ	SHERIFF Dept.#SH	07-01-2020	09 YRS 07 MOS
SARAH PLESETZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	06-03-2020	29 YRS 05 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ESMENIA SALDANA	CALIFORNIA CHILDREN'S SERVICES Dept.#PS	03-01-2019	10 YRS 10 MOS
JAYSHREE SHAH	SHERIFF Dept.#SH	08-27-2020	04 YRS 11 MOS
PATRICIA A. THOMPSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-02-2020	13 YRS 11 MOS
ROSA M. TORRES	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-09-2020	14 YRS 11 MOS
BOBBY D. WALKER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2010	15 YRS 03 MOS
MUTAO L. WANG	CHILDREN & FAMILY SERVICES Dept.#CH	08-18-2020	00 YRS 09 MOS
LUCILLE R. WITUCKI	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-01-2018	12 YRS 00 MOS

**BOARD OF RETIREMENT MEETING OF OCTOBER 7, 2020
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST
APPROVED ON SEPTEMBER 2, 2020**

SAFETY MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
JOHN M ROBERTS	SHERIFF	CHANGE OF DATE TO October 30, 2020

GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
ALMA ABAPO-BACUS	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO October 31, 2020
KAREN M TRAN	PROBATION DEPARTMENT	RESCINDED RETIREMENT
NARVA L BLUE	SUPERIOR COURT/COUNTY CLERK	RESCINDED RETIREMENT
ROBERT L ROACH	COASTAL CLUSTER- HARBOR/UCLA MC	CHANGE OF DATE TO October 30, 2020
SALEH S KHALIL	PUBLIC WORKS	CHANGE OF DATE TO September 21, 2020
SANDRA T KAWASHIMA	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO August 28, 2020
SARIN ING	DEPT OF PUBLIC SOCIAL SERVICES	RESCINDED RETIREMENT
SCOTT B LANGER	MENTAL HEALTH	RESCINDED RETIREMENT
WILLIAM G ROWE	ASSESSOR	RESCINDED RETIREMENT



September 25, 2020

TO: Each Trustee
Board of Retirement

FROM: Operations Oversight Committee
Thomas Walsh, Chair
Herman B. Santos, Vice Chair
Shawn R. Kehoe
Wayne Moore
Vivian H. Gray, Alternate

FOR: October 7, 2020 Board of Retirement Meeting

SUBJECT: FIDUCIARY LIABILITY INSURANCE RENEWAL

RECOMMENDATION

That the Board of Retirement approve the purchase of Fiduciary Liability Insurance effective October 9, 2020 with the following insurance carriers:

Coverage Type	Carrier	Limits	Premium
Fiduciary (Primary)	Euclid/Hudson Insurance Company	\$25 Million	\$228,590
Fiduciary (Excess)	Westchester Fire Insurance Company	\$10 Million	\$68,986

EXECUTIVE SUMMARY

For nearly two decades, LACERA has been purchasing insurance coverage to mitigate the risk of unforeseen damages and potential losses to the Trust Fund. LACERA's insurance program consists of various lines of coverage that is divided into two separate renewal periods, June 30th and October 9th. Insurance for the program is procured with the assistance of an insurance broker, Kaercher Campbell & Associates Insurance Brokerage (Broker), a member of the Seeman Holtz Family, who has over 25 years of experience working with various Pension Funds. The Broker services LACERA by procuring coverage quotations, negotiating premiums and coverage details, and provides consulting and support services throughout the year.

LACERA's insurance program is structured as follows:

<i>June 30th Renewal</i>	<i>October 9th Renewal</i>
<i>Business Property</i>	<i>Fiduciary Liability</i>
<i>General Liability</i>	<i>Excess Fiduciary Liability</i>
<i>Employee Benefits</i>	<i>Fiduciary Liability (OPEB)</i>
<i>Commercial Auto</i>	<i>Crime</i>
<i>Foreign Package</i>	<i>Cyber Liability</i>
<i>Primary Umbrella</i>	<i>Excess Cyber Liability</i>
<i>Excess Umbrella</i>	
<i>Difference-in-Condition</i>	
<i>Employment Practices Liability</i>	
<i>Terrorism Liability</i>	

DISCUSSION

In order to conduct LACERA's search for insurance coverage, the Broker, obtained competitive bids from various insurance carriers for each line of coverage. Their marketing efforts were comprehensive utilizing the marketplace to secure the best possible program renewals. The Broker approached numerous carriers and analyzed various programs to develop the most cost-effective program for LACERA.

The Broker presented an insurance proposal package to the Insurance Selection Team (Team) for a complete analysis of each program whereby all terms (including coverage limits and sub-limits, deductibles, endorsements, and exclusions) were compared side-by-side. The selection of an insurance carrier is based on a number of factors, i.e. financial strength (A.M. Best financial strength and creditworthiness rating), Policy limits, potential covered losses, and cost. The Team consisted of representatives from the Administrative Services Division, Legal Office, and Executive Office.

Overall, the insurance market remains "flat" this year, as it was last year. A "flat" insurance market means premium pricing has stabilized. This pricing is primarily driven by the insurance surplus being at an all-time high, leading the Policy prices to remain relatively flat. However, due to the risk criteria that the underwriters use to determine the fiduciary liability limits (i.e., net plan assets, number of plan participants, status of fund ratio, and actuarial assumed investment earnings), there is a slight increase in premium on all of the Fiduciary Liability Policies.

FIDUCIARY LIABILITY INSURANCE

LACERA Trust Fund

Fiduciary Liability insurance is targeted at protecting LACERA's assets against fiduciary-related claims of mismanagement of the employee benefit plan. If a claim is made against LACERA, it covers the legal expenses of defending against the claim, as well as the financial losses the plan may have incurred due to errors, omissions, or breach of fiduciary duty. In order to protect the Trust Fund from liability due to a claim of breach of fiduciary duty, it is recommended that LACERA continue to obtain coverage in the amount of \$35 million. The highest limit that Fiduciary Insurance Carriers are willing to underwrite for the coverage is \$25 million; therefore, LACERA is required to obtain the coverage in multiple layers, \$25 million primary and \$10 million excess, to reach the desired coverage of \$35 million.

The Broker's marketing strategy focused on obtaining the most comprehensive coverage for the most competitive price. To meet LACERA's insurance needs, the Broker obtained quotes utilizing LACERA's Board approved Purchasing Policy requirements and standard industry criteria, which enabled them to successfully negotiate competitive options for renewal.

The Team compared the proposed coverage Policies and determined the Euclid/Hudson Insurance Company (Hudson) and Westchester Fire Insurance Company Policy combination was more comprehensive and more competitive in pricing than the other qualifying competitors. The Team identified the following advantages offered:

- Lower retention rate (deductible)
- Waiver of Recourse flat annual fee of \$100
- Choice of legal counsel versus being required to use carrier panel counsel
- Settlers Claims Defense
- Coverage for benefits miscalculation (overpayments)
- Supplemental Cyber coverage for content restoration and crisis notification expenditures

Hudson, LACERA's current Fiduciary Insurance carrier, has agreed to underwrite the \$25 million Policy with a premium of \$228,590 and Westchester Fire Insurance Company will underwrite the excess \$10 million Policy with a premium of \$68,986. Hudson's proposed premiums are 6% lower than the other carriers under consideration due to a multiple Policy discount based on Hudson underwriting LACERA's Employment Practices Liability and the OPEB Trust Fund Fiduciary Liability Insurance Policies.

Fiduciary Liability Coverage

Risks	Policy Limits
<ul style="list-style-type: none"> • Accounting or Billing Errors or Omissions • Insufficiency of Funds • Poor or Negligent Investment Practices • Conflict of Interest • Errors in Computing or Administering Plans • Acts, Errors, and Omissions by Board Trustees and/or Staff Members • Acts, Errors, and Omissions by Investment Advisors 	\$25,000,000 Aggregate \$10,000,000 Excess \$2,000,000 Trustee Claim Expenses \$1,500,000 HIPPA & Hitech Fines/Penalties Sublimit \$500,000 Voluntary Compliance Program \$250,000 PPACA Fines & Penalties \$100,000 Cyber Essentials \$100,000 Benefit Overpayment

Waiver of Recourse – Supplemental Coverage

A Waiver of Recourse is an endorsement to a Fiduciary Liability Insurance Policy that prevents an insurance carrier from exercising its subrogation rights against an insured fiduciary (Board Trustees/Staff Members). Unlike most other forms of insurance, under Fiduciary Liability Policies, insurers have the right to exercise subrogation rights against the insured for non-willful or criminal acts.

Trustees of both Boards and specific Staff Members may have some potential individual exposure to Fiduciary Liability since they make decisions that could affect all of the Trust Funds. Board Trustees and Staff Members have the opportunity to protect themselves by purchasing the Waiver of Recourse supplemental coverage. Per Government Code Section 7511, Trust Fund assets cannot be used to purchase a Waiver of Recourse endorsement. The cost of this coverage for this renewal period is a flat fee of \$100.00 for each Trust Fund. The fee per person is dependent on the number of enrollees and will be determined and communicated to Board Trustees and Staff Members at a later date.

ADDITIONAL INSURANCE PROTECTION

In addition to the Fiduciary Liability (LACERA Trust), each line of coverage identified below is up for renewal. Board approval is not sought for these coverages because their premiums are within the CEO’s \$150,000 signature authority; however, information on each coverage is provided for reference.

Additional Insurance Protection

Coverage Type	Carrier	Limits	Premium
Cyber Liability (Primary)	NAS	\$10 Million	\$84,197
Cyber Liability (Excess)	Brit Global Specialty	\$10 Million	\$62,858
Fiduciary Liability OPEB Trust	Euclid/Hudson Insurance Company	\$10 Million	\$41,447
Crime	Great American Insurance Co.	\$10 Million	\$21,795

CYBER LIABILITY

The costs and expenses of a data breach can be wide-ranging. According to the Cyber Claims Study conducted by NetDiligence (industry experts who provide cyber risk assessments), the smallest claims are approximately \$1,000 and the largest are \$80M. The numbers of records exposed range from 1 to over 300M, and the overall per-record costs range from less than \$0.01 to over \$1.5M.¹ Although, each breach has its own set of unique factors, the financial impact is fairly consistent across the board. Depending on the severity of the breach, the breach can be a financial burden to the organization. The impact to the organization can consist of the following:

- Information Loss
- Business Disruption
- Financial Loss
- Equipment Damages
- Defense Cost
- Good Will to Members

Potential costs associated with a data breach can add up rapidly and can be a financial burden to the organization. The Team conducted an analysis to determine the appropriate amount of coverage required to ensure the organization is upholding its fiduciary responsibility in protecting the Trust Fund. The analysis included evaluating the size of the Trust Fund, number of members (direct and indirect), number of records (electronic and paper), and loss history of known breaches outside of the organization. As a result of the analysis conducted by the team, the recommendation is to continue purchasing \$20 million in coverage to mitigate the severity of potential financial losses.

¹ NetDiligence® 2019 Cyber Claims Study <https://netdiligence.com/cyber-claims-study-2019-report/>

With business email compromises and ransomware attacks increasing dramatically across all industries in frequency and magnitude, including some high-profile breaches, we are starting to see an increase in premiums and a cautious deployment of capacity across the global marketplace. As the cyber threat landscape becomes more complex and demand for cyber security resources increases, so do the costs in remediating data breaches, particularly for large-scale events.

According to Beazley's May 2019 Breach Insights Report, the number of ransomware attacks increased by 105% in the first quarter of 2019 when compared with the first quarter of 2018. It also reported a 93% increase in the amount of ransom demanded over the same period. Several high-profile attacks against municipalities, schools, manufacturers, and healthcare facilities served to increase concerns.² Ransomware is not the only concern. In April 2019, the FBI reported that cyber losses were driven by social engineering attacks, specifically business email compromise. These attacks doubled in 2018, compared with 2017 figures, and amounted to \$1.3 billion in costs.³

Due to the increase of cybercrimes that have occurred in 2019 and during the COVID-19 pandemic, NAS will no longer offer the full \$20 million primary limits. However, they are willing to write a \$10 million Policy at a competitive price, a 30% difference below the next lowest competing carrier. In order to get the full \$20 million required amount, the coverage will be purchased in two layers, \$10 million primary and \$10 million excess.

The Team reviewed the Cyber Liability Insurance quotes to determine which Policy best protects the Trust Fund while still opting for the most competitive price. It was determined that the NAS Insurance Company's Policy form is more comprehensive than what the other carriers offered. NAS has agreed to underwrite the \$10 million Policy with a premium of \$84,197 along with Brit Global Specialty who will underwrite the excess \$10 million Policy with a premium of \$62,858, a total of \$147,055. The unanticipated premium increase for the Cyber Insurance, exceeds the budgeted amount by \$33,000. We intend on funding the increase within the existing budgetary resources and transfer Salary & Employee Benefits savings as part of a mid-year budget adjustment.

The following are additional advantages offered by NAS:

- Access to Expert Cyber Risk Advisors
- 24/7 Online Training Courses

² Beazley's May 2019 Breach Insights Report <https://www.beazley.com/documents/TMB/Insights/Beazley-BBR-Breach-Insights-Q2-2019-Ransomware-0519.pdf>

³ 2020 Market Conditions Report: Cyber Liability <https://www.aig.com/us/news-and-insights/2020/feb/2020-market-conditions-report-cyber-liability/>

- Sample Policies and Vendor Agreement Templates
- Cyber Security Training Courses
- Compliance Materials
- Risk Management Tools and Guidelines
- Anti-Phishing / Social Engineering courses
- Incident Response Plans

Cyber Liability Coverage

Risks	Policy Limits
• Loss or Damage to Electronic Data	\$20,000,000 Security & Privacy Liability
• Loss of Income	\$20,000,000 Privacy Regulatory Defense &
• Cyber Extortion	Penalties
• Public Perception/Reputation	\$20,000,000 Multimedia Liability
• Virus	\$20,000,000 Breach Event Costs
• Malware	\$20,000,000 Network Assets Protection
• Unauthorized Access to LACERA	\$20,000,000 Cyber Extortion
System	\$5,000,000 Brandguard
• Failure to Properly Protect	\$1,000,000 Dependent Business Interruption
Confidential/Sensitive Data	\$100,000 Cyber Crime

FIDUCIARY LIABILITY – OPEB

LACERA purchases Fiduciary Insurance to provide coverage for all the OPEB Trust Funds, which is combined into one Insurance Policy. The OPEB Fiduciary Insurance program consists of the following Trust Funds:

- County OPEB Trust
- Superior Court OPEB Trust
- Master OPEB Trust

The annual premium for a \$10 million policy with Hudson Insurance Company is \$41,447 a slight increase in premium over the current expiring rate, \$40,050.

Just like the LACERA Trust Fund, the Board of Trustees and particular Staff Members have the opportunity to purchase the Waiver of Recourse supplemental coverage. The cost of this coverage for this renewal period is a flat fee of \$100.00 for each Trust Fund. The fee per person is dependent on the number of enrollees and will be determined and communicated to Board Trustees and Staff Members at a later date.

OPEB Fiduciary Coverage

<i>Risks</i>	<i>Policy Limits</i>
<ul style="list-style-type: none"> Accounting or Billing Errors or Omissions Insufficiency of Funds Poor or Negligent Investment Practices Conflict of Interest Errors in Computing or Administering Plans Acts, Errors, and Omissions by Board Trustees and/or Staff Members Acts, Errors, and Omissions by Investment Advisors 	\$2,000,000 Trustee Claim Expenses \$1,500,000 HIPPA & Hitech Fines/Penalties Sublimit \$250,000 Voluntary Compliance Program \$100,000 PPACA Fines & Penalties \$100,000 Cyber Essentials \$100,000 Benefit Overpayment

CRIME INSURANCE

Crime insurance coverage covers cash, assets, merchandise, or other property loss when someone perpetrates fraud, embezzlement, forgery, misrepresentation, robbery, theft, or any other type of business-related crime on the organization. The Crime insurance market remains stable, even though social engineering fraud continues to be a growing issue for insurance carriers, which may lead to future premium increase and new Policy language.

Great American Insurance Group is the only carrier approached willing to offer a \$50,000 deductible. Due to the size of the risk, all other carriers require a minimum \$100K deductible and are not competitively priced with Great American. The premium rate with the incumbent carrier (Great American Insurance Group) is \$21,795 for a \$10 million policy limit, a 60% difference below the next lowest competing carrier.

Crime Coverage

<i>Risks</i>	<i>Policy Limits</i>
<ul style="list-style-type: none"> Employee Dishonesty Computer Fraud – Third Party Impersonating as Staff Members to Acquire Funds Funds Transfer Fraud (Third Party) Forgery or Alteration of Checks Loss of Cash, Securities and Other Property (Third Party) Robbery 	\$10,000,000 Staff Member Dishonesty \$10,000,000 Forgery & Alterations \$10,000,000 Inside Premises \$10,000,000 Outside Premises \$10,000,000 Computer Fraud \$10,000,000 Money Orders & Counterfeit Paper Currency \$10,000,000 Fund Transfer Fraud

FISCAL IMPACT

The overall cost in premiums for the Fiduciary, Cyber, and Crime Insurance Program for the FY 2020-21 is \$507,873, an 11% increase from FY 2019-20 in the amount of \$454,292.

SUMMARY

In summary, after an extensive procurement process for Fiduciary and Cyber Liability Insurance, it is recommended to bind a one-year Fiduciary Insurance Policy with Euclid/Hudson Insurance Company with \$25 million Policy limits and excess coverage limits of \$10 million with Westchester Fire Insurance Company.

IT IS THEREFORE RECOMMENDED THAT THE BOARD approve the purchase of Fiduciary Liability Insurance effective October 9, 2020 with the following insurance carriers:

Coverage Type	Carrier	Limits	Premium
Fiduciary (Primary)	Euclid/Hudson Insurance Company	\$25 Million	\$228,590
Fiduciary (Excess)	Westchester Fire Insurance Company	\$10 Million	\$68,986

/JB

September 29, 2020

TO: Each Trustee,
Board of Retirement

FROM: Operations Oversight Committee
Thomas Walsh, Chair
Herman B. Santos, Vice Chair
Shawn R. Kehoe
Wayne Moore
Vivian Gray, Alternate

FOR: October 7, 2020 Board of Retirement Meeting

SUBJECT: Revisions to Board of Retirement Charter Regarding External Financial Auditor

RECOMMENDATION

That the Board of Retirement (BOR) adopt changes to the BOR Charter consistent with the recently adopted Audit Committee Charter with regard to appointment of the external financial auditor.

AUTHORITY

Under the California Constitution, Article XVI, Section 17, the BOR has “plenary authority and fiduciary responsibility for . . . administration of the system.” Government Code Sections 31520 and 31520.1 in the County Employees Retirement Law of 1937 (CERL) states that the BOR is responsible for “the management of the retirement system.” This constitutional and statutory authority includes the power to adopt the BOR Charter to define the roles and operations of the Board. In the exercise of this power, the BOR, in conjunction with the Board of Investments (BOI), also created an Audit Committee with oversight of administrative audit functions and approved an Audit Committee Charter stating the Committee’s responsibilities.

This memo recommends that the BOR adopt changes to the BOR Charter to make it consistent with the June 24, 2020 Audit Committee Charter, which was approved by both Boards, with respect to the appointment of the external financial auditor. Under its Charter, the Operations Oversight Committee (OOC) has responsibility to review governance documents, which includes the BOR Charter. At its September 2, 2020 meeting, the OOC voted to recommend this item to the full BOR.

DISCUSSION

The Board of Retirement adopted a Charter on April 13, 2017. (The Charter was revised on May 7, 2020 in ways not material to this memo.) The Charter currently provides in Section 6.2(3) that the Board shall “Select LACERA’s External Financial

Auditor.” This language was in furtherance of Government Code Section 31593 in CERL, which provides that “The retirement board shall conduct an audit of the retirement system at least once every 12 months and report upon its financial condition. The retirement board may retain the services of a certified public accountant to perform the annual audit.” Under Section 31593, the BOR must ensure that the financial audit is performed every year. However, CERL does not specify the method by which the BOR obtains this assurance. The BOR has discretion. It “may” directly engage a CPA. It may also in its discretion take any other reasonable steps to ensure that an annual audit is conducted.

The BOR has, along with the BOI, historically approved an Audit Committee Charter to govern the role of the committee. With respect to the external financial auditor, the Audit Committee Charter, before the version adopted on June 24, 2020, provided, in Section V.C.1-3, that the Committee had responsibility to:

1. Make recommendations to the Board of Retirement regarding the appointment, compensation, and the work of the External Auditor.
2. Oversee the work of the External Auditor, including review of the External Auditor’s proposed audit scope and approach, as well as coordination with Internal Audit and Management.
3. Review the findings and recommendations of the External Auditor, Management’s responses, and actions taken to implement the audit recommendations.

The current Audit Committee Charter, approved by the BOR as well as the BOI on June 24, 2020, revised this structure such that the BOR now obtains assurance that an audit is performed by giving the Audit Committee independence with regard to the selection and oversight of the external financial auditor. The current Audit Committee Charter, which is attached as Exhibit A, provides, in Section VII.B.1, that the Committee has responsibility to:

1. Approve the appointment and compensation of the External Financial Auditor, hired to perform an independent audit of LACERA’s financial statements. Oversee the work of the Financial Auditor, including review of the Financial Auditor’s proposed audit scope and approach, as well as coordination with Internal Audit and Management.

In approving the Audit Committee Charter with these provisions, the BOR fulfills its responsibility under Section 31593 to ensure that LACERA conducts a financial audit because the Charter directs the Audit Committee to engage an auditor and oversee the audit process for the annual financial statements. The BOR retains control at all times

over the Audit Committee Charter because the Charter is only effective with the BOR's ongoing approval, over which the BOR maintains control.

In order to align the BOR Charter with the BOR's action in approving the June 24, 2020 Audit Committee Charter, two changes to the BOR Charter are recommended:

1. Amend Section 6.2.1, to read, "Ensure that a financial audit is conducted under the oversight of the Audit Committee at least annually, and oversee Internal Audit reports referred by the Audit Committee or BOR Standing Committees." (New language is underlined.) This language directly affirms that the BOR will ensure that an annual financial audit is conducted by the Audit Committee in compliance with the BOR's responsibility under Section 31593. This concept is absent from the current Charter; the current Charter only addresses selection, but not performance of the annual audit. The new language thereby not only aligns the BOR Charter with the Audit Committee Charter but also corrects a preexisting gap in the current Charter. The placement of this new language aligns it with existing language concerning the BOR's role in overseeing audit reports referred by the Audit Committee.
2. Delete Section 6.2.3, which currently states that the BOR will "Select LACERA's External Financial Auditor." This language is no longer necessary because the BOR has approved the new Audit Committee Charter assuring that the Audit Committee will hire the financial auditor. (With the deletion of Section 6.2.3, current Section 6.2.4 will be renumbered.)

A redlined copy of the BOR Charter with these proposed changes is attached as Exhibit B.

Chief Audit Executive Richard Bendall mentioned the current BOR Charter provision at the June 24, 2020 joint BOR and BOI meeting at which the revised Audit Committee Charter was approved. This recommendation addresses the comment made by Mr. Bendall.

The recommendation has been reviewed by fiduciary counsel Christopher Waddell. He prepared a July 27, 2020 opinion letter reviewing the Audit Committee Charter changes and Board of Retirement Charter under Section 31593 and recommending changes. Subsequently, the language was slightly revised and finalized as it appears above and in Exhibit B. Mr. Waddell prepared a supplemental letter dated August 21, 2020 confirming his concurrence with the language proposed here. Mr. Waddell's July 27, 2020 and August 21, 2020 letters are attached as Exhibit C.

///
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CONCLUSION

For these reasons, the OOC recommends that the BOR adopt changes to the BOR Charter as stated above to make the BOR Charter consistent with the recently adopted Audit Committee Charter with regard to appointment of the external financial auditor.

A three-year comprehensive review of the entire BOR Charter will be conducted this year in compliance with the review cycle stated in the Charter. There may be additional Charter changes proposed at that time relating to other aspects of the Charter. The recommendation in this memo is limited to prompt reconciliation of the BOR Charter with the recently-approved Audit Committee Charter as to the external financial auditor so there is no confusion with respect to that process.

Attachments

c: Santos H. Kreimann
Jonathan Grabel
JJ Popowich
Ted Granger
Richard Bendall

EXHIBIT A

Audit Committee Charter

Approved on June 24, 2020

Audit Committee Charter

June 2020



2020



AUDIT COMMITTEE CHARTER

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AUDIT COMMITTEE CHARTER

I. CHARTER

This Charter establishes the authority and responsibilities of the Audit Committee, as assigned by Los Angeles County Employees Retirement Association's (LACERA) Board of Retirement and Board of Investments (Boards). The Audit Committee Charter is a living document and should be reviewed at least every three years.

II. PURPOSE AND ASSIGNED FIDUCIARY OVERSIGHT DUTIES

In November 2003, LACERA's Boards established the LACERA Audit Committee.

The purpose of the Audit Committee is to assist the Boards in fulfilling their fiduciary oversight duties for the:

- A.** Internal Audit Activity
- B.** Professional Service Provider Activity
- C.** Financial Reporting Process
- D.** Values and Ethics, and
- E.** Organizational Governance

III. PRINCIPLES OF THE AUDIT COMMITTEE

The Audit Committee will conduct itself in accordance with LACERA's Code of Ethical Conduct and the following core principles from the Institute of Internal Auditors' (IIA) Code of Ethics. The Audit Committee expects the Boards, Management, and staff will also adhere to these requirements.

Integrity – The Audit Committee Members will perform their work with honesty, diligence, and responsibility. The Audit Committee expects and will encourage transparency when fulfilling its duties. Communications between Committee Members, Management, staff, and/or Professional Service Providers will be open, direct, and complete. Subject to applicable laws and organizational limitations, Internal Audit will regularly provide the Audit Committee with updates on audit and consulting projects completed and related findings and follow-up.

Independence & Objectivity - The Audit Committee will perform its responsibilities in an independent manner and in compliance with fiduciary duty without exception. Audit Committee Members will disclose any conflicts of interest (actual or perceived) to the Committee.

Confidentiality – The Audit Committee Members will be prudent in the use and protection of information acquired during the course of its duties.



Competency - Audit Committee Members will receive formal orientation training on the purpose and mandate of the Committee and LACERA's objectives. Audit Committee Members are obligated to prepare for and participate in Committee meetings.

Professional Standards - The Audit Committee will ensure all related work will be handled with the highest professional standards consistent with auditing standards of practice and industry guidelines.

IV. AUTHORITY

The Audit Committee will have unrestricted access to Management and staff, and any relevant information it considers necessary to discharge its duties. All employees are directed to cooperate with the Committee and its requests. If access to requested information is denied due to legal or confidentiality reasons, the Audit Committee and/or CAE will follow a prescribed, Board approved mechanism for resolution of the matter.

The Audit Committee has the authority to conduct or authorize investigations into any matters within its scope of duties, including engaging independent counsel and/or other advisors it deems necessary.

The Audit Committee is empowered to:

1. Approve the appointment, compensation, and work of the Financial Auditor hired to audit LACERA's financial statements.
2. Approve the appointment, compensation, and work of other Professional Service Providers to perform non-financial statement audits, reviews, or investigations, subject to limitations due to confidentiality, legal standards, and/or where approval will clearly impair the purpose or methods of the audit.
3. Resolve any significant disagreements regarding risks, findings, and/or payment between Management and the Financial and/or Other Service Providers.

V. AUDIT COMMITTEE COMPOSITION AND CONSULTANT

The Audit Committee will consist of the chair and vice-chair of the Boards of Retirement and Investments, plus one additional Board member elected annually by each Board, for a total of four to six members¹. Board chairs and vice-chairs that leave Board service will be replaced automatically on the Audit Committee when the Board replaces its missing officer while other Committee membership remains intact. If any elected Audit Committee member leaves Board service or resigns from the Audit Committee prior to the completion of their term, the Board of the departing member, will elect a new Audit Committee member at the next

¹The number of Committee members is dependent upon the designated Chair and Vice Chair appointments to the Boards of Retirement and Investments. If both Boards were to elect the same individuals to the positions of Chair and/or Vice Chair, the Audit Committee would be comprised of four or five Board Members.



regularly scheduled Board meeting. If Audit Committee voting results in a tie, the Committee will forward the recommendation to the appropriate Board for consideration and final decision.

The Committee shall have the authority to approve the hiring of the Audit Committee Consultant as an advisor through a Request for Proposal process. The Audit Committee Consultant will be designated as the audit technical and financial expert, to advise the Committee on audit and financial matters. The Audit Committee Consultant's contract will be for three years.

At the first Committee meeting of each calendar year, the Committee shall elect a Chairman, Vice Chair and Secretary, each to serve for a term of one year or until his or her successor is duly elected and qualified, whichever is less. In the event of a vacancy in the office of chair, the vice chair shall immediately assume the office of chair for the remainder of the term. In the event of a vacancy in the office of vice chair or secretary, the Committee shall elect one of its members to fill such vacancy for the remainder of the term, at its next regular meeting.

VI. AUDIT COMMITTEE MEETINGS

The Audit Committee will conduct regular meetings at least four times per year, with authority to convene additional meetings, as circumstances require. The time frame between Audit Committee meetings should not exceed four months.

All Committee Members are expected to attend each meeting.

Regular meeting notices and agendas will be posted at least 72 hours in advance of the regular meetings and will be made available to the public in accordance with the Ralph M. Brown Act (Government Code Sections 54950, et seq.). Public documents referred to in the agenda will be made available for review at the office of the staff secretary to the Committee. The Committee will invite members of Management, Internal Auditors, Financial Auditors, all other Professional Service Providers, and/or others to attend meetings and provide pertinent information, as necessary.

Special meetings of the Committee may be called in the manner provided by Government Code Section 54956(a). The Committee will have such other powers as provided in the Brown Act.

Robert's Rules of Order, except as otherwise provided herein, shall guide the Committee in its proceedings; however, the chair of the Committee shall have the same rights to vote and participate in discussions as any other member of the Committee without relinquishing the chair. The order of business shall be as determined by formal action of the Committee. Four members of a six-member Audit Committee or three members of a four or five-member Audit Committee, excluding the audit consultant, constitute a quorum.



The secretary of the Committee shall cause to be recorded in the minutes the time and place of each meeting of the Committee, the names of the members present, all official acts of the Committee, the votes given by members except when the action is unanimous, and when requested by a member, that member's dissent or approval with his or her reasons, and shall cause the minutes to be written forthwith and presented for approval at the next regular meeting.

VII. RESPONSIBILITIES

A. Internal Audit Activity

1. Internal Audit Strategy and Annual Plan
 - a. Review and provide input on Internal Audit's annual risk assessment
 - b. Review and approve Internal Audit's Annual Audit Plan (Plan) and resource plan, make recommendations concerning audit projects.
 - c. Recommend to the Boards a budget to achieve the Plan plus a contingent budget for additional work related to audit findings or other unplanned work.
 - d. Review and monitor Internal Audit's activity relative to its Plan. Review and approve all major changes to the Plan.
2. Internal Audit Engagement & Follow-Up
 - a. Review and discuss engagement reports to take the following action(s):
 - i. accept and file report,
 - ii. instruct staff to forward report to Boards or Committees,
 - iii. make recommendations to the Boards or Committees regarding actions as may be required based on audit findings and/or,
 - iv. provide further instruction to staff.
 - b. Monitor Internal Audit's recommendations to ensure Management has adequately and timely addressed the risk(s) identified, either through implementing a new policy, procedure, or process, or accepting the associated risk.
 - c. Inquire whether any evidence of fraud has been identified during internal or external audit engagements, and evaluate what additional actions, if any, should be taken.
 - d. Inquire whether any audit or non-audit engagements have been completed but not reported to the Audit Committee; if so, inquire whether any matters of significance arose from such work.
 - e. Review and advise Management and the Boards on the results of any special investigations.



3. Standards Conformance
 - a. Approve the Internal Audit Charter.
 - b. Ensure the Internal Audit Division conforms with the IIA's International Standards for the Professional Practice of Internal Audit, particularly the independence of Internal Audit and its organizational structure.
 - c. Ensure the Internal Audit Division has a quality assurance and improvement program (QAIP), and that the results of these periodic assessments are presented to the Audit Committee.
 - d. Ensure the Internal Audit Division has an external quality assurance review every five years. Review the results of the external quality assurance review and monitor the implementation of related recommendations.

Advise the Boards about any recommendations for the continuous improvement of the internal audit activity.

4. Chief Audit Executive (CAE)

Since the CAE reports to the Chief Executive Officer (CEO) for administrative purposes, but to the Audit Committee for functional purposes, the Audit Committee will be responsible for the following:

- a. Make recommendations to both Boards regarding the appointment, discipline, dismissal, and/or removal of the CAE, which will be addressed by the Boards in a joint meeting. Both Boards will make the final decisions as to the appointment, discipline, dismissal, and/or removal of the CAE. The CEO has authority to administer minor discipline, which is limited to counseling memos and written warnings, with notice of such discipline to be provided to the Committee and the Boards at their next meetings. Consideration by the Boards and the Committee concerning the appointment, discipline, dismissal, and/or removal of the CAE will be made in executive session under Government Code Section 54957(b).
- b. Perform the CAE's annual assessment with qualitative input from the CAE and CEO. The Committee's discussion regarding the CAE's annual performance evaluation will be made in executive session under Government Code Section 54957(b).
- c. Administer the CAE's annual salary adjustment using the Boards' established compensation structure.

B. Professional Service Provider Activity

The Audit Committee is responsible for the oversight of all work performed by professional service providers (Service Providers) for audits, reviews, or investigations, including the audit of LACERA's financial statements.



1. Approve the appointment and compensation of the External Financial Auditor, hired to perform an independent audit of LACERA's financial statements. Oversee the work of the Financial Auditor, including review of the Financial Auditor's proposed audit scope and approach, as well as coordination with Internal Audit and Management.
2. Approve the appointment and compensation of other Professional Service Providers, hired to perform non-financial statement audits, reviews or consulting, subject to limitations due to confidentiality, legal standards, and/or where approval will clearly impair the purpose or methods of the audit.
3. Review the Professional Service Providers, including the Financial Auditor, and Management the results of the work performed, any findings and recommendations, Management's responses, and actions taken to implement the audit recommendations.

C. Financial Reporting Process

The Audit Committee is responsible for the oversight of the independent audit of LACERA's financial statement, including but not limited to overseeing the resolution of audit findings in areas such as internal control, legal, regulatory compliance, and ethics.

1. Review significant accounting and reporting issues, including complex or unusual transactions and highly judgmental areas, recent professional and regulatory pronouncements, and understand their impact on the financial statements.
2. Review with Management and the Financial Auditors the results of the audit, including any difficulties encountered.
3. Review the annual financial statements, consider whether they are complete, consistent with information known to Committee members, and reflect appropriate accounting principles.
4. Review with Management and the Financial Auditors all matters required to be communicated to the Committee under *Generally Accepted Auditing Standards*.

D. Values and Ethics

1. Review and assess LACERA's Code of Ethical Conduct established by the Boards and Management.
2. Annually, review Management's process for communicating LACERA's Code of Ethical Conduct to Trustees, Management, and staff, and for monitoring compliance therewith.



3. Review reports received relating to conflicts of interest and ethics issues, and if appropriate, make a recommendation to the Boards.

E. Organizational Governance

To obtain reasonable assurance with respect to LACERA's governance process, the Audit Committee will review and provide advice on the governance process established and maintained, and the procedures in place to ensure they are operating as intended.

1. Risk Management

- a. Annually review LACERA's risk profile.
- b. Obtain from the CAE an annual report on Management's implementation and maintenance of an appropriate enterprise wide risk management process. Provide advice on the risk management processes established and maintained, and the procedures in place to ensure that they are operating as intended.
- c. Provide oversight on significant risk exposures and control issues, including fraud risks, governance issues, and other matters needed or requested by Management and the Boards.

2. Fraud

- a. Oversee Management's arrangements for the prevention and detection of fraud, including ensuring adequate time is spent discussing and raising awareness about fraud and the Hotline.
- b. Review a summary of Hotline reports, and if appropriate make a recommendation to the Boards.

3. System of Internal Controls

- a. Consider the effectiveness of LACERA's internal control system, including information technology security and control, as well as all other aspects of LACERA's operations.
- b. Understand the scope of Internal and External Auditors' review of internal control over financial reporting, and obtain reports on significant findings and recommendations, together with Management's responses.
- c. Review and provide advice on control of LACERA as a whole and its individual divisions.

4. System of Compliance

- a. Annually, review the effectiveness of Management's system of compliance with laws, regulations, policies, and procedures that are business critical.



- b. As needed, review the observations and findings of any examinations by regulatory agencies.
- c. Obtain regular updates from Management and LACERA's Legal Office regarding compliance matters.
- d. At least annually, review reported activity to ensure issues of fraud, noncompliance, and/or inappropriate activities are being addressed.

F. Other Responsibilities

- 1. Report to the Boards as needed about the Audit Committee's activities, issues, and related recommendations.
- 2. Provide an open avenue of communication between Internal Audit, all Professional Service Providers, including the Financial Auditor, Management, and the Boards.
- 3. Perform other activities related to this Charter as requested by the Boards.
- 4. Review and assess the adequacy of the Committee's Charter at least every three years, requesting the Boards' approval for proposed changes.

VIII. APPROVAL

This Charter was reviewed by the Audit Committee on May 8, 2020 and approved by the Board of Investments and Board of Retirement on June 24, 2020. This Charter is thereby effective June 24, 2020 and is hereby signed by the following persons who have authority and responsibilities under this Charter.

Gina Sanchez

06/24/2020

Gina Sanchez

Chair, Audit Committee

Date

David Green

06/24/2020

David Green

Chair, Board of Investments

Date

Herman Santos

06/24/2020

Herman Santos

Chair, Board of Retirement

Date

EXHIBIT B
Proposed Redlined
BOR Charter



LOS ANGELES COUNTY
EMPLOYEES RETIREMENT
ASSOCIATION
LACERA

Board of Retirement Charter
Role of Chair/Vice-Chair/Secretary

Adopted by the Board of Retirement on April 13, 2017
Revised by the Board of Retirement on May 7, 2020

LACERA
Board of Retirement Charter

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LACERA
Board of Retirement Charter

1 Overview of the LACERA Board of Retirement

In 1937, Los Angeles County (County) established a pension trust fund (Fund) to provide defined retirement and death benefits to eligible County employees to be governed by the County Employees Retirement Law of 1937. In 1938, the Los Angeles County Employees Retirement Association (LACERA) was introduced to administer it. Since 1971, LACERA has also administered the Retiree Healthcare Benefits Program, on behalf of and through contractual agreement with the County.

LACERA is an independent governmental entity, separate and distinct from the County, which administers and manages the Retiree Healthcare Benefits Program for the County and outside districts. LACERA is one of the largest county retirement systems in America. LACERA is funded by the County, participating employers, employees, and investment earnings.

LACERA is governed by two Boards. Both Boards include a mix of trustees which are appointed and elected members and an ex-officio member, the sitting County Treasurer and Tax Collector. The Board of Retirement (BOR) is responsible for the overall management of the retirement system and the LACERA-administered Retiree Healthcare Benefits Program. The Board of Investments (BOI) is responsible for determining LACERA's investment objectives, strategies, and policies as well as exercising authority and control over the investment management of the Fund. The BOI also invests and manages the Other Postemployment Benefits Program (OPEB) trust assets for participating employers.

LACERA is a public pension system created in accordance with the County Employees Retirement Law of 1937 (the '37 Act or CERL) and administered pursuant to the '37 Act and the California Public Employees' Pension Reform Act of 2013 (PEPRA). CERL Section 31520.2 provides that "Except as otherwise delegated to the board of investment and except for the statutory duties of the county treasurer, the management of the retirement system is vested in the board of retirement." The BOR has joint authority with the BOI over shared responsibilities, including, for example: the appointment and evaluation of the Chief Executive Officer (CEO); classification and compensation of personnel; and, adoption of LACERA's administrative budget.

LACERA
Board of Retirement Charter

2 Fiduciary and Co-Fiduciary Duties of the BOR

The members of the BOR recognize that they serve as fiduciaries for the administration of the retirement system, with fiduciary duties as defined in the California Constitution and CERL. The trustees have fiduciary duties both individually and collectively as a BOR.

In the exercise of their individual and collective fiduciary duties, the trustees and the BOR may, under the California Constitution and CERL, prudently delegate to staff, outside consultants and vendors, and other fiduciaries, while recognizing that the ultimate fiduciary responsibility of the BOR and its individual trustees for the retirement program is non-delegable. The BOR and the trustees exercise their fiduciary duties with respect to prudently delegated matters by having adequate processes in place to oversee their delegates, which processes should include reporting by and active monitoring and questioning of delegates.

The BOR must discharge its duties solely in the interest of members and their beneficiaries, and for the exclusive purposes of providing benefits to participants and their beneficiaries. In addition, the BOR is required to exercise the care, skill, prudence and diligence that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of like character and with like aims.

The BOR also has a fiduciary duty of undivided loyalty, and must be impartial in the exercise of such duty in regards to any divergent interests of various groups of members of the retirement system. The BOR must strive to minimize employer contributions and defray reasonable expenses of administering the system.

In the exercise of his or her individual fiduciary responsibilities, a trustee cannot knowingly participate in, or act to conceal, a breach of fiduciary duties by another trustee, enable another trustee to breach their fiduciary duties by failing to exercise reasonable care and prudence, or fail to take reasonable steps to report or remedy a breach of duty by another trustee when it is known or should have been known or suspected under the circumstances.

Further, the BOR and its members must observe laws applicable to the retirement program and to their conduct as trustees. They may not engage in any related party transactions with the retirement program or plan sponsor that are prohibited by California Constitution, CERL, and other applicable laws.

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Trustees are also bound to observe applicable standards of conduct and limitations on conflicts of interest that are prohibited by the Political Reform Act of 1974 and other applicable laws. BOR members or anyone acting on their behalf must comply with these provisions.

3 Expectations of Trustees

To be effective as a BOR and as individual trustees, and in recognition of their fiduciary and co-fiduciary duties, trustees should:

- 3.1. Appropriately prepare for and attend the entirety of each BOR meeting and of each Committee meeting of which they are a member;
- 3.2. Provide proactive input to the BOR and Committees to aid their deliberations;
- 3.3. Be respectful of their fellow members and of staff while giving firm and clear direction;
- 3.4. Be respectful of majority decisions, without compromising their ability to properly advocate for the things they believe are in the organization's interests;
- 3.5. Be respectful of the roles of the Chair/ Vice-Chair/ Secretary in their efforts to facilitate the effectiveness of the BOR in achieving its objectives;
- 3.6. Maintain a sense of professional, personal decorum and collegiality amongst the trustees;
and,
- 3.7. Diligently avoid conflicts of interest and adhere to the Conflict of Interest Code and Code of Ethical Conduct.

LACERA
Board of Retirement Charter

4 The Role of the Chair and Vice-Chair

See BOR Regulations for the:

- A. Election of Chair;
- B. Election of Vice Chair;
- C. Election of Secretary;
- D. Election of Audit Committee Member; and,
- E. Filling of Vacancy in Office.

Overall, the Chair (and in the absence of the Chair, the Vice-Chair), should facilitate the BOR deliberations and preside over its meetings, coordinate the setting of its agenda, and ensure the BOR is an effective working group in making progress on the BOR's duties and objectives. The Chair should promote a culture of openness, respect and debate, and ensure there is effective, open communication. The Chair should ensure that all BOR members receive accurate, timely and clear information.

Activities of the Chair (Vice-Chair) include the following:

- 4.1. Facilitate and preside over BOR meetings;
- 4.2. Coordinate the setting of agendas;
- 4.3. Liaise with staff through the CEO;
- 4.4. Facilitate the establishment of the BOR's major policy goals and objectives;
- 4.5. Coordinate the CEO's annual performance review;
- 4.6. Coordinate sharing of leading practices;
- 4.7. Make appointments to Committees;
- 4.8. Oversee the effectiveness of stakeholder relations; and,
- 4.9. Coordinate with the Chair of the BOI concerning matters of common interest.

Frequently Used Terms

- Facilitate means to make (an action or process) easy or easier.
- Coordinate means to bring different elements (of a complex activity or organization) into a relationship that will ensure efficiency or harmony.
- Liaise means to establish a working relationship, typically to cooperate on a matter of mutual concern.
- Ensure means make certain that (something) shall occur or be the case.

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4.1 Facilitate and preside over BOR meetings

- 4.1.1 In consultation with the BOR and the CEO, schedule dates, times and location for BOR meetings.
- 4.1.2 Facilitate and preside over BOR meetings to enable effective and efficient functioning of such meetings, including:
 - 4.1.2.1 Ensure that discussion on agenda items is on topic, productive and professional;
 - 4.1.2.2 Ensure there is sufficient time during the meeting to fully discuss agenda items; and,
 - 4.1.2.3 Ensure that all meetings are conducted in a manner consistent with the Brown Act and Robert's Rules of Order.
- 4.1.3 Ensure meetings are called and held in accordance with LACERA's BOR Regulations, except that the Chair, in consultation with the Vice Chair and the Chief Executive Officer, may cancel or postpone Board meetings and, in further consultation with the committee chair, committee meetings when LACERA may not safely conduct regular operations because there is a declared national, state, County of Los Angeles, or City of Pasadena state of emergency or other governmental directive or when there is a natural disaster or other extraordinary circumstances. The Chair will schedule a Board meeting for the earliest available date to address with the Board the circumstances and the schedule for Board and committee meetings. In such circumstances, the Chair will be responsible for facilitating the normal operations of the Board to the extent possible.
- 4.1.4 Ensure the meeting agenda and relevant documents are circulated to the members of the BOR in advance of the BOR meeting and in compliance with the Brown Act to ensure sufficient preparation time.
- 4.1.5 Chair and facilitate the BOR meetings ensuring respectful debate such that all voices and opinions are heard.
- 4.1.6 Ensure meetings are run and votes are taken in accordance with agreed upon rules of order / procedure.
- 4.1.7 Call special meetings of the BOR when warranted.
- 4.1.8 Chair executive sessions of the BOR.

LACERA
Board of Retirement Charter

4.2 [Coordinate the setting of agendas](#)

- 4.2.1 Facilitate the BOR in setting the strategy and policy of the BOR.
- 4.2.2 In consultation with the Vice Chair and CEO, coordinate and confirm an agenda for each BOR meeting (refer to the BOR Regulations for rules regarding placing an item on the agenda).
- 4.2.3 Plan agendas that facilitate the flow of work and the effectiveness of the achievement of the BOR's goals and objectives.
- 4.2.4 Maintain an annual calendar of BOR decisions to be made and communicate to Committee Chairs to facilitate appropriate timing of Committee recommendations to the BOR.
- 4.2.5 Communicate with Committee Chairs in setting the Committee agendas and integrating the activities of the Committees with the objectives of the BOR as a whole.

4.3 [Liaise with Staff through the CEO](#)

- 4.3.1 Liaise with staff through the CEO to keep an overview of the system's affairs and to provide BOR support as appropriate.
- 4.3.2 Monitor that BOR requests are implemented by staff, and reported back and confirmed to the BOR.

4.4 [Facilitate the establishment of the BOR's major policy goals and objectives](#)

- 4.4.1 Ensure the BOR identifies policy goals and objectives for the year.
- 4.4.2 Oversee that these goals and objectives link to the retirement program's strategic plan and are measurable.
- 4.4.3 Coordinate with the BOI to ensure the BOI's goals and objectives link to LACERA's overall strategic plan.

4.5 [Coordinate the CEO's annual performance review](#)

- 4.6.1 Coordinate the annual performance review of the CEO with the BOI and any other BOR direct reports and/or ensure there is a capable process to do so.
- 4.6.2 Coordinate with the BOI to establish annual goals for the CEO and other BOR direct reports.
- 4.6.3 Ensure the CEO's performance goals are linked to the strategic plan.

LACERA
Board of Retirement Charter

4.6 [Coordinate the sharing of leading practices](#)

- 4.7.1 Coordinate the sharing and timely advice on leading and prevailing practices regarding retirement program activities.
- 4.7.2 Oversee the CEO's coordination of the BOR training with staff and consultants.

4.7 [Make appointments to Committees](#)

- 4.8.1 Appoint Committee Chairs and Vice-Chairs (Standing and Ad Hoc, in accordance with BOR Regulations).
- 4.8.2 Appoint members to Committees as detailed in the BOR Regulations and Standing Committee Charter.
- 4.8.3 Coordinate Committee Chair reporting to the BOR on Committee actions and agendas.
- 4.8.4 Provide leadership and ensure Committee members are aware of their obligations and comply with their responsibilities.

4.8 [Oversee the effectiveness of stakeholder relations](#)

- 4.9.1 Ensure the BOR, staff and consultants develop and manage key stakeholder relationships.
- 4.9.2 Be the BOR spokesperson for matters agreed upon by the BOR.
- 4.9.3 Act as an ambassador for the organization as agreed upon by the BOR.

4.9 [Coordinate with the Chair of the BOI concerning matters of common interest](#)

- 4.10.1 Ensure the two Boards openly communicate, have consensus on their respective separate jurisdictions as well as joint jurisdictions, and otherwise work well together in furtherance of LACERA's mission.

5 [The Role of the Secretary](#)

- 5.1 The Secretary is responsible for ensuring accurate and sufficient documentation exists to meet legal requirements, and to enable the public to determine when, how, and by whom the BOR's business was conducted.
- 5.2 Upon the BOR approving the BOR meeting minutes, the Secretary will join the BOR Chair in signing the minutes as evidence of their authenticity and completeness.

LACERA
Board of Retirement Charter

- 5.3 In the absence of the Chair and Vice-Chair, the Secretary will call the meeting to order, presiding until the Chair or Vice-Chair is present.
- 5.4 The Secretary will conduct the Officer elections as detailed in the BOR Regulations.

6 Duties and Responsibilities of the BOR

6.1 Governance and Strategy

- 6.1.1 Approve BOR Charter.
- 6.1.2 Approve BOR Committee structure, roles and charters.
- 6.1.3 Approve BOR delegations to staff.
- 6.1.4 Approve BOR development policy and educational programs.
- 6.1.5 Approve BOR governance principles and policies.
- 6.1.6 Approve Organizational Policies as proposed by Committees and Staff, and act upon other Committee and Staff reports and recommendations, as appropriate.
- 6.1.7 Oversee the Strategic Planning Process.
- 6.1.8 Oversee the effectiveness of the of the BOR strategic plans.
- 6.1.9 Oversee the BOR stakeholder engagement program.
- 6.1.10 Oversee the BOR business planning process and business plans.

6.2 Reassurance, Risk and Compliance

- 6.2.1 Ensure that a financial audit is conducted under the oversight of the Audit Committee at least annually, and oOversee Internal Audit reports referred by the Audit Committee or BOR Standing Committees.
- 6.2.2 Oversee retirement and retiree healthcare-related risk framework and management.
- ~~6.2.3 Select LACERA's External Financial Auditor.~~
- ~~6.2.4~~6.2.3 Oversee retirement benefit and healthcare benefit controls and compliance.

6.3 Administration

- 6.3.1 Approve staff classification and compensation
- 6.3.2 Approve litigation and settlements related to retirement and retiree healthcare benefits.
- 6.3.3 Approve budget process and budgets.
- 6.3.4 Oversee the Privacy of Member Information.

LACERA
Board of Retirement Charter

- 6.3.5 Conduct hiring, evaluation, compensation and firing of the CEO.
- 6.3.6 Oversee human resource policies for retirement and retiree healthcare operations.
- 6.3.7 Oversee accounting policies for non-investment activities.
- 6.3.8 Oversee the CEO's effectiveness in hiring/firing and management of the performance for senior executives.
- 6.3.9 Oversee business continuity plans.

6.4 Conflicts and Ethics

In addition to the BOR's other responsibilities, the BOR will have authority and responsibility to oversee actual and potential conflict of interest and ethics issues concerning the BOR's areas of responsibility as follows:

- 6.4.1 General Compliance. Oversee compliance with the Conflict of Interest Code, Code of Ethical Conduct, and conflict of interest and ethics laws, and take action with respect to such matters, as appropriate.
- 6.4.2 Matters Referred by Standing Committees. Review and take such action, as appropriate, with respect to reports and recommendations received from the Board of Retirement Standing Committees relating to conflict of interest and ethics issues in each Committee's areas of responsibility as defined in the Committee Charter.
- 6.4.3 BOR Members. BOR members will avoid actual or potential conflict of interest or ethics issues. BOR members will notify the BOR Chair and Vice Chair, the CEO, and Legal Counsel of such issues concerning themselves and other BOR members related to the business of the BOR. The BOR will take such action as is lawful and appropriate with respect to such issues, including procedures provided in the BOR Regulations.
- 6.4.4 Third-Parties. BOR members and staff will exercise diligence in identifying, and informing the BOR about, all actual and potential conflict of interest issues concerning persons and entities who have business before the BOR, such as vendors, consultants, and all other third-parties (Third-Parties). The BOR will take appropriate action with regard to such issues, including:
 - 6.4.4.1 Disclosure of actual or potential conflict of interest and ethics issues;
 - 6.4.4.2 Waiver of any disclosed conflict of interest and ethics issues;
 - 6.4.4.3 Other measures to eliminate or mitigate conflict of interest or ethics issues, including the costs of such measures;

LACERA
Board of Retirement Charter

- 6.4.4.4 The prudence of contracting, doing business, or taking other action on behalf of LACERA with any Third-Party with conflict or interest or ethics issues;
- 6.4.4.5 Contract provisions and other means of ensuring against, monitoring, and addressing future conflict of interest and ethics issues of Third-Parties;
- 6.4.4.6 Violations by any Third-Party of LACERA's Conflict of Interest Code and Code of Ethical Conduct; and,
- 6.4.4.7 Such other conflict of interest and ethics issues as may arise relating to Third-Parties.

6.4.5 LACERA Staff

- 6.4.5.1 LACERA Staff Other Than the CEO. In that conflict of interest and ethics issues relating to staff other than the CEO may implicate Civil Services Rules, rights of privacy, and other employee rights, staff conflict of interest and ethics issues will be addressed by the BOR on an individual basis based upon consultation with the CEO, Human Resources, and Legal Counsel. The BOR will make recommendations concerning staff training on conflict of interest and ethics issues relating to the BOR's areas of responsibility.
- 6.4.5.2 CEO. Oversee conflict of interest and ethics issues with regard to the CEO. The BOR's discussion will take place in executive session to the extent permitted under the Brown Act given the circumstances of each individual issue. The Chair of the BOR will inform the Chair of the BOI of such issues and coordinate with the BOI as appropriate under the circumstances.

LACERA
Board of Retirement Charter

7 Expectations of Committees

To be effective as a Committee, Committees should:

- 7.1 Develop and pursue agendas to make timely and substantive progress on issues within the Committee's area of responsibility, as described in their charters, with the goal of providing effective assistance to the full BOR in pursuing its work;
- 7.2 Provide ample opportunity for input by all trustees both verbally and in writing (even if they are not members of the Committee) during the course of its deliberations;
- 7.3 Once a decision has been made and recommended by the Committee, the Committee chair shall oversee staff in the preparation of executive summaries to the full BOR of issues considered by the Committee. Such summaries should include a high-level description of:
 - The issue(s) being addressed;
 - A brief background;
 - The due diligence process used to arrive at the Committee's recommendation;
 - The options available to the BOR (the least it could do to the most it could do);
 - The pros and cons of the main options, including sharing dissenting opinions when making recommendations to the BOR;
 - The risks of action and inaction; and,
 - Its recommendations to the BOR.

8 Charter Review

- 8.1 BOR shall review and update this charter at least once every three years.
- 8.2 This Charter was adopted by the Board of Retirement on April 13, 2017, and revised on May 7, 2020.

EXHIBIT C
Fiduciary Counsel
Opinion Letters

August 21, 2020

Steven P. Rice
Chief Counsel
Los Angeles County Employees Retirement Association
P.O. Box 7060
Pasadena, CA, 91109-7060

Via E-Mail

RE: Conforming Changes to Board of Retirement (BOR) Charter in Light of Independent Audit Committee Charter

Dear Steve:

This is a slightly revised version of our letter that we originally provided to you on July 27, 2020. You have asked us for our views with respect to what changes, if any, should be made to the BOR Charter in light of the adoption by the BOR and the Board of Investments (BOI) of a charter for its Audit Committee that provides, among other matters, for Committee determination of the appointment, compensation and work of the Financial Auditor hired to audit LACERA's financial statements. We understand that the intent of the BOR in adopting the Committee charter was to establish the Committee as an "independent" committee in accordance with applicable accounting standards of the Institute of Internal Auditors. You have also asked us to address any suggested revisions in the context of Government Code section 31593, which provides in relevant part that "The retirement board shall conduct an audit of the retirement system at least every 12 months and report upon its financial condition. The retirement board may retain the services of a certified public accountant to perform the annual audit."

We have reviewed the LACERA BOR and Audit Committee charters. In addition, we have examined the Board and Committee Charters and/or policies for another CERL system that also provides for an independent audit committee—the San Diego County Employees' Retirement Association (SDCERA). Based on that review, we believe it is sufficient for LACERA's purposes to:

- 1) Amend Section 6, subsection 2.1 in the BOR charter to read: "Ensure that a financial audit is conducted under the oversight of the Audit Committee at least annually and oversee Internal Audit reports referred by the Audit Committee or BOR Standing Committee"; and
- 2) Delete subsection 2.3 of Section 6 from the BOR charter.

We note that with respect to compliance with Government Code section 31593, SDCERA'S Policy on the Role of the Retirement Board at section II E (1) (d) includes language similar to what we have suggested above, and we believe that this language serves to fulfill the Government Code requirement.

Steven P. Rice
August 21, 2020
Page 2

Please let us know if you need any further assistance with respect to this matter.

Best regards.

OLSON REMCHO, LLP

A handwritten signature in blue ink, appearing to read "C. Waddell", with a long horizontal flourish extending to the right.

Christopher W. Waddell, Senior Attorney

CW

Olson | Remcho

July 27, 2020

Steven P. Rice
Chief Counsel
Los Angeles County Employees Retirement Association
P.O. Box 7060
Pasadena, CA, 91109-7060

Via E-Mail

RE: Conforming Changes to Board of Retirement (BOR) Charter in Light of Independent Audit Committee Charter

Dear Steve:

You have asked us for our views with respect to what changes, if any, should be made to the BOR Charter in light of the adoption by the BOR and the Board of Investments (BOI) of a charter for its Audit Committee that provides, among other matters, for Committee of the appointment, compensation and work of the Financial Auditor hired to audit LACERA's financial statements. We understand that the intent of the BOR in adopting the Committee charter was to establish the Committee as an "independent" committee in accordance with applicable accounting standards of the Institute of Internal Auditors. You have also asked us to address any suggested revisions in the context of Government Code section 31593, which provides that "The retirement board shall conduct an audit of the retirement system at least every 12 months and report upon its financial condition."

We have reviewed the LACERA BOR and Audit Committee charters. In addition, we have examined the Board and Committee Charters and/or policies for two California public retirement systems of which we are aware that also provide for an independent audit committee—the San Diego County Employees' Retirement Association (SDCERA) and the California State Employees Retirement System (CalSTRS). Based on that review, we believe it is sufficient for LACERA's purposes to:

- 1) Amend Section 6, subsection 2.1 in the BOR charter to read: "Ensure that a financial audit is conducted at least annually and oversee Internal Audit reports referred by the Audit Committee or BOR Standing Committee; and
- 2) Delete subsection 2.3 of Section 6 from the BOR charter.

We note that with respect to compliance with Government Code section 31593, SDCERA'S Policy on the Role of the Retirement Board at section II E (1) (d) includes language similar to what we have suggested above, and we believe that this language serves to fulfill the Government Code requirement.

We also believe that the Board may wish to consider adding the following clause to the first sentence of the second paragraph of Section 2, following "CERL": "...appoint a committee to

Long Beach
555 E. Ocean Blvd, Ste. 420
Long Beach, CA 90802

Sacramento
555 Capitol Mall, Ste. 400
Sacramento, CA 95814

Oakland
1901 Harrison St., Ste. 1550
Oakland, CA 94612

Steven P. Rice

July 27, 2020

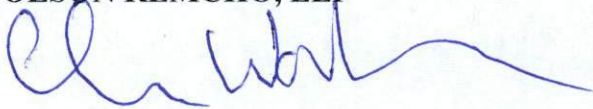
Page 2

perform any act within the power of the Board itself to perform,...” This language is similar to language from CalSTRS’ Board Policy Manual at page 7. We think the addition of such language would make clear that the Board can delegate its power and authority to a committee, which it has done in this case with respect to the Audit Committee.

Please let us know if you need any further assistance with respect to this matter.

Best regards.

OLSON REMCHO, LLP



Christopher W. Waddell, Senior Attorney

CW

August 30, 2020

To: Trustees,
Board of Investments
Board of Retirement

From: Wayne Moore
Trustee

Date: Board of Investments Meeting on September 9, 2020
Board of Retirement Meeting on October 7, 2020

Subject: Equity Initiative

Our country is at an inflection point and we are struggling trying to figure things out. We are struggling to get control of COVID-19. We are struggling to resuscitate a wrecked economy and we are facing an overwhelming demand for social and economic justice. No institutions are immune from these pressures. While we may believe the state Probate Code and the Uniform Prudent Investor Act is a sea wall around LACERA, these institutional tools of stability alone are not enough to help us navigate the currents and unexpected gales we face. Today I am offering an Investment Equity Initiative through separate motions to the Board of Investments and the Board of Retirement.

I believe the Investment Equity Initiative will set LACERA apart from other pension funds. We cannot end the pandemic or change our economy, but we can chart our own independent course to face the calls for social and economic justice and enhance the utility of our assets for our plan participants and stakeholders. The Investment Equity Initiative has two objectives:

1. To build a pipeline for minority and female high school and college students into careers in the financial services industry; and
2. To increase opportunities for minority and women owned businesses to provide asset management, advisory and other financial services to LACERA while confronting unconscious biases.

These objectives can be accomplished at a very small cost to LACERA that I believe can be easily accommodated within the current administrative budget. Accomplishing these objectives will benefit plan participants, their children and extended families. They will be able to benefit by taking advantage of the career pipeline as well as employment and contracting opportunities generated by the management and administration of their retirement savings. Accomplishing these objectives will in no way undermine the LACERA Boards fiduciary obligations as none of the strategies proposed conflict with current practices.

Attached is an Investment Equity Initiative report, with notes, providing background, justification and rationale for the Initiative's outcomes objectives. Also attached are two motions for each LACERA Board providing staff directions to take these summarized actions:

Board of Investment

1. Expand LACERA's outreach to minority and women owned businesses in the financial services and investment community.
2. Provide equitable opportunities to qualified investment firms and investment professionals with varied backgrounds to manage LACERA investment assets and provide advisory or other financial services
3. Provide equitable opportunities with respect to the amount of assets managed by these same firms.

Board of Retirement

1. Expand LACERA's outreach to Los Angeles County employee groups, organizations, other community-based organizations, and LACERA's business partners to help engage, educate, and provide equity in opportunity to local high school and college to learn about pension fund administration, asset management and career opportunities in those fields.
2. Provide equity in opportunity to qualified firms and individuals with varied backgrounds to compete to provide goods and services in support of LACERA's fund administration and operations.

Respectfully submitted,

Wayne Moore

Nationwide, more than 25% of public sector employees are minorities and 59% are women. In 2019 public defined benefit pension plans had an estimated \$4.8 trillion in assetsⁱ generating in excess of \$30 billion annuallyⁱⁱ in management fees. However, less than 2% of those assets are managed by minority or women owned companiesⁱⁱⁱ. This is the industry norm.

The annual fees for managing these assets accrue to a powerful, elite and racially homogeneous industry that is a major source of wealth creation in our society. Forbes magazine recently reported the financial services industry created more wealth than any other industry in recent years^{iv}. Left unchecked, this dynamic will constrain the opportunity for minority owned companies to help close the racial wealth gap. Change is slow and difficult as our institutions lean towards maintaining the status quo. LACERA is too small to change those dynamics, but the steps outlined in the Investment Equity Initiative are designed to initiate changes we can control.

LACERA is an integral part of the Los Angeles County community. Los Angeles County is one of the largest employers in the state. If LACERA were an employer we would be the 5th largest employer in the County. In fiscal year 2019 LACERA paid out \$3.4 billion in retirement benefits to 66,000 beneficiaries^v. As a major economic activity generator in the County, LACERA is positioned to be even more impactful while still meeting its primary fiduciary obligations.

LACERA's active plan participants are more than 78% minority and 60% female. Yet, less than 10 percent of its more than 200 asset managers and other contractors are minority or women-owned companies^{vi}. In fiscal year 2019, LACERA paid more than \$350 million in fees to asset managers^{vii}. Minority and women owned firms received less than 10% of those fees.

LACERA's asset managers, advisors and other service providers need to be more representative of its plan participant demographics. Plan participants expect to receive promised retirement benefits, but they also deserve access to the benefits generated from managing and investing their savings. Those benefits should be accessible to their legacy. This will not occur by happenstance. There must be an intentional and persistent willingness to make opportunities available for minority and women owned companies to access our portfolio. That can happen if we decide on our own to make that happen.

Researchers have confirmed two major observations about minority and female participation in the financial services industry. First, multiple studies have identified a statistically significant positive correlation between management team diversity and financial outcomes across business models and industries. Consequently, diversity in asset management teams has gained currency. Second, race still influences asset allocation decisions even when performance is strong. In other words there is still the unconscious perception that given the same level of performance, a white led firm is perceived as less risky than a black led firm^{viii}. Our society must push back against the

status quo and find ways to overcome unconscious biases so we can improve financial performance and address real economic disparities and the racial wealth gap.

The Investment Equity Initiative addresses these issues in two integrated streams of actions:

1. Outreach and collaboration with our civic and business communities to build pipelines for minorities and women to careers in the financial services industry; and
2. Developing strategies to increase opportunities for minority and women owned businesses to provide asset management, advisory and other financial services to LACERA while confronting unconscious biases.

There is little cost to these recommendations, only the commitment to implement them.

First, LACERA could expand its current relationships with local high schools and universities to expose and educate these student populations to careers in pension fund operations and administration and in the asset management industry. LACERA already has relationships with national universities and the National Association of Securities Professionals. These relationships provide a limited number of high school students a chance to visit LACERA annually to get an introduction to LACERA and its mission. The university relationships provide internships for graduate and undergraduate students. LACERA should expand these types of relationships by outreaching to County employee associations such as the African American, Chicano and Retired Employee Associations and community based organizations such as Communities in Schools, the Bridge Builders Foundation and the California Community Foundation. These organizations implement and fund programs that support career planning and industry exposure for high school and college students in Los Angeles County. These kinds of relationships can be leveraged to magnify LACERA's positive presence in the County. LACERA could also strengthen its relationships with local colleges and universities. Over the next 10 years LACERA will likely be a \$100 billion fund. With the continuing advances in data processing and analytics, LACERA will be in a position to assume more internal investing and investment administrative activities. Positioning our local college students for these types of career opportunities by maintaining annual cohorts of interns benefit our plan participants, their families, and our community. LACERA does not have to provide all of these internships because we have business partners to collaborate with.

LACERA could reach out to its business partners. Many of these companies have outreach programs and are already active in our community. Through engagement, we might leverage what they are already doing with our own objectives. Collaboration models such as collective impact organize and align public, private and non-profit organizations to leverage resources for greater impact in addressing problems and needs. For example, AEGWorldwide partnered with two community based organizations and developed a 6 week job shadowing program for high school seniors and recruited an enviable list of downtown LA businesses to each support the program for only a few days during that 6 weeks. LACERA could leverage its business relationships to assist

in educating and informing students about their industries and provide other supportive services to high school and college students.

The absence of diversity in our portfolio is a financial risk. Multiple studies^{ix} have confirmed diversity is a value added proposition. In our proposal evaluation process, the diversity of management teams and company leadership is an important factor. We should consider making diversity and inclusion a significant component of our criteria and scoring system for ranking potential asset managers and service providers for our portfolio.

Advisors act as intermediaries between investors and asset managers. Consequently, they occupy an influential gatekeeping position. They control access to our portfolio from the industries they monitor. LACERA should require increased and monitored levels of engagement by advisors with minority and women owned firms. We should also require advisors to meet with and maintain a minimum ongoing level of contact and due diligence activities in the industry consistent with industry demographics and our objectives. Periodic evaluations must be conducted to insure the pool of asset manager candidates our advisors recommend are representative of the market. We know empirically that talented minority and female entrepreneurs are in the market, we must confirm the effort to identify them and include them in our talent pool is robust.

As large assets managers have been consolidating into mega firms and controlling large pools of capital, they are opening up a pathway for smaller investment managers. A recent McKinsey study^x posited a path forward for asset allocators that takes advantage of this opportunity to focus on building a more diverse portfolio of talent. As we continue to grow our assets, LACERA might consider directly managing small pools of capital to allocate to smaller assets managers in active markets. These managers could focus on specialized niche opportunities and take advantage of new technology enabled strategies. They might also be willing to form new partnerships and alliances to take advantage of their flexibility and special expertise to create and gain access to new investment opportunities. Allocating an initial 1 to 2% of LACERA assets to actively invest in the future is a more intermediate term strategy, but is consistent with our current strategic plan. This is an opportunity to intentionally seek out minority and women owned businesses to diversity our talent portfolio.

Finally, our commitment to equity, diversity and inclusion must not be just words but deeds. None of the recommended actions cited above can be successful unless we recognize that implicit or unconscious biases can undermine our efforts to change our environment to be more equitable, inclusive and successful. Social scientists have widely agreed that implicit biases are a major and unintended cause of discrimination in our society. All people have biases they are largely unaware of. However these biases influence decision-making. There are no off-the-shelf solutions or training programs that will eliminate unconscious bias from impacting our decision making. We cannot however, ignore the impact bias driven decisions have on social and economic outcomes. A recent study^{xi} estimated that by closing the racial wealth gap, the US GDP could be 4 to 6 percent (\$1-1.5 trillion) higher by 2028. Recognizing the impact of this problem and correcting it should lead us to monitor our decision making and explore

research that can help guide us on a different path. We can start by reaching out and exposing our staff on a continuing basis to underrepresented business communities through regular attendance at conferences and educational forums. We can collect data on our own decisions and outcomes to identify potential biases in our decision making processes. We can use that information to begin changing our processes and organizational culture with the expressed intention to change the status quo. No one is responsible for change but us.

ⁱ Investment Company Institute. 2020. "The US Retirement Market, First Quarter 2020"(June). www.ici.org/info/ret_2-_q1_data.xls.

ⁱⁱ There is no reliable source for this estimate so 70 basis points was used to estimate a fee range

ⁱⁱⁱ 2018 Diverse Asset Management Firm Assessment Final Report January 2019, Professor Josh Lerner, Harvard Business School, Ann Leamon, Bella Private Markets, Richard Sessa, Bella Private Markets, Rahat Dewan, Bella Private Markets, Samuel Holt, Bella Private Market

^{iv} Forbes Magazine Oct 6, 2019, 06:00am EDT, How America's Rich Get So Rich, Will Yakowicz Forbes Staff

^v LACERA 2019 Annual Financial Report

^{vi} LACERA staff was not able to provide exact numbers because the definition of "minority owned" is not standard and information about ownership is not transparent. Minority in this report means 51% ownership by Black, non-white Hispanic or Asian American owners. It does not include first generation immigrants.

^{vii} LACERA staff did not provide fee information beyond the 2019 AB-2833 report which represents about 80% of fees based on a comprehensive analysis of fiscal 2017 fees. This report extrapolated a 2019 fee estimate based on these sources

^{viii} Proceedings of the National Academy of Sciences of the United States of America - Race influences professional investors' financial judgments Sarah Lyons-Padilla^{a,1}, Hazel Rose Markus^a, Ashby Monk^b, Sid Radhakrishnan^c, Radhika Shah^c, Norris A. "Daryn" Dodson^{IVc}, and Jennifer L. Eberhardt^{a,1}

^a Stanford SPARQ, Stanford University, Stanford, CA 94305; ^b Global Projects Center, Stanford University, Stanford, CA 94305; and ^c Illumen Capital, Oakland, CA 94612 Contributed by Jennifer L. Eberhardt, May 9, 2019

^{ix} McKinsey & Co - Diversity Matters Vivian Hunt Dennis Layton Sara Prince February 2, 2015; Harvard Business Review - How and Where Diversity Drives Financial Performance by Rocio Lorenzo and Martin Reeves January 30, 2018

^x McKinsey & Co North American asset management in 2018: The New Great Game Authored by: Pooneh Baghai Onur Erzan Ju-Hon Kwek

^{xi} **process an important factor is** McKinsey & Co - The economic impact of closing the racial wealth gap Authors Nick Noel, Duwain Pinder, Shelley Stewart III, Jason Wright August 2019

Motion for Board of Investments

The Los Angeles County Employees Retirement Association (LACERA) Board of Investment (BOI) values diversity and inclusion at LACERA and in our community and believes that effectively accessing and managing diverse talent across the organization leads to improved outcomes. Therefore, I move that the LACERA BOI adopt an Investment Equity Initiative consistent with BOI's Investment Policy and Principles and instruct staff to establish 3, 5 and 10 year plans to:

1. Expand LACERA's outreach to minority and women owned businesses in the financial services and investment community with the intention of increasing the diversity of the talent pool available to LACERA by including groups historically excluded by age, race, ethnicity, disabilities, religion, sexual orientation, gender identity, marital status, political ideology or other attributes not germane to providing investment, advisory or other financial services to LACERA;
2. Provide equitable opportunities to qualified investment firms and investment professionals with varied backgrounds to manage LACERA investment assets and provide advisory or other financial services without regard to age, race, ethnicity, disabilities, religion, sexual orientation, gender identity, marital status, political ideology or other attributes not germane the ability to perform those services;
3. Provide equitable opportunities with respect to the amount of assets managed by these same qualified and diverse investment firms whose ownership and employee demographics are reflective of the diversity principles set forth above and of the active employees and retirees of Los Angeles County; and
4. Return to the BOI within 90-days to present an implementation roadmap with action steps.

The plan must comply with all LACERA and local government policies and ordinances, as well as State and Federal Laws. The plan will address the availability, evaluation process, and utilization of groups and business firms with varied backgrounds. The long term objectives of the plan will be an increase the diversity of the investment firms and investment professionals with varied backgrounds managing LACERA investment assets and providing advisory and other financial services to LACERA; and a corresponding increase in the amount of funds managed by these same companies.

The plan will require regular demographic reporting to the BOI on outreach, and the number of investment managers, advisors and financial service providers engaged and the number given an opportunity to participate in the management of LACERA's Investment Plan.

Motion for Board of Retirement

The Los Angeles County Employees Retirement Association (LACERA) Board of Retirement (BOR) values diversity and inclusion at LACERA and in our community and believes that effectively accessing and managing diverse talent across the organization leads to improved outcomes. I therefore move that the LACERA BOR adopt an Administrative Equity Initiative consistent with BOI's Investment Policy and Principles and instruct staff to establish 3, 5 and 10 year plans to:

1. Expand LACERA's outreach to Los Angeles County employee groups, organizations, other community-based organizations, and LACERA's business partners, including the financial services and investment community to help engage, educate, and provide equity in opportunity to local high school and college students with varied backgrounds, including but not limited to race, ethnicity, disabilities, religion, sexual orientation, gender identity, political ideology, and culture to learn about pension fund administration, asset management and career opportunities in those fields.
2. Provide equity in opportunity to qualified firms and individuals with varied backgrounds, including but not limited to age, race, ethnicity, disabilities, religion, sexual orientation, gender identity, marital status, political ideology, ethnicity and culture to compete to provide goods and services in support of LACERA's fund administration and operations.
3. Return to the BOR within 60-days to present an implementation roadmap with action steps for consideration.


The plan must comply with all LACERA and local government policies and ordinances, as well as State and Federal Laws. The long term objective of the plan will be an increase in the number high school and college students that LACERA and its business partners expose to public pension fund management and operations and that number reflects the demographics of the active and retired employees of Los Angeles County; and an increase the diverse ownership of the companies that provide goods and services in support of LACERA's fund administration and operations.

The plan will require regular demographic reporting to the BOR LACERA's community outreach efforts including the number of minority, women, LGBTQ and other underrepresented groups participating and benefitting from opportunities provided through the program managed by LACERA.

FOR INFORMATION ONLY

September 28, 2020

TO: Each Trustee
Board of Retirement
Board of Investments

FROM: Barry W. Lew 
Legislative Affairs Officer

FOR: October 7, 2020 Board of Retirement Meeting
October 14, 2020 Board of Investments Meeting

SUBJECT: **Monthly Status Report on Legislation**

Attached is the monthly report on the status of legislation that staff is monitoring or on which LACERA has adopted a position.

Reviewed and Approved:



Steven P. Rice, Chief Counsel

Attachments

LACERA Legislative Report Index
LACERA Legislative Report

cc: Santos H. Kreimann
JJ Popowich
Steven P. Rice
Jon Gabel
Anthony J. Roda, Williams & Jensen
Joe Ackler, Ackler & Associates

LACERA Legislative Report
 2019-2020 Legislative Session
 Status as of July 22, 2020

STATE - ASSEMBLY BILLS	TITLE	PAGE
AB 196.....	Workers' Compensation: Coronavirus.....	10
AB 664.....	Workers' Compensation: Injury: Communicable Disease.....	10
AB 685.....	Occupational Safety: COVID 19.....	11
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File name: CERL-PEPRA-2020	
CA AB 2101	<p>AUTHOR: Public Employment and Retirement Cmt TITLE: Public Employees Retirement INTRODUCED: 02/06/2020 LAST AMEND: 08/07/2020 DISPOSITION: To Governor SUMMARY: Amends existing law relating to the State Teachers' Retirement System. Removes the requirement that the election for continued defined benefit coverage be filed with the other public retirement system. Includes as creditable service activities performed for an employer by an audiometrist who holds a certificate of registration issued by the State Department of Health Care Services. Defines leave of absence. STATUS: 09/10/2020 *****To GOVERNOR.</p>
CA AB 2937	<p>AUTHOR: Fong [R] TITLE: CERL: Non-Service-Connected Disability Retirement INTRODUCED: 02/21/2020 DISPOSITION: Failed - Adjourned SUMMARY: Creates an optional provision, to be elected by a county board of supervisors by resolution adopted by majority vote, that would remove the retirement board's assessment regarding the intemperate use of alcoholic liquor or drugs as a condition on the purchase of a disability retirement pension by county or district contributions. STATUS: 03/05/2020 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT. Comments: SACRS-sponsored bill based on LACERA's proposal. BOR_Position: Support 04/09/2020 Staff_Recommendation: Support</p>
CA SB 430	<p>AUTHOR: Wieckowski [D] TITLE: Public Employees Retirement Benefits: Judges INTRODUCED: 02/21/2019 LAST AMEND: 05/17/2019 DISPOSITION: Failed - Adjourned SUMMARY: Relates to the State Public Employees' Pension Reform Act of 2013. Grants a judge who was elected to office in a specific year the option of making a one-time, irrevocable election to have a membership status prior to a certain date in the Judges' Retirement System II for service accrued after a certain date. STATUS: 06/26/2019 In ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT: Not heard. Staff_Action: Monitoring</p>
CA SB 783	<p>AUTHOR: Labor, Public Employment & Retirement Cmt</p>

TITLE: County Employees Retirement Law of 1937
INTRODUCED: 03/07/2019
DISPOSITION: Failed - Adjourned
SUMMARY:
Corrects several erroneous and obsolete cross references within the County Employees Retirement Law of 1937.
STATUS:
05/16/2019 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

Comments:
SB 783 was folded into AB 2101 to create a combined clean-up bill with CalPERS and CalSTRS.
Staff_Action: Monitoring

CA SB 1297

AUTHOR: Moorlach [R]
TITLE: Public Employees' Retirement
INTRODUCED: 02/21/2020
DISPOSITION: Failed - Adjourned
SUMMARY:

Relates to the Public Employees' Retirement System, the State Teachers' Retirement System, the Judges' Retirement System, the Judges' Retirement System II, county and district retirement systems created pursuant to the County Employees' Retirement Law of 1937. Revises the provision of pension and other benefits to members of all state or local public retirement systems, among others.

STATUS:
03/05/2020 To SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT.
Staff_Action: Monitoring

CA SB 1371

AUTHOR: Judiciary Cmt
TITLE: Maintenance of the Codes
INTRODUCED: 02/21/2020
DISPOSITION: To Governor
SUMMARY:

Makes nonsubstantive changes in various provisions relative to directing the Legislative Counsel to advise the Legislature from time to time as to legislation necessary to maintain the Codes.

STATUS:
09/10/2020 *****To GOVERNOR.
Comments:

Makes nonsubstantive change to CERL Section 31631.5 per Legislative Counsel's recommendation.

Staff_Action: Monitoring

File name: FEDERAL-Covid-19

US HR 266

SPONSOR: McCollum [D]
TITLE: Paycheck Protection Program and Health Care Enhancement
INTRODUCED: 01/08/2019
LAST AMEND: 04/21/2020
DISPOSITION: Enacted
SUMMARY:

Makes amendments to the Paycheck Protection Program, economic injury

disaster loans, and emergency grants pursuant to the Coronavirus Aid, Relief, and Economic Security Act; relates to small business programs; makes additional emergency appropriations for coronavirus response.

STATUS:

04/23/2020 *****To PRESIDENT.
04/24/2020 Signed by PRESIDENT.
04/24/2020 Public Law No. 116-139

US HR 748

SPONSOR: Courtney [D]
TITLE: CARES Act
INTRODUCED: 01/24/2019
LAST AMEND: 03/25/2020
DISPOSITION: Enacted
SUMMARY:

Enacts the Coronavirus Aid, Relief, and Economic Security, or CARES, Act; provides emergency assistance and health care response for individuals, families, and businesses affected by the 2020 coronavirus pandemic.

STATUS:

03/27/2020 In HOUSE. HOUSE concurred in SENATE amendments.
03/27/2020 *****To PRESIDENT.
03/27/2020 Signed by PRESIDENT.
03/27/2020 Public Law No. 116-136

US HR 6074

SPONSOR: Lowey [D]
TITLE: Coronavirus Preparedness and Response Appropriations
INTRODUCED: 03/04/2020
DISPOSITION: Enacted
SUMMARY:

Establishes the Coronavirus Preparedness and Response Supplemental Appropriations Act; makes emergency supplemental appropriations in response to the outbreak of the Coronavirus.

STATUS:

03/06/2020 Public Law No. 116-123

US HR 6201

SPONSOR: Lowey [D]
TITLE: Families First Coronavirus Response Act
INTRODUCED: 03/11/2020
LAST AMEND: 03/14/2020
DISPOSITION: Enacted
SUMMARY:

Provides for the Families First Coronavirus Response Act; provides specified supplement appropriations.

STATUS:

03/18/2020 Public Law No. 116-127

US HR 6800

SPONSOR: Lowey [D]
TITLE: HEROES Act
INTRODUCED: 05/12/2020
DISPOSITION: Pending
SUMMARY:

Provides for the HEROES Act.

STATUS:

07/23/2020 In SENATE Committee on SMALL BUSINESS AND

	Staff_Action:	ENTREPRENEURSHIP: Hearings held. Monitoring
US S 3607	SPONSOR:	Grassley [R]
	TITLE:	Public Safety Officer Death Benefits
	INTRODUCED:	05/05/2020
	LAST AMEND:	05/14/2020
	DISPOSITION:	Enacted
	SUMMARY:	Extends public safety officer death benefits to public safety officers whose death is caused by COVID-19.
	STATUS:	
	08/14/2020	Public Law No. 116-157
	Staff_Action:	Monitoring
US S 3608	SPONSOR:	Kennedy [R]
	TITLE:	CARES Act Funds Flexibility
	INTRODUCED:	05/05/2020
	DISPOSITION:	Pending
	SUMMARY:	Amends the CARES Act; provides flexibility in use of funds by states, Indian Tribes, and municipalities.
	STATUS:	
	05/05/2020	INTRODUCED.
	05/05/2020	In SENATE. Read second time.
	05/05/2020	To SENATE Committee on APPROPRIATIONS.
	Comments:	
	Would prohibit any	federal aid to be provided directly to state pension funds.
	Staff_Action:	Monitoring
US S 3752	SPONSOR:	Menendez [D]
	TITLE:	Coronavirus Local Community Stabilization Fund
	INTRODUCED:	05/18/2020
	DISPOSITION:	Pending
	SUMMARY:	Amends Title VI of the Social Security Act; establishes a Coronavirus Local Community Stabilization Fund.
	STATUS:	
	06/02/2020	In SENATE Committee on BANKING, HOUSING AND URBAN AFFAIRS: Hearings held.
	Comments:	
	Would prohibit use of federal funds authorized by this bill by states for pension funds.	
	Staff_Action:	Monitoring
US S 4317	SPONSOR:	Cornyn [R]
	TITLE:	SAFE TO WORK Act
	INTRODUCED:	07/27/2020
	DISPOSITION:	Pending
	SUMMARY:	Provides for the SAFE TO WORK Act.
	STATUS:	
	07/27/2020	INTRODUCED.

07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on JUDICIARY.

Comments:

One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).

Staff_Action: Monitoring

US S 4318

SPONSOR: Grassley [R]
TITLE: American Workers Families and Employers Assistance Act
INTRODUCED: 07/27/2020
DISPOSITION: Pending
SUMMARY:

Provides for the American Workers, Families, and Employers Assistance Act.

STATUS:

07/27/2020 INTRODUCED.
07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on FINANCE.

Comments:

One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).

Staff_Action: Monitoring

US S 4319

SPONSOR: Scott T [R]
TITLE: Supporting Americas Restaurant Workers Act
INTRODUCED: 07/27/2020
DISPOSITION: Pending
SUMMARY:

Provides for the Supporting America's Restaurant Workers Act.

STATUS:

07/27/2020 INTRODUCED.
07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on FINANCE.

Comments:

One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).

Staff_Action: Monitoring

US S 4320

SPONSOR: Shelby [R]
TITLE: Coronavirus Response Supplemental Appropriations
INTRODUCED: 07/27/2020
DISPOSITION: Pending
SUMMARY:

Provides for the Coronavirus Response Additional Supplemental Appropriations Act, 2020.

STATUS:

07/27/2020 INTRODUCED.
07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on APPROPRIATIONS.

Comments:

One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).

Staff_Action: Monitoring

US S 4321 **SPONSOR:** Rubio [R]
TITLE: Continuing Small Business Recovery
INTRODUCED: 07/27/2020
DISPOSITION: Pending
SUMMARY:
Provides for the Continuing Small Business Recovery and Paycheck Protection Program Act.
STATUS:
07/27/2020 INTRODUCED.
07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on SMALL BUSINESS AND ENTREPRENEURSHIP.
Comments:
One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).
Staff_Action: Monitoring

US S 4322 **SPONSOR:** Alexander L [R]
TITLE: Safely Back to School and Back to Work Act
INTRODUCED: 07/27/2020
DISPOSITION: Pending
SUMMARY:
Provides for the Safely Back to School and Back to Work Act.
STATUS:
07/27/2020 INTRODUCED.
07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on HEALTH, EDUCATION, LABOR AND PENSIONS.
Comments:
One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).
Staff_Action: Monitoring

US S 4323 **SPONSOR:** Romney [R]
TITLE: TRUST Act of 2020
INTRODUCED: 07/27/2020
DISPOSITION: Pending
SUMMARY:
Provides for the TRUST Act of 2020.
STATUS:
08/03/2020 In SENATE. Discharged from SENATE Committee on FINANCE.
08/03/2020 To SENATE Committee on HOMELAND SECURITY AND GOVERNMENTAL AFFAIRS.
Comments:
One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).
Staff_Action: Monitoring

US S 4324 **SPONSOR:** Graham [R]
TITLE: Critical Supply Chains and Intellectual Property Act
INTRODUCED: 07/27/2020
DISPOSITION: Pending

SUMMARY:

Provides for the Restoring Critical Supply Chains and Intellectual Property Act.

STATUS:

07/27/2020 INTRODUCED.
07/27/2020 In SENATE. Read second time.
07/27/2020 To SENATE Committee on FINANCE.

Comments:

One of eight individual bills that is part of the Health, Economic Assistance, Liability Protection and Schools Act (HEALS Act).

Staff_Action: Monitoring

File name: Federal-2020

US HR 141	SPONSOR: Davis R [R] TITLE: Government Pension Offset Repeal INTRODUCED: 01/03/2019 DISPOSITION: Pending SUMMARY: Amends Title II of the Social Security Act; repeals the Government pension offset and windfall elimination provisions. STATUS: 01/31/2019 In HOUSE Committee on WAYS AND MEANS: Referred to Subcommittee on SOCIAL SECURITY. BOR_Position: Support 04/11/2019 IBLC_Recommendation: Support 03/14/2019 Staff_Recommendation: Support
US HR 3934	SPONSOR: Brady K [R] TITLE: Windfall Elimination Provision Replacement INTRODUCED: 07/24/2019 DISPOSITION: Pending SUMMARY: Amends Title II of the Social Security Act; replaces the windfall elimination provision with a formula equalizing benefits for certain individuals with non-covered employment. STATUS: 07/24/2019 INTRODUCED. 07/24/2019 To HOUSE Committee on WAYS AND MEANS. BOR_Position: Support 02/05/2020 IBLC_Recommendation: Watch 01/09/2020 Staff_Recommendation: Watch
US HR 4540	SPONSOR: Neal [D] TITLE: Non Covered Employment Social Security Provision INTRODUCED: 09/27/2019 DISPOSITION: Pending SUMMARY: Provides an equitable Social Security formula for individuals with non covered employment; provides relief for individuals currently affected by the Windfall Elimination Provision. STATUS: 09/27/2019 INTRODUCED. 09/27/2019 To HOUSE Committee on WAYS AND MEANS. BOR_Position: Support 02/05/2020

IBLC_Recommendation: Watch 01/09/2020
Staff_Recommendation: Watch

US HR 4897

SPONSOR: Lipinski [D]
TITLE: Governmental Retirement Plans Income
INTRODUCED: 10/29/2019
DISPOSITION: Pending
SUMMARY:

Amends the Internal Revenue Code; increases the amount excluded from gross income by reason of distributions from governmental retirement plans for health and long term care insurance for public safety officers.

STATUS:

10/29/2019 INTRODUCED.
10/29/2019 To HOUSE Committee on WAYS AND MEANS.

Comments:

Would increase the current Public Safety Officer tax exclusion from \$3,000 to \$6,000.

Staff_Action: Monitoring

US HR 6436

SPONSOR: Chabot [R]
TITLE: Health Plans Direct Payment Requirement
INTRODUCED: 04/03/2020
DISPOSITION: Pending
SUMMARY:

Amends the Internal Revenue Code; repeals the direct payment requirement on the exclusion from gross income of distributions from governmental plans for health and long term care insurance.

STATUS:

04/03/2020 INTRODUCED.
04/03/2020 To HOUSE Committee on WAYS AND MEANS.

BOR_Position: Support 07/01/2020

IBLC_Position: Support 06/11/2020

Staff_Recommendation: Support

US S 521

SPONSOR: Brown S [D]
TITLE: Government Pension Offset Repeal
INTRODUCED: 02/14/2019
DISPOSITION: Pending
SUMMARY:

Amends Title II of the Social Security Act; repeals the Government pension offset and windfall elimination provisions.

STATUS:

02/14/2019 INTRODUCED.
02/14/2019 In SENATE. Read second time.
02/14/2019 To SENATE Committee on FINANCE.

BOR_Position: Support 04/11/2019

IBLC_Recommendation: Support 03/14/2019

Staff_Recommendation: Support

File name: Other-2020

CA AB 992

AUTHOR: Mullin [D]
TITLE: Open Meetings: Local Agencies: Social Media
INTRODUCED: 02/21/2019

LAST AMEND: 07/31/2020
DISPOSITION: Enacted
SUMMARY:

Allows a member to engage in separate conversations or communications outside of a meeting authorized by the Ralph M. Brown Act with any other person using an internet based social media platform to answer questions, provide information to the public, or to solicit information from the public regarding a matter that is within the subject matter jurisdiction of the legislative body.

STATUS:

09/18/2020 Chaptered by Secretary of State. Chapter No. 2020-89
Staff_Action: Monitoring

CA AB 1945

AUTHOR: Salas [D]
TITLE: Emergency Services: First Responders
INTRODUCED: 01/17/2020
LAST AMEND: 06/29/2020
DISPOSITION: Enacted
SUMMARY:

Defines first responder, for purposes of the California Emergency Services Act, to include certain personnel. Provides that the definition of first responder does not confer a right to an employee to obtain a retirement benefit formula for an employment classification that is not included in, or is expressly excluded from, that formula.

STATUS:

09/11/2020 Chaptered by Secretary of State. Chapter No. 2020-68
Staff_Action: Monitoring

CA AB 2452

AUTHOR: Garcia [D]
TITLE: State Auditor: Audits: High Risk Local Government
INTRODUCED: 02/19/2020
DISPOSITION: Failed - Adjourned
SUMMARY:

Authorizes the State Auditor to include in the high risk local government agency audit program any local agency or district association that the State Auditor identifies as being at high risk for the potential of waste, fraud, abuse, or mismanagement or that has major challenges associated with its economy, efficiency, or effectiveness.

STATUS:

02/27/2020 To ASSEMBLY Committee on ACCOUNTABILITY AND ADMINISTRATIVE REVIEW.
Staff_Action: Monitoring

CA AB 2473

AUTHOR: Cooper [D]
TITLE: Public Investment Funds
INTRODUCED: 02/19/2020
LAST AMEND: 07/28/2020
DISPOSITION: Failed - Adjourned
SUMMARY:

Exempts from disclosure under California Public Records Act specified records regarding an internally managed private loan made directly by a public investment fund, including quarterly and annual financial statements of the borrower or its constituent owners, unless the information has already been

publicly released by the keeper of the information. Makes nonsubstantive changes to certain other provisions. Defines terms.

STATUS:

08/05/2020 In SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT: Not heard.

Staff_Action: Monitoring

CA AB 3249

AUTHOR: Fong [R]

TITLE: Public Retirement: Controller: Annual Report

INTRODUCED: 02/21/2020

DISPOSITION: Failed - Adjourned

SUMMARY:

Requires the Controller to post the report on the financial condition of all state and local public retirement systems on the Controller's internet website.

STATUS:

03/09/2020 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

Staff_Action: Monitoring

CA ACA 5

AUTHOR: Weber [D]

TITLE: Government Preferences

INTRODUCED: 01/18/2019

LAST AMEND: 05/04/2020

DISPOSITION: Adopted

SUMMARY:

Proposes an amendment to the state Constitution to repeal provisions enacted by the initiative Proposition 209 which prohibits the state from discriminating against, or granting preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity, or national origin, in the operation of public employment, public education, or public contracting.

STATUS:

06/25/2020 Chaptered by Secretary of State.

06/25/2020 Resolution Chapter No. 2020-23

Comments:

On the Nov. 3, 2020 ballot as Proposition 16.

Staff_Action: Monitoring

CA SB 931

AUTHOR: Wieckowski [D]

TITLE: Local Government Meetings: Agenda and Documents

INTRODUCED: 02/05/2020

LAST AMEND: 04/02/2020

DISPOSITION: Failed - Adjourned

SUMMARY:

Requires, if the local agency has an internet website to deliver by email the agendas and documents for local government meetings. Require, where the local agency determines it is technologically infeasible to send a copy of all documents constituting the agenda packet or a website link containing the documents by electronic mail or by other electronic means.

STATUS:

04/02/2020 From SENATE Committee on GOVERNANCE AND FINANCE with author's amendments.

04/02/2020 In SENATE. Read second time and amended. Re-referred to Committee on GOVERNANCE AND FINANCE.

Staff_Action: Monitoring

File name: STATE-Covid-19

CA AB 196 **AUTHOR:** Gonzalez [D]
TITLE: Workers' Compensation: Coronavirus
INTRODUCED: 01/10/2019
LAST AMEND: 08/25/2020
DISPOSITION: Failed - Adjourned
SUMMARY:
Defines injury for certain employees who are employed in an occupation or industry deemed essential except as specified, or who are subsequently deemed essential, to include coronavirus disease that develops or manifests itself during a period of employment of those persons in the essential occupation or industry. Creates a disputable presumption that the injury arose out of and in the course of the employment. Shortens the investigatory timeframe.
STATUS:
09/01/2020 In SENATE. From third reading. To Inactive File.
Staff_Action: Monitoring

CA AB 664 **AUTHOR:** Cooper [D]
TITLE: Workers' Compensation: Injury: Communicable Disease
INTRODUCED: 02/15/2019
LAST AMEND: 08/25/2020
DISPOSITION: Failed - Adjourned
SUMMARY:
Defines injury, for certain state and local firefighting personnel, peace officers, correctional and law enforcement personnel, health care employees who provide direct patient care at an acute care hospital, and fire and rescue services coordinators who work for the Office of Emergency Services to include illness or death resulting from COVID 19 that is diagnosed on or after a specified date, if certain circumstances apply.
STATUS:
08/26/2020 In SENATE. Read second time. To third reading.
Comments:
As amended on 4/17/2020, the bill no longer relates to disability retirement and relates to a presumption under workers' compensation.
BOR_Position: Oppose 06/05/2019, Support 05/01/2019
IBLC_Recommendation: Support 04/11/2019
Staff_Action: Monitoring
Staff_Recommendation: Watch

CA AB 685 **AUTHOR:** Reyes [D]
TITLE: COVID 19: Imminent Hazard to Employees: Exposure
INTRODUCED: 02/15/2019
LAST AMEND: 08/25/2020
DISPOSITION: Enacted
SUMMARY:
Authorizes the Division of Occupational Safety and Health, when, in its opinion, a place of employment, operation, or process, or any part thereof, exposes workers to the risk of infection with severe acute respiratory syndrome, also known as coronavirus, so as to constitute an imminent hazard to employees, to prohibit the performance of that operation or process, or entry into that place of employment.

STATUS:
09/17/2020 Chaptered by Secretary of State. Chapter No. 2020-84

CA AB 1107 **AUTHOR:** Chu [D]
TITLE: Proclaimed State Emergencies
INTRODUCED: 02/21/2019
LAST AMEND: 08/07/2020
DISPOSITION: Failed - Adjourned
SUMMARY:
Requires all proclamations, materials, and announcements made by the Governor or issued by a state agency related to a duly proclaimed state of emergency to be made available statewide in all the threshold languages spoken limited English proficient speakers. Defines the term threshold languages spoken by limited English proficient speakers to mean all MediCal threshold languages spoken by any threshold population group without limitation to county specific thresholds.

STATUS:
08/20/2020 In SENATE Committee on APPROPRIATIONS: Held in committee.
Staff_Action: Monitoring

CA AB 1839 **AUTHOR:** Bonta [D]
TITLE: Coronavirus Recovery Deal
INTRODUCED: 01/06/2020
LAST AMEND: 05/07/2020
DISPOSITION: Failed - Adjourned
SUMMARY:
Enacts the Coronavirus Recovery Deal. Makes a series of legislative findings and declarations pertaining to the coronavirus pandemic and various economic, environmental, and social conditions in the state. States the intent of the Legislature that the state adopt a policy framework with principles and goals committed to accomplish specified economic, environmental, and social objectives and priorities as part of the coronavirus recovery spending.

STATUS:
05/07/2020 From ASSEMBLY Committee on NATURAL RESOURCES with author's amendments.
05/07/2020 In ASSEMBLY. Read second time and amended.
Re-referred to Committee on NATURAL RESOURCES.

Comments:
Would support the inclusion of pensions among other benefits that should be included in new employment opportunities for workers in all sectors who have lost jobs or income as a result of the pandemic.
Staff_Action: Monitoring

CA AB 2496 **AUTHOR:** Choi [R]
TITLE: Income Taxes: Credits: Cleaning Supplies: Coronavirus
INTRODUCED: 02/19/2020
LAST AMEND: 05/04/2020
DISPOSITION: Failed - Adjourned
SUMMARY:
Allows a credit against income taxes to a taxpayer that is a business with a physical location in the state in an amount equal to the costs paid or incurred by the qualified taxpayer during the taxable year for the purchase of cleaning and

sanitizing supplies used at business locations in the state to prevent the transmission of the novel coronavirus.

STATUS:

05/04/2020 From ASSEMBLY Committee on REVENUE AND TAXATION with author's amendments.

05/04/2020 In ASSEMBLY. Read second time and amended.
Re-referred to Committee on REVENUE AND TAXATION.

CA AB 2887

AUTHOR: Bonta [D]
TITLE: Statewide Emergencies: Mitigation
INTRODUCED: 02/21/2020
LAST AMEND: 03/16/2020
DISPOSITION: Failed - Adjourned

SUMMARY:

Adds provisions relating to states of emergency, including the coronavirus pandemic. Provides for school meal distribution. Provides a moratorium on rent collection from small businesses. Requires zero interest rate loans for small businesses and nonprofit organizations. Provides paid sick leave for all employees regardless of term of employment. Prohibits the termination of utility service for certain nonpayment after the declaration of a state of emergency.

STATUS:

05/08/2020 In ASSEMBLY. Suspend Assembly Rule 96.

05/08/2020 Re-referred to ASSEMBLY Committee on BUDGET.

Staff_Action: Monitoring

CA AB 3216

AUTHOR: Kalra [D]
TITLE: Unemployment: Rehiring and Retention: Emergency
INTRODUCED: 02/21/2020
LAST AMEND: 08/20/2020
DISPOSITION: To Governor

SUMMARY:

Requires an employer to offer its laid off employees specified information about job positions that become available for which the laid off employees are qualified, and to offer positions to those employees based on a preference system. Defines the term laid off employee. Authorizes an employee to enforce violations by filing an action with the Division of Labor Standards.

STATUS:

09/15/2020 *****To GOVERNOR.

Staff_Action: Monitoring

CA AB 3329

AUTHOR: Daly [D]
TITLE: Unemployment Insurance: Coronavirus Pandemic
INTRODUCED: 02/21/2020
LAST AMEND: 05/04/2020
DISPOSITION: Failed - Adjourned

SUMMARY:

Provides that following the termination of the Federal Pandemic Unemployment Compensation amount provided pursuant to the CARES Act, or any other federal supplemental unemployment compensation payments for unemployment due to the coronavirus pandemic, that an individual's weekly benefit amount be increased by a specified amount for the remainder of the duration of time the individual is entitled to receive benefits.

STATUS:

	05/07/2020	In ASSEMBLY Committee on INSURANCE: Not heard.
CA SB 89	AUTHOR:	Budget and Fiscal Review Cmt
	TITLE:	Budget Act
	INTRODUCED:	01/10/2019
	LAST AMEND:	03/16/2020
	DISPOSITION:	Enacted
	SUMMARY:	Amends the Budget Act to make appropriations for any purpose related to the proclamation of a state of emergency upon order of the Director of Finance; provides that the Administration will work with stakeholders, including members of the Legislature and staff, in developing strategies to be considered for inclusion to assist individuals, nonprofit organizations, and small businesses experiencing economic hardships to the impacts.
	STATUS:	
	03/17/2020	*****To GOVERNOR.
	03/17/2020	Signed by GOVERNOR.
	03/17/2020	Chaptered by Secretary of State. Chapter No. 2020-02
CA SB 117	AUTHOR:	Budget and Fiscal Review Cmt
	TITLE:	Education Finance
	INTRODUCED:	01/10/2019
	LAST AMEND:	03/16/2020
	DISPOSITION:	Enacted
	SUMMARY:	Provides that due to the coronavirus, the instructional days and minutes requirements will be deemed to have been met during the period of time the school is closed. Extends the deadline to conduct the English learner assessment, unless otherwise determined by the Superintendent.
	STATUS:	
	03/17/2020	*****To GOVERNOR.
	03/17/2020	Signed by GOVERNOR.
	03/17/2020	Chaptered by Secretary of State. Chapter No. 2020-03
CA SB 893	AUTHOR:	Caballero [D]
	TITLE:	Workers' Compensation: Hospital Employees
	INTRODUCED:	01/28/2020
	LAST AMEND:	04/29/2020
	DISPOSITION:	Failed - Adjourned
	SUMMARY:	Defines injury, for a hospital employee who provides direct patient care in an acute care hospital, to include infectious diseases, musculoskeletal injuries, and respiratory diseases. Creates rebuttable presumptions that these injuries that develop or manifest in a hospital employee who provides direct patient care in an acute care hospital arose out of and in the course of employment.
	STATUS:	
	05/14/2020	In SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT: Failed passage.
	05/14/2020	In SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT: Reconsideration granted.
	Staff_Action:	Monitoring
CA SB 939	AUTHOR:	Wiener [D]

TITLE: Emergencies: Coronavirus: Evictions
INTRODUCED: 02/06/2020
LAST AMEND: 05/29/2020
DISPOSITION: Failed - Adjourned
SUMMARY:

Prohibits a commercial landlord from serving a specified notice of eviction on a commercial tenant under a certain number of days after the state of emergency proclaimed by the Governor on March 4, 2020, is lifted and if specified criteria apply. Defines eligible COVID 19 impacted commercial tenant. Provides that specified notices of eviction served on commercial tenants are void under specified circumstances.

STATUS:

06/18/2020 In SENATE Committee on APPROPRIATIONS: Held in committee.

Staff_Action: Monitoring

CA SB 943

AUTHOR: Chang [R]
TITLE: Paid Family Leave: Coronavirus
INTRODUCED: 02/10/2020
LAST AMEND: 05/19/2020
DISPOSITION: Failed - Adjourned
SUMMARY:

Authorizes wage replacement benefits to specified workers who take time off work to care for a child or other family member, including a child with disabilities, for whom the employee is responsible for providing care, if that person's school or place of care has been closed, or the care provider of that person is unavailable, due to the coronavirus outbreak.

STATUS:

06/18/2020 In SENATE Committee on APPROPRIATIONS: Held in committee.

Staff_Action: Monitoring

CA SB 1159

AUTHOR: Hill [D]
TITLE: Workers Compensation: Coronavirus: Critical Workers
INTRODUCED: 02/20/2020
LAST AMEND: 08/30/2020
DISPOSITION: Enacted
SUMMARY:

Amends existing law relating to the workers' compensation system. Defines injury for an employee to include illness or death resulting from coronavirus disease. Allows for a presumption of injury for all employees whose fellow employees at their place of employment experience specified levels of positive testing, and whose employer has five or more employees. Requires the Commission on Health and Safety and Worker's Compensation to conduct a COVID 19 impact study.

STATUS:

09/17/2020 Chaptered by Secretary of State. Chapter No. 2020-85

Staff_Action: Monitoring

CA SB 1322

AUTHOR: Rubio [D]
TITLE: Remote Online Notarization Act
INTRODUCED: 02/21/2020
LAST AMEND: 04/03/2020

DISPOSITION: Failed - Adjourned

SUMMARY:

Relates to Remote Online Notarization Act. Authorizes a notary public to apply for registration with the Secretary of State to be a remote online notary public. Provides that the act shall remain in effect only while there is a declaration of a state of emergency by the Governor related to the coronavirus in effect.

STATUS:

05/11/2020 Re-referred to SENATE Committee on JUDICIARY.

Staff_Action: Monitoring

CA 40 2020

Executive Order

TITLE: Coronavirus and Workers' Compensation Benefits

ORDERED: 05/06/2020

SUMMARY:

Provides that any coronavirus related illness of an employee shall be presumed to arise out of and in the course of employment for purposes of awarding workers' compensation benefits if the employee tested positive for or was diagnosed with coronavirus within fourteen days after a day that the employee performed labor or services at the employee's place of employment at the employer's direction after a specified date.

Comments:

Governor's Executive Order providing a presumption for workers' compensation benefits due to Covid-19. The order was subsequently codified in SB 1159 (Hill).

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**FOR INFORMATION ONLY**

September 23, 2020

TO: Each Trustee
Board of Retirement
Board of Investments

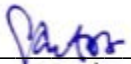
FROM: Ted Granger 
Interim Chief Financial Officer

FOR: October 7, 2020 Board of Retirement Meeting
October 14, 2020 Board of Investments Meeting

SUBJECT: MONTHLY EDUCATION & TRAVEL REPORTS – AUGUST 2020

Attached, for your review, are the Board and Staff Education & Travel Reports as of August 2020. These reports include travel (i.e., completed and canceled) during Fiscal Year 2020-2021.

REVIEWED AND APPROVED:



Santos H. Kreimann
Chief Executive Officer

TG/EW/krh

Attachments

c: J. Popowich
J. Grabel
S. Rice
K. Hines



**BOARD EDUCATION AND TRAVEL REPORT
FOR FISCAL YEAR 2020 - 2021
AUGUST 2020**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
Elizabeth Ginsberg			
B	- Edu - CALAPRS Principles for Trustees - Webinar	08/18/2020 - 08/26/2020	Attended
Vivian Gray			
B	- Edu - SACRS Public Pension Investment Management Program 2020 - Webinar	07/28/2020 - 08/13/2020	Attended
David Green			
B	- Edu - PPI 2020 Summer Roundtable - Los Angeles CA	07/14/2020 - 07/16/2020	Attended
	- Edu - Pacific Council - "Beyond the Horizon" Summit - Webinar	07/20/2020 - 07/24/2020	Attended
James Harris			
B	- Edu - SACRS Sexual Harassment Prevention Training - Webinar	07/15/2020 - 07/15/2020	Attended
	- Edu - SACRS Public Pension Investment Management Program 2020 - Webinar	07/28/2020 - 08/13/2020	Attended
Keith Knox			
B	- Edu - PPI 2020 Summer Roundtable - Los Angeles CA	07/14/2020 - 07/16/2020	Attended
	- Edu - SACRS Public Pension Investment Management Program 2020 - Webinar	07/28/2020 - 08/13/2020	Attended
Gina Sanchez			
B	- Edu - SACRS Sexual Harassment Prevention Training - Webinar	07/15/2020 - 07/15/2020	Attended

Category Legend:

- A - Pre-Approved/Board Approved
- B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 or international prerequisite conferences per 705.00 A. 8.
- C - Second of two conferences and/or meetings counted as one conference per Section 705.00.A.1 of the Travel Policy
- X - Canceled events for which expenses have been incurred



STAFF EDUCATION AND TRAVEL REPORT**AUGUST 2020**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
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No reportable travel incurred this period.



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**