

# LIVE VIRTUAL BOARD MEETING

\* This meeting will be held following the Committee scheduled prior.



TO VIEW VIA WEB



TO PROVIDE PUBLIC COMMENT

You may submit a request to speak during Public Comment or provide a written comment by emailing [PublicComment@lacera.com](mailto:PublicComment@lacera.com). If you would like to remain anonymous at the meeting without stating your name, please let us know.

**Attention:** Public comment requests must be submitted via email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com).

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION  
300 N. LAKE AVENUE, SUITE 650, PASADENA, CA

A REGULAR MEETING OF THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION  
300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, APRIL 6, 2022\*

This meeting will be conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

Any person may view the meeting online at  
<https://LACERA.com/leadership/board-meetings>

*The Board may take action on any item on the agenda,  
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. APPROVAL OF MINUTES
  - A. Approval of the Minutes of the Regular Meeting of March 2, 2022
- III. PUBLIC COMMENT

(Written Public Comment - You may submit written public comments by email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com). Correspondence will be made part of the official record of the meeting. Please submit your written public comments or documentation as soon as possible and up to the close of the meeting.

Verbal Public Comment - You may also request to address the Board at [PublicComment@lacera.com](mailto:PublicComment@lacera.com) before and during the meeting at any time up to the end of the Public Comment item. We will contact you with information and instructions as to how to access the meeting as a speaker. If you would like to remain anonymous at the meeting without stating your name, please let us know.)
- IV. OTHER COMMUNICATIONS
  - A. For Information
    - 1. February 2022 All Stars
    - 2. Chief Executive Officer's Report  
(Memo dated March 28, 2022)

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

VI. CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, subject to continuation of the State of Emergency, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees. (Memo dated March 25, 2022)
- B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated March 30, 2022)
- C. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board adopt the revised LACERA Legislative Policy. (Memo dated March 21, 2022)
- D. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeal and request for an administrative hearing for applicant Michael S. DeRuyter and Colette N. Chavez. (Memo dated March 18, 2022)
- E. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Philip D. Solano's appeal for a service-connected disability retirement. (Memo dated March 17, 2022)

VII. EXCLUDED FROM CONSENT ITEMS

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by James C. Beasley, Jr., Supervising Administrative Assistant II: That the Board approve a Professional Services Agreement with Alliant Insurance Services Inc. to serve as LACERA's Insurance Broker. (Memo dated March 23, 2022)

VIII. NON-CONSENT ITEMS (Continued)

- B. Recommendation that the Board approve attendance of Trustees at the Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium in Delray Beach, Florida on May 5, 2022, approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Education and Trustee Travel Policies, and grant an exception to the minimum of five hours per day education requirement given the half day schedule of the conference. (Memo dated March 25, 2022) (Placed on the agenda at the request of Ms. Vivian Gray)

IX. REPORTS

- A. For Information Only as submitted by Gina V. Sanchez, Chair, Audit Committee, regarding Internal Audit's 2022 Quality Assessment Report. (Memo dated March 28, 2022)
- B. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated March 21, 2022)
- C. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Monthly Status Report on Legislation. (Memo dated March 24, 2022)
- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:
  - Monthly Trustee Travel and Education Reports for February 2022  
(Public Memo dated March 16, 2022)  
(Confidential Memo dated March 16, 2022 – Includes Anticipated Travel)
- E. For Information Only as submitted by Michael D. Herrera, Senior Staff Counsel, regarding Bruce Emerson, et al. v. LACERA (Case No. B309436). (Memo dated March 28, 2022) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)
- F. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the March 2022 Fiduciary Counsel Contact and Billing Report. (Memo dated March 29, 2022) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

April 6, 2022

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- X. ITEMS FOR STAFF REVIEW
- XI. GOOD OF THE ORDER  
(For information purposes only)
- XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION
  - A. Applications for Disability
- XIII. ADJOURNMENT

*\*Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.*

*Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Trustees at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.*

*Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email [PublicComment@lacera.com](mailto:PublicComment@lacera.com), but no later than 48 hours prior to the time the meeting is to commence.*

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, MARCH 2, 2022

This meeting was conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

PRESENT: William Pryor (Alternate Safety), Chair

Shawn R. Kehoe, Vice Chair

Alan Bernstein, Secretary

Elizabeth B. Ginsberg, Alternate Ex-Officio

Vivian H. Gray

JP Harris (Alternate Retired)

Wayne Moore

Les Robbins (Joined the meeting at 10:07 a.m.)

Antonio Sanchez

Herman Santos

Gina Zapanta  
(Joined the meeting at 9:13 a.m. and left the meeting at 11:31 a.m.)

ABSENT: Keith Knox

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Steven P. Rice, Chief Counsel

Jon Grabel, Chief Investment Officer

Dr. Glenn Ehresmann, Medical Advisor

Cassandra Smith, Retiree Healthcare Director

Ted Granger, Interim Chief Financial Officer

Carly Ntoya, Ph.D., Human Resources Director

Barry W. Lew, Legislative Affairs Officer

Francis J. Boyd, Senior Staff Counsel

Ricki Contreras, Disability Retirement Services Division Manager

Tamara Caldwell, Disability Retirement Specialist Supervisor

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

Vincent Lim, Disability Litigation Manager

Allison E. Barrett, Senior Staff Counsel

Jason Waller, Senior Staff Counsel

Segal Consulting

Stephen Murphy

Gutierrez, Preciado & House, LLP

Nohemi Ferguson

I. CALL TO ORDER

The meeting was called to order virtually by Chair Pryor at 9:00 a.m.

II. APPROVAL OF MINUTES

A. Approval of the Minutes of the Regular Meeting of February 2, 2022

Mr. Harris made a motion, Mr. Santos seconded, to approve the minutes of the regular meeting of February 2, 2022. The motion passed (roll call) with Messrs. Santos, Sanchez Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent for the vote.

III. PUBLIC COMMENT

A written comment was received on behalf of applicant Cynthia Gonzales Medina.

IV. OTHER COMMUNICATIONS

A. For Information

1. January 2022 All Stars

Mr. Popowich announced the winners for the month of January: Phuong Reyes, Eddie Paz, Rosetta Chang, and Karina Diaz. Web Watcher winner was Karina Diaz.

2. Chief Executive Officer's Report  
(Memo dated February 21, 2022)  
(Ms. Zapanta joined the meeting at 9:13 a.m.)

Mr. Kreimann provided the Board with an update regarding the Call Center call volume; strategic planning efforts; the LACERA safety elections; return to office for staff; IT tenant migration; and the conflict in Ukraine.



V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement  
Service-Connected Disability Applications

On a motion by Mr. Kehoe, seconded by Mr. Santos, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent for the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
629D	KATHRYN M. SIMPSON
630D	SHAWN R. MORENO
631D*	MICHAEL C. IRVING
632D	RANDY L. HASNAS
633D	GREGORY LYLES
634D	JEFFREY S. BRIGHT
635D	MICHAEL R. YOCUM
636D	MARK S. WESTERGAARD
637D**	VIRGINIA KENNEDY
638D	JOEL S. COHEN

\*Granted SCD – Retroactive Employer Cannot Accommodate

\*\*Granted SCD – Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
639D	ROBERT G. GILLIS (PULLED AT THE REQUEST OF THE APPLICANT)
640D	EDWARD C. ROBLES
641D*	PAUL D. STAINTHORPE
642D	MARC B. KATZ
643D	JEFFREY H. HUTCHINSON
644D	JEFFREY M. SPELATZ
645D	YORY A. COLL
646D	MICHAEL J. ALPERIN
647D	JONATHAN S. ADAMSON
648D	STEVEN J. MCCAULEY
649D	STEVEN A. PEREZ
650D	SCOTT A. SCHNEIDER
651D**	LEOPOLD A. NOYOLA
652D	ALEXANDER J. GARCIA
653D	TYLER S. ALLEN
654D	MARION L. TUCKER

\*Granted SCD – Employer Cannot Accommodate

\*\*Granted SCD - Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR  
(Continued)

Safety Fire, Lifeguards  
Service-Connected Disability Applications

On a motion by Mr. Pryor, seconded by Mr. Robbins, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent for the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
1451B	DAVID R. MCCONNELL
1452B	DAVID O. MANN
1453B	BILLIE A. BIVENS
1454B*	GLENN E. SMITH
1455B	FREDERICK M. SANDOVAL
1456B	MARK C. PEREZ
1457B**	JONATHAN E. FLAGLER (DEC'D)

\*Granted SCD – Retroactive

\*\*Granted SCD – Survivor Benefit

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR  
(Continued)

General Members

Service-Connected Disability Applications

On a motion by Mr. Santos, seconded by Ms. Gray, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent for the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
2340C*	CYNTHIA J. BALDWIN
2341C**	DESIREE A. ESTRELLA
2342C**	DEBRA L. RAY
2343C***	ROBERT A. CALDERON
2344C****	BERTHA G. PERALTA (DEC'D)
2345C***	FELICIA R. HESS
2346C	JOSHUA E. WALUNGA
2347C**	MARIA M. MEJIA

\*Granted SCD – Retroactive

\*\*Granted SCD – Employer Cannot Accommodate

\*\*\*Granted SCD – Salary Supplement

\*\*\*\*Granted SCD – Survivor Benefit

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members (Continued)  
Service-Connected Disability Applications

On a motion by Ms. Gray, seconded by Mr. Santos, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Zapanta, and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
2348C	2S2021R
2349C	SUSAN K. ROBBINS
2350C*	KAREN M. BEVANS
2351C**	TRACEY L. EVANS LYNCH
2352C*	TANIA DURAN
2353C**	NARJES GHAFOORI
2354C***	ADRIAN REYES
2355C****	JOSHUA N. CASTANON
2356C	FREDERICK NEAL
2357C*****	GUI H. ZHONG
2358C*****	WENDELL J. HILL

\*Granted SCD – Salary Supplemental

\*\*Granted SCD – Retroactive Employer Cannot Accommodate

\*\*\*Granted SCD – With 2 Year Review Employer Cannot Accommodate

\*\*\*\*Granted SCD – Salary Supplemental Employer Cannot Accommodate

\*\*\*\*\*Granted SCD – Employer Cannot Accommodate

\*\*\*\*\*Granted SCD - Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR  
(Continued)

General Members

Nonservice-Connected Disability Applications

On a motion by Mr. Santos, seconded by Ms. Gray, the Board of Retirement made a motion to approve a nonservice-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

APPLICATION NO.

NAME

4412\*

VINA ISABEL M. REJUSO

4413

CYNTHIA M. GONZALES MEDINA

VI. CONSENT ITEMS

Mr. Kehoe made a motion, Mr. Bernstein seconded, to approve Consent Items A-D. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

- A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated February 22, 2022)

VI. CONSENT ITEMS (Continued)

- B. Recommendation as submitted by Alan Bernstein, Chair, Operations Oversight Committee: That the Board approve to utilize and contract with Prime Government Solutions, Inc. (“PrimeGov”) for the management of both Boards and all Committee meetings, including agenda management, livestreaming, recordings, online archives, and a public interface solution, with an indefinite retention period for archiving the audio and visual recordings. (Memo dated February 2, 2022)
- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeal and request for an administrative hearing for applicant Daphane H. Poingsett. (Memo dated February 18, 2022)
- D. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Alejandro Salinas’ appeal for a service-connected disability retirement. (Memo dated February 22, 2022)

VII. EXCLUDED FROM CONSENT ITEMS

There were no items excluded from Consent Items.

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor’s COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles, the City of Pasadena, and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, subject to continuation of the State of Emergency, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees. and Report on COVID State of Emergency and Measures to Promote Social Distancing (Memo dated February 22, 2022)

Mr. Rice was present and answered questions from the Board.

VIII. NON-CONSENT ITEMS (Continued)

Mr. Kehoe made a motion, Ms. Gray seconded, to approve staff's recommendation. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

- B. Recommendation as submitted by Cassandra Smith, Retiree Healthcare Director: That the Board 1) Approve the fiscal year 2022-2023 rate renewal proposal and mandatory contractual changes, listed by carrier; and 2) Maintain LACERA's administrative fee at \$8 per member, per plan, per month. (Memo dated February 23, 2022)

Mr. Kreimann, Ms. Smith Mr. Murphy from Segal Consulting, and Mr. Rice were present and answered questions from the Board.

Mr. Kehoe made a motion, Ms. Gray seconded, to approve 1) the fiscal year 2022-2023 rate renewal proposal and mandatory contractual changes, listed by carrier; and 2) approve LACERA's administrative fee at \$10 per member, per plan, per month. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Bernstein, Ms. Gray and Ms. Zapanta voting yes, and Messrs. Robbins, Sanchez, and Ms. Ginsberg voting no.

- C. Recommendation that the Board approve per Trustee Travel Policy Section III. A. Trustee Vivian Gray's attendance at the SACRS 2021 Fall Conference based on total cost of attendance exceeding the \$2,000 pre-approved limit by \$65.50. (Placed on the agenda at the request of Trustee Vivian Gray) (Memo dated February 17, 2022)

Mr. Pryor made a motion, Mr. Moore seconded, to approve staff's recommendation. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.



IX. REPORTS

The following items were received and filed.

- A. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated February 16, 2022)
- B. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the Semi-Annual Interest Crediting for Reserves as of December 31, 2021 (Unaudited). (Memo dated January 20, 2022)
- C. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:
  - Monthly Trustee Travel and Education Reports for January 2022  
(Public Memo dated February 15, 2022)  
(Confidential Memo dated February 15, 2022 – Includes Anticipated Travel)
  - Quarterly Trustee Travel and Education Reports - 2nd Quarter of FY 2022  
(Memo dated February 15, 2022)
- D. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the February 2022 Fiduciary Counsel Contact and Billing Report. (Memo dated February 22, 2022) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

The Board requested a supplemental report regarding the Investment positions and hiring status be provided to the Board of Investments meeting scheduled on March 9, 2022.

XI. GOOD OF THE ORDER

(For information purposes only)

The Board thanked staff for all their hard work.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

APPLICATION NO. & NAME

BOARD ACTION

5231B – MICHAEL S. DERUYTER

Mr. Kehoe made a motion, Ms. Gray seconded, to deny a service-connected disability retirement and find the applicant not eligible for a disability retirement. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

5232B – ANGELA M. SORRELS

Ms. Gray made a motion, Mr. Santos seconded, to deny a service-connected disability retirement and find the applicant not eligible for a disability retirement. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

5233B – DAWN M. CROSS

Mr. Pryor made a motion, Mr. Harris seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Section 31720 since the employer cannot accommodate. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5234B – COLETTE N. CHAVEZ

Mr. Pryor made a motion, Mr. Robbins seconded, to deny a service-connected disability retirement since the applicant is not eligible for a disability retirement and find the applicant not permanently incapacitated. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

5235B – ERIC JOHNSON (DEC'D)

Mr. Kehoe made a motion, Mr. Bernstein seconded, to return to staff for additional information. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Harris, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes. Mr. Robbins was not present for the vote.

5236B – GALE PAULEY

Ms. Gray made a motion, Mr. Harris seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Section 31720 since the employer cannot accommodate. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Harris, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes. Mr. Robbins was not present for the vote.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5237B – MARIO J. NAVARRO

Mr. Pryor made a motion, Mr. Harris seconded, to grant a nonservice-connected disability retirement retroactive pursuant to Government Code Sections 31720 and 31724. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Kehoe, Robbins, Bernstein, Ms. Ginsberg, Ms. Gray and Ms. Zapanta voting yes.

(Ms. Zapanta left the meeting at 11:31 a.m.)

5239B – PATRICK S. MEYER

Mr. Pryor made a motion, Ms. Gray seconded, to grant a service-connected disability retirement. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

The motion to reconsider passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

Mr. Santos made a motion, Ms. Gray seconded, to grant a service-connected disability retirement retroactive. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5206B – PATRICK J. JORDAN

Mr. Santos made a motion, Ms. Gray seconded, to grant a service-connected disability retirement pursuant to Government Code Section 31720 since the employer cannot accommodate. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

5193B – DREUSHON N. JONES (DEC'D)

Mr. Pryor made a motion, Mr. Bernstein seconded, to grant a nonservice-connected disability retirement without prejudice. The motion failed (roll call) with Messrs. Sanchez, Robbins, Bernstein, and Ms. Ginsberg voting yes, and Messrs. Santos, Moore, Kehoe and Ms. Gray voting no.

Ms. Gray made a motion, Mr. Kehoe seconded, to grant a service-connected disability retirement. The motion failed (roll call) with Messrs. Santos, Moore, Kehoe and Ms. Gray voting yes, and Messrs. Sanchez, Robbins, Bernstein, and Ms. Ginsberg voting no.

B. Staff Recommendations

1. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Raffi Mesrobian, M.D. (Memo dated February 22, 2022)

## XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

### B. Staff Recommendations (continued)

Mr. Harris made a motion, Mr. Robbins seconded, to approve staff's recommendation. The motion passed unanimously (roll call) with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote.

## XIII. EXECUTIVE SESSION

### A. Conference with Legal Counsel – Existing Litigation (Pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9)

1. Joshua Smalley  
Los Angeles County Civil Service Commission, No. 21-2

The Board took action, which was not required to be reported out at the meeting and will be reported out at later date in accordance with the Brown Act.

Subsequently, it became appropriate to make a report out. Mr. Robbins made a motion, Mr. Pryor seconded, to approve a settlement with Joshua Smalley of his Civil Service Commission case and all other matters concerning his employment. The motion passed unanimously 8-0 by all members present (roll call), with Messrs. Santos, Sanchez, Moore, Pryor, Robbins, Bernstein, Ms. Ginsberg, and Ms. Gray voting yes. Ms. Zapanta was absent from the vote. The settlement agreement was fully negotiated and executed on March 9, 2022.

March 2, 2022

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XIV. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 12:19 p.m.

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ALAN BERNSTEIN, SECRETARY

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WILLIAM PRYOR, CHAIR



March 21, 2022

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Santos H. Kreimann *SHK*  
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT – APRIL 2022**

The following Chief Executive Officer's Report highlights key operational and administrative activities that have taken place during the past month.

### **Strategic Planning Update**

At its February meeting, the Board of Retirement approved hiring KH Consulting to assist in LACERA's strategic planning efforts. Staff is in the final phases of executing the contract and will begin the engagement in mid-April. After consultation with the BOR Chair and Vice Chair, an Advisory Team has been created that includes Trustees Harris, Knox, Sanchez, and Santos, and staff from the Executive Office, Human Resources, Legal, and Investments Divisions. The Advisory Team will partner with KH Consulting to ensure Strategic Planning process, timeline, and activities are on track and project milestones attained. We look forward to working together with staff and Trustees in the development of the strategic plan. Staff anticipates that the new Strategic Plan will be completed and considered by the Boards this fall.

### **Update on LACERA 2022 Elections**

At their February meetings, the Board of Investments and Board of Retirement approved the Powers and Duties for their respective Board, which will be included with the ballot materials for the 2022 election and were submitted to the LA County Executive Office. The Powers and Duties for each Board have been posted on lacera.com. We have been in contact with the County on the timeline for the 2022 elections and expect to receive an update in early April.

### **Return to the Boardroom Preparation**

Since March 2020, California has been under a state of emergency due to the pandemic that has resulted in flexibility in holding virtual board meetings, first due to certain Executive Orders by the Governor that are no longer in effect, and later to the enactment of Government Code Section 54953(e), which provided continuing flexibility to hold virtual meetings so long as the state of emergency continued. The Executive Team met with the BOR and BOI Board Chairs and Vice Chairs to discuss preparations for the return to the boardroom should the state of emergency be lifted by Governor Newsom. It was determined that discussions would resume once the state of emergency was lifted or any other changes were made that effected how Board meetings are currently being conducted. Until then the Boards will continue to meet virtually, provided they make the required findings every 30 days.



## **PrimeGov – Board/Committee Agenda Management, Recordings, Online Archives, and Public Interface**

At its March 2022 Board of Retirement meeting, the Board approved to utilize and contract with Prime Government Solutions, Inc. (PrimeGov) for the management of both Boards and all Committee meetings, including agenda management, livestreaming, recordings, online archives, and a public interface solution, with an indefinite retention period for archiving the audio and visual recordings. Staff has executed the contract and will begin the engagement by the end of March. Staff will keep the Boards apprised of the progress and provide opportunities for feedback and participation through this monthly report, in the Operations Oversight Committee, and other avenues.

## **Microsoft Tenant Migration**

On Tuesday, February 22, 2022, the Systems Division, in collaboration with AdaptiveEdge Inc., began LACERA's Microsoft Tenant migration. The scope of the project was to move the organizations Microsoft data (Outlook, OneDrive, SharePoint, and Teams) from three segmented tenants to one commercial tenant. The process required creating new accounts for each LACERA staff, reimaging 562 Windows workstations, and transferring all data from the old tenants to the new. LACERA officially began operating in the new tenant on Saturday, March 5, 2022.

The migration is one of many critical steps necessary to ensure that LACERA is a secure and productive enterprise. The migration improves LACERA's security profile: once in the new tenant, LACERA's Information Security office can begin integrating more specialized solutions to identify and track the use of private and confidential information. The migration also gives LACERA the opportunity to manage endpoints more effectively. Shortly after the migration is completed, LACERA will require staff members to use LACERA issued devices to access enterprise applications to reduce the possibility of data loss. In addition, the migration facilitates seamless integration of applications: any application used by LACERA staff will be integrated into one authentication solution. This integration will improve the user experience as they will not need to memorize multiple sets of credentials while improving security by requiring applications to use Multifactor Authentication (MFA). Finally, the migration will allow LACERA more flexibility to integrate other third-party applications into our Microsoft environment previously restricted under the old tenants.

### *Migration Results*

All user accounts were successfully transitioned on March 5, 2022, and we began operating in the new tenant that day. However, there were some unforeseen challenges that occurred, with the primary issue being migration of user's data required more time to complete than expected. The delay was attributed to unexpected throttling of the data feeds in Microsoft's environment. The divisions most affected by the delayed data transfer included Disability Retirement Systems, Disability Litigation Office, Legal Services, Investments, and Financial Accounting Services Division. To bridge this gap, LACERA's infrastructure team created temporary procedures for all divisions to access data in both tenants while waiting for their data transfer to complete. The infrastructure team also worked extensively with AdaptiveEdge to leverage additional resources to accelerate the migration.

In addition to the delayed Microsoft data transfer, there was other more specialized data that was not included such as Power BI automation, Benefit Letter Template references, some SharePoint security groups, Outlook email whitelists, and video recordings. Systems is working with the owners of this data to migrate it in a separate effort.

### *Other Challenges*

As previously stated, each user's workstation and/or laptop needed to be reimaged. This process took an average of 2 hours to complete as some users needed to set up both a new onsite and remote workstation. Once the devices were reimaged in the new tenant, all users are now required to authenticate once a day with MFA (Multifactor Authentication) which was a new process for all staff outside of the Systems Division and caused some confusion. In addition, as each division moved to the tenant, there were onetime adjustments required such as reconfiguring Audio/Video settings for Zoom, Teams and Amazon Connect, remapping access to onsite resources such as shared folders, printers and scanners, changing RDP Authentication settings, resharing MS Outlook Calendars, and rescheduling MS Teams Meetings. Additionally, there were temporary interruptions in service for some LA county and third-party applications that leverage data from the old tenant for single sign on.

### *Current Status*

As of March 23, 2022, the data migration is officially completed, and all divisions can work effectively in the new tenant. The infrastructure team and AdaptiveEdge are performing a final review of the migration to ensure that all data was migrated successfully. We look forward to moving onto the next step in LACERA's journey to a secure and productive enterprise.

## **Recruitment Updates**

### *Vacancies and Hiring*

Filling vacant positions continues to be a high priority. Executive and Senior Management, in collaboration with Human Resources (HR), have developed a hiring plan that reflects our priorities, such as filling member facing positions to ensure a positive member experience. Member facing Divisions, Investments Division, Systems Division, and Legal Division are the top priorities. The Executive team, HR, and our Investments Division have met to clearly outline their hiring priorities and develop a recruitment strategy. We plan to take a similar approach with other divisions. The Executive management and HR teams will review the organizational recruitment plan at least quarterly to ensure the priorities are still appropriate given attrition that may have occurred in the prior quarter.

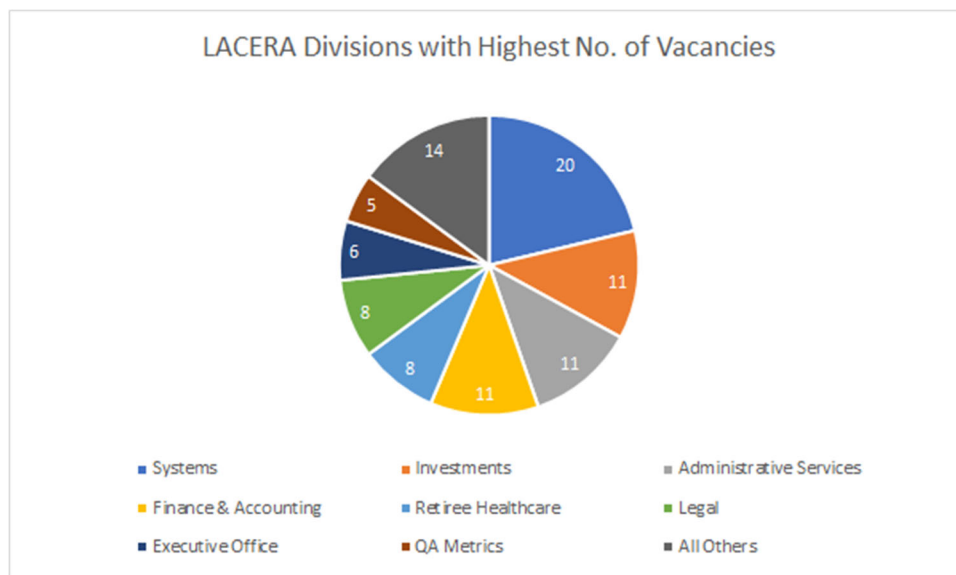
The Investments Division hiring priority continues to be Principal Investment Officer and the Division is conducting interviews in order to make a hiring decision. HR, Executive Management and Investments are working on an expedited recruitment plan for Senior Investment Officer and Finance Analyst III. There are eleven (11) vacancies in Investments (24% vacancy rate) and below is a summary of the recruitment status of these positions.

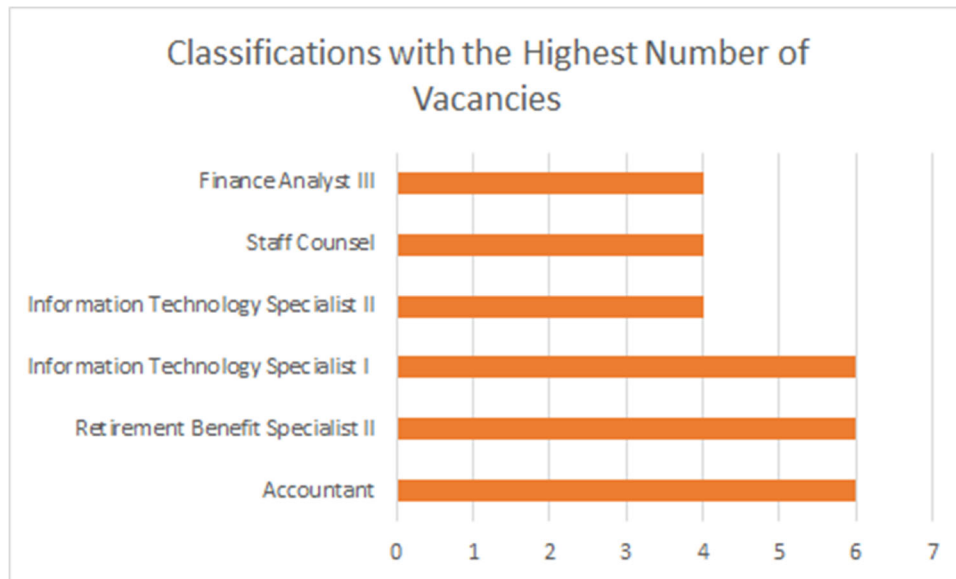
Status of vacant positions in the Investments Division

Classification	# of Vacancies	LACERA Priority	Recruitment Stage
Deputy Chief Investment Officer	1	Tier 2 – December 2022	Outsourced Recruitment
Principal Investment Officer (PIO)	1	Tier 1 – June 2022	9 – Division Interviews
Senior Investment Officer (SIO)	1	Tier 1 – June 2022	3 – Test Development
Finance Analyst III (FA III)	4	Tier 1 – June 2022	3 – Test Development
Finance Analyst II (FA II)	2	Tier 2 – December 2022	1 – Exam Requested
Senior Management Secretary	1	Tier 2 – December 2022	N/A
Principal Investment Officer (PIO)	1	Tier 2 – December 2022	N/A

*Recruitment Stages: (1) Exam requested, (2) Classification review, (3) Test development, (4) Resume canvas, (5) Recruitment, (6) Assessment qualification, (7) Assessment review, (8) List promulgated, (9) Division interviews, (10) Background check, (11) Onboarding*

LACERA has 508 budgeted positions, of which 94 are vacant (19% vacancy rate). The Divisions with the highest number of vacancies, and the classifications with the highest number of vacancies, are shown below.





### *Development*

Human Resources works with the hiring Division to review the classification description, create an ideal candidate profile, and discuss the assessment process. This information is used by HR to create the job bulletin and recruiting brochure (management positions only). The recruitments/assessments are in development for Senior Investment Officer, Finance Analyst III, and Retirement Systems Specialist.

### *Recruiting & Assessment*

The Human Resources Team promulgated the Eligible List for the Principal Investment Officer. The Data Systems Analyst I position is in the final assessment phase. The Senior Staff Counsel and Staff Counsel candidates are being vetted and the necessary documents are being prepared for submittal to the Executive Office for approval. Candidates for the Human Resources transfer opportunities are still being considered. Candidates for the Interdepartmental Transfer opportunity for the accountant position that posted on January 25, 2022 have been interviewed and are under consideration. A Request for Proposal to solicit executive recruitment services to fill items such as the Deputy Chief Investment Officer, Chief Financial Officer, Chief Information Technology, and Information Security Officer, Principal Investment Officer, and Senior Investment Officer positions was posted on February 24, and closed on March 22, 2022. Human Resources will move forward with the planned process and timeline. The review of our internal recruitment and hiring capacity is almost complete. The purpose of that review was to determine how best to use outside recruitment resources or to conduct employment exams using internal resources over the next few months.

### *Hiring*

No new staff members were hired since the last report.

## **Retiree Healthcare (RHC) Update**

### *SCAN Health Plan Service Area Expansion*

SCAN Health has expanded their service area in California and added two new States effective January 1, 2022. SCAN's eligible service area now includes Alameda and San Mateo counties. In addition, they have also expanded into Arizona (Maricopa, Pima, Pinal Counties) and Clark County, Nevada. Staff will be presenting more on this at the Insurance Benefits and Legislative Committee meeting.

### *\$1 Million Lifetime Maximum Benefit (LMB) for Non-Medicare Plans - Update*

LACERA staff continues to be engaged with the Board of Supervisors and County of Los Angeles CEO's office on the elimination of the \$1 million dollar Lifetime Maximum Benefit (LMB). The LMB applies to over 8,700 County active members and retirees enrolled now (or in the future) in the PPO and indemnity plan options.






Although the County CEO's office has completed their own impact and cost analysis on the elimination of the \$1 million LMB, they have yet to present their findings to the BOS. Nonetheless, we provided supplemental information to the County CEO and BOS staff including case studies showing the financial and continuity of care impacts the LMB has had on our retired members. We will continue to monitor the situation and will inform the Board Trustees once the meeting is scheduled by the County and BOS.

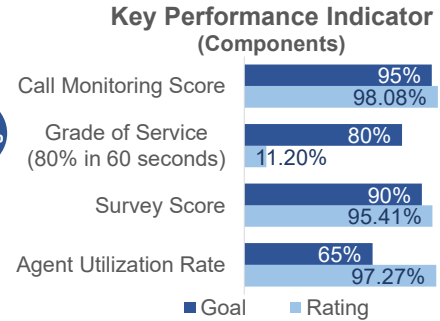
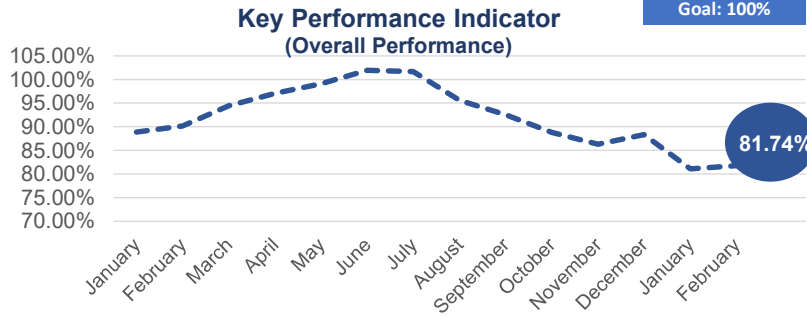
SHK  
CEO report Apr.2022.doc

Attachments

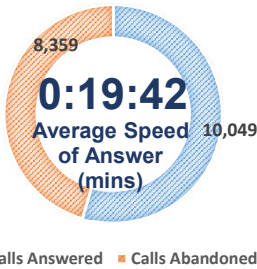
# Striving for Excellence in Service

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2022

 <p><b>Outreach Attendance</b> <b>853</b> 8,509 Year-to-Date</p>	 <p><b>Outreach Events</b> <b>9</b> 154 Year-to-Date</p>	 <p><b>Outreach Satisfaction</b> <b>N/A</b> Change Since Last Mo</p>	 <p><b>Member Service Center</b> <b>N/A</b> - Change Since Last Mo</p>	 <p><b>Member Services Calls</b> <b>18,408</b> 17,941 3 Mo. Avg.</p>
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Member Services



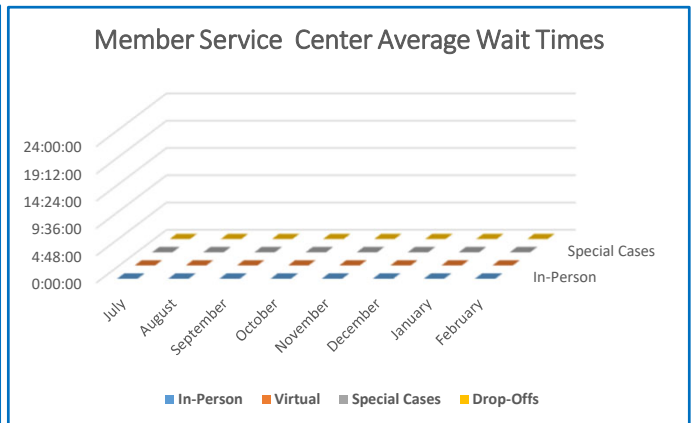
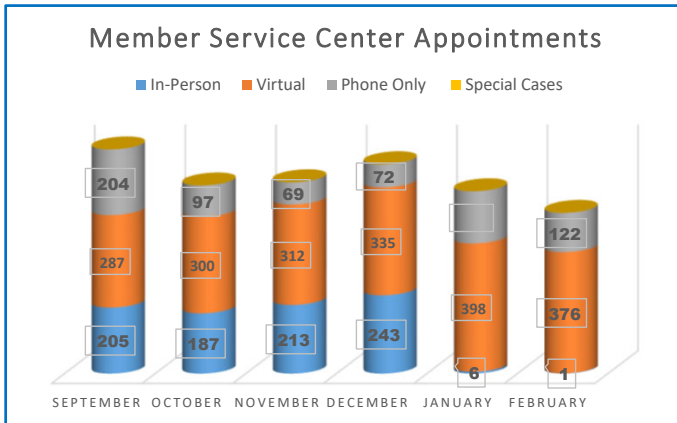
### Top Calls

1. Retirement Counseling: Process Overview
2. Retirement Counseling: Estimate
3. Retirement Counseling: Plan Overview

**Emails** **1,172**  
24:00 hours  
Avg. Response Time (ART)

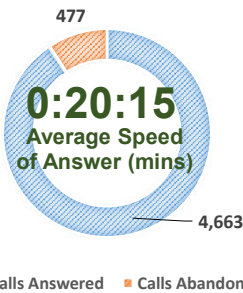


**Secure Messages** **604**



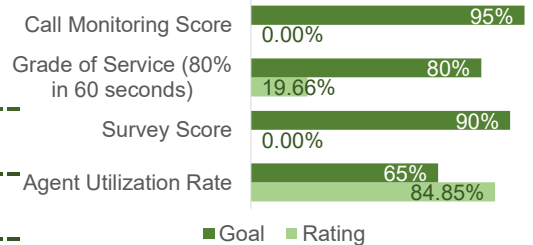
Retiree Healthcare

Total RHC Calls: **5,140**



### Top Calls

1. Medical/Dental Enrollments
2. Medicare Part B Inquiries
3. General Inquiries



**Emails** **356**  
5 Days  
Avg. Response Time (ART)



**Secure Messages** **613**

## Striving for Excellence in Service (Continued)

### Applications

**823**

In Process

As Of:

2/28/2022

811 Pending on: 1/31/2022

62 Received

485 Year-to-Date

0 Re-Opened

0 Year-to-Date

39 To Board - Initial

362 Year-to-Date

11 Closed

39 Year-to-Date

### Appeals

**70**

In Process

As Of:

2/28/2022

71 Pending on: 1/31/2022

2 Received

17 Year-to-Date

2 Admin Closed/Rule 32

21 Year-to-Date

1 Referee Recommended

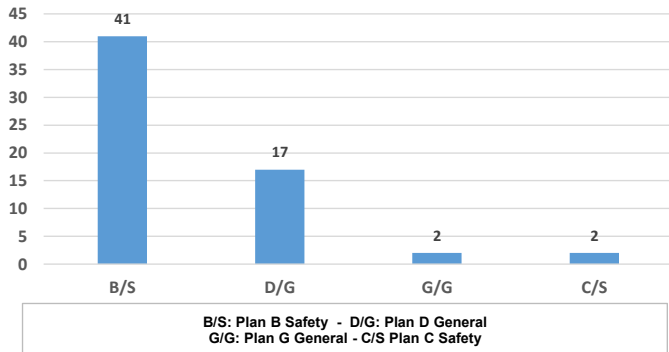
6 Year-to-Date

0 Revised/Reconsidered for Granting

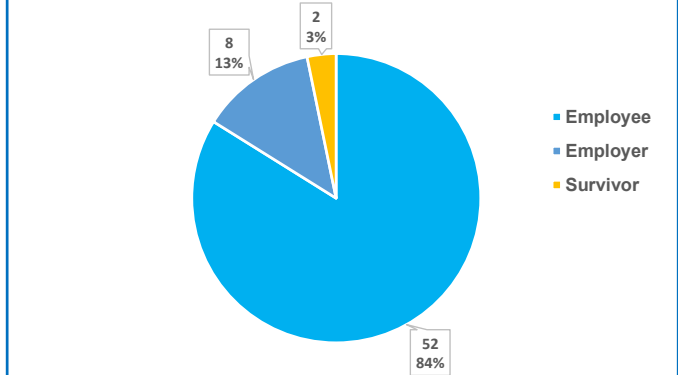
0 Year-to-Date

Disability

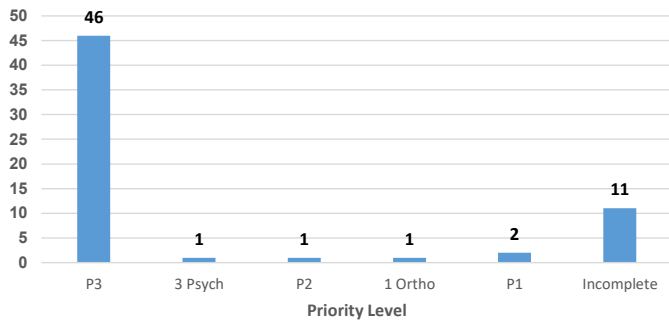
Applications Filed By Plan



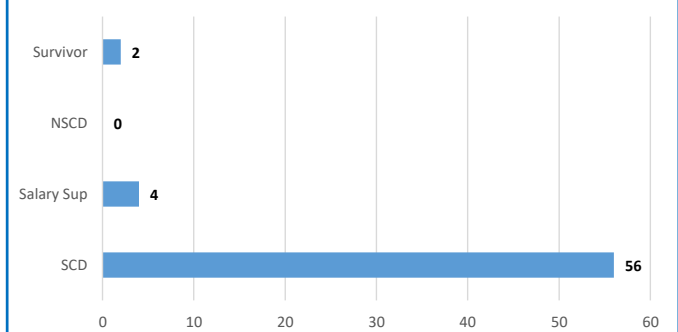
Applications Filed By Source



Applications Filed By Priority Level

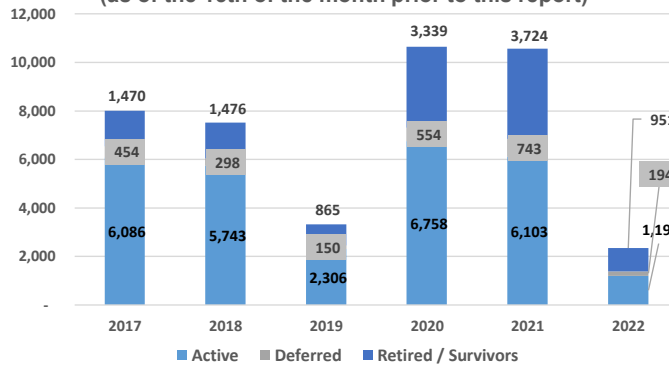


Applications Filed By Type



MyLACERA Annual Registration  
(as of the 15th of the month prior to this report)

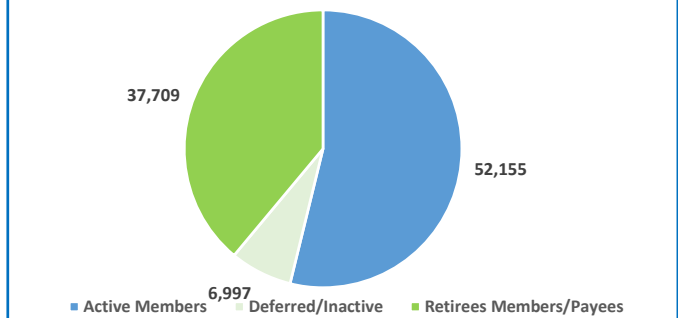
My LACERA



96,861

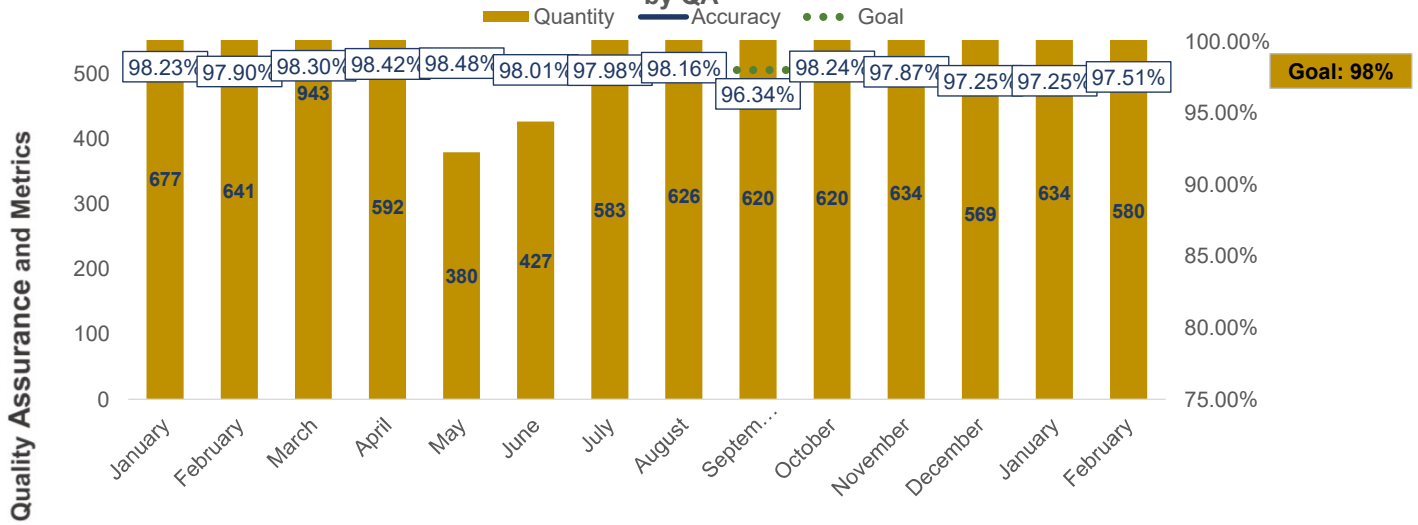
58%

Total Registrations By Member Type



## Striving for Excellence in Quality

### Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



**February**



**Retirement Elections**

**Payment Contracts**

**Data Entry**

**97.51%**

**370** Samples  
**98.47%** Accuracy

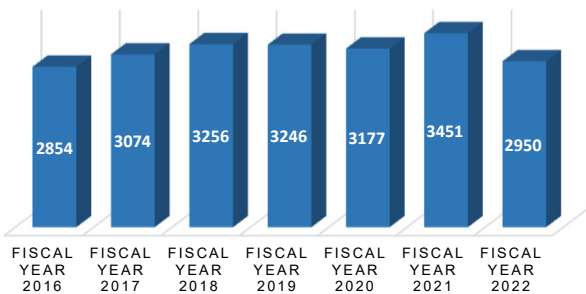
**122** Samples  
**97.70%** Accuracy

**88** Samples  
**96.36%** Accuracy

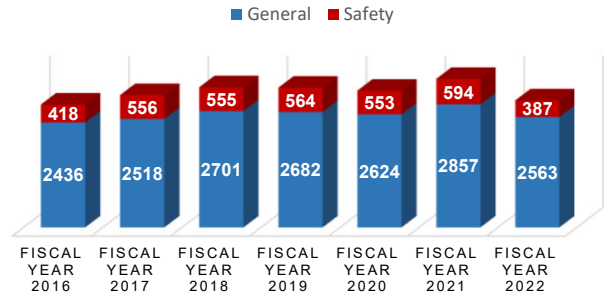
## MEMBER SNAPSHOT

Members as of 03/15/2022					
	Plan	Active	Retired	Survivors	Total
<b>General</b>	Plan A	63	14,214	4,193	<b>18,470</b>
	Plan B	18	645	69	<b>732</b>
	Plan C	20	422	65	<b>507</b>
	Plan D	36,798	19,583	1,780	<b>58,161</b>
	Plan E	14,449	14,710	1,479	<b>30,638</b>
	Plan G	32,766	159	13	<b>32,938</b>
	<b>Total General</b>	<b>84,114</b>	<b>49,733</b>	<b>7,599</b>	<b>141,446</b>
<b>Safety</b>	Plan A	2	4,610	1,651	<b>6,263</b>
	Plan B	8,375	7,265	379	<b>16,019</b>
	Plan C	4,662	18	2	<b>4,682</b>
	<b>Total Safety</b>	<b>13,039</b>	<b>11,893</b>	<b>2,032</b>	<b>26,964</b>
<b>TOTAL MEMBERS</b>		<b>97,153</b>	<b>61,626</b>	<b>9,631</b>	<b>168,410</b>
<b>% by Category</b>		<b>58%</b>	<b>37%</b>	<b>6%</b>	<b>100%</b>

### RETIREMENTS BY YEAR

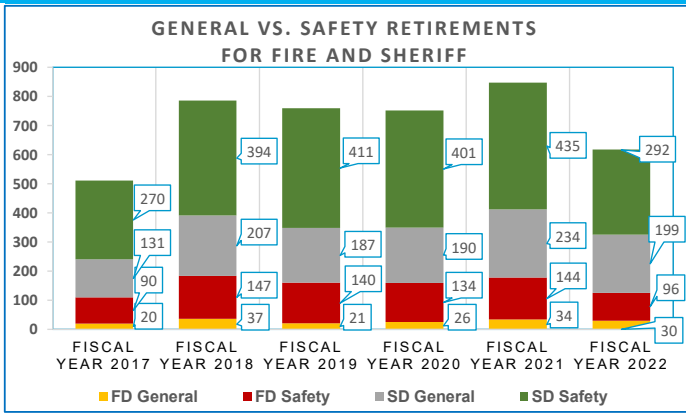


### ANNUAL RETIREMENTS BY TYPE





## MEMBER SNAPSHOT (Continued)



SPACE AVAILABLE FOR FUTURE EXPANSION

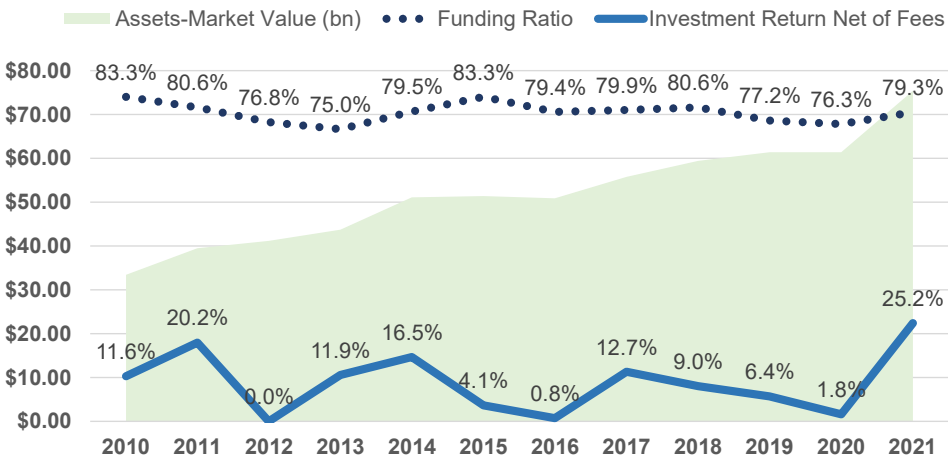
Average Monthly Benefit Allowance Distribution March 23, 2022				
	General	Safety	Total	%
\$0 to \$3,999	30,238	1,688	31,926	52.40%
\$4,000 to \$7,999	13,706	3,450	17,156	28.16%
\$8,000 to \$11,999	3,722	4,280	8,002	13.13%
\$12,000 to \$15,999	1,018	1,835	2,853	4.68%
\$16,000 to \$19,999	332	363	695	1.14%
\$20,000 to \$23,999	100	117	217	0.36%
\$24,000 to \$27,999	25	29	54	0.09%
> \$28,000	21	3	24	0.04%
<b>Totals</b>	<b>49,162</b>	<b>11,765</b>	<b>60,927</b>	<b>100%</b>

**Average Monthly Benefit Allowance:** \$ **4,612.00**

Healthcare Program		Healthcare Enrollments	
(Mo. Ending: 2/28/2022)		(Mo. Ending: 2/28/2022)	
	Employer	Member	
Medical	\$387.8	\$28.8	Medical 53,390
Dental	\$30.9	\$3.0	Dental 55,043
Part B	\$53.7	\$0.0	Part B 36,689
			LTC 550
<b>Total</b>	<b>\$472.4</b>	<b>\$31.8</b>	<b>Total 145,672</b>

## Key Financial Metrics

Fiscal Year End Financial Update (as of 06/30/2021)



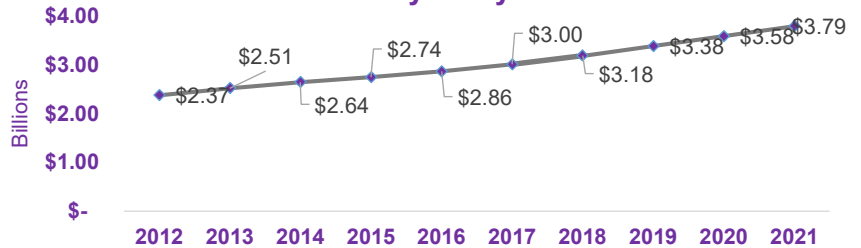
Funding Metrics (as of 6/30/21)

Employer NC	10.88%	
UAAL	13.58%	
Assumed Rate	7.00%	
Star Reserve	\$614m	
Total Assets	\$73.0b	
<b>Contributions (as of 6/30/21)</b>		
	Employer	Member
Annual Add	\$2.0b	\$761.0m
% of Payroll	24.46%	7.87%
<b>TOTAL FUND RETURN (Net of Fees)</b>		
5 YR:	10.8%	10 YR: 8.6%

### Retired Members Payroll (As of 2/28/2022)

Monthly Payroll	\$338.16m
Payroll YTD	\$2.7b
New Retired Payees Added	448
Seamless %	99.93%
New Seamless Payees Added	3,010
Seamless YTD	96.11%
By Check %	2.00%
By Direct Deposit %	98.00%

### Retiree Payroll by Year





**QUIET PERIOD LIST**  
**Current Active Quiet Period List for Non-Investments**

RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Search for Classification & Compensation Study Services (HR)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Search for Classification & Compensation Study Services (RHC)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Agenda Management, Livestream, Recording, & Archiving	Board Offices	April 16, 2021	Contract Negotiation	<ul style="list-style-type: none"> <li>• PrimeGov</li> </ul>
Contract Lifecycle Management Application	Administrative Services/ Systems	March 4, 2022	Board Approved the RFP & RFP Released via LACERA.COM	<ul style="list-style-type: none"> <li>• Agiloft</li> <li>• Cobblestone</li> <li>• DocuSign</li> <li>• Icertis</li> <li>• Ironclad</li> <li>• Pantheon</li> <li>• Periscope</li> <li>• SimpliContract INC</li> <li>• Stria</li> <li>• Sysintellects LLC</li> <li>• Technology Solutions Consultants LLC</li> </ul>
Strategic Planning Consultant	Board Offices	August 23, 2021	Contract Negotiation	<ul style="list-style-type: none"> <li>• KH Consulting</li> </ul>



RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Case Management Software Solution	Systems Division	October 6, 2021	Finalizing Vendor Finalist Selection, Reference Verification, & Deep Dive Technical Due Diligence	<ul style="list-style-type: none"> <li>• RGB Projects</li> <li>• MERP Systems</li> <li>• Eccentex</li> </ul>
Insurance Brokerage Services	Administrative Services	January 5, 2022	Vendor Evaluation & Selection	<ul style="list-style-type: none"> <li>• Alliant Insurance Services</li> <li>• Gallagher Risk Management Services</li> <li>• Liberty Company Insurance Brokers</li> <li>• Seeman Holtz/ Kaercher Campbell</li> </ul>
Budget Software	Administrative Services/ Systems	March 10, 2022	RFP Release	<ul style="list-style-type: none"> <li>• Board.com</li> <li>• Prophix.com</li> <li>• Workday Adaptive Planning (adaptiveplanning.com)</li> <li>• Planning Maestro (Centage.com)</li> <li>• Deloitte</li> <li>• Questica</li> <li>• GNC Consulting</li> <li>• Oracle</li> <li>• Open Gov</li> <li>• Neubrain</li> <li>• VenaCorp.com</li> <li>• Sherpa</li> <li>• GovSense</li> </ul>

\*Subject to change

\*\*Does not include Investments related vendor searches

Date	Conference
<b>April, 2022</b>	
4-8	Investment Strategies & Portfolio Management Wharton School, University of Pennsylvania Live Online
25-26	IFEBP (International Foundation of Employment Benefit Plans) Health Care Mgmt. Conference Phoenix, AZ
26-27	Congress of Private Capital and Entrepreneurial Capital of the Pacific Alliance Conference Bogota, Columbia
27-28	IFEBP (International Foundation of Employment Benefit Plans) Investments Institute Phoenix, AZ
29	CALAPRS (California Association of Public Retirement Systems) Round Table – Trustees Virtual
<b>May, 2022</b>	
1-3	CRCEA (California Retired County Employees Association) Spring Conference Virtual
1-4	Milken Institute Global Conference Los Angeles, CA
5	Women’s Alliance of Saxena White First Annual Diversity Investing Symposium Delray Beach, FL
10-13	SACRS Spring Conference Rancho Mirage, CA
21-22	NCPERS (National Conference on Public Employee Retirement Systems) Trustee Educational Seminar (TEDS) Washington, D.C.
21-22	NCPERS (National Conference on Public Employee Retirement Systems) Program for Advanced Trustee Studies (PATS) Washington, D.C.
21-22	NCPERS (National Conference on Public Employee Retirement Systems) Accredited Fiduciary (NAF) Program Washington, D.C.
22-25	NCPERS (National Conference on Public Employee Retirement Systems) Annual Conference & Exhibition (ACE) Washington, D.C.
23-24	IFEBP (International Foundation of Employment Benefit Plans) Washington Legislative Update Washington D.C.



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**

March 25, 2022

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Steven P. Rice, *SPR*  
Chief Counsel

FOR: April 6, 2022 Board of Retirement Meeting  
April 13, 2022 Board of Investments Meeting

SUBJECT: Approval of Teleconference Meetings Under AB 361 and Government Code Section 54953(e)

## **RECOMMENDATION**

That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, so long as the State of Emergency remains in effect, and direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

## **LEGAL AUTHORITY**

Under Article XVI, Section 17 of the California Constitution, the Boards have plenary authority and exclusive fiduciary responsibility for the fund's administration and investments. This authority includes the ability of each Board to manage their own Board and Committee meetings and evaluate legal options for such meetings, such as whether to invoke teleconferencing of meetings under AB 361 and Government Code Section 54953(e) of the Brown Act to protect the health and safety of Trustees, staff, and the public. The Boards previously took this action at their meetings since October 2021. Findings made under this memo will be effective for meetings during the next 30 days, so long as the State of Emergency remains in effect.

## **DISCUSSION**

### ***A. Summary of Law.***

On September 16, 2021, the Governor signed AB 361 which enacted new Government Code Section 54953(e) of the Brown Act to put in place, effective immediately and through

December 31, 2023, new teleconferencing rules that may be invoked by local legislative bodies, such as the LACERA Boards, upon making certain findings and following certain agenda and public comment requirements.

Specifically, Section 54953(e)(3) provides that the Boards may hold teleconference meetings without the need to comply with the more stringent procedural requirements of Section 54953(b)(3) if a state of emergency under Section 8625 of the California Emergency Services Act impacts the safety of in person meetings or state or local officials have imposed or recommended social distancing rules, provided that the Board makes the following findings by majority vote:

- (A) The Board has considered the circumstances of the state of emergency; and
- (B) Any of the following circumstances exist:
  - (i) The state of emergency continues to directly impact the ability of the Trustees to meet safely in person; or
  - (ii) State or local officials continue to impose or recommend measures to promote social distancing.

If each Board makes the required findings, that Board and its Committees may hold teleconference meetings for the next 30 days without the need to comply with the regular rules of Section 54953(b)(3) provided that: agendas are prepared and posted under the Brown Act; members of the public are allowed to access the meeting via a call-in option or an internet-based service option; and the agenda provides an opportunity for public comment in real time and provides notice of the means of accessing the meeting for public comment.

***B. Information Supporting the Required Findings and Process if the Boards Determine to Invoke Section 54953(e).***

The Governor's State of Emergency for the COVID-19 pandemic as declared in the Proclamation of a State of Emergency dated March 4, 2020 remains active. The Proclamation was issued under the authority of Section 8625 of the California Emergency Services Act. It is unclear when the State of Emergency will end, although the Governor recently extended certain COVID-19 emergency provisions only to March 31, 2022 and has actively terminated other emergency provisions. See Order No. N-21-21, issued November 10, 2021, Order No. N-04-22, issued February 25, 2022.

The Los Angeles County Department of Public Health maintains guidance to "Keep your distance. Use two arms lengths as your guide (about 6 feet) for social distancing with people outside your household when you are not sure that they are vaccinated." <http://publichealth.lacounty.gov/acd/ncorona2019/reducingrisk/>. The County Public Health Department also maintains guidance that employers should, "Whenever possible,

Re: Approval of Teleconference Meetings

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take steps to reduce crowding indoors and encourage physical distancing: ... Limiting indoor occupancy to increase the physical space between employees at the worksite, between employees and customers, and between customers. For some establishments, telework may be an option to consider.”  
<http://publichealth.lacounty.gov/acd/ncorona2019/bestpractices/>.

The City of Pasadena (City), where LACERA’s offices are located and Audit Committee meetings are held, has substantially revised its guidance to give more flexibility. The City still offers guidance that businesses recognize that COVID-19 continues to pose a risk to communities, and it is important for employers to continue to take steps to reduce the risk of COVID-19 transmission among their workers and visitors.  
<https://www.cityofpasadena.net/economicdevelopment/covid-19-business-resources/>.  
Earlier guidance promoting physical distancing by business also remains posted on the City’s COVID web page as a reference.

The Centers for Disease Control and Prevention (CDC) has recently updated its guidance, but the CDC still advises the public to “Stay 6 feet away from others” and that, “Indoors in public: “If you are not up to date on COVID-19 vaccines, stay at least 6 feet away from other people, especially if you are at higher risk of getting very sick with COVID-19.”  
<https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html#stay6ft%20>.

The pandemic continues to present a significant health risk, as the virus presents itself in different variants. LACERA has not yet returned to the office. Management has prepared return to office vaccination and testing protocols to ensure the safety of LACERA employees, members, and others, including Trustees.

Under these circumstances, the Boards may reasonably conclude and find that teleconferencing under Section 54953(e) is appropriate for Board and Committee meetings during the next 30 days, so long as the State of Emergency remains in effect, because (1) the State of Emergency continues to directly impact the ability of the Trustees to meet safely in person, and (2) the County, the City of Pasadena, and other authorities continue to recommend measures to promote a safe workplace, including distancing, as required by the statute.

If each Board makes these findings and directs teleconferencing under Section 54953(e), procedures exist and will be implemented to ensure compliance with the agenda and public comment requirements of the statute, as stated above.

## **CONCLUSION**

Based on the above information, staff recommends that, under AB 361 and Government



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Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, so long as the State of Emergency remains in effect, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

c: Santos H. Kreimann  
Jonathan Gabel

Luis Lugo  
Laura Guglielmo

JJ Popowich  
Carly Ntoya



March 29, 2022

**TO:** Trustees, Board of Retirement

**FOR:** Board of Retirement Meeting on April 6, 2022

**SUBJECT:** Ratification of Service Retirement and Survivor Benefit Application Approvals

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The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PETER BARNETT	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	36 YRS 05½ MOS
JOHN E. BARNICK	SHERIFF Dept.#SH	03-31-2022	31 YRS 04½ MOS
LEO R. BAUER	SHERIFF Dept.#SH	03-15-2022	37 YRS 09½ MOS
XAVIER A. BAUTISTA	SHERIFF Dept.#SH	03-31-2022	26 YRS ½ MOS
RICHARD J. BAVOuset	SHERIFF Dept.#SH	03-31-2022	31 YRS ½ MOS
TIMOTHY P. BLOOM	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	34 YRS 05½ MOS
CORBETT E. BOGGS	SHERIFF Dept.#SH	03-31-2022	30 YRS 07½ MOS
JOSEPH L. BRUNET	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	19 YRS 11½ MOS
SUSANNE M. BURAKOWSKI	SHERIFF Dept.#SH	03-31-2022	31 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TERRY R. BURNLEY	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	31 YRS 03½ MOS
MICHAEL J. CANNIZZARO	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	32 YRS 08½ MOS
TIM J. CARRILLO	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	38 YRS 10½ MOS
ROMAN L. CASTILLO	SHERIFF Dept.#SH	02-18-2022	31 YRS 08 MOS
ADAM B. CASTRO	SHERIFF Dept.#SH	03-31-2022	31 YRS 09½ MOS
JAKE P. CHING	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	30 YRS 05½ MOS
KEVIN C. CONNORS	SHERIFF Dept.#SH	03-31-2022	35 YRS 10½ MOS
MANUEL V. CONTRERAS	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	26 YRS 07½ MOS
DUANE E. COOPER	L A COUNTY FIRE DEPT Dept.#FR	03-19-2022	27 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HOWARD J. COOPER	SHERIFF Dept.#SH	03-31-2022	33 YRS 06½ MOS
SVEN A. CRONGEYER	SHERIFF Dept.#SH	03-31-2022	33 YRS 04½ MOS
CAMERON M. DAVEY	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	27 YRS 07½ MOS
MICHAEL P. DMYTROWICZ	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	18 YRS 03½ MOS
GERALD L. DOLEN	SHERIFF Dept.#SH	03-30-2022	43 YRS 05½ MOS
DEAN DOUTY	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	30 YRS 00 MOS
JUDSON K. DOYLE	SHERIFF Dept.#SH	03-31-2022	31 YRS 01½ MOS
TOAN V. DUONG	SHERIFF Dept.#SH	03-31-2022	22 YRS 08½ MOS
ULYSSES DURAN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	27 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSEPH ESQUEDA	SHERIFF Dept.#SH	03-12-2022	29 YRS 09½ MOS
ERIC T. FOX	SHERIFF Dept.#SH	02-24-2022	36 YRS 00 MOS
MICHAEL J. FUENTES	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	33 YRS 03 MOS
ANGELA E. GASKIN	SHERIFF Dept.#SH	03-31-2022	25 YRS 06½ MOS
TIMOTHY D. GILMAN	L A COUNTY FIRE DEPT Dept.#FR	03-18-2022	32 YRS 08 MOS
TODD E. GOMEZ	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	30 YRS 00 MOS
CHRISTINE C. GRACIA	SHERIFF Dept.#SH	03-26-2022	32 YRS 11 MOS
GARY J. GRAHAM	L A COUNTY FIRE DEPT Dept.#FR	03-28-2022	29 YRS 10 MOS
NATHAN D. GRIMES	SHERIFF Dept.#SH	03-31-2022	22 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PAUL M. GUERRERO	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	34 YRS 08½ MOS
MARK A. GUERRERO	SHERIFF Dept.#SH	03-31-2022	34 YRS 08½ MOS
MICHELLE HALL	SHERIFF Dept.#SH	03-31-2022	27 YRS 08½ MOS
BARRY L. HALL	SHERIFF Dept.#SH	03-30-2022	36 YRS 10½ MOS
MICHAEL W. HALVERSON	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	36 YRS 06½ MOS
CRAIG A. HATHAWAY	SHERIFF Dept.#SH	03-31-2022	26 YRS 10½ MOS
GABRIELA HERRERA	SHERIFF Dept.#SH	03-31-2022	29 YRS 01½ MOS
LUKE A. HOFFMAN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	18 YRS 08½ MOS
RONALD A. HORETSKI	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	33 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSEPH T. HUDSON	DISTRICT ATTORNEY Dept.#DA	03-31-2022	32 YRS ½ MOS
BRIAN D. HUNT	SHERIFF Dept.#SH	03-31-2022	30 YRS 06½ MOS
DAVID INMAN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS ½ MOS
BRETT J. IRVING	L A COUNTY FIRE DEPT Dept.#FR	03-14-2022	27 YRS 04½ MOS
MARJORY L. JACOBS	SHERIFF Dept.#SH	03-31-2022	25 YRS 11½ MOS
KEVIN T. JOHNSON	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 04½ MOS
GREGORY B. KAPLAN	SHERIFF Dept.#SH	03-31-2022	30 YRS 06½ MOS
JOHN J. KAULBACK	L A COUNTY FIRE DEPT Dept.#FR	02-27-2022	27 YRS 03 MOS
BRYAN L. KIDDER	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	32 YRS 07½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TODD M. KOCISKO	SHERIFF Dept.#SH	03-31-2022	33 YRS 04½ MOS
DEREK H. KUNISHIMA	SHERIFF Dept.#SH	03-25-2022	22 YRS 06 MOS
MEREDITH E. LAWSON	SHERIFF Dept.#SH	03-31-2022	22 YRS 05½ MOS
JAMES A. LEWIS	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 09½ MOS
ROBERT W. LOCKETT	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	29 YRS 08½ MOS
ISAIAH J. LONGORIA	L A COUNTY FIRE DEPT Dept.#FR	02-28-2022	36 YRS 10 MOS
ROGELIO MALDONADO J R	DISTRICT ATTORNEY Dept.#DA	03-12-2022	33 YRS 08½ MOS
CHARLES A. MARTIN	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	31 YRS 06 MOS
JEFFREY A. MCNEILL	SHERIFF Dept.#SH	03-31-2022	24 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSE L. MEJIA	SHERIFF Dept.#SH	03-31-2022	34 YRS 02½ MOS
MICHAEL M. MELLINGER	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	33 YRS 08½ MOS
FRANK T. MONTEZ J R	SHERIFF Dept.#SH	03-29-2022	38 YRS 07½ MOS
ROMAN A. MORENO	SHERIFF Dept.#SH	03-31-2022	32 YRS 02½ MOS
DOUGLAS R. NALE	SHERIFF Dept.#SH	03-31-2022	28 YRS 11½ MOS
DENISE L. NALLS	SHERIFF Dept.#SH	03-31-2022	25 YRS 03½ MOS
SEAN P. O'DONOGHUE	SHERIFF Dept.#SH	03-31-2022	32 YRS 04½ MOS
STEPHEN A. O'NEAL	SHERIFF Dept.#SH	03-31-2022	21 YRS 09½ MOS
EDWARD D. O'NEIL	SHERIFF Dept.#SH	03-31-2022	33 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ANTONIO ORTIZ	SHERIFF Dept.#SH	03-31-2022	34 YRS 08½ MOS
JOHN D. PALOZZI	L A COUNTY FIRE DEPT Dept.#FR	03-28-2022	29 YRS 10 MOS
JOSEPH S. POLGAR	L A COUNTY FIRE DEPT Dept.#FR	03-27-2022	38 YRS 05 MOS
BARRY M. POLTORAK	SHERIFF Dept.#SH	03-14-2022	15 YRS 01½ MOS
SCOTT A. PONDER	SHERIFF Dept.#SH	03-31-2022	37 YRS 02½ MOS
KELLY M. POROWSKI	SHERIFF Dept.#SH	03-31-2022	33 YRS 02½ MOS
MICHAEL R. REINEMAN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	34 YRS 05½ MOS
FRANCISCO J. REYES	SHERIFF Dept.#SH	03-30-2022	29 YRS ½ MOS
THOMAS J. RICHARDS	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	31 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DEAN O. RIVERO	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 11½ MOS
HECTOR M. RODARTE	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	29 YRS 08½ MOS
RICHARD E. RODRIGUEZ	L A COUNTY FIRE DEPT Dept.#FR	03-16-2022	33 YRS 00 MOS
ROBERT J. RODRIGUEZ	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	33 YRS 07½ MOS
HERLINDA ROLDAN	SHERIFF Dept.#SH	03-24-2022	20 YRS 08½ MOS
JESUS Q. RUIZ	SHERIFF Dept.#SH	03-31-2022	31 YRS 08½ MOS
TODD P. SAMS	SHERIFF Dept.#SH	03-31-2022	30 YRS 01½ MOS
PATRICK K. SCANLON	L A COUNTY FIRE DEPT Dept.#FR	03-28-2022	30 YRS 00 MOS
KEIJI SETA	L A COUNTY FIRE DEPT Dept.#FR	03-01-2022	29 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALLAN R. SMITH	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	27 YRS 03½ MOS
IGNACIO SOMOANO	SHERIFF Dept.#SH	03-31-2022	35 YRS ½ MOS
ROLAND K. SPEARS	SHERIFF Dept.#SH	03-14-2022	34 YRS 05½ MOS
DAVID J. SPRENGEL	SHERIFF Dept.#SH	03-31-2022	31 YRS 08½ MOS
VERONIE K. STEELE-SMALL	L A COUNTY FIRE DEPT Dept.#FR	03-17-2022	33 YRS 05 MOS
JEFFREY M. STOSIC	SHERIFF Dept.#SH	03-26-2022	37 YRS 09 MOS
CHERYL SUAREZ	SHERIFF Dept.#SH	03-31-2022	31 YRS 09½ MOS
DONALD P. SUBLER	SHERIFF Dept.#SH	03-31-2022	30 YRS 09½ MOS
KEVIN P. SULLIVAN	SHERIFF Dept.#SH	03-31-2022	19 YRS 10½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MIKE J. SYLVIA	SHERIFF Dept.#SH	03-31-2022	31 YRS 10½ MOS
DARIN W. THOMPSON	SHERIFF Dept.#SH	02-26-2022	32 YRS 03 MOS
DEBORAH J. TOMBOL	SHERIFF Dept.#SH	03-31-2022	31 YRS ½ MOS
JOEL A. ULLOA	SHERIFF Dept.#SH	03-31-2022	31 YRS ½ MOS
ELIEZER VERA	SHERIFF Dept.#SH	03-31-2022	33 YRS 04½ MOS
JOSEPH J. VILLALOBOS	SHERIFF Dept.#SH	03-31-2022	30 YRS 07½ MOS
VICTOR H. VILLARREAL	SHERIFF Dept.#SH	03-30-2022	32 YRS 10½ MOS
RICHARD J. WARD	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	42 YRS 11½ MOS
PHILLIP N. WATKINS	SHERIFF Dept.#SH	03-31-2022	32 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GREGORY T. WEBER	L A COUNTY FIRE DEPT Dept.#FR	03-02-2022	32 YRS 02 MOS
ANTHONY M. WHITTLE	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	33 YRS 05½ MOS
ROBERT G. WIARD	SHERIFF Dept.#SH	03-31-2022	35 YRS 03½ MOS
JAMES R. WOLFHOPE	SHERIFF Dept.#SH	03-31-2022	30 YRS 04½ MOS
SHAWN YOUNGMAN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
YING CHEN ACKERMAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	31 YRS 03½ MOS
TILLIE ACOSTA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	35 YRS 09½ MOS
ESTRELLA M. ACOSTA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	20 YRS 11½ MOS
LAILA Y. AL-BADAWI	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-01-2022	26 YRS 04 MOS
AZAR ALAVI	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	32 YRS ½ MOS
ESTELLA ALEGRIA	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	49 YRS 07½ MOS
JESSILYN R. ALEXANDER	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	28 YRS 01 MOS
CRYSTAL B. ALEXANDER	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-31-2022	23 YRS 03½ MOS
ALONZO R. ALLEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-24-2022	36 YRS 00 MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHAEL G. ALLEN	PROBATION DEPARTMENT Dept.#PB	02-28-2022	42 YRS 04 MOS
MOHAMMAD S. ALOTEIBI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	27 YRS 02½ MOS
DONNA K. ALVARADO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-22-2022	30 YRS 10½ MOS
I AM	INTERNAL SERVICES Dept.#IS	03-31-2022	42 YRS 07½ MOS
HUGH A. AMATO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	42 YRS 06½ MOS
TANYA A. ANDERSON	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	20 YRS 01 MOS
ETHEL I. ANEKE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	31 YRS 06½ MOS
NILDA C. ANTHONY	WORKFORCE DEV AGING & COMM SVC Dept.#CS	03-31-2022	31 YRS 02½ MOS
MARIA L. APARICIO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	34 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELISA AQUINO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	43 YRS 06½ MOS
ROSELYN F. ARCIAGA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	28 YRS 06½ MOS
OLGA AREVALO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-01-2022	27 YRS 05½ MOS
REBECCA ARIAS	REG-RECORDER/COUNTY CLERK Dept.#RR	03-31-2022	08 YRS 07½ MOS
VAAGN ASLANYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	25 YRS 01½ MOS
EFREN P. ASUNCION	INTERNAL SERVICES Dept.#IS	03-31-2022	05 YRS 10½ MOS
TOMMY ATENCIO	PUBLIC WORKS Dept.#PW	03-31-2022	35 YRS 04½ MOS
MARY R. AUSTIN	TREASURER AND TAX COLLECTOR Dept.#TT	03-01-2022	30 YRS 02 MOS
ANAHID AVANESSIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	31 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SOLEDAD S. AZORES	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	15 YRS 01½ MOS
ARMINEH BAGHERI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	15 YRS 11½ MOS
JAMES C. BAGNELL	PUBLIC WORKS Dept.#PW	03-31-2022	40 YRS ½ MOS
ROSIK BAGRAMYAN	MENTAL HEALTH Dept.#MH	03-31-2022	16 YRS ½ MOS
GIPSY T. BALADAD	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-26-2022	34 YRS 10 MOS
SCOTT A. BALDWIN	SHERIFF Dept.#SH	03-31-2022	29 YRS 08½ MOS
IRAJ BANAPOUR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	31 YRS 03½ MOS
GLORIA BARBER	SHERIFF Dept.#SH	03-31-2022	22 YRS ½ MOS
MARY BASSETT	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2022	35 YRS 08 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RENEE M. BAYLIS	PROBATION DEPARTMENT Dept.#PB	03-31-2022	33 YRS 11½ MOS
PATRINA Z. BEASLEY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	38 YRS 11½ MOS
ALMA A. BEDROSSIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	31 YRS 02½ MOS
MIKKI MAKIKO S. BEERMANN	MENTAL HEALTH Dept.#MH	03-31-2022	30 YRS 03½ MOS
LULA B. BELL	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	34 YRS 06½ MOS
MARY A. BELTRAN	PROBATION DEPARTMENT Dept.#PB	03-31-2022	21 YRS 03 MOS
REGINA BENNETT	PROBATION DEPARTMENT Dept.#PB	02-01-2022	13 YRS 05½ MOS
LISA E. BETRICE	ASSESSOR Dept.#AS	03-31-2022	30 YRS 01 MOS
PAULA S. BINNER	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	27 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SUZANNE E. BISHOP	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	21 YRS 03 MOS
SUSAN A. BLACK	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	02-28-2022	33 YRS 04 MOS
ARAX BOGOSIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	32 YRS ½ MOS
AUDREY BOLDEN	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	17 YRS 07 MOS
EMETERIA B. BOLO	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	18 YRS 01½ MOS
CLEMMIE M. BOOKER	CHIEF EXECUTIVE OFFICE Dept.#AO	03-31-2022	34 YRS 08½ MOS
JAMES E. BOSTWICK	CORRECTIONAL HEALTH Dept.#HC	05-15-2022	10 YRS ½ MOS
TIMOTHY E. BOTELLO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	44 YRS 10½ MOS
ANN M. BRACO	PUBLIC DEFENDER Dept.#PD	03-31-2022	34 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DEREK M. BRAKE	SHERIFF Dept.#SH	03-31-2022	37 YRS 04 MOS
FLOR S. BRAVO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	33 YRS 08½ MOS
STUART H. BREHM III	PUBLIC WORKS Dept.#PW	03-31-2022	21 YRS 08½ MOS
ALTHEA E. BROWN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	37 YRS 01½ MOS
ROBERT M. BRUNKALLA	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	25 YRS 04 MOS
JULIETA BRYAN	LACERA Dept.#NL	05-31-2022	25 YRS 01½ MOS
TRINIDAD BUENROSTRO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS ½ MOS
TODD A. BYNUM	PUBLIC LIBRARY Dept.#PL	03-26-2022	36 YRS 01 MOS
MARK S. CADDICK	PUBLIC WORKS Dept.#PW	03-31-2022	36 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DIANA M. CARBAJAL	DISTRICT ATTORNEY Dept.#DA	03-30-2022	22 YRS 09½ MOS
JOHN A. CARILLO	SHERIFF Dept.#SH	03-30-2022	30 YRS 02½ MOS
DUANE F. CARLSON	PROBATION DEPARTMENT Dept.#PB	03-27-2022	37 YRS 02 MOS
MARK W. CARLSON	INTERNAL SERVICES Dept.#IS	03-30-2022	36 YRS 08½ MOS
SANDRA CARRILLO	SHERIFF Dept.#SH	04-30-2022	32 YRS 01½ MOS
ROSE M. CASTELLANOS	PUBLIC DEFENDER Dept.#PD	03-31-2022	34 YRS 01½ MOS
IRMA CASTILLO	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-31-2022	42 YRS 05½ MOS
WILHELMINA G. CASTRO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	35 YRS 01½ MOS
ERNESTO B. CASTULO	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	25 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JANET M. CAUDILL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	25 YRS ½ MOS
MARSHA D. CAUSEY CHATM	PROBATION DEPARTMENT Dept.#PB	03-31-2022	32 YRS 06½ MOS
ELIZABETH CENICEROS	MENTAL HEALTH Dept.#MH	03-31-2022	31 YRS 08½ MOS
KATHLEEN J. CHAN	MENTAL HEALTH Dept.#MH	03-31-2022	05 YRS 06½ MOS
JULIE Y. CHAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-16-2022	21 YRS 07 MOS
ALMA Y. CHAN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	35 YRS 04½ MOS
BOONRAT CHANTORN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	33 YRS 11½ MOS
BARBARA CHARKHCHYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	25 YRS ½ MOS
PATTI P. CHENG	PUBLIC WORKS Dept.#PW	03-31-2022	22 YRS 01½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSEPH CHIDI	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	21 YRS ½ MOS
PATRICIA A. CHIN	INTERNAL SERVICES Dept.#IS	03-04-2022	17 YRS 06½ MOS
LARRY CHIN	SHERIFF Dept.#SH	03-29-2022	35 YRS 01 MOS
CHRIS W. CHIU	SHERIFF Dept.#SH	03-31-2022	32 YRS 02½ MOS
JANICE H. CHO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	43 YRS 01½ MOS
DONNA CHRISTOPHER	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	14 YRS 07 MOS
BRENDAN M. CHU	CHIEF EXECUTIVE OFFICE Dept.#AO	03-31-2022	30 YRS ½ MOS
MYUNG H. CHUNG	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	05-31-2022	21 YRS 11½ MOS
YONG B. CHUNG	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	31 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LAI K. CHWA	SHERIFF Dept.#SH	03-31-2022	33 YRS 10½ MOS
VIRGINIA G. CLANTON	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	25 YRS 03½ MOS
CHERYL C. CLIFFORD	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-15-2022	17 YRS 00 MOS
ANTHONY COLANNINO	DISTRICT ATTORNEY Dept.#DA	03-30-2022	34 YRS 03 MOS
JOHN W. COLE	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	20 YRS 05½ MOS
BENEDETTO COLELLA	DISTRICT ATTORNEY Dept.#DA	03-01-2022	32 YRS 06 MOS
GLORIA A. COLEMAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	20 YRS 11½ MOS
BRENDA J. COLEMAN	CHILD SUPPORT SERVICES Dept.#CD	03-31-2022	25 YRS 08½ MOS
THERESA COLLIER	ASSESSOR Dept.#AS	03-31-2022	38 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELAINE D. COLLINS	PUBLIC LIBRARY Dept.#PL	03-31-2022	19 YRS 05½ MOS
JAVIER COLON	PUBLIC DEFENDER Dept.#PD	05-28-2022	05 YRS 09 MOS
LOURDES V. CORDOVA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	14 YRS 03½ MOS
SILVIA G. CORTEZ	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	23 YRS ½ MOS
LESLIE A. COZBY	PUBLIC WORKS Dept.#PW	03-31-2022	42 YRS 04½ MOS
VENUS V. CRITTENDEN	CHILDREN & FAMILY SERVICES Dept.#CH	02-26-2022	36 YRS 01 MOS
GLORIA J. CRUSE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	41 YRS 05½ MOS
KATHERINE K. CRUZ	COUNTY COUNSEL Dept.#CC	03-31-2022	37 YRS 01½ MOS
ALI DANA	PUBLIC WORKS Dept.#PW	03-31-2022	35 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PAMELA DAVIS	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-26-2022	32 YRS 07 MOS
DAVID O. DAVIS	PUBLIC WORKS Dept.#PW	03-31-2022	30 YRS 10½ MOS
DOUGLAS A. DAY	MENTAL HEALTH Dept.#MH	03-31-2022	27 YRS 02½ MOS
SUKEDA K. DAY	MENTAL HEALTH Dept.#MH	03-31-2022	33 YRS 10½ MOS
ENRICO M. DE SANTOS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	32 YRS ½ MOS
ANGELA G. DE TRINIDAD	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	26 YRS 03½ MOS
KATHLEEN DELAHANTY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	30 YRS ½ MOS
BENIGNO DELBARRIO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-15-2022	25 YRS 01½ MOS
JASON DELGADILLO	PUBLIC WORKS Dept.#PW	03-31-2022	32 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SUSAN S. DELGADO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	35 YRS 04½ MOS
ANA M. DENIS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	33 YRS 02½ MOS
JACQUELINE D. DEVEREAUX-KE	PROBATION DEPARTMENT Dept.#PB	03-31-2022	37 YRS 02½ MOS
LOUIE S. DEYPALUBOS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	32 YRS 02½ MOS
JOSEPHINA O. DHUNGANA	MENTAL HEALTH Dept.#MH	03-31-2022	13 YRS 04½ MOS
LUCILLE DIAZ	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	46 YRS 09½ MOS
CAESAR B. DIMAYUGA	SHERIFF Dept.#SH	04-30-2022	25 YRS 00 MOS
CELIAFLOR M. DIZON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	25 YRS 04½ MOS
VIVIAN M. DORSEY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	22 YRS 09½ MOS

## BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LINDA G. DREU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	23 YRS ½ MOS
PATRICIA DUENO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 04½ MOS
THOMAS DUONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS ½ MOS
HUONG T. DUONG	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	37 YRS 03½ MOS
LINDA EAGINS-LAUDE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	34 YRS 09½ MOS
CHERYL EARL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	42 YRS 05½ MOS
PAULA B. ELDRED	MENTAL HEALTH Dept.#MH	03-31-2022	40 YRS 10½ MOS
JEFFREY V. ENRIQUEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-20-2022	25 YRS 05½ MOS
GERALDINE ESCOBAR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	27 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSE M. ESCOBEDO	PROBATION DEPARTMENT Dept.#PB	03-31-2022	42 YRS ½ MOS
EFREN R. ESTRELLA	REG-RECORDER/COUNTY CLERK Dept.#RR	03-31-2022	33 YRS 01½ MOS
ELISA ESTRELLA	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	42 YRS 02½ MOS
MARK M. FADEM	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	29 YRS ½ MOS
FANNY Y. FAN	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	35 YRS 02½ MOS
SILVIA M. FELIX	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	35 YRS 02½ MOS
CHARLES M. FELTON	PUBLIC WORKS Dept.#PW	03-31-2022	35 YRS 05½ MOS
NELSON FERNANDEZ	REG-RECORDER/COUNTY CLERK Dept.#RR	03-31-2022	14 YRS 10½ MOS
LILLY F. FERNANDEZ	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	29 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WILLIAM FERRER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-26-2022	36 YRS 00 MOS
DIANE FETTER	CHILDREN & FAMILY SERVICES Dept.#CH	03-26-2022	49 YRS 06 MOS
LAN T. FICHT	WORKFORCE DEV AGING & COMM SVC Dept.#CS	03-31-2022	34 YRS 07½ MOS
DORIS J. FLEMING	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	41 YRS 04½ MOS
EDWARD FLORES	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	35 YRS 04½ MOS
M XIOMARA X. FLORES-HOLGU	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	32 YRS 11½ MOS
JERRI L. FLOWERS	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	46 YRS 04½ MOS
NADIA B. FLOWERS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	32 YRS 11½ MOS
PENELOPE F. FLOYD	PUBLIC DEFENDER Dept.#PD	03-31-2022	46 YRS 03½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WILLIAM A. FONG	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	36 YRS 02½ MOS
XIOMARA FONSECA	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	29 YRS 02 MOS
WYNNE E. FORREST	SUPERIOR COURT/COUNTY CLERK Dept.#SC	04-30-2022	22 YRS 07½ MOS
DEBRA A. FORTUNA	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	39 YRS 10½ MOS
SARAH A. FUHRMAN	DISTRICT ATTORNEY Dept.#DA	03-31-2022	26 YRS 07½ MOS
DOLORES GAMBOA	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	26 YRS 06½ MOS
JOHN C. GAMES	SHERIFF Dept.#SH	03-31-2022	26 YRS 09½ MOS
GUADALUPE GAMEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-18-2022	36 YRS 09 MOS
MARIA LUISA C. GAMORAS	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	10 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GUS A. GANI	SHERIFF Dept.#SH	03-31-2022	16 YRS 01½ MOS
ANA M. GAONA	HEALTH SERVICES ADMINISTRATION Dept.#HS	02-26-2022	26 YRS 02 MOS
HAIKUI GARAUGLANYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	21 YRS 05 MOS
JOSE J. GARCIA	PROBATION DEPARTMENT Dept.#PB	03-31-2022	26 YRS 06½ MOS
CAROLE E. GARCIA	SHERIFF Dept.#SH	03-31-2022	34 YRS 03½ MOS
GRACIELA GARCIA	PROBATION DEPARTMENT Dept.#PB	03-31-2022	42 YRS 05½ MOS
KIMBALL GARRETT	MUSEUM OF NATURAL HISTORY Dept.#NH	03-31-2022	37 YRS ½ MOS
MARIA V. GARVER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	18 YRS 05½ MOS
FELICISIMO R. GATDULA	SHERIFF Dept.#SH	03-31-2022	17 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LETICIA L. GEHRINGER	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-26-2022	37 YRS 01 MOS
KWEI-SHIANG Y. GILMAN	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	34 YRS 04½ MOS
MARIO GIRON	SHERIFF Dept.#SH	03-31-2022	29 YRS 08½ MOS
MAZIE GO	COUNTY COUNSEL Dept.#CC	03-31-2022	44 YRS ½ MOS
DOLORES S. GONZALES	INTERNAL SERVICES Dept.#IS	03-31-2022	42 YRS ½ MOS
REYNALDO A. GONZALES	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	17 YRS ½ MOS
JULIO T. GONZALEZ	PUBLIC WORKS Dept.#PW	03-31-2022	36 YRS 08½ MOS
LILIA J. GORDON	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	28 YRS 10½ MOS
CAROLYN D. GOVAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	33 YRS 09½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GREGORY A. GRAAM	PROBATION DEPARTMENT Dept.#PB	03-31-2022	34 YRS 10 MOS
GWENDOLYN GREEN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	37 YRS 09½ MOS
JERRY C. GREGORY	PROBATION DEPARTMENT Dept.#PB	02-27-2022	39 YRS 04 MOS
JULIE L. GRISSOM	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2022	29 YRS 06 MOS
WESLEY GROSE	SHERIFF Dept.#SH	03-31-2022	42 YRS 07½ MOS
SANDRA G. GROSS-SCHULM	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	22 YRS 11½ MOS
ALMA G. GUADALUPE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	27 YRS 01½ MOS
DAVID M. GUILLORY	PROBATION DEPARTMENT Dept.#PB	03-28-2022	34 YRS 04 MOS
IRENA GUILMETTE	PUBLIC WORKS Dept.#PW	03-31-2022	39 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
EDWIN HADNOTT	ASSESSOR Dept.#AS	03-31-2022	44 YRS ½ MOS
LIDA HAGHVERDIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	22 YRS 04½ MOS
DEXTER HAMASAKI	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	32 YRS 00 MOS
SHERYL L. HARDEN	CHILDREN & FAMILY SERVICES Dept.#CH	02-26-2022	26 YRS 03½ MOS
TAKAKO A. HARRIS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	36 YRS 03½ MOS
CHARLES E. HAYFORD	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	21 YRS 03½ MOS
RAFAEL HERNANDEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2022	38 YRS 07½ MOS
VILMA HERNANDEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	27 YRS 02½ MOS
WILLIAM A. HERNANDEZ	PUBLIC WORKS Dept.#PW	03-31-2022	35 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIA HERNANDEZ	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	39 YRS 09½ MOS
KATHY C. HIEHLE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	30 YRS 01 MOS
ARACELI HILL	PROBATION DEPARTMENT Dept.#PB	03-16-2022	32 YRS 09 MOS
DAVID C. HINSON	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2022	37 YRS 07 MOS
SUSSAN HIVAND	MENTAL HEALTH Dept.#MH	03-31-2022	30 YRS 04½ MOS
DAISY E. HOGGRO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-14-2022	45 YRS 04½ MOS
MELINDA HOLGUIN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	29 YRS 06 MOS
SAMIA HOURANY	PUBLIC WORKS Dept.#PW	03-31-2022	37 YRS 05½ MOS
LIEN CHUN HUANG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-12-2022	35 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SHIRLEY HUBBARD	PUBLIC LIBRARY Dept.#PL	03-31-2022	42 YRS 04½ MOS
JOAN L. HUGHES	MENTAL HEALTH Dept.#MH	02-11-2022	33 YRS 11½ MOS
CHARAIN HUTCHINSON	PROBATION DEPARTMENT Dept.#PB	03-26-2022	13 YRS 01 MOS
SUE HWANG	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-31-2022	35 YRS 08½ MOS
GREGORIO S. IGNACIO	ASSESSOR Dept.#AS	03-31-2022	37 YRS 02½ MOS
CORAZON INDEFENZO	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	31 YRS 09½ MOS
JOSE A. INTERIANO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	31 YRS 08½ MOS
BRENDA D. JACKSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2022	29 YRS 08½ MOS
MICHAEL L. JAMES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-15-2022	30 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GREGORY JAMES	PROBATION DEPARTMENT Dept.#PB	03-31-2022	32 YRS 08½ MOS
BELINDA D. JENKINS	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	33 YRS 10½ MOS
MARY W. JINES	COUNTY COUNSEL Dept.#CC	03-21-2022	27 YRS 06½ MOS
VERONICA E. JOHNSON	PROBATION DEPARTMENT Dept.#PB	03-31-2022	32 YRS 02½ MOS
GLENDA H. JOHNSON	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	45 YRS 07½ MOS
MERLE S. JOHNSTON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	43 YRS 01½ MOS
EDITH M. JONES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-28-2022	36 YRS 11 MOS
THOMAS JONES	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	35 YRS 08½ MOS
BETTY JUDDINE	MENTAL HEALTH Dept.#MH	03-31-2022	24 YRS 03½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SANDRA M. KANG	CORRECTIONAL HEALTH Dept.#HC	03-16-2022	21 YRS 02 MOS
HEANG KAR	ASSESSOR Dept.#AS	03-31-2022	40 YRS 07½ MOS
ROBERT KEIL J R	SHERIFF Dept.#SH	03-30-2022	33 YRS ½ MOS
RICHARD S. KELLOGG	PUBLIC WORKS Dept.#PW	03-20-2022	43 YRS 06 MOS
AYKANUSH KESHISHYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-26-2022	20 YRS 04 MOS
JERRY A. KESSLER	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	03-31-2022	13 YRS 09½ MOS
SARA KHALOGLI	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	24 YRS 03½ MOS
JAE I. KIM	PUBLIC WORKS Dept.#PW	03-31-2022	36 YRS 01½ MOS
YEONG O. KIM	JUVENILE COURT HEALTH SERVICES Dept.#HJ	03-26-2022	29 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HOSUN M. KIM	MENTAL HEALTH Dept.#MH	03-31-2022	16 YRS 06½ MOS
JOAN KIM	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS ½ MOS
JOYCE A. KING	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	47 YRS 09½ MOS
ROY B. KING	MENTAL HEALTH Dept.#MH	03-31-2022	16 YRS 07½ MOS
LYDIA A. KNIGHT	SHERIFF Dept.#SH	03-31-2022	25 YRS 01½ MOS
ANN M. KORBAN	DISTRICT ATTORNEY Dept.#DA	04-30-2022	36 YRS ½ MOS
ALFONSO KOTERO	PUBLIC DEFENDER Dept.#PD	03-31-2022	19 YRS 01½ MOS
RONALDO LACAYO	PUBLIC WORKS Dept.#PW	03-31-2022	37 YRS 10½ MOS
WARLITO M. LACISTE	ASSESSOR Dept.#AS	03-31-2022	34 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIAM LALABEKYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	31 YRS 01½ MOS
ISAAC LANDEROS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	29 YRS 06 MOS
VICKY L. LAU	INTERNAL SERVICES Dept.#IS	03-31-2022	30 YRS 07½ MOS
JEFFREY LEE	PUBLIC WORKS Dept.#PW	03-31-2022	34 YRS 08½ MOS
KEVIN P. LEE	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	46 YRS 06½ MOS
HYEJOO K. LEE	PUBLIC HEALTH PROGRAM Dept.#PH	03-21-2022	37 YRS 07 MOS
KYUNG Y. LEE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	23 YRS 01½ MOS
ANAIT LEPEDZHIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 03½ MOS
PATRICIA LEVY	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	26 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LARRY A. LEWIS	PARKS AND RECREATION Dept.#PK	03-31-2022	31 YRS ½ MOS
BERNARD LIM	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-26-2022	44 YRS 09 MOS
ELEANOR L. LIM	SHERIFF Dept.#SH	03-31-2022	35 YRS 10½ MOS
JOUNG-SU LIOU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	23 YRS 03½ MOS
TIMOTHY B. LIPPMAN	BOARD OF SUPERVISORS Dept.#BS	03-30-2022	07 YRS 02½ MOS
AVIS L. LIVINGSTON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	36 YRS 05½ MOS
BEATRIZ LOIEDERMAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	19 YRS 04½ MOS
DEBORAH L. LOPEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	41 YRS 03½ MOS
ELAINE LOPEZ	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	32 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROSALINDA LOPEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-26-2022	25 YRS 06½ MOS
FATOLLAH LOTFI-REZVAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	32 YRS 10½ MOS
ALEJANDRIN LOTFI-REZVAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	41 YRS 11½ MOS
PEGGY LOVEMAN-SHER	PUBLIC DEFENDER Dept.#PD	03-31-2022	32 YRS 10½ MOS
TOM LU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 07½ MOS
ROBERT L. LUTHER	ASSESSOR Dept.#AS	03-25-2022	38 YRS 01 MOS
ANITA H. LUTHRIA	ASSESSOR Dept.#AS	03-31-2022	35 YRS 06½ MOS
HELEN L. LY	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	38 YRS 04½ MOS
PHUONG LY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TERESA M. LYNCH	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	30 YRS 11½ MOS
JAYSON A. MALOLES	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	14 YRS ½ MOS
BARBARA A. MALONE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	25 YRS 02½ MOS
GENEVIEVE MANGROBANG	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	31 YRS 09½ MOS
LENNIE O. MANO	CORRECTIONAL HEALTH Dept.#HC	04-30-2022	25 YRS ½ MOS
MERCY D. MANRRIQUEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	35 YRS ½ MOS
ROCIO M. MARCILLA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	20 YRS 09½ MOS
RICHARD R. MARGET	PUBLIC HEALTH PROGRAM Dept.#PH	03-01-2022	14 YRS 10 MOS
SYLVIA M. MARTINEZ	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	35 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIA E. MATA	WORKFORCE DEV AGING & COMM SVC Dept.#CS	03-31-2022	48 YRS 04½ MOS
ANAHID MATTHEWSIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	15 YRS 03 MOS
LENORA A. MAXIE	SHERIFF Dept.#SH	03-31-2022	22 YRS ½ MOS
GREGORY J. MC COVEY	PROBATION DEPARTMENT Dept.#PB	03-31-2022	32 YRS 01½ MOS
MICHAEL MC MANUS	SHERIFF Dept.#SH	03-31-2022	26 YRS 05½ MOS
DOUGLAS MCCALLUM	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	35 YRS 09 MOS
KELLY A. MCPHEETERS	SHERIFF Dept.#SH	03-31-2022	23 YRS 02½ MOS
DENISE K. MEDINA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	39 YRS 02½ MOS
MENBERE MEKBEB	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	33 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHAEL MELTON	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	17 YRS 08½ MOS
LEE V. MENA	SHERIFF Dept.#SH	03-31-2022	19 YRS 07½ MOS
ODILIA C. MENDEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	19 YRS 05½ MOS
KATHLEEN B. MEYER-OTTERM	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	32 YRS 08½ MOS
GREGORY D. MILLER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	30 YRS 05½ MOS
MARK MILLMAN	CHILDREN & FAMILY SERVICES Dept.#CH	02-26-2022	30 YRS 04 MOS
JANE M. MINAMI	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	42 YRS 08½ MOS
CYNTHIA L. MOLINA	JUVENILE COURT HEALTH SERVICES Dept.#HJ	03-16-2022	39 YRS 01 MOS
ALBERT P. MONTELLANO	PROBATION DEPARTMENT Dept.#PB	03-31-2022	40 YRS 09½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BALDOMERO MONTEVERDE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	17 YRS 01½ MOS
PEGGY A. MOORE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	30 YRS 05½ MOS
SANDRA R. MOORE	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	33 YRS 10½ MOS
GRACIELA MORA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-01-2022	16 YRS 06 MOS
ELIZABETH V. MOREIRA	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	33 YRS 07 MOS
DYAN L. MOREY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-21-2022	29 YRS 04½ MOS
ALAN R. MORGENSTERN	PUBLIC DEFENDER Dept.#PD	03-31-2022	32 YRS 08½ MOS
NORMAN L. MOUTRA JR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	45 YRS 09 MOS
WILLIAM S. MRAZEK	INTERNAL SERVICES Dept.#IS	03-11-2022	20 YRS 08 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSEPH J. MURAMATSU	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2022	33 YRS 00 MOS
OLGA YOLANDA MURGA -RODRI	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	43 YRS 02½ MOS
JUAN M. MURGUIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	34 YRS ½ MOS
JOSEPH F. MUSSO	DISTRICT ATTORNEY Dept.#DA	03-31-2022	31 YRS 03½ MOS
MA EVELYN R. NALUPA	PUBLIC HEALTH PROGRAM Dept.#PH	03-26-2022	37 YRS 04 MOS
EMMA NAPARAN	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-31-2022	41 YRS 02½ MOS
ALVIN W. NAPOLEON	CORRECTIONAL HEALTH Dept.#HC	03-30-2022	37 YRS ½ MOS
BEATRICE NEGRETE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	35 YRS 04½ MOS
MANUEL NELLOM JR	ANIMAL CONTROL Dept.#AN	03-31-2022	22 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
AMY NELSON-WATER	SHERIFF Dept.#SH	03-31-2022	33 YRS 11½ MOS
BERNARD W. NEZART	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	31 YRS 11½ MOS
VINCENT NGUYEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	22 YRS 06½ MOS
MARIA H. NGUYEN	PUBLIC WORKS Dept.#PW	03-31-2022	34 YRS 11½ MOS
CYNTHIA NICOLIS	SHERIFF Dept.#SH	03-31-2022	41 YRS 08½ MOS
JOE E. NINO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2022	23 YRS ½ MOS
MONIQUE L. NOLLNER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	30 YRS 01½ MOS
ANNETTE NOMURA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	35 YRS 05½ MOS
LETICIA O'NEILL	SHERIFF Dept.#SH	03-31-2022	39 YRS 10½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOHNNY N. OGHORIAN	PUBLIC WORKS Dept.#PW	03-31-2022	27 YRS 05½ MOS
VERA OLIVAREZ	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	36 YRS 03½ MOS
MICHELLE H. ONG	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	33 YRS 10½ MOS
BETTY G. ONGLEKHENG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	27 YRS 01½ MOS
CLAUDIA L. ORTEGA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2022	38 YRS 06½ MOS
MARIA S. OSEGUEDA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	34 YRS 11½ MOS
RUTH A. PALMER	ASSESSOR Dept.#AS	03-31-2022	32 YRS 09½ MOS
ANNALYN PANGANIBAN	COUNTY COUNSEL Dept.#CC	03-31-2022	31 YRS 01½ MOS
CHAN AE PARK	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2022	19 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CLEOFÉ B. PASCUAL	SHERIFF Dept.#SH	03-31-2022	12 YRS 04½ MOS
FLORA PASKEVICHYAN	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	32 YRS 06½ MOS
RICHARD J. PAULSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	34 YRS 08½ MOS
LEAKHENA J. PEL	CHILDREN & FAMILY SERVICES Dept.#CH	02-12-2022	31 YRS 06 MOS
JOSEPH PERKINS	INTERNAL SERVICES Dept.#IS	03-31-2022	24 YRS 04½ MOS
WINSTON A. PETERS	PUBLIC DEFENDER Dept.#PD	03-31-2022	41 YRS 08½ MOS
JAMES E. PHELPS	PROBATION DEPARTMENT Dept.#PB	03-31-2022	30 YRS 11½ MOS
GAIL T. PHELPS-EDWAR	SHERIFF Dept.#SH	03-31-2022	36 YRS 08½ MOS
BA H. PHU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	31 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
THUAN PHUNG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	21 YRS 02½ MOS
BICH PHUNG	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	31 YRS 11½ MOS
JACK B. PIATETSKY	PROBATION DEPARTMENT Dept.#PB	03-30-2022	28 YRS 04½ MOS
IRMA L. PINON	REG-RECORDER/COUNTY CLERK Dept.#RR	03-31-2022	35 YRS 06½ MOS
RUMENA R. PIPERKOV	BEACHES & HARBORS Dept.#BH	03-31-2022	25 YRS 09½ MOS
MARGO POWERS	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	44 YRS 05½ MOS
KATIE P. QUACH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	33 YRS 10½ MOS
MAYRA QUESADA	REG-RECORDER/COUNTY CLERK Dept.#RR	03-31-2022	15 YRS 10 MOS
THOMAS J. RABAGO	PUBLIC WORKS Dept.#PW	03-31-2022	37 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MANSOUREH RAHIMI	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	32 YRS 05½ MOS
CATHERINE M. RAILTON	PUBLIC LIBRARY Dept.#PL	03-31-2022	13 YRS 08½ MOS
CATALINA RAMIREZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	21 YRS 01½ MOS
ADA M. RAMOS	MENTAL HEALTH Dept.#MH	03-31-2022	17 YRS 04 MOS
ALEC J. RAMOS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	30 YRS 06½ MOS
EVELYN P. RAMOS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	23 YRS 07½ MOS
JOEL F. REGALA	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	17 YRS ½ MOS
KIMBERLY D. REILLY	SHERIFF Dept.#SH	03-31-2022	16 YRS 04½ MOS
JOEL RETA	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	23 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VERONICA R. REYES	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	21 YRS 01½ MOS
DEBORAH J. RILEY	TREASURER AND TAX COLLECTOR Dept.#TT	02-04-2022	42 YRS 08½ MOS
ACQUALYN RILEY	REG-RECORDER/COUNTY CLERK Dept.#RR	03-29-2022	22 YRS ½ MOS
MARIETTA E. RIVERA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2022	36 YRS 10 MOS
RANDALL ROBERTS	PUBLIC WORKS Dept.#PW	03-31-2022	33 YRS 02½ MOS
HOLLIS C. ROBERTS J R	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	46 YRS 08½ MOS
DANNY J. ROBLEDO	PUBLIC WORKS Dept.#PW	03-31-2022	37 YRS ½ MOS
CLARA I. ROJAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	29 YRS 10½ MOS
RAAFAT ROKES	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-03-2022	16 YRS 05½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALPHONSE ROMAIN	PUBLIC WORKS Dept.#PW	03-31-2022	33 YRS ½ MOS
LETICIA ROMERO	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	42 YRS 01½ MOS
MANUELA ROMO	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	41 YRS ½ MOS
CARLOS RUIZ	PUBLIC WORKS Dept.#PW	02-25-2022	37 YRS 09 MOS
JEFFREY A. RUPE	PUBLIC WORKS Dept.#PW	03-31-2022	32 YRS 05½ MOS
CHRISTINA RUSSO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-01-2022	37 YRS 11 MOS
JERRY F. RYLES	PROBATION DEPARTMENT Dept.#PB	03-31-2022	31 YRS 03 MOS
OSSAMA SAAD	SHERIFF Dept.#SH	03-31-2022	32 YRS 07½ MOS
SAMIRA SAADALLA	PUBLIC HEALTH PROGRAM Dept.#PH	02-01-2022	35 YRS 10 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VICTORIA SALAZAR	DISTRICT ATTORNEY Dept.#DA	03-31-2022	33 YRS 10½ MOS
CHRISTINE M. SALGADO	WORKFORCE DEV AGING & COMM SVC Dept.#CS	03-31-2022	41 YRS 07½ MOS
MARTHA M. SANDOVAL	AMBULATORY CARE NETWORK Dept.#HN	02-26-2022	39 YRS 11½ MOS
NENITA P. SASIS	ASSESSOR Dept.#AS	03-31-2022	33 YRS 05½ MOS
DINA R. SAUCEDO	PUBLIC HEALTH PROGRAM Dept.#PH	03-26-2022	46 YRS 04 MOS
CYNTHIA J. SCHMIDT	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-25-2022	41 YRS 08 MOS
KAREN Y. SCOTT	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	25 YRS 09 MOS
AUGUSTO V. SEBASTIAN	SHERIFF Dept.#SH	03-31-2022	40 YRS 02½ MOS
MADELINE SHAH	MENTAL HEALTH Dept.#MH	03-31-2022	21 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
OZIE M. SHORTER	L A COUNTY FIRE DEPT Dept.#FR	03-17-2022	30 YRS 02 MOS
JENNIFER SHRINER	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	21 YRS 04½ MOS
CINDY F. SIGAL-HELD	PROBATION DEPARTMENT Dept.#PB	03-31-2022	34 YRS 04½ MOS
MAYRA M. SILVA	CORRECTIONAL HEALTH Dept.#HC	02-28-2022	14 YRS 01 MOS
KERRY G. SILVERSTROM	BEACHES & HARBORS Dept.#BH	03-31-2022	38 YRS 03½ MOS
GLENN W. SIMONTON	PROBATION DEPARTMENT Dept.#PB	02-28-2022	32 YRS 01 MOS
BELINDA J. SKIPP	CHIEF EXECUTIVE OFFICE Dept.#AO	03-31-2022	39 YRS 07½ MOS
DEBRA SMITH	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	25 YRS 01½ MOS
LUZ H. SMITH	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	32 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RICKY SMITH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-11-2022	26 YRS 07½ MOS
ROSE M. SMITH	SHERIFF Dept.#SH	03-31-2022	05 YRS 04½ MOS
VICKI J. SMITH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 07½ MOS
MARK A. SMITH	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	03-29-2022	16 YRS 01 MOS
GUILLERMINA SOLTERO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	37 YRS 04½ MOS
CHARLES W. SPATAFORE	PROBATION DEPARTMENT Dept.#PB	03-31-2022	37 YRS 09½ MOS
LYNNE R. SPENCER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	43 YRS 05½ MOS
RANKO SPREMO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	20 YRS 11½ MOS
JOCELYN X. SUMIYOSHI	SHERIFF Dept.#SH	03-31-2022	33 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HUONG NANCY TA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-26-2022	41 YRS 10 MOS
MOI L. TAN	PUBLIC WORKS Dept.#PW	04-29-2022	29 YRS 08½ MOS
JOSE A. TAPIAS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	15 YRS 06½ MOS
LOTO M. TAUFU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	41 YRS 09½ MOS
LORETTA TAYLOR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	42 YRS 10 MOS
LYDIA C. TAYRUS	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	14 YRS 03½ MOS
KIMBERLY A. TELESH	SHERIFF Dept.#SH	04-29-2022	13 YRS ½ MOS
TERENCE L. TENGAN	ASSESSOR Dept.#AS	03-31-2022	33 YRS 01½ MOS
ANTHONY C. THAYER	PROBATION DEPARTMENT Dept.#PB	03-31-2022	33 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SHERYL M. THERIOT	ANIMAL CONTROL Dept.#AN	03-31-2022	18 YRS 05 MOS
DELISA M. THOMPSON	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	30 YRS 09½ MOS
SHIRLEY THOMPSON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2022	46 YRS ½ MOS
CRAIG V. THOMPSON	PROBATION DEPARTMENT Dept.#PB	03-31-2022	26 YRS 10½ MOS
JACKIE S. THOMPSON	SHERIFF Dept.#SH	03-31-2022	25 YRS 08½ MOS
SINDY L. THUMM	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	37 YRS 09½ MOS
EDGAR TOM	PARKS AND RECREATION Dept.#PK	03-31-2022	44 YRS 09½ MOS
SUSAN TOM	INTERNAL SERVICES Dept.#IS	04-29-2022	25 YRS ½ MOS
MINDY D. TON-NU	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	27 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CAMEAN TONG	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	22 YRS 02½ MOS
EMELITA TORRES	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	29 YRS ½ MOS
DAVID T. TRAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	30 YRS 05½ MOS
BARRY TRAYLOR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	41 YRS 11½ MOS
MICHAEL P. TRAYNOR	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	27 YRS 05½ MOS
GLENN C. TROMAN	PUBLIC WORKS Dept.#PW	03-31-2022	39 YRS 05½ MOS
ANNA MARIE TRUJILLO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2022	22 YRS 08 MOS
BARBARA A. TUNSTALL	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	31 YRS 07½ MOS
ELMER URTEAGA	INTERNAL SERVICES Dept.#IS	03-31-2022	31 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ANTONIA VALDERAS	REG-RECORDER/COUNTY CLERK Dept.#RR	03-31-2022	19 YRS 04½ MOS
GRACIELA VALENZUELA	PUBLIC DEFENDER Dept.#PD	03-31-2022	27 YRS 03½ MOS
MARK J. VAN LEER	SHERIFF Dept.#SH	03-30-2022	25 YRS ½ MOS
ARMEN VARDANYAN	ASSESSOR Dept.#AS	03-31-2022	07 YRS ½ MOS
RAJEEV K. VARMA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	22 YRS 08½ MOS
OLIVIA P. VASQUEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	27 YRS 03½ MOS
SARA VEGA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 07 MOS
CYNTHIA DEIO VENTER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	30 YRS 06½ MOS
SAMUEL C. VENZOR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	27 YRS 02½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RAYDAVID VILLA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	42 YRS ½ MOS
DAVID R. VILLALPANDO	PROBATION DEPARTMENT Dept.#PB	03-27-2022	31 YRS 07 MOS
LINDA L. VILLANUEVA	MENTAL HEALTH Dept.#MH	03-31-2022	38 YRS 05½ MOS
SAMMY VILLEGAS	PUBLIC WORKS Dept.#PW	03-31-2022	37 YRS 04½ MOS
ELIZABETH A. VIRGOE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	06 YRS 05½ MOS
PHAN M. VUONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	35 YRS 03½ MOS
PAMELA WALKER	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	34 YRS 07 MOS
LOLITA WALKER	PROBATION DEPARTMENT Dept.#PB	03-31-2022	37 YRS 06½ MOS
YVONNE WALKER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-29-2022	40 YRS 09 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PATRICIA C. WALLACE	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	23 YRS 01½ MOS
RENEE WANG	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	18 YRS 05½ MOS
KEITH E. WATSON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	35 YRS 06½ MOS
TRACY J. WATSON	DISTRICT ATTORNEY Dept.#DA	03-30-2022	34 YRS 05½ MOS
BRENDA M. WILLIAMS	CHILD SUPPORT SERVICES Dept.#CD	03-31-2022	32 YRS 04 MOS
BOBBYETTA M. WILLIAMS	PUBLIC WORKS Dept.#PW	03-09-2022	43 YRS 02½ MOS
VIOLET O. WILLIAMS	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	30 YRS 06½ MOS
DARRYL WILLIAMS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-12-2022	10 YRS 10 MOS
SHAKETTI A. WILLIAMS	PROBATION DEPARTMENT Dept.#PB	02-27-2022	26 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DARLENE E. WILLIAMS	SHERIFF Dept.#SH	03-31-2022	42 YRS 08½ MOS
VICTORIA N. WILSON	SHERIFF Dept.#SH	03-31-2022	15 YRS 02½ MOS
GINA M. WING	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	36 YRS 01½ MOS
SCOTT C. WITT	SHERIFF Dept.#SH	03-30-2022	34 YRS 06½ MOS
DAVID W. WITTKOPF	SHERIFF Dept.#SH	03-31-2022	40 YRS 11½ MOS
SUWATTANA WONGTAREO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	33 YRS 06½ MOS
SOPHIRIE K. WOOD	PROBATION DEPARTMENT Dept.#PB	03-31-2022	28 YRS 00 MOS
RANELLE WORMLEY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	30 YRS ½ MOS
EVERLEAN WRIGHT	PARKS AND RECREATION Dept.#PK	03-31-2022	30 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
THOMAS C. WUBBEN	PROBATION DEPARTMENT Dept.#PB	03-30-2022	35 YRS 01 MOS
LIYIN YANG	MENTAL HEALTH Dept.#MH	02-12-2022	27 YRS ½ MOS
STANLEY S. YEE	SHERIFF Dept.#SH	03-31-2022	23 YRS 02½ MOS
KEVIN R. YOUNG	DISTRICT ATTORNEY Dept.#DA	03-31-2022	35 YRS 07½ MOS
JOEL H. ZARAGOZA	PUBLIC WORKS Dept.#PW	03-30-2022	34 YRS 05 MOS
STEVE Q. ZHU	SHERIFF Dept.#SH	03-31-2022	15 YRS 03½ MOS
DANIEL B. ZHU	SHERIFF Dept.#SH	03-31-2022	13 YRS 04½ MOS
SALVADOR A. ZUNIGA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2022	30 YRS 02 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JENNIFER A. FLAGLER  WIFE of JONATHAN E FLAGLER dec'd on 01-06-2022, Sect. #31781.1	L A COUNTY FIRE DEPT Dept.#FR	01-07-2022	01 YRS 01½ MOS
KAYLIE D. LEVASSEUR  DAUGHTER of BRIAN LEVASSEUR dec'd on 03-26-2021, Sect. #31781.1	L A COUNTY FIRE DEPT Dept.#FR	03-27-2021	31 YRS 09 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DUKE K. HERNANDEZ  SON of MARTIN I HERNANDEZ dec'd on 06-19-2021, Sect. #31781.3	MENTAL HEALTH Dept.#MH	06-20-2021	21 YRS 08 MOS
CHRISTINA L. KING  WIFE of WILLIAM G KING dec'd on 11-18-2021, Sect. #31781.1	DISTRICT ATTORNEY Dept.#DA	11-19-2021	21 YRS 05½ MOS
NANCY MARIN  WIFE of ADRIAN MARIN dec'd on 07-19-2020, Sect. #31781.3	PUBLIC HEALTH PROGRAM Dept.#PH	07-20-2020	05 YRS 10 MOS
JOHN M. RICHARDSON J R.  HUSBAND of MYRA L RICHARDSON dec'd on 09-05-2021, Sect. #31781.3	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-06-2021	09 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MATTHEW K. ANDERSON	L A COUNTY FIRE DEPT Dept.#FR	02-14-2022	09 YRS 03 MOS
GABRIEL MACIAS	SHERIFF Dept.#SH	02-22-2022	06 YRS 08 MOS
RYAN E. MILLER	SHERIFF Dept.#SH	01-20-2022	09 YRS 07½ MOS
TIMOTHY M. MILLETTE	SHERIFF Dept.#SH	02-23-2022	20 YRS 04 MOS
STEPHEN J. SMETZER I I	SHERIFF Dept.#SH	02-06-2022	05 YRS 06 MOS
GERARD R. SMITH	SHERIFF Dept.#SH	02-20-2022	16 YRS 09 MOS
CRAIG SUMMERS	SHERIFF Dept.#SH	04-26-2022	10 YRS 00 MOS
LORENZO L. TAYLOR	SHERIFF Dept.#SH	02-04-2022	03 YRS 06 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DOLORES ALVAREZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-03-2022	11 YRS 01 MOS
LORETTA D. ANDERSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-18-2022	22 YRS 01 MOS
BERNARDINE M. ANDERSON-BRI	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-29-2022	32 YRS 03 MOS
ALINA P. ARRIETA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-18-2022	09 YRS 02 MOS
ASGHAR ASKARI	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	02-07-2022	39 YRS 06 MOS
EDNA AUGUSTIN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-01-2022	13 YRS 03½ MOS
BOBBIE J. BAKER	SHERIFF Dept.#SH	02-11-2022	18 YRS 11 MOS
MARY E. BARRON	PUBLIC HEALTH PROGRAM Dept.#PH	11-15-2021	01 YRS 01 MOS
JACQUELINE M. CUMMINGS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	29 YRS 09½ MOS



# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DAVID V. DALEO	MENTAL HEALTH Dept.#MH	03-20-2022	06 YRS 00 MOS
JULIETE DIANA LEE ME	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-15-2022	13 YRS 07 MOS
SAFA F. EGILMEZ	SHERIFF Dept.#SH	01-20-2022	03 YRS 11 MOS
JOSEPH W. ENGEL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-26-2022	01 YRS 04 MOS
ILEANA ESCOBEDO	CHILDREN & FAMILY SERVICES Dept.#CH	03-01-2022	14 YRS 02 MOS
JUDY FINNIKIN	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	02-02-2022	42 YRS 00 MOS
LIZA P. FISHER	SHERIFF Dept.#SH	01-30-2022	10 YRS 07 MOS
ELMA A. GALLARDO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-07-2022	12 YRS 06 MOS
EDUARDO J. GARCIA	BOARD OF SUPERVISORS Dept.#BS	02-25-2022	11 YRS 10 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GINA S. GAVILANES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-28-2022	07 YRS 07 MOS
PAULINE A. GAYTAN	MEDICAL EXAMINER-CORONER Dept.#ME	02-04-2022	19 YRS 00 MOS
FRANCIS T. GREENE	SHERIFF Dept.#SH	03-01-2022	14 YRS 03 MOS
RAQUEL S. GRIS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-15-2022	17 YRS 01 MOS
HEMMINGS O. HARDING	ASSESSOR Dept.#AS	03-24-2022	09 YRS 07 MOS
SHARON S. HITZ	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-30-2021	18 YRS 04½ MOS
CALVIN HOFFMAN	SHERIFF Dept.#SH	02-28-2022	13 YRS 11½ MOS
JAYNE P. HUA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	04-04-2022	23 YRS 02 MOS
PATRINA A. HUDSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-16-2022	12 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NIPON ITHIPHOL	ASSESSOR Dept.#AS	03-01-2022	16 YRS 06 MOS
DENISE M. JOHNS	PUBLIC WORKS Dept.#PW	12-16-2021	01 YRS 04 MOS
ROSARIO JURGENS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-02-2022	04 YRS 04 MOS
HABTE-WOLD KASSA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-31-2021	02 YRS 10 MOS
RICHARD O. KRZYZANOWSKI	MENTAL HEALTH Dept.#MH	02-21-2022	05 YRS 10 MOS
ALEXANDER K. LI	HEALTH SERVICES ADMINISTRATION Dept.#HS	02-04-2022	05 YRS 11½ MOS
DAISY G. LIM	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-28-2022	10 YRS 05 MOS
DANNY K. LOUIE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	02-24-2022	09 YRS 01 MOS
GEORGIA J. MANNOR	CHILDREN & FAMILY SERVICES Dept.#CH	02-01-2022	13 YRS 02 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELIZABETH L. MATTERA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-02-2022	16 YRS 11½ MOS
SANG-HEE MIN	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	01-29-2022	35 YRS 08 MOS
ELIZABETH MORENO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-07-2022	32 YRS 01½ MOS
DAVIELYNNE E. MUHAMMAD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-11-2022	27 YRS 01 MOS
KHOAN T. NGUYEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-04-2022	07 YRS 01 MOS
ERIC D. PELTON	PARKS AND RECREATION Dept.#PK	03-31-2022	07 YRS 11½ MOS
ERIC J. PERRODIN	DISTRICT ATTORNEY Dept.#DA	02-27-2022	26 YRS 05 MOS
JEFFREY C. PERRY	PROBATION DEPARTMENT Dept.#PB	03-11-2022	23 YRS 08½ MOS
OLIVA M. RAFOLS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-18-2021	02 YRS 08 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JANICE M. RAMLOGAN	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	02-08-2022	12 YRS 07 MOS
JEFFERY L. REEB	CHIEF EXECUTIVE OFFICE Dept.#AO	02-28-2022	07 YRS 08½ MOS
HENRY M. RODRIGUEZ	OFFICE OF PUBLIC SAFETY Dept.#SY	02-24-2022	18 YRS 00 MOS
HANI M. SEFAIN	HEALTH SERVICES ADMINISTRATION Dept.#HS	02-26-2022	11 YRS 05½ MOS
DAVID T. SHEPAS	PUBLIC HEALTH PROGRAM Dept.#PH	03-11-2022	06 YRS 11 MOS
JONATHON W. SHIVELEY	SHERIFF Dept.#SH	03-01-2022	05 YRS 02 MOS
KENNETH S. SIERS	MENTAL HEALTH Dept.#MH	03-13-2022	10 YRS 10 MOS
ROSA M. SILVA	PUBLIC HEALTH PROGRAM Dept.#PH	03-01-2022	11 YRS 10 MOS
BRUCE C. SINCLAIR	INTERNAL SERVICES Dept.#IS	03-29-2022	34 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF APRIL 6, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JANE SUNG	PUBLIC DEFENDER Dept.#PD	02-14-2022	12 YRS 09 MOS
PAUL T. SUZUKI	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-03-2022	03 YRS 09 MOS
GAIL M. TANAHARA	Dept.#365	01-26-2022	10 YRS 01 MOS
JENNIFER TRINH	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	03-08-2022	20 YRS 05½ MOS
TERESA D. VALDEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	21 YRS 01½ MOS
JULIE VALENCIA	ALTERNATE PUBLIC DEFENDER Dept.#AD	03-31-2022	08 YRS 00 MOS
DAVID M. WEINREICH	CHILDREN & FAMILY SERVICES Dept.#CH	12-13-2021	04 YRS 10 MOS

**BOARD OF RETIREMENT MEETING OF APRIL 6, 2022  
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST  
APPROVED ON MARCH 2, 2022**

**SAFETY MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

NAME	DEPARTMENT	UPDATE
JERALD L SECRIST	SHERIFF	CHANGE OF DATE TO February 28, 2022
DEREK H KUNISHIMA	SHERIFF	CHANGE OF DATE TO March 31, 2022

**GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

NAME	DEPARTMENT	UPDATE
CHERYL C CLIFFORD	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO March 15, 2022
STANLEY KLEIN	COASTAL CLUSTER- HARBOR/UCLA MC	CHANGE OF DATE TO April 30, 2022
ARELIA CONDE	AMBULATORY CARE NETWORK	RESCINDED RETIREMENT
DIONIS O WILSON	AMBULATORY CARE NETWORK	CHANGE OF DATE TO March 31, 2022
PATRICIA RIVERA	CHILDREN & FAMILY SERVICES	CHANGE OF DATE TO March 18, 2022
JERALD L SECRIST	RANCHO LOS AMIGOS HOSPITAL	CHANGE OF DATE TO March 31, 2022
ZENIA E EMPENO	COASTAL CLUSTER- HARBOR/UCLA MC	CHANGE OF DATE TO March 31, 2022
RAZA IQBAL	RANCHO LOS AMIGOS HOSPITAL	CHANGE OF DATE TO May 23, 2022
JOY OLIVIA A PINPIN	SUPERIOR COURT/COUNTY CLERK	CHANGE OF DATE TO March 31, 2022
LEILANI A KELLY	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO March 30, 2022
YURI DANIELYAN	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO March 31, 2022
YACOB I GEBREBI	COASTAL CLUSTER- HARBOR/UCLA MC	CHANGE OF DATE TO April 15, 2022
GRACE TSAI YAO WU	MENTAL HEALTH	CHANGE OF DATE TO March 29, 2022

JASMINE Z MING	CHILD SUPPORT SERVICES	RESCINDED RETIREMENT
MAIDA F CASANOVA	JUVENILE COURT HEALTH SERVICES	RESCINDED RETIREMENT



March 21, 2022

TO: Each Trustee  
Board of Retirement

FROM: Insurance, Benefits and Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Wayne Moore  
Herman Santos, Alternate

FOR: April 6, 2022 Board of Retirement Meeting

SUBJECT: **Legislative Policy Revisions**

## **RECOMMENDATION**

That the Board of Retirement adopt the revised *LACERA Legislative Policy*.

## **LEGAL AUTHORITY**

The Legislative Policy provides that “[it] shall be reviewed by the Board of Retirement and Board of Investments biannually at the end of each two-year legislative session and may be amended by action of both Boards at any time.” If the Committee recommends that the Board of Retirement adopt the revised Legislative Policy, staff will concurrently recommend that the Board of Investments also adopt the revised *LACERA Legislative Policy*.

## **SUMMARY OF PROPOSED REVISIONS**

### *Harmonization of Policy*

p.5, 7: Based on feedback from the Board of Investments, the Investments Division and Legal Office collaborated to harmonize the *LACERA Legislative Policy* and the *Corporate Governance and Stewardship Principles* (“*Principles*”). The *Principles* were modernized in 2017 to guide LACERA’s engagement in financial market policy matters and investment-related issues. In recent years, the Board of Investments engagement on regulatory matters under the guidance of the *Principles* and oversight by the Board of Investments has increased, with regular formal comments filed with the Securities and Exchange Commission on a range of matters related to investor rights and financial market disclosures. As such, following discussion at the Board of Investments Corporate Governance Committee meeting on November 21, 2021, the proposed revisions aim to harmonize the *Principles* and the *Legislative Policy* by clarifying and delineating that policy engagement on financial market and investment-related matters fall within the purview of the *Principles*, whereas the *Legislative Policy* continues to address benefits and fund administration. To the extent that the *Principles* do not provide adequate

guidance to address an investments-related matter that may arise in the future, the proposed *Legislative Policy* revisions provide that the procedures outlined therein would serve as “default” parameters to guide LACERA’s process for engagement.

The legislative policy standards would be revised to reflect the updated *Principles* and the *Legislative Policy*. The standards would also be revised to reflect that the *Statement of Investment Beliefs* have been incorporated into the *Investment Policy Statement*.

*Repeal or Modification of Windfall Elimination Provision and Government Pension Offset*

Page 6: LACERA has traditionally supported the repeal of Social Security’s Windfall Elimination Provision (WEP) and Government Pension Offset (GPO), both of which reduce or eliminate benefits for members and their dependents. Over the years, proposals to repeal the WEP and GPO have been introduced through either the Senate Finance Committee or the House of Representatives Ways & Means Committee. More recently, through engagement with Ways & Means Committee Chair Richard Neal, LACERA has also supported proposals to modify the WEP. A specific legislative policy standard related to the WEP and GPO would reflect LACERA’s historical engagement on this issue.

*Repeal of Direct Payment Requirement for Public Safety Officer Tax Exclusion*

Page 6: The Pension Protection Act of 2006 provides that eligible retired public safety officers may exclude up to \$3,000 per year from gross income for health and long-term care premiums deducted from taxable retirement plan distributions and paid directly to insurance carriers by a governmental plan. LACERA staff have found the direct payment requirement to be administratively onerous in its relationship with certain third-party payees, which can cause overpayments or underpayments of premiums for LACERA members. The current Legislative Policy in general supports proposals that provide the Board of Retirement (BOR) with administrative flexibility or enable efficient and effective service to members. The BOR supported H.R. 6436 (Chabot, 2016), which would have repealed the direct payment requirement. A specific legislative policy standard on repealing the direct payment would reflect LACERA’s continued engagement on this issue.

*Evaluation of Legislative Advocates*

Page 17: At its last revision in August 2019, the Legislative Policy provided for annual evaluations by staff of LACERA’s federal and state legislative advocates. The revision would provide that the legislative advocates will provide a self-evaluation.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** adopt the revised *LACERA Legislative Policy*.

**Attachments**

Attachment A—Legislative Policy (redlined)

Attachment B—Legislative Policy (clean)

cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Jon Grabel  
Jude Perez  
Scott Zdrazil  
Cassandra Smith  
Anthony J. Roda, Williams & Jensen  
Joe Ackler, Ackler & Associates

**ATTACHMENT A**

# LACERA LEGISLATIVE POLICY

Revised:

Board of Retirement: ~~August 7, 2019~~

Board of Investments: ~~August 14, 2019~~

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## Statement of Mission and Purpose

The Los Angeles County Employees Retirement Association (LACERA) was established under the County Employees Retirement Law of 1937 (CERL) and administers retirement benefits provided by CERL and the California Public Employees' Pension Reform Act of 2013 (PEPRA). LACERA is governed by the Board of Retirement and the Board of Investments. The Boards have plenary authority and fiduciary responsibility for the system as provided by Section 17 of Article XVI of the California Constitution and in CERL. The Boards have the sole and exclusive fiduciary responsibility to administer the system in a manner that will assure prompt delivery of benefits and related services to its members and beneficiaries.

The existence of LACERA and the fiduciary responsibility of its governing Boards are embodied in the organizational mission to *produce, protect, and provide the promised benefits*.

Each element of our mission informs the foundation of this Legislative Policy:

- *Produce* the highest quality of service for our members and sponsors.
- *Protect* the promised benefits through prudent investment and conservation of plan assets.
- *Provide* the promised benefits.

LACERA's retirement plan benefits are provided by CERL, PEPRA, and other provisions under the California Government Code. As a tax-qualified defined benefit plan, LACERA is also subject to federal law under the Internal Revenue Code. The value to our members of the benefits administered by LACERA may also be affected by other provisions of state and federal law. Changes to provisions that affect LACERA are achieved through the state and federal legislative process and through forms of direct democracy by California voters, which include ballot initiatives and referenda. It is also intended that this policy cover state and federal rulemaking, although such action takes place within the Executive branch of government rather than the Legislative. These various proposals, whether submitted through the state or federal legislative process or through rulemaking, may enhance or detract from LACERA's administrative capability and mission; they may also further or infringe upon the Boards' fiduciary responsibilities, member rights and benefits, or LACERA's mission. As such, the Boards will proactively monitor such proposals and voice its position regarding proposals as described in this policy.

LACERA may identify issues that it determines to pursue through sponsorship of legislative proposals. The scope of such issues may vary in applicability to LACERA only or also to other public retirement systems. The diversity of public retirement plans within California implies a diversity of issues that may overlap with or have impact upon other public retirement systems. Consequently, the Boards may directly sponsor legislation or they may co-sponsor legislation with other public retirement systems, through the State

Association of County Retirement Systems, or with other parties that may have an alignment of interest with LACERA with respect to an issue or proposal.

The purpose of this Legislative Policy is to:

- Establish legislative policy standards to guide staff in making recommendations regarding legislative proposals to the Boards.
- Define the range of positions that the Boards may take with respect to legislative proposals.
- Establish a standard memorandum format to provide legislative analysis and recommendations to the Boards.
- Define circumstances in which the Board may need to communicate a position regarding a legislative proposal before the proposal is considered at a regularly scheduled Board meeting.
- Establish guidelines for staff and Board actions related to ballot measures.
- Provide for status reports of LACERA's legislative advocacy efforts.

The overall goal of this policy is to provide the Boards with flexibility to pursue legislative action on any and all issues that the Boards may view as affecting LACERA's mission.

This policy shall be reviewed by the Board of Retirement and Board of Investments biannually at the end of each two-year legislative session and may be amended by action of both Boards at any time.



## Legislative Policy Standards

The legislative policy standards are categorized for the Board of Retirement, the Board of Investments, and both Boards. Legislative action items of interest to the Board of Retirement are first brought before the Board of Retirement's Insurance, Benefits and Legislative Committee for consideration before being recommended to the Board of Retirement. However, items may go directly to the Board of Retirement for consideration with the agreement of both the Chair of the Board of Retirement and the Chair of the Insurance, Benefits and Legislative Committee.

~~Legislative action items of interest to the Board of Investments are brought directly to the Board of Investments. Issues related to financial markets and investment-related matters fall under the purview of LACERA's *Corporate Governance and Stewardship Principles* ("*Principles*") as outlined in the *Principles*' "Statement of Purpose" and approved by the Board of Investments. The methods of engagement by the Board of Investments, its Corporate Governance Committee, and staff are outlined in the *Principles*' "Responsibilities and Delegations." This *Legislative Policy* addresses state and federal policies on benefits and fund administration as well as any matters for which the *Principles* do not provide adequate guidance.~~

Legislative action items of interest to both the Board of Retirement and Board of Investments are brought separately to both Boards. However, such items to be considered by the Board of Retirement will first be considered by the Board of Retirement's Insurance, Benefits, and Legislative Committee before being recommended to the Board of Retirement.

The legislative policy standards conceptually relate to LACERA's mission to produce, protect, and provide the promised benefits; the legislative policy standards also embody the themes of quality of service, prudent investment, conservation of plan assets, and prompt delivery of benefits and services within each element of LACERA's mission.

Legislative proposals or rulemaking that are enacted into law ultimately require implementation by LACERA. The approach staff will take in formulating positions and recommendations is to foster collaboration with divisions within LACERA and resources outside of LACERA, including other public pension systems, LACERA's legislative advocate, and others whose interests align with LACERA's or who may have relevant information, to fully assess the impact of proposals.

Although the legislative policy standards are intended to guide staff in formulating positions and recommendations to the Boards on legislative proposals or rulemaking, the Boards may in their discretion adopt any position on specific proposals. This policy is not intended to limit the flexibility of the Boards to take a position or other action on any legislative matter or rulemaking that may impact LACERA or its stakeholders, whether or not the specific subject matter is listed in this policy.

## **Board of Retirement**

- Support proposals that provide the Board of Retirement with increased flexibility in its administration of retirement plans and operations or enable more efficient and effective service to members and stakeholders.
- Support proposals that correct structural deficiencies in plan design.
- Support proposals that provide clarification, technical updates, or conforming changes to the County Employees Retirement Law of 1937, the California Public Employees' Pension Reform Act of 2013, or other applicable provisions under California law related to public retirement systems.
- Support proposals that protect vested benefits or have a positive impact upon LACERA's members.
- Support proposals that seek to prevent fraud in connection with retirement benefits and applications.
- Support proposals to repeal or modify the Windfall Elimination Provision and Government Windfall Provision.
- Support proposals to repeal the direct payment requirement for healthcare insurance and long-term care premiums from the pension distributions of public safety officers.
- Oppose proposals that infringe on the Board of Retirement's plenary authority or fiduciary responsibility.
- Oppose proposals that deprive members of vested benefits.
- Oppose proposals that mandate the release of confidential information of members and beneficiaries.
- Oppose proposals that jeopardize the tax-exempt status of LACERA's qualified retirement plan under the Internal Revenue Code and the California Revenue and Taxation Code or the deferred treatment of income tax on employer and employee contributions and related earnings.
- Oppose proposals that create unreasonable costs or complexity in the administration of retirement benefits.
- Oppose proposals that are contrary to or interfere with the Board of Retirement's adopted policies or decisions.

## **Board of Investments**

- Support proposals that give increased flexibility to the Board of Investments in its investment policy and administration.
- Support proposals that preserve the assets and minimize the liabilities of trust funds administered by LACERA.
- ~~Support proposals that are consistent with the Board of Investments' Corporate Governance Principles, Investment Policy Statement, Corporate Governance and Stewardship Principles, and other adopted policies and decisions.~~
- ~~Support proposals that are consistent with the Board of Investments' Statement of Investment Beliefs.~~
- Support proposals that promote transparent financial reporting.
- Oppose proposals that infringe on the Board of Investments' authority over the actuarial valuation process.
- Oppose proposals that infringe on the Board of Investments' plenary authority or fiduciary responsibility, including but not limited to investment mandates or restrictions.
- Oppose proposals that create unreasonable costs or complexity in the administration of investments.
- Oppose proposals that are contrary to or interfere with the Board of Investment's adopted policies or decisions.

## **Board of Retirement & Board of Investments**

- Support proposals that harmonize the powers and functions of the Board of Retirement and Board of Investments but do not encroach on each Board's respective separate jurisdiction.
- Support proposals that enhance board member education and ethics.
- Address proposals related to the administrative budget.
- Address proposals related to the appointment of personnel.
- Address proposals related to administrative or organizational matters that affect both Boards.

## Definitions of Board Positions

### **SPONSOR OR CO-SPONSOR**

- Indicates that the proposal was initiated by the Board or that the proposal was initiated by one or more organizations with which LACERA shares sponsorship.
- Authorizes staff to engage with LACERA’s legislative advocate to achieve passage of the proposal.

### **SUPPORT**

- Indicates that the Board believes the proposal should become law.
- Authorizes staff to engage with LACERA’s legislative advocate to achieve passage of the proposal.

### **SUPPORT IF AMENDED**

- Indicates that the Board conditionally supports the proposal in becoming law and that amendments are necessary to facilitate implementation and administration.
- Authorizes staff to engage with LACERA’s legislative advocate to communicate the Board’s position and incorporate amendments into the proposal.
- If amendments requested by LACERA are adopted, authorizes staff to engage with LACERA’s legislative advocate to achieve passage of the proposal without a resubmission of the proposal to the Board, unless the Board directs otherwise.
- If there are substantive<sup>1</sup> amendments to the proposal not requested by LACERA that may cause the Board not to support the proposal, staff will resubmit the proposal to the Board for consideration.

### **NEUTRAL**

- Indicates that the proposal affects LACERA and its stakeholders, but the Board neither supports nor opposes it.
- Does not require engagement with LACERA’s legislative advocate to achieve passage or defeat of the proposal.

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<sup>1</sup> The term “substantive” as used in this Legislative Policy is defined as a change in the proposal that does not merely provide clarification but creates and defines rights and duties or, conversely, removes rights and duties.

## **OPPOSE**

- Indicates that the Board does not believe the proposal should become law.
- Authorizes staff to engage with LACERA's legislative advocate to communicate the Board's position and to defeat the proposal.

## **OPPOSE UNLESS AMENDED**

- Indicates that the Board conditionally opposes the proposal in becoming law and that amendments are necessary to remove the Board's opposition.
- Authorizes staff to engage with LACERA's legislative advocate to communicate the Board's position and to incorporate amendments into the proposal.
- If amendments requested by LACERA are adopted, the Board's position will be Neutral or Watch without a resubmission of the proposal to the Board, unless the Board directs otherwise.
- If there are substantive amendments to the proposal not requested by LACERA that may cause the Board not to remove its opposition, staff will resubmit the proposal to the Board for consideration.

## **WATCH**

- Indicates that the proposal does not affect LACERA and its stakeholders but would be enacted under a law that covers LACERA such as CERL or PEPRA.
- Indicates that although the proposal is not based on a law that covers LACERA such as CERL or PEPRA, the proposal may be of interest or concern to the Board and its stakeholders and that the Board in the future may take a substantive position on the matter.
- Indicates that proposal will be resubmitted to the Board for consideration if amendments cause the proposal to affect LACERA and its stakeholders.

Once the Board has acted, these positions will typically be communicated by means of a letter from the Chief Executive Officer to the appropriate legislative officers. Staff coordinates with LACERA's legislative advocate in preparing this letter and developing a communication and distribution strategy for the letter, which may include verbal communications by the legislative advocate with relevant legislators and/or legislative staff. In the rulemaking context, LACERA's positions will typically be communicated to the enacting state or federal agency by means of a comment letter where the agency has provided an opportunity for public comment on a proposed rule before it is finalized and becomes effective.

## **Legislative Analysis Memorandum Format**

The following is an outline of the format of the legislative analysis memorandum provided by staff. In general, the memorandum will follow this format but may be modified for specific cases.

Date

TO:

FROM:

FOR:

SUBJECT: **Bill Number**

*Author:*

*Sponsor:*

*Introduced:*

*Amended:*

*Status:*

*Board Position:*

*Committee Recommendation:*

*Staff Recommendation:*

*[If the memo addresses rulemaking, the Subject section will provide similar relevant information.]*

## **RECOMMENDATION**

*[This section states staff's or the Committee's recommendation to the Board.]*

## **LEGISLATIVE POLICY STANDARD**

*[This section discusses the application of LACERA's legislative policy standards to the proposal and the justification for the recommendation to the Board.]*

## **SUMMARY**

*[This section describes the provisions of the proposal and the key additions or updates the proposal makes to existing law.]*

## **ANALYSIS**

*[This section provides an analysis of the effects and implications of the proposal on LACERA.]*

## **IT IS THEREFORE RECOMMENDED THAT YOUR BOARD**

*[This section restates staff's or the Committee's recommendation and summary or concluding comments.]*

## **Attachments**

**Attachment 1—Board Positions Adopted On Related Legislation**

*[This attachment states the positions the Board has previously taken on the subject matter of the bill.]*

**Attachment 2—Support And Opposition**

*[This attachment identifies those entities that have already taken a position on the bill.]*

**Bill Text**

## Action between Board Meetings

The Board of Retirement generally meets twice a month, including a disability meeting on the first Wednesday and an administrative meeting on the Thursday following the second Wednesday; the Board of Investments meets once a month on the second Wednesday. Since the meeting schedules of the Boards do not necessarily accord with the hearing schedules and deadlines of the state Legislature and Congress. In the event a time-sensitive matter arises, action by staff may be required before the matter is considered by the Board at the next regularly scheduled Board meeting.

### I. Legislation on Which the Board Previously Adopted a Position

Staff may engage with LACERA's legislative advocate to communicate a position on amendments to a bill before formal consideration by the Board of Retirement or Board of Investments if all the following conditions are met:

1. The Board had adopted a Support or Oppose position on the bill *before* it was amended.
2. Substantive amendments that may justify a change in the Board's position to other than Neutral or Watch have occurred in the bill *after* the Board adopted a position and *before* the next regularly scheduled board meeting.
3. Consideration of the amended bill by a legislative committee or by the Assembly or Senate floor will occur *before* the amended bill can be considered at the next regularly scheduled board meeting.

Staff will take the following actions:

1. Prepare a legislative analysis of the amended bill for use in consultation.
2. Consult with the Chief Executive Officer, Chief Counsel, and legislative advocate for input regarding the amended bill to determine if the new position should be communicated to the Legislature.
3. If the new position should be communicated to the Legislature, consult with the Chair (or if not available, the Vice Chair) of the Board that has jurisdiction over the subject matter of the amended bill and obtain approval that the new position be communicated.
4. At the next regularly scheduled Board meeting, present a report to the Board regarding the position communicated in Step 3 and a summary of actions taken.



## II. Formally Affiliated Organizations

1. Staff may participate in joint written communications that are organized or requested by formal organizations to which LACERA has formally affiliated and that are consistent with the Board's legislative policy standards.
2. In the event a matter has been addressed in written communications by a formal organization to which LACERA has formally affiliated, staff may, consistent with the Board's legislative policy standards, write letters of support or opposition or engage in advocacy on the matter.

Staff will take the following actions:

1. Prepare a legislative analysis of the matter for use in consultation.
2. Consult with the Chief Executive Officer, Chief Counsel, and legislative advocate to determine whether staff should engage in the written communications described in II.1 and II.2.
3. If staff should engage in the written communications described in II.1 and II.2, consult with the Chair (or if not available, the Vice Chair) of the Board that has jurisdiction over the subject matter and obtain approval to engage in such written communications.
4. At the next regularly scheduled Board meeting, present a report to the Board of actions taken and copies of the written communications.

## SACRS Voting Delegate

### **BACKGROUND**

The Bylaws of the State Association of County Retirement Systems (SACRS) provide that all duly elected or appointed members of the County Retirement and Investment Boards operating under the County Employees Retirement Law of 1937 (CERL) are regular members of SACRS; the administrator of a County Retirement System is also a regular member. Each County Retirement System is entitled to one voting delegate (who must be a regular member) for business meetings of the SACRS membership. The delegate and any alternate delegates are designated in writing and provided to SACRS by the County Retirement Board. The voting delegates serve as proxies for their retirement systems and vote as directed by their systems.

Legislative proposals submitted to SACRS are generally voted on at its Fall Conference, although it is also possible for them to be voted on at its Spring Conference. In 2002, the Board of Retirement (BOR) adopted a policy that provides for the LACERA Chief Executive Officer to be LACERA's SACRS voting delegate. Alternate delegates are the BOR officers and the remaining BOR members in order of board seat.

### **PROCESS**

1. The Executive Office provides a list of LACERA's voting delegate and alternate delegates as requested by SACRS prior to the SACRS Business Meetings. This list will constitute the credentials for the delegates who are voting participants at the SACRS Business Meetings.
2. The SACRS Legislative Committee forwards the legislative proposals it has received and reviewed to the CERL retirement systems with its recommendations on inclusion in the SACRS legislative platform.
3. Staff presents the legislative proposals received from the SACRS Legislative Committee to the Board of Retirement or the Board of Investments for consideration based on the respective board's jurisdiction on the proposal's subject matter.
  - a. Proposals for the Board of Retirement are first presented for consideration to its Insurance, Benefits and Legislative Committee.
  - b. Proposals with subject matters under both boards' jurisdictions are first presented for consideration to the Joint Operations Governance Committee, unless scheduling issues dictate otherwise.
4. Voting instructions from each board are summarized by staff and forwarded to the secretaries of the Executive Office and Board of Retirement to be provided to those who will be attending the SACRS conference. The LACERA voting delegate at the SACRS Business Meeting will vote as directed by the instructions.

## Ballot Measures

California law provides for citizens to use ballot measures to initiate a state statute or a constitutional amendment or to repeal legislation through a veto referendum. The California State Legislature may also use ballot measures to offer legislatively referred state statutes or constitutional amendments.

In general, a government agency may not spend *public funds* for a partisan *campaign* advocating the passage or defeat of a ballot measure. It is, however, permissible for a government agency to engage in *informational* activities. What distinguishes *informational* activities from *campaign* activities depends on the style, tenor, and timing of the activity.

From time to time, ballot measures may be offered that are related to public retirement plans. The following guidelines are intended to provide guidance on actions that may be taken with respect to ballot measures on public retirement plans:

- Providing informational staff reports and analysis on the ballot measure's effect in a meeting open to the public.
- Providing a recommendation for the Board to take a position on the ballot measure in a meeting open to the public where all perspectives can be shared. (The Board may or may not take a position on any ballot measure. The Board may take a position when it determines it is necessary to publicly express its opinion for or against a matter on which it feels strongly with respect to its impact on LACERA.)
- Providing the Board's position and views on the ballot measure's merits and effects to interested stakeholders and organizations.
- Responding to inquiries from stakeholders and the public regarding the Board's position and views on the ballot measure.

The Fair Political Practices Commission (FPPC) was created by the Political Reform Act and requires government agencies to report expenses used to advocate or unambiguously urge the passage or defeat of a measure in an election. The FPPC also prohibits government agencies from paying for communication materials that advocate or unambiguously urge the passage or defeat of a measure in an election. LACERA must be cautious in not engaging in activities that can be characterized as *campaign* activities, which are prohibited and would be subject to campaign expenditure reporting requirements. Therefore, all activities related to ballot measures are subject to review by Chief Counsel.

## Status Reports

For bills on which the Boards have taken a position or that staff is monitoring, staff will provide a monthly status report listing each bill, its current status in the legislative process, and copies of communications used for lobbying the California State Legislature, United States Congress, the Governor of California, the President of the United States, or any regulatory agencies. The status report will be provided as monthly reports to the Board of Retirement and Board of Investments.

At the end of each legislative session, staff will provide a year-end report of all the bills on which the Boards had taken a position and their final disposition.

## Evaluation of Legislative Advocates

The Board of Retirement has retained federal and state legislative advocates to assist in fulfilling the Mission and Purpose of the *LACERA Legislative Policy*. Staff will provide an annual evaluation of the legislative advocates to the Board of Retirement for ~~review.~~review, or the legislative advocates will provide an annual self-evaluation to the Board of Retirement for review. The evaluation will assess the performance of the legislative advocates with respect to their services that were agreed upon to be performed in their contracts. The assessments will be rated according to whether the legislative advocates exceeded expectations, met expectations, or did not meet expectations.

## Legislative Process

The following pages include an outline<sup>2</sup> and a flowchart<sup>3</sup> of the California legislative process through which a bill becomes law. In general, bills in the federal legislative process move through similar stages.

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<sup>2</sup> Overview of Legislative Process – Official California Legislative Information (<http://www.leginfo.ca.gov/bil2lawx.html>).

<sup>3</sup> The Life Cycle of Legislation: From Idea into Law. California Legislature: Assembly Rules Committee.

# OVERVIEW OF LEGISLATIVE PROCESS

The process of government by which bills are considered and laws enacted is commonly referred to as the Legislative Process. The California State Legislature is made up of two houses: the Senate and the Assembly. There are 40 Senators and 80 Assembly Members representing the people of the State of California. The Legislature has a legislative calendar containing important dates of activities during its two-year session.

## Idea

All legislation begins as an idea or concept. Ideas and concepts can come from a variety of sources. The process begins when a Senator or Assembly Member decides to author a bill.

## The Author

A Legislator sends the idea for the bill to the Legislative Counsel where it is drafted into the actual bill. The draft of the bill is returned to the Legislator for introduction. If the author is a Senator, the bill is introduced in the Senate. If the author is an Assembly Member, the bill is introduced in the Assembly.

## First Reading/Introduction

A bill is introduced or read the first time when the bill number, the name of the author, and the descriptive title of the bill is read on the floor of the house. The bill is then sent to the Office of State Printing. No bill may be acted upon until 30 days has passed from the date of its introduction.

## Committee Hearings

The bill then goes to the Rules Committee of the house of origin where it is assigned to the appropriate policy committee for its first hearing. Bills are assigned to policy committees according to subject area of the bill. For example, a Senate bill dealing with health care facilities would first be assigned to the Senate Health and Human Services Committee for policy review. Bills that require the expenditure of funds must also be heard in the fiscal committees: Senate Appropriations or Assembly Appropriations. Each house has a number of policy committees and a fiscal committee. Each committee is made up of a specified number of Senators or Assembly Members.

During the committee hearing the author presents the bill to the committee and testimony can be heard in support of or opposition to the bill. The committee then votes by passing the bill, passing the bill as amended, or defeating the bill. Bills can be amended several times. Letters of support or opposition are important and should be mailed to the author and committee members before the bill is scheduled to be heard in committee. It takes a majority vote of the full committee membership for a bill to be passed by the committee.

Each house maintains a schedule of legislative committee hearings. Prior to a bill's hearing, a bill analysis is prepared that explains current law, what the bill is intended to do, and some background information. Typically the analysis also lists organizations that support or oppose the bill.

## Second and Third Reading

Bills passed by committees are read a second time on the floor in the house of origin and then assigned to third reading. Bill analyses are also prepared prior to third reading. When a bill is read the third time it is explained by the author, discussed by the Members and voted on by a roll call vote. Bills that require an appropriation or that take effect immediately, generally require 27 votes in the Senate and 54 votes in the Assembly to be passed. Other bills generally require 21 votes in the Senate and 41 votes in the Assembly. If a

bill is defeated, the Member may seek reconsideration and another vote.

### **Repeat Process in other House**

Once the bill has been approved by the house of origin it proceeds to the other house where the procedure is repeated.

### **Resolution of Differences**

If a bill is amended in the second house, it must go back to the house of origin for concurrence, which is agreement on the amendments. If agreement cannot be reached, the bill is referred to a two house conference committee to resolve differences. Three members of the committee are from the Senate and three are from the Assembly. If a compromise is reached, the bill is returned to both houses for a vote.

### **Governor**

If both houses approve a bill, it then goes to the Governor. The Governor has three choices. The Governor can sign the bill into law, allow it to become law without his or her signature, or veto it. A governor's veto can be overridden by a two thirds vote in both houses. Most bills go into effect on the first day of January of the next year. Urgency measures take effect immediately after they are signed or allowed to become law without signature.

### **California Law**

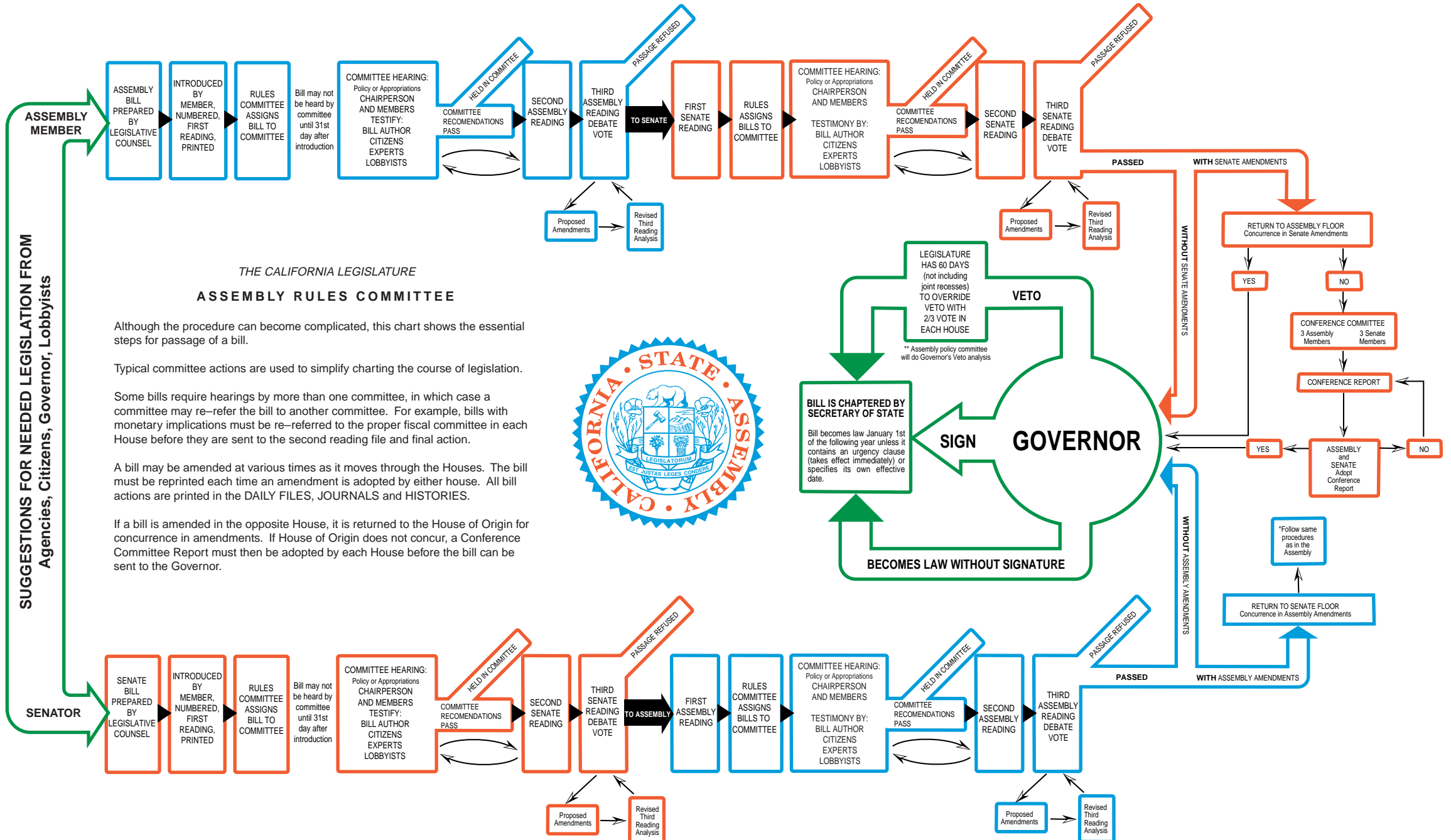
Bills that are passed by the Legislature and approved by the Governor are assigned a chapter number by the Secretary of State. These Chaptered Bills (also referred to as Statutes of the year they were enacted) then become part of the California Codes. The California Codes are a comprehensive collection of laws grouped by subject matter.

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# THE LIFE CYCLE OF LEGISLATION

*From Idea into Law*



## THE CALIFORNIA LEGISLATURE

### ASSEMBLY RULES COMMITTEE

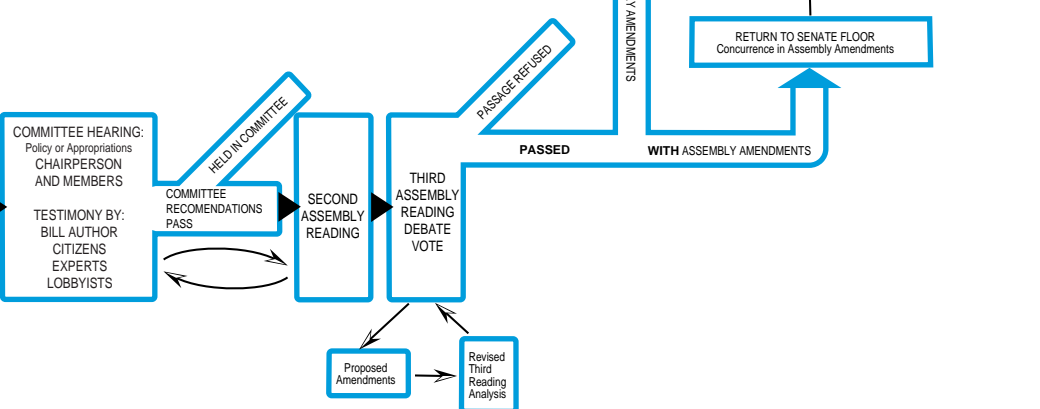
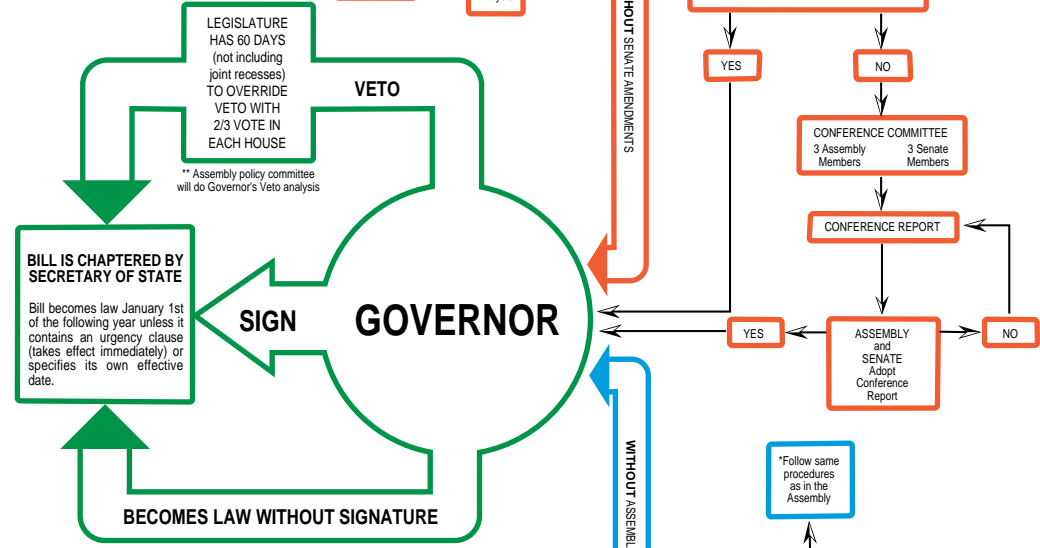
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If a bill is amended in the opposite House, it is returned to the House of Origin for concurrence in amendments. If House of Origin does not concur, a Conference Committee Report must then be adopted by each House before the bill can be sent to the Governor.



## Change Log

Revised by the Board of Retirement on August 7, 2019 and the Board of Investments on August 14, 2019.

Revised by the Board of Retirement on January 10, 2019 and the Board of Investments on January 9, 2019.

Revised by the Board of Retirement on May 10, 2018 and the Board of Investments on May 9, 2018.

Restated and approved by the Board of Retirement on October 13, 2016 and the Board of Investments on October 12, 2016.

**ATTACHMENT B**

# LACERA LEGISLATIVE POLICY

Revised:

Board of Retirement:  
Board of Investments:

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## Statement of Mission and Purpose

The Los Angeles County Employees Retirement Association (LACERA) was established under the County Employees Retirement Law of 1937 (CERL) and administers retirement benefits provided by CERL and the California Public Employees' Pension Reform Act of 2013 (PEPRA). LACERA is governed by the Board of Retirement and the Board of Investments. The Boards have plenary authority and fiduciary responsibility for the system as provided by Section 17 of Article XVI of the California Constitution and in CERL. The Boards have the sole and exclusive fiduciary responsibility to administer the system in a manner that will assure prompt delivery of benefits and related services to its members and beneficiaries.

The existence of LACERA and the fiduciary responsibility of its governing Boards are embodied in the organizational mission to *produce, protect, and provide the promised benefits*.

Each element of our mission informs the foundation of this Legislative Policy:

- *Produce* the highest quality of service for our members and sponsors.
- *Protect* the promised benefits through prudent investment and conservation of plan assets.
- *Provide* the promised benefits.

LACERA's retirement plan benefits are provided by CERL, PEPRA, and other provisions under the California Government Code. As a tax-qualified defined benefit plan, LACERA is also subject to federal law under the Internal Revenue Code. The value to our members of the benefits administered by LACERA may also be affected by other provisions of state and federal law. Changes to provisions that affect LACERA are achieved through the state and federal legislative process and through forms of direct democracy by California voters, which include ballot initiatives and referenda. It is also intended that this policy cover state and federal rulemaking, although such action takes place within the Executive branch of government rather than the Legislative. These various proposals, whether submitted through the state or federal legislative process or through rulemaking, may enhance or detract from LACERA's administrative capability and mission; they may also further or infringe upon the Boards' fiduciary responsibilities, member rights and benefits, or LACERA's mission. As such, the Boards will proactively monitor such proposals and voice its position regarding proposals as described in this policy.

LACERA may identify issues that it determines to pursue through sponsorship of legislative proposals. The scope of such issues may vary in applicability to LACERA only or also to other public retirement systems. The diversity of public retirement plans within California implies a diversity of issues that may overlap with or have impact upon other public retirement systems. Consequently, the Boards may directly sponsor legislation or they may co-sponsor legislation with other public retirement systems, through the State

Association of County Retirement Systems, or with other parties that may have an alignment of interest with LACERA with respect to an issue or proposal.

The purpose of this Legislative Policy is to:

- Establish legislative policy standards to guide staff in making recommendations regarding legislative proposals to the Boards.
- Define the range of positions that the Boards may take with respect to legislative proposals.
- Establish a standard memorandum format to provide legislative analysis and recommendations to the Boards.
- Define circumstances in which the Board may need to communicate a position regarding a legislative proposal before the proposal is considered at a regularly scheduled Board meeting.
- Establish guidelines for staff and Board actions related to ballot measures.
- Provide for status reports of LACERA's legislative advocacy efforts.

The overall goal of this policy is to provide the Boards with flexibility to pursue legislative action on any and all issues that the Boards may view as affecting LACERA's mission.

This policy shall be reviewed by the Board of Retirement and Board of Investments biannually at the end of each two-year legislative session and may be amended by action of both Boards at any time.

## Legislative Policy Standards

The legislative policy standards are categorized for the Board of Retirement, the Board of Investments, and both Boards. Legislative action items of interest to the Board of Retirement are first brought before the Board of Retirement's Insurance, Benefits and Legislative Committee for consideration before being recommended to the Board of Retirement. However, items may go directly to the Board of Retirement for consideration with the agreement of both the Chair of the Board of Retirement and the Chair of the Insurance, Benefits and Legislative Committee.

Issues related to financial markets and investment-related matters fall under the purview of LACERA's *Corporate Governance and Stewardship Principles* ("Principles") as outlined in the *Principles'* "Statement of Purpose" and approved by the Board of Investments. The methods of engagement by the Board of Investments, its Corporate Governance Committee, and staff are outlined in the *Principles'* "Responsibilities and Delegations." This *Legislative Policy* addresses state and federal policies on benefits and fund administration as well as any matters for which the *Principles* do not provide adequate guidance.

Legislative action items of interest to both the Board of Retirement and Board of Investments are brought separately to both Boards. However, such items to be considered by the Board of Retirement will first be considered by the Board of Retirement's Insurance, Benefits, and Legislative Committee before being recommended to the Board of Retirement.

The legislative policy standards conceptually relate to LACERA's mission to produce, protect, and provide the promised benefits; the legislative policy standards also embody the themes of quality of service, prudent investment, conservation of plan assets, and prompt delivery of benefits and services within each element of LACERA's mission.

Legislative proposals or rulemaking that are enacted into law ultimately require implementation by LACERA. The approach staff will take in formulating positions and recommendations is to foster collaboration with divisions within LACERA and resources outside of LACERA, including other public pension systems, LACERA's legislative advocate, and others whose interests align with LACERA's or who may have relevant information, to fully assess the impact of proposals.

Although the legislative policy standards are intended to guide staff in formulating positions and recommendations to the Boards on legislative proposals or rulemaking, the Boards may in their discretion adopt any position on specific proposals. This policy is not intended to limit the flexibility of the Boards to take a position or other action on any legislative matter or rulemaking that may impact LACERA or its stakeholders, whether or not the specific subject matter is listed in this policy.



## **Board of Retirement**

- Support proposals that provide the Board of Retirement with increased flexibility in its administration of retirement plans and operations or enable more efficient and effective service to members and stakeholders.
- Support proposals that correct structural deficiencies in plan design.
- Support proposals that provide clarification, technical updates, or conforming changes to the County Employees Retirement Law of 1937, the California Public Employees' Pension Reform Act of 2013, or other applicable provisions under California law related to public retirement systems.
- Support proposals that protect vested benefits or have a positive impact upon LACERA's members.
- Support proposals that seek to prevent fraud in connection with retirement benefits and applications.
- Support proposals to repeal or modify the Windfall Elimination Provision and Government Windfall Provision.
- Support proposals to repeal the direct payment requirement for healthcare insurance and long-term care premiums from the pension distributions of public safety officers.
- Oppose proposals that infringe on the Board of Retirement's plenary authority or fiduciary responsibility.
- Oppose proposals that deprive members of vested benefits.
- Oppose proposals that mandate the release of confidential information of members and beneficiaries.
- Oppose proposals that jeopardize the tax-exempt status of LACERA's qualified retirement plan under the Internal Revenue Code and the California Revenue and Taxation Code or the deferred treatment of income tax on employer and employee contributions and related earnings.
- Oppose proposals that create unreasonable costs or complexity in the administration of retirement benefits.
- Oppose proposals that are contrary to or interfere with the Board of Retirement's adopted policies or decisions.

## **Board of Investments**

- Support proposals that give increased flexibility to the Board of Investments in its investment policy and administration.
- Support proposals that preserve the assets and minimize the liabilities of trust funds administered by LACERA.
- Support proposals that are consistent with the Board of Investments' *Investment Policy Statement, Corporate Governance and Stewardship Principles*, and other adopted policies and decisions. Support proposals that promote transparent financial reporting.
- Oppose proposals that infringe on the Board of Investments' authority over the actuarial valuation process.
- Oppose proposals that infringe on the Board of Investments' plenary authority or fiduciary responsibility, including but not limited to investment mandates or restrictions.
- Oppose proposals that create unreasonable costs or complexity in the administration of investments.
- Oppose proposals that are contrary to or interfere with the Board of Investment's adopted policies or decisions.

## **Board of Retirement & Board of Investments**

- Support proposals that harmonize the powers and functions of the Board of Retirement and Board of Investments but do not encroach on each Board's respective separate jurisdiction.
- Support proposals that enhance board member education and ethics.
- Address proposals related to the administrative budget.
- Address proposals related to the appointment of personnel.
- Address proposals related to administrative or organizational matters that affect both Boards.

## Definitions of Board Positions

### **SPONSOR OR CO-SPONSOR**

- Indicates that the proposal was initiated by the Board or that the proposal was initiated by one or more organizations with which LACERA shares sponsorship.
- Authorizes staff to engage with LACERA’s legislative advocate to achieve passage of the proposal.

### **SUPPORT**

- Indicates that the Board believes the proposal should become law.
- Authorizes staff to engage with LACERA’s legislative advocate to achieve passage of the proposal.

### **SUPPORT IF AMENDED**

- Indicates that the Board conditionally supports the proposal in becoming law and that amendments are necessary to facilitate implementation and administration.
- Authorizes staff to engage with LACERA’s legislative advocate to communicate the Board’s position and incorporate amendments into the proposal.
- If amendments requested by LACERA are adopted, authorizes staff to engage with LACERA’s legislative advocate to achieve passage of the proposal without a resubmission of the proposal to the Board, unless the Board directs otherwise.
- If there are substantive<sup>1</sup> amendments to the proposal not requested by LACERA that may cause the Board not to support the proposal, staff will resubmit the proposal to the Board for consideration.

### **NEUTRAL**

- Indicates that the proposal affects LACERA and its stakeholders, but the Board neither supports nor opposes it.
- Does not require engagement with LACERA’s legislative advocate to achieve passage or defeat of the proposal.

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<sup>1</sup> The term “substantive” as used in this Legislative Policy is defined as a change in the proposal that does not merely provide clarification but creates and defines rights and duties or, conversely, removes rights and duties.

## **OPPOSE**

- Indicates that the Board does not believe the proposal should become law.
- Authorizes staff to engage with LACERA's legislative advocate to communicate the Board's position and to defeat the proposal.

## **OPPOSE UNLESS AMENDED**

- Indicates that the Board conditionally opposes the proposal in becoming law and that amendments are necessary to remove the Board's opposition.
- Authorizes staff to engage with LACERA's legislative advocate to communicate the Board's position and to incorporate amendments into the proposal.
- If amendments requested by LACERA are adopted, the Board's position will be Neutral or Watch without a resubmission of the proposal to the Board, unless the Board directs otherwise.
- If there are substantive amendments to the proposal not requested by LACERA that may cause the Board not to remove its opposition, staff will resubmit the proposal to the Board for consideration.

## **WATCH**

- Indicates that the proposal does not affect LACERA and its stakeholders but would be enacted under a law that covers LACERA such as CERL or PEPRA.
- Indicates that although the proposal is not based on a law that covers LACERA such as CERL or PEPRA, the proposal may be of interest or concern to the Board and its stakeholders and that the Board in the future may take a substantive position on the matter.
- Indicates that proposal will be resubmitted to the Board for consideration if amendments cause the proposal to affect LACERA and its stakeholders.

Once the Board has acted, these positions will typically be communicated by means of a letter from the Chief Executive Officer to the appropriate legislative officers. Staff coordinates with LACERA's legislative advocate in preparing this letter and developing a communication and distribution strategy for the letter, which may include verbal communications by the legislative advocate with relevant legislators and/or legislative staff. In the rulemaking context, LACERA's positions will typically be communicated to the enacting state or federal agency by means of a comment letter where the agency has provided an opportunity for public comment on a proposed rule before it is finalized and becomes effective.

## **Legislative Analysis Memorandum Format**

The following is an outline of the format of the legislative analysis memorandum provided by staff. In general, the memorandum will follow this format but may be modified for specific cases.

Date

TO:

FROM:

FOR:

SUBJECT: **Bill Number**

*Author:*

*Sponsor:*

*Introduced:*

*Amended:*

*Status:*

*Board Position:*

*Committee Recommendation:*

*Staff Recommendation:*

*[If the memo addresses rulemaking, the Subject section will provide similar relevant information.]*

## **RECOMMENDATION**

*[This section states staff's or the Committee's recommendation to the Board.]*

## **LEGISLATIVE POLICY STANDARD**

*[This section discusses the application of LACERA's legislative policy standards to the proposal and the justification for the recommendation to the Board.]*

## **SUMMARY**

*[This section describes the provisions of the proposal and the key additions or updates the proposal makes to existing law.]*

## **ANALYSIS**

*[This section provides an analysis of the effects and implications of the proposal on LACERA.]*

## **IT IS THEREFORE RECOMMENDED THAT YOUR BOARD**

*[This section restates staff's or the Committee's recommendation and summary or concluding comments.]*

## **Attachments**

**Attachment 1—Board Positions Adopted On Related Legislation**

*[This attachment states the positions the Board has previously taken on the subject matter of the bill.]*

**Attachment 2—Support And Opposition**

*[This attachment identifies those entities that have already taken a position on the bill.]*

**Bill Text**

## Action between Board Meetings

The Board of Retirement generally meets twice a month, including a disability meeting on the first Wednesday and an administrative meeting on the Thursday following the second Wednesday; the Board of Investments meets once a month on the second Wednesday. Since the meeting schedules of the Boards do not necessarily accord with the hearing schedules and deadlines of the state Legislature and Congress. In the event a time-sensitive matter arises, action by staff may be required before the matter is considered by the Board at the next regularly scheduled Board meeting.

### I. Legislation on Which the Board Previously Adopted a Position

Staff may engage with LACERA's legislative advocate to communicate a position on amendments to a bill before formal consideration by the Board of Retirement or Board of Investments if all the following conditions are met:

1. The Board had adopted a Support or Oppose position on the bill *before* it was amended.
2. Substantive amendments that may justify a change in the Board's position to other than Neutral or Watch have occurred in the bill *after* the Board adopted a position and *before* the next regularly scheduled board meeting.
3. Consideration of the amended bill by a legislative committee or by the Assembly or Senate floor will occur *before* the amended bill can be considered at the next regularly scheduled board meeting.

Staff will take the following actions:

1. Prepare a legislative analysis of the amended bill for use in consultation.
2. Consult with the Chief Executive Officer, Chief Counsel, and legislative advocate for input regarding the amended bill to determine if the new position should be communicated to the Legislature.
3. If the new position should be communicated to the Legislature, consult with the Chair (or if not available, the Vice Chair) of the Board that has jurisdiction over the subject matter of the amended bill and obtain approval that the new position be communicated.
4. At the next regularly scheduled Board meeting, present a report to the Board regarding the position communicated in Step 3 and a summary of actions taken.

## II. Formally Affiliated Organizations

1. Staff may participate in joint written communications that are organized or requested by formal organizations to which LACERA has formally affiliated and that are consistent with the Board's legislative policy standards.
2. In the event a matter has been addressed in written communications by a formal organization to which LACERA has formally affiliated, staff may, consistent with the Board's legislative policy standards, write letters of support or opposition or engage in advocacy on the matter.

Staff will take the following actions:

1. Prepare a legislative analysis of the matter for use in consultation.
2. Consult with the Chief Executive Officer, Chief Counsel, and legislative advocate to determine whether staff should engage in the written communications described in II.1 and II.2.
3. If staff should engage in the written communications described in II.1 and II.2, consult with the Chair (or if not available, the Vice Chair) of the Board that has jurisdiction over the subject matter and obtain approval to engage in such written communications.
4. At the next regularly scheduled Board meeting, present a report to the Board of actions taken and copies of the written communications.



## SACRS Voting Delegate

### **BACKGROUND**

The Bylaws of the State Association of County Retirement Systems (SACRS) provide that all duly elected or appointed members of the County Retirement and Investment Boards operating under the County Employees Retirement Law of 1937 (CERL) are regular members of SACRS; the administrator of a County Retirement System is also a regular member. Each County Retirement System is entitled to one voting delegate (who must be a regular member) for business meetings of the SACRS membership. The delegate and any alternate delegates are designated in writing and provided to SACRS by the County Retirement Board. The voting delegates serve as proxies for their retirement systems and vote as directed by their systems.

Legislative proposals submitted to SACRS are generally voted on at its Fall Conference, although it is also possible for them to be voted on at its Spring Conference. In 2002, the Board of Retirement (BOR) adopted a policy that provides for the LACERA Chief Executive Officer to be LACERA's SACRS voting delegate. Alternate delegates are the BOR officers and the remaining BOR members in order of board seat.

### **PROCESS**

1. The Executive Office provides a list of LACERA's voting delegate and alternate delegates as requested by SACRS prior to the SACRS Business Meetings. This list will constitute the credentials for the delegates who are voting participants at the SACRS Business Meetings.
2. The SACRS Legislative Committee forwards the legislative proposals it has received and reviewed to the CERL retirement systems with its recommendations on inclusion in the SACRS legislative platform.
3. Staff presents the legislative proposals received from the SACRS Legislative Committee to the Board of Retirement or the Board of Investments for consideration based on the respective board's jurisdiction on the proposal's subject matter.
  - a. Proposals for the Board of Retirement are first presented for consideration to its Insurance, Benefits and Legislative Committee.
  - b. Proposals with subject matters under both boards' jurisdictions are first presented for consideration to the Joint Operations Governance Committee, unless scheduling issues dictate otherwise.
4. Voting instructions from each board are summarized by staff and forwarded to the secretaries of the Executive Office and Board of Retirement to be provided to those who will be attending the SACRS conference. The LACERA voting delegate at the SACRS Business Meeting will vote as directed by the instructions.

## Ballot Measures

California law provides for citizens to use ballot measures to initiate a state statute or a constitutional amendment or to repeal legislation through a veto referendum. The California State Legislature may also use ballot measures to offer legislatively referred state statutes or constitutional amendments.

In general, a government agency may not spend *public funds* for a partisan *campaign* advocating the passage or defeat of a ballot measure. It is, however, permissible for a government agency to engage in *informational* activities. What distinguishes *informational* activities from *campaign* activities depends on the style, tenor, and timing of the activity.

From time to time, ballot measures may be offered that are related to public retirement plans. The following guidelines are intended to provide guidance on actions that may be taken with respect to ballot measures on public retirement plans:

- Providing informational staff reports and analysis on the ballot measure's effect in a meeting open to the public.
- Providing a recommendation for the Board to take a position on the ballot measure in a meeting open to the public where all perspectives can be shared. (The Board may or may not take a position on any ballot measure. The Board may take a position when it determines it is necessary to publicly express its opinion for or against a matter on which it feels strongly with respect to its impact on LACERA.)
- Providing the Board's position and views on the ballot measure's merits and effects to interested stakeholders and organizations.
- Responding to inquiries from stakeholders and the public regarding the Board's position and views on the ballot measure.

The Fair Political Practices Commission (FPPC) was created by the Political Reform Act and requires government agencies to report expenses used to advocate or unambiguously urge the passage or defeat of a measure in an election. The FPPC also prohibits government agencies from paying for communication materials that advocate or unambiguously urge the passage or defeat of a measure in an election. LACERA must be cautious in not engaging in activities that can be characterized as *campaign* activities, which are prohibited and would be subject to campaign expenditure reporting requirements. Therefore, all activities related to ballot measures are subject to review by Chief Counsel.

## Status Reports

For bills on which the Boards have taken a position or that staff is monitoring, staff will provide a monthly status report listing each bill, its current status in the legislative process, and copies of communications used for lobbying the California State Legislature, United States Congress, the Governor of California, the President of the United States, or any regulatory agencies. The status report will be provided as monthly reports to the Board of Retirement and Board of Investments.

At the end of each legislative session, staff will provide a year-end report of all the bills on which the Boards had taken a position and their final disposition.

## Evaluation of Legislative Advocates

The Board of Retirement has retained federal and state legislative advocates to assist in fulfilling the Mission and Purpose of the *LACERA Legislative Policy*. Staff will provide an annual evaluation of the legislative advocates to the Board of Retirement for review, or the legislative advocates will provide an annual self-evaluation to the Board of Retirement for review. The evaluation will assess the performance of the legislative advocates with respect to their services that were agreed upon to be performed in their contracts. The assessments will be rated according to whether the legislative advocates exceeded expectations, met expectations, or did not meet expectations.

## Legislative Process

The following pages include an outline<sup>2</sup> and a flowchart<sup>3</sup> of the California legislative process through which a bill becomes law. In general, bills in the federal legislative process move through similar stages.

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<sup>2</sup> Overview of Legislative Process – Official California Legislative Information (<http://www.leginfo.ca.gov/bil2lawx.html>).

<sup>3</sup> The Life Cycle of Legislation: From Idea into Law. California Legislature: Assembly Rules Committee.

# OVERVIEW OF LEGISLATIVE PROCESS

The process of government by which bills are considered and laws enacted is commonly referred to as the Legislative Process. The California State Legislature is made up of two houses: the Senate and the Assembly. There are 40 Senators and 80 Assembly Members representing the people of the State of California. The Legislature has a legislative calendar containing important dates of activities during its two-year session.

## Idea

All legislation begins as an idea or concept. Ideas and concepts can come from a variety of sources. The process begins when a Senator or Assembly Member decides to author a bill.

## The Author

A Legislator sends the idea for the bill to the Legislative Counsel where it is drafted into the actual bill. The draft of the bill is returned to the Legislator for introduction. If the author is a Senator, the bill is introduced in the Senate. If the author is an Assembly Member, the bill is introduced in the Assembly.

## First Reading/Introduction

A bill is introduced or read the first time when the bill number, the name of the author, and the descriptive title of the bill is read on the floor of the house. The bill is then sent to the Office of State Printing. No bill may be acted upon until 30 days has passed from the date of its introduction.

## Committee Hearings

The bill then goes to the Rules Committee of the house of origin where it is assigned to the appropriate policy committee for its first hearing. Bills are assigned to policy committees according to subject area of the bill. For example, a Senate bill dealing with health care facilities would first be assigned to the Senate Health and Human Services Committee for policy review. Bills that require the expenditure of funds must also be heard in the fiscal committees: Senate Appropriations or Assembly Appropriations. Each house has a number of policy committees and a fiscal committee. Each committee is made up of a specified number of Senators or Assembly Members.

During the committee hearing the author presents the bill to the committee and testimony can be heard in support of or opposition to the bill. The committee then votes by passing the bill, passing the bill as amended, or defeating the bill. Bills can be amended several times. Letters of support or opposition are important and should be mailed to the author and committee members before the bill is scheduled to be heard in committee. It takes a majority vote of the full committee membership for a bill to be passed by the committee.

Each house maintains a schedule of legislative committee hearings. Prior to a bill's hearing, a bill analysis is prepared that explains current law, what the bill is intended to do, and some background information. Typically the analysis also lists organizations that support or oppose the bill.

## Second and Third Reading

Bills passed by committees are read a second time on the floor in the house of origin and then assigned to third reading. Bill analyses are also prepared prior to third reading. When a bill is read the third time it is explained by the author, discussed by the Members and voted on by a roll call vote. Bills that require an appropriation or that take effect immediately, generally require 27 votes in the Senate and 54 votes in the Assembly to be passed. Other bills generally require 21 votes in the Senate and 41 votes in the Assembly. If a

bill is defeated, the Member may seek reconsideration and another vote.

### **Repeat Process in other House**

Once the bill has been approved by the house of origin it proceeds to the other house where the procedure is repeated.

### **Resolution of Differences**

If a bill is amended in the second house, it must go back to the house of origin for concurrence, which is agreement on the amendments. If agreement cannot be reached, the bill is referred to a two house conference committee to resolve differences. Three members of the committee are from the Senate and three are from the Assembly. If a compromise is reached, the bill is returned to both houses for a vote.

### **Governor**

If both houses approve a bill, it then goes to the Governor. The Governor has three choices. The Governor can sign the bill into law, allow it to become law without his or her signature, or veto it. A governor's veto can be overridden by a two thirds vote in both houses. Most bills go into effect on the first day of January of the next year. Urgency measures take effect immediately after they are signed or allowed to become law without signature.

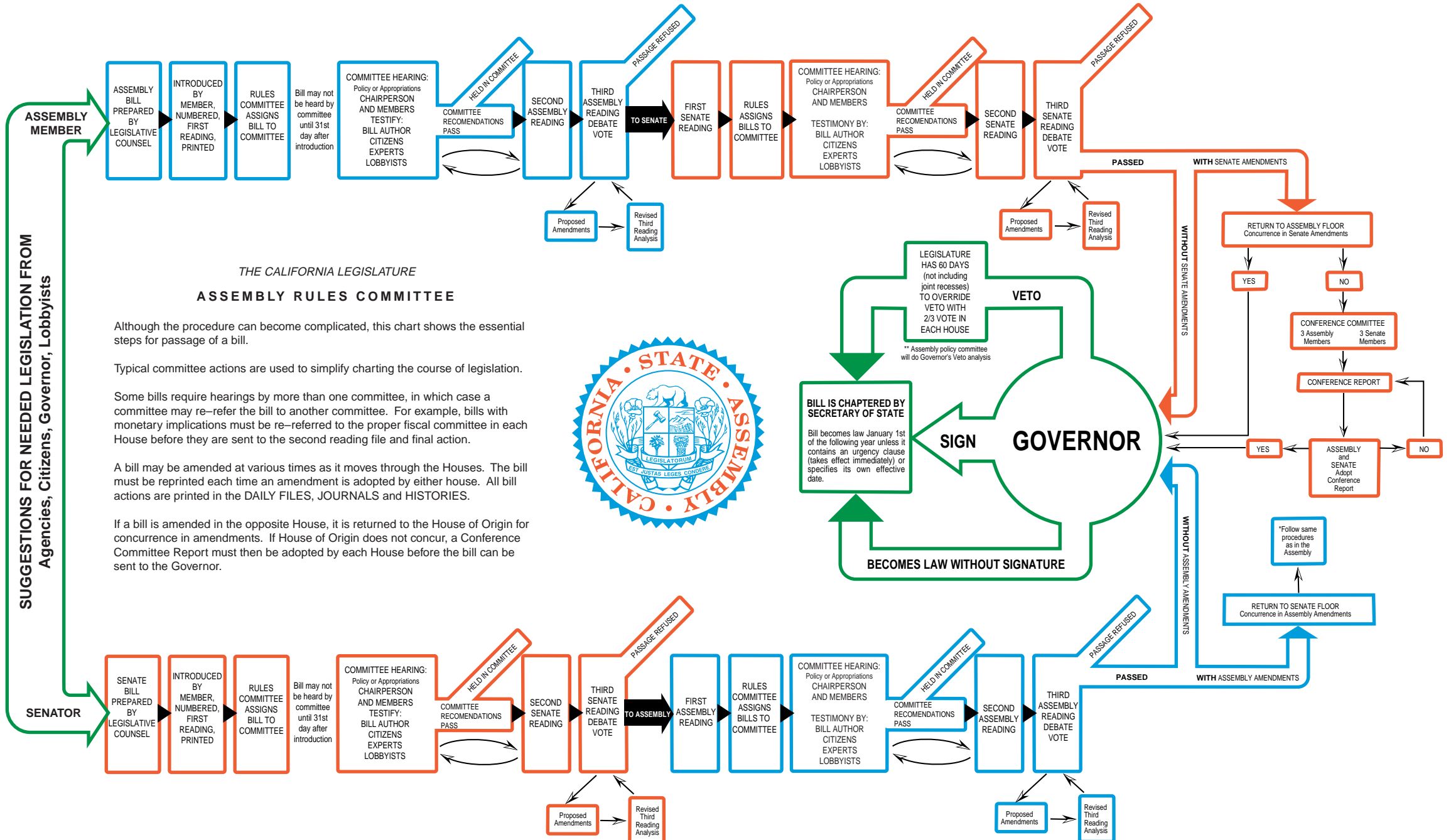
### **California Law**

Bills that are passed by the Legislature and approved by the Governor are assigned a chapter number by the Secretary of State. These Chaptered Bills (also referred to as Statutes of the year they were enacted) then become part of the California Codes. The California Codes are a comprehensive collection of laws grouped by subject matter.

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# THE LIFE CYCLE OF LEGISLATION

*From Idea into Law*



## THE CALIFORNIA LEGISLATURE

### ASSEMBLY RULES COMMITTEE

Although the procedure can become complicated, this chart shows the essential steps for passage of a bill.

Typical committee actions are used to simplify charting the course of legislation.

Some bills require hearings by more than one committee, in which case a committee may re-refer the bill to another committee. For example, bills with monetary implications must be re-referred to the proper fiscal committee in each House before they are sent to the second reading file and final action.

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If a bill is amended in the opposite House, it is returned to the House of Origin for concurrence in amendments. If House of Origin does not concur, a Conference Committee Report must then be adopted by each House before the bill can be sent to the Governor.



SUGGESTIONS FOR NEEDED LEGISLATION FROM Agencies, Citizens, Governor, Lobbyists



## Change Log

Revised by the Board of Retirement on August 7, 2019 and the Board of Investments on August 14, 2019.

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Restated and approved by the Board of Retirement on October 13, 2016 and the Board of Investments on October 12, 2016.



March 18, 2022

TO: Each Trustee  
Board of Retirement

FROM: Ricki Contreras, Division Manager  
Disability Retirement Services

SUBJECT: **APPEALS FOR THE BOARD OF RETIREMENT'S MEETING  
OF APRIL 6, 2022**

**IT IS RECOMMENDED** that the Board of Retirement grant the appeals and requests for administrative hearing received from the following applicants, and direct the Disability Retirement Services Manager to refer each case to a referee:


5231B	Michael S. DeRuyter	Thomas Wicke	Deny SCD - Ineligible for Disability Retirement
5234B	Colette N. Chavez	Thomas Wicke	Deny SCD – Ineligible for Disability Retirement

RC:kw  
Memo.New  
Appeals.docx



March 17, 2022

TO: Each Trustee  
Board of Retirement

FROM: Ricki Contreras, Manager   
Disability Retirement Services Division

FOR: April 6, 2022, Board of Retirement Meeting

**SUBJECT: DISMISS WITH PREJUDICE THE APPEAL OF PHILLIP D. SOLANO**

Mr. Phillip D. Solano applied for a service-connected disability retirement on June 3, 2019. On July 9, 2020, the Board denied his application for service-connected disability retirement.

Mr. Solano's attorney filed a timely appeal. On March 2, 2022, the applicant's attorney advised LACERA that his client did not wish to proceed with his appeal.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD:**


Dismiss with prejudice Phillip D. Solano's appeal for a service-connected disability retirement.

FJB: RC: mb

Solano, Phillip D.docx

Attachment

NOTED AND REVIEWED:


  
Francis J. Boyd, Sr. Staff Counsel

Date: 03/18/2022



March 23, 2022

**TO:** Each Trustee  
Board of Retirement

**FROM:** James C. Beasley Jr.   
Supervising Administrative Assistant II

**FOR:** April 6, 2022, Board of Retirement Meeting

**SUBJECT: LIABILITY INSURANCE BROKERAGE SERVICES BID SELECTION**

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### **RECOMMENDATION**

That the Board of Retirement approve a Professional Services Agreement with Alliant Insurance Services Inc. to serve as LACERA's Insurance Broker (Broker). The Broker will assist LACERA with the selection and implementation of the insurance coverage programs for three years beginning with the execution of the contract and with an option to renew it for an additional two, one-year periods.

### **SUMMARY**

While adhering to the requirements of the LACERA Purchasing Policy, the Administrative Services Division obtained approval from the Operation Oversight Committee to release the Insurance Broker Services Request for Proposal (RFP) on LACERA.com with the results and recommendations returned to the Board of Retirement for authorization to execute an agreement. The Proposal Evaluation Committee (Committee), composed of staff from the Executive, Legal, Information Security, and the Administrative Services Divisions, completed the competitive proposal review process and proposed their selection. Alliant Insurance Services, Inc. will best serve LACERA by providing practical coverage recommendations for the insurance programs and providing staff with Broker consulting services.

There are various risks that may impact LACERA's day-to-day operations or even the LACERA Trust Fund and the OPEB Program and Trusts. To help mitigate these risks, LACERA's Administrative Services Division in cooperation with the Legal and Executive Offices, developed and maintains a comprehensive insurance program to reduce the fiscal impact of potential claims. LACERA retains an outside insurance broker to provide insurance management services and assist in evaluating and managing these risks.

With the assistance of an insurance brokerage firm, LACERA has annually purchased the following insurance policies:

<b>LACERA'S LINE OF COVERAGE</b>		
<i>Business Property</i>	<i>Employee Benefits</i>	<i>General Liability</i>
<i>Commercial Auto</i>	<i>Employment Practices Liability</i>	<i>Travel Insurance</i>
<i>Crime</i>	<i>Fiduciary Liability</i>	<i>Terrorism Liability</i>
<i>Cyber Liability</i>	<i>Excess Fiduciary Liability</i>	<i>Umbrella Liability</i>
<i>Difference-in-Condition</i>	<i>Fiduciary Liability - Other Post-Employment Benefits (OPEB)</i>	<i>Excess Umbrella Liability</i>
<i>Excess Cyber Liability</i>	<i>Foreign Package</i>	

## **PROCUREMENT PROCESS**

### Request For Proposal (RFP) Advertisement

Publicizing solicitations lends transparency to the RFP process, increases cost-effectiveness, promotes fairness, and expands opportunities for diverse businesses to participate in the process. LACERA's Insurance Program is structured to manage the complex operational risks that require multiple insurance policies. Obtaining the necessary insurance policies requires services from an Insurance Broker with extensive experience with public entities and preferably, public pension retirement systems.

The release of the RFP was shared with a variety of large, small, and regional insurance brokerage firms. Each one was offered the opportunity to review and respond to the published Request for Proposal. A total of four firms submitted proposals, and all have a considerable amount of experience and expertise.

- Alliant Insurance Services Inc.
- Gallagher Risk Management Services
- Liberty Company Insurance Brokers
- Seeman Holtz (Current Brokerage Firm)

### Review Process

The Proposal Evaluation Committee, made up of five evaluators, conducted a thorough review of each Brokerage Firm's proposal. The Committee scored each proposal on the criteria listed below.

- Firm's experience and brokerage capabilities, particularly in the public realm
- Quality and experience of assigned professional personnel
- Understanding of LACERA's (from the perspective of a pension plan and as an institutional investor), methodology (depth of understanding of LACERA's requirements as outlined in the RFP), and quality of the proposal submitted
- Fee proposal
- References

All the evaluators agreed that, by a substantial margin, Alliant and Gallagher were the top two candidates that would best represent LACERA’s insurance needs. Alliant and Gallagher were invited to take part in a virtual interview to introduce their firm and answer a series of the same pre-determined questions.

<b>Master Scoring Matrix</b>		
<b>Evaluation Criteria</b>	<b>Alliant</b>	<b>Gallagher</b>
Firm's Experience & Brokerage Capabilities	<i>1.19</i>	<i>1.17</i>
Quality & Experience Assigned Professional Personnel	<i>0.88</i>	<i>0.89</i>
Understanding of LACERA, Methodology, & Quality of Proposal Submitted	<i>1.10</i>	<i>1.10</i>
Fee Proposal	<i>0.92</i>	<i>0.79</i>
References	<i>0.23</i>	<i>0.21</i>
Cost	<i>\$92,000 - \$100,000 p/yr.*</i>	<i>\$115,000 - \$145,000 p/yr.*</i>
<b>Total Score</b>	<b>4.32</b>	<b>4.17</b>
<b>Ranking</b>	<b>1</b>	<b>2</b>

**\* Commission based or flat fee**

Insurance Broker Selection

Following these interviews, the Committee completed their reviews and scoring of each firm and unanimously agreed on the final selection of Alliant to recommend to the Board based on its vast experience with governmental organizations and retirement systems like LACERA. Alliant has developed specialized insurance programs directly related to providing brokerage services to public agencies in California. The Committee found the depth of services offered by Alliant to be extremely favorable and complemented by the fact that Alliant provided the lowest cost proposal.

Risk Mitigation Opportunities

Alliant has an assortment of risk mitigation training options offered to staff as a resource that addresses potential risks to the organization. These include Risk Management 101 and Certificate of Insurance Compliance, among others. They also provide resources and training for minimizing cyber risks and loss prevention.

Alliant’s services include reviewing LACERA contracts on a regular basis and recommending indemnification language and insurance limits for LACERA’s Professional Services contracts. The Alliant team can conduct annual State of the Market

presentations to the Risk Management Staff, Executive Management team, and to Board Trustees, as requested.

### Specialized Insurance Programs

*Alliant Group Purchase Insurance Programs.* By creating proprietary programs designed exclusively for public entities, Alliant can leverage the combined size of a program's membership to deliver coverage that is significantly broader and less expensive than what a public agency could negotiate on its own. In addition, the Group Purchase Program offers policies with those insurance carriers with whom LACERA has an established relationship and that have extensive knowledge of LACERA's organizational needs. Compared to traditional brokerage services, Alliant's Group Purchase Insurance Program provides LACERA with a variety of insurance solutions as well as leverages cost savings without losing the quality of insurance coverage. Alliant has created over 40 public entity programs, including programs for many California CERL County systems, that LACERA can leverage to enhance LACERA's Risk Management and Insurance Programs.

As part of Alliant's core brokerage services, they also include Risk Control and Safety Services in which LACERA may choose to participate.

### Risk Control Services

Alliant supplies a wide range of Risk Control services, including:

- Fire protection system evaluation
- Risk assessments
- Loss estimates and catastrophe modeling
- Risk mitigation
- Hazard analysis
- Loss prevention and risk control program analysis
- Business interruption and disaster recovery planning
- Continuity planning
- Vulnerability analysis
- Infrared and ultrasonic testing
- Training services
  - Water intrusion response planning
  - Wind assessment for structures
  - Emergency planning exercises

### Safety Services

Alliant also provides a wide range of safety services, including:

- Program development
- On-site hazard/risk assessments

- Ergonomics
- Industrial hygiene
- Fleet safety analysis
- General liability
- Training services
- Workers' Compensation services
  - Loss prevention (safety)
  - Loss control (injury management)
  - Recordkeeping management

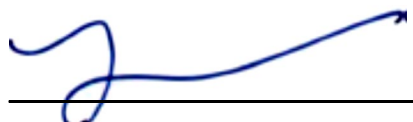
### **CONCLUSION**

Upon Trustees' approval and following the execution of a new contract, LACERA will begin working with Alliant on policy renewals. Alliant will serve as LACERA's agent to the global insurance market for the purchase of commercial liability policies and provide comprehensive risk advisory services to LACERA. These will include reviewing operating practices to minimize risk exposure, benchmarking LACERA's claims with peer agencies, evaluating current insurance coverage, and making recommendations for improved coverage and their comprehensive risk management services. Alliant will make a substantial effort to understand the market regarding our specific assets and operations while reviewing and recommending any changes to our current insurance programs prior to our next renewal on June 30, 2022.

### **IT IS THEREFORE RECOMMENDED THAT THE BOARD:**

Approve a Professional Services Agreement with Alliant Insurance Services Inc. to serve as LACERA's Insurance Broker for our insurance coverage programs. This agreement will be for three years beginning at the execution of the contract, with the option to renew for an additional two, one-year periods.

### **REVIEWED AND APPROVED:**



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Laura Guglielmo  
Assistant Executive Officer





March 25, 2022

TO: Trustees  
Board of Retirement

FOR: Board of Retirement Meeting of April 6, 2022

SUBJECT: The Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium in Delray Beach, FL on May 5, 2022

The Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium will be held on May 5, 2022, in Delray Beach, Florida. The event will feature leading women in the pension and investment space in the U.S. and Latin America as they share their diversity investment expertise, discuss the impact of investing in women- and minority-owned investment firms, and highlight the recent trends in ESG investing. The U.S. panels will focus on the importance of diversity investing, current trends in the space, opportunities and obstacles faced by both allocators and managers, and the role of the consultant in developing and fostering diverse manager programs. The U.S. panels will also explore the recent government and state-led legislative response to create more opportunities for women- and minority-owned investment firms. The Latin American panel will focus on women's increased role in the region, as well as ESG-related investment trends and impact investing opportunities.

The main conference highlights include the following:

- Latin American Impact Investing
- U.S. Diverse Manager Panel
- U.S. Allocator Panel

The first day of the conference provides for an afternoon session only. This limits the number of educational hours available to meet the current education policy that requires a minimum of five educational hours per day. However, we are recommending the Board approve an exception given the strength of the agenda topics.

Following are approximate conference and travel costs:

**Registration:** \$300.00

**Airfare:** \$380.00 - \$2,800.00

**Hotel:** \$499.00 per night plus taxes

**Ground Transportation:** \$80.00 per day

**Daily Per Diem & Incidentals:** \$69.00 per day (The Registration Fee Includes Most Meals)

**Approximate Cost:** \$2,000 - \$4,200

If the registration fee is insufficient to pay the cost of the meals provided by the conference sponsor, LACERA must reimburse the sponsor for the actual cost of the meals, less any registration fee paid. Otherwise, the attendee will be deemed to have received a gift equal to the value of the meals, less any registration fee paid, under California's Political Reform Act.

March 25, 2022

Page 2

**IT IS THEREFORE RECOMMENDED THAT YOUR BOARD:**

Approve attendance of Trustees at the Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium in Delray Beach, Florida on May 5, 2022, and approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Education and Trustee Travel Policies.

Grant an exception to the minimum of five hours per day education requirement given the half day schedule of the conference.

BN  
Attachment



Women's Alliance First Annual Diversity Investing Symposium

**THURSDAY, MAY 5, 2022** The Ray Hotel, Delray Beach, FL

1:00 - 1:55 - Registration

2:00 pm – Welcome Remarks (Marisa)

2:05 - 3:15 – U.S.-based Allocator Panel

3:15 - 3:35 – Marisa to introduce Maura with Rock the Street -  
- Coffee break

3:35 - 4:45 – U.S.-based Diverse Manager Panel

4:50 - 6:00 – Latin American Investment Panel

6:00 - 6:05 – Closing remarks (Maya)

6:05 - 7:30 – Networking reception (outdoor/rooftop)

8:00 - Roundtable Discussion and Dinner at the Ember Grille  
(featured speakers and advisory committee)

## For Information Only

March 28, 2022

TO: Each Trustee,  
Board of Retirement  
Board of investments

FROM: Audit Committee  
Gina V. Sanchez, Chair  
Joseph Kelly, Vice Chair  
Patrick L. Jones, Secretary  
Alan J. Bernstein  
Keith Knox  
Wayne Moore  
Herman B. Santos

FOR: April 6, 2022 Board of Retirement Meeting  
April 13, 2022 Board of Investments Meeting

SUBJECT: **Internal Audit's 2022 Quality Assessment Report**

At the March 23, 2022, Audit Committee meeting, Steve Goodson, of The Institute of Internal Auditors (IIA) Quality Services, presented their Quality Assessment Report (Attachment A), including the results of their external quality assessment (EQA) review of Internal Audit.

Along with voting to accept and file the Quality Assessment Report, the Audit Committee also directed staff to forward the report to the attention of both boards with instructions that staff inform the boards, not only about the results, but the timeline and action plans to address the recommendations for improvement.

### **Background**

An EQA, which is to be conducted at least once every five years, is performed in accordance with the Institute of Internal Audit International Standards for the Professional Practice of Internal Auditing. The primary objectives of the EQA include:

- Assessing Internal Audit's conformance to the Institute of Internal Audit (IIA) International Standards for the Professional Practice of Internal Auditing (Standards)
- Evaluating Internal Audit's effectiveness in carrying out its mission
- Identifying leading practices and opportunities to enhance Internal Audit's management and work processes

Internal Audit's 2022 Quality Assessment Report

March 28, 2022

Page 2 of 2

IIA Quality Services found that Internal Audit generally conforms to the Standards. This opinion, which is the highest of three possible ratings, means that policies, procedures, and practices are in place to implement the standards and other requirements necessary for ensuring a professional Internal Audit activity.

However, the IIA Quality Services team did identify opportunities for improvement that will assist Internal Audit in more fully complying with the Standards and providing enhanced services to LACERA. They identified five areas of partial conformance and eight other areas of opportunity for Internal Audit to improve.

Staff have provided action plans with completion dates ranging from March 31, 2022, to August 31, 2023, to address each of five conformance gaps and eight recommendations. These action plans are included as management responses within the body of the Quality Assessment Report. The Chief Audit Executive has assured the Committee that staff will provide updates on the status of implementation at each Audit Committee meeting until all action plans have been addressed.

Attachment

- A. IIA Quality Services' Quality Assessment Report
- B. IIA Quality Services' Audit Committee Briefing slides

RPB:rb

c: Santos H. Kreimann  
Luis A. Lugo  
Laura Guglielmo  
JJ Popowich  
Steven P. Rice



A REPORT PRESENTED TO  
LOS ANGELES COUNTY  
EMPLOYEES RETIREMENT  
ASSOCIATION (LACERA)

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# QUALITY ASSESSMENT REPORT

Prepared February 18, 2022

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## Los Angeles County Employees Retirement Association

This Internal Audit Department **Generally Conforms** to the *International Standards for the Professional Practices of Internal Auditing* and the IIA Code of Ethics.

A Generally Conforms rating means the internal audit activity conforms to the requirements of the Standards and elements of the IIA Code of Ethics (both Principles and Rules of Conduct) in all material respects. This rating does not imply full conformance and is achievable even when some areas of the Standards are determined to be in partial conformance.

GOVERNANCE		STAFF		MANAGEMENT		PROCESS	
Standard	Rating	Standard	Rating	Standard	Rating	Standard	Rating
1000	GC	1200	GC	2000	GC	2200	GC
1100	GC			2100	GC	2300	GC
1300	GC			2450	GC	2400	GC
Code of Ethics	GC			2600	GC	2500	GC



*Steve Goodson*

**Steve Goodson, CIA**  
Team Leader  
IIA Quality Services, LLC

Team Members:  
Anne Etter  
Hardy Patton

*Bill Radish*

**Bill Radish**  
Director, IIA Quality Services  
IIA Quality Services, LLC



# List of Acronyms

ACRONYM	DESCRIPTION
CAATs	Computer-Assisted Audit Techniques
CAE	Chief Audit Executive
CPE	Continuing Professional Education
EQA	External Quality Assessment
IA	Internal Audit
IIA	The Institute of Internal Auditors
Internal Audit	Internal Audit Department
IPPF	International Professional Practices Framework
IT	Information Technology
QAIP	Quality Assurance and Improvement Program
<i>Quality Assessment Manual</i>	<i>Quality Assessment Manual for the Internal Audit Activity – 2017 IPPF Aligned Edition</i>
<i>Standards</i>	<i>International Standards for the Professional Practice of Internal Auditing</i>
SWOT	Strengths, Weaknesses, Opportunities, and Threats

# Executive Summary

# Conformance Summary

## STANDARDS AND THE IIA CODE OF ETHICS

		GC	PC	DNC
<b>ATTRIBUTE STANDARDS</b>				
<b>1000 - Purpose, Authority, and Responsibility</b>		X		
1010	Recognizing Mandatory Guidance in the Internal Audit Charter	X		
<b>1100 - Independence and Objectivity</b>		X		
1110	Organizational Independence	X		
1111	Direct Interaction with the Board	X		
1112	Chief Audit Executive Roles Beyond Internal Auditing	X		
1120	Individual Objectivity	X		
1130	Impairments to Independence or Objectivity	X		
<b>1200 - Proficiency and Due Professional Care</b>		X		
1210	Proficiency	X		
1220	Due Professional Care	X		
1230	Continuing Professional Development	X		
<b>1300 - Quality Assurance and Improvement Program (QAIP)</b>		X		
1310	Requirements of the QAIP	X		
1311	Internal Assessments		X	
1312	External Assessments		X	
1320	Reporting on the QAIP	X		
1321	Use of "Conforms with the International Standards for the Professional Practice of Internal Auditing" Statement		X	
1322	Disclosure of Nonconformance	X		
<b>PERFORMANCE STANDARDS</b>				
<b>2000 - Managing the Internal Audit Activity</b>		X		
2010	Planning	X		
2020	Communication and Approval	X		
2030	Resource Management	X		
2040	Policies and Procedures	X		
2050	Coordination and Reliance	X		
2060	Reporting to Senior Management and the Board	X		
2070	External Service Provider and Organizational Responsibility for Internal Auditing	X		

		GC	PC	DNC
<b>PERFORMANCE STANDARDS (CONTINUED)</b>				
<b>2100 - Nature of Work</b>		X		
2110	Governance	X		
2120	Risk Management	X		
2130	Control	X		
<b>2200 - Engagement Planning</b>		X		
2201	Planning Considerations	X		
2210	Engagement Objectives	X		
2220	Engagement Scope	X		
2230	Engagement Resource Allocation	X		
2240	Engagement Work Programs	X		
<b>2300 - Performing the Engagement</b>		X		
2310	Identifying Information	X		
2320	Analysis and Evaluation	X		
2330	Documenting Information		X	
2340	Engagement Supervision		X	
<b>2400 - Communicating Results</b>		X		
2410	Criteria for Communicating	X		
2420	Quality of Communications	X		
2421	Errors and Omissions	X		
2430	Use of "Conducted in Conformance with the International Standards for the Professional Practice of Internal Auditing"		X	
2431	Engagement Disclosure of Nonconformance	X		
2440	Disseminating Results	X		
2450	Overall Opinions	X		
<b>2500 - Monitoring Progress</b>		X		
<b>2600 - Communicating the Acceptance of Risks</b>		X		
<b>IIA CODE OF ETHICS</b>				
Code of Ethics		X		
<b>OVERALL CONFORMANCE RATING</b>		X		

# Overall Opinion

## OPINION AS TO CONFORMANCE WITH THE *STANDARDS* AND THE IIA CODE OF ETHICS

**The internal audit activity at Los Angeles County Employees Retirement Association (LACERA) Generally Conforms with the Standards and the IIA Code of Ethics. A Generally Conforms rating means the internal audit activity conforms to the requirements of the Standards and elements of the IIA Code of Ethics (both Principles and Rules of Conduct) in all material respects. This rating does not imply full conformance and is achievable even when some areas of the Standards are determined to be in partial conformance.**

A summary of conformance with individual *Standards* and the IIA Code of Ethics is provided within the “Conformance Summary” section of this report. Upon issuance of this report, Internal Audit may use the phrases “Conforms with the *International Standards for the Professional Practice of Internal Auditing*” and “Conducted in conformance with the *International Standards for the Professional Practice of Internal Auditing*” within its practice materials and/or audit reports.

The IIA *Quality Assessment Manual for the Internal Audit Activity – 2017 IPPF Aligned Edition (Quality Assessment Manual)* suggests a scale of three ratings, “Generally Conforms,” “Partially Conforms,” and “Does Not Conform.” Detailed rating definitions and criteria associated with “Generally Conforms,” “Partially Conforms,” and “Does Not Conform” are described within Appendix A of this report and are consistent with IIA guidance stated in its *Quality Assessment Manual*.

Under the *Standards*, an External Quality Assessment (EQA) of an internal audit activity must be conducted at least once every five years by a qualified, independent assessor or an independent assessment team from outside the organization. IIA Quality Services, LLC was selected to lead this assessment. This engagement’s Assessment Team demonstrated competence in both the professional practice of internal auditing and the EQA process as required by the *Standards*. The External Quality Assessment was conducted virtually primarily during the week of February 7, 2022. Conclusions were as of February 11, 2022.

Future changes in external factors and actions taken by personnel, including actions taken to address our recommendations, may have an impact upon the operation of Internal Audit in a manner that this report did not and cannot anticipate. Considerable professional judgment is involved in evaluating the observations and developing recommendations. Accordingly, it should be recognized that others could evaluate the results differently and draw different conclusions. All information included in this report is proprietary and confidential and is intended for internal use only. This report may not be distributed to any other third-party (other than your regulator, Audit Committee, or external auditor) without the prior written consent of IIA Quality Services, LLC.

# Objectives, Scope, and Methodology

## OBJECTIVES

The primary objective of this assessment was to evaluate conformance to the *Standards*, which require an EQA of an internal audit activity at least every five years. In addition, the Assessment Team:

- Assessed conformance with the IIA Code of Ethics
- Assessed Internal Audit's effectiveness in providing assurance and advisory services to stakeholders and other interested parties
- Identified opportunities, offered recommendations for improvement, and provided counsel to Internal Audit for improving its performance and services, as well as promoting its image and credibility throughout the organization

## SCOPE

The scope of this assessment included an evaluation of Internal Audit's efficiency and effectiveness in executing its mission, as set forth by the Internal Audit Charter, which defines the purpose, authority, responsibilities, and accountabilities of Internal Audit.

## METHODOLOGY

To accomplish the aforementioned objectives, the Assessment Team:

- Reviewed information prepared by Internal Audit at the Assessment Team's request
- Conducted interviews with key stakeholders of Internal Audit including board members, senior executives, the external auditors, the Chief Audit Executive (CAE), and members of the Internal Audit function
- Reviewed a limited sample of audit projects and associated work papers and reports
- Reviewed survey data received from Internal Audit stakeholders resulting from IIA Quality Services' survey process
- Reviewed benchmark data
- Prepared diagnostic tools consistent with the methodology established for an EQA as stated in the *Quality Assessment Manual*

# Observations Specific to Internal Audit

## OVERALL SUMMARY

The internal audit activity at Los Angeles County Employees Retirement Association (LACERA) Generally Conforms with the Standards and the IIA Code of Ethics. A conformance rating of Generally Conforms (GC) means the Assessment Team concluded the following:

- For individual *Standards*, the internal audit activity conforms to the requirements of the *Standard* (i.e., 1000, 1010, 2000, 2010, etc.) or elements of the IIA Code of Ethics (both Principles and Rules of Conduct) in all material respects.
- For the sections (Attribute and Performance) and major categories (i.e., 1000, 1100, 2000, 2100, etc.), the internal audit activity achieves general conformity to a majority of the individual *Standards* and/or elements of the IIA Code of Ethics, and at least partial conformity to others, within the section/category.
- For the internal audit activity overall, there may be opportunities for improvement, but these should not represent situations where the internal audit activity has not implemented the *Standards* or the IIA Code of Ethics, has not applied them effectively, or has not achieved their stated objectives.

While LACERA Internal Audit Generally Conforms with the Standards and the IIA Code of Ethics, Internal Audit received a rating of Partially Conforms for six Standards resulting in five Conformance Gaps:

1311	Internal Assessments
1312 -	External Assessments
1321/2430 -	Use of “Conforms with the International Standards for the Professional Practice of Internal Auditing”
2330 -	Documenting Information
2340 -	Engagement Supervision

These Conformance Gaps are discussed in the Detailed Observations section of this report along with six leading practices and eight opportunities to improvement. Of note, Internal Audit has recently implemented a comprehensive follow-up process that provides the Audit Committee and management valuable insight into efforts to implement internal audit recommendations. Lastly, multiple additional opportunities to improve the audit activity that did not raise to the level of reporting were discussed with the Chief Audit Executive and his management team.

# Detailed Observations

# Successful Practices

The Assessment Team identified the following areas where Internal Audit operates in a successful practice manner:

#	STANDARD	SUCCESSFUL PRACTICE
SP01	1220 – Due Professional Care 1220.A2 – In exercising due professional care internal auditors must consider the use of technology-based audit and other data analysis techniques.	LACERA IA has well-credentialed staff. Many staff members hold multiple certifications in the areas of internal auditing, fraud, accounting, data analytics, and information technology.  Internal Audit has employed data analytics tool to assist with engagements.  LACERA supports staff continuing professional development and provides an annual bonus for certified staff.
SP02	1312 External Assessments	A commitment to quality is demonstrated by engaging this external assessment.  See separate conformance gap 1312 External Assessments.
SP03	2330 - Documenting Information Internal auditors must document sufficient, reliable, relevant, and useful information to support the engagement results and conclusions.	The department is making good use of TeamMate for Audit Planning and Working Papers.  See separate opportunities related to: 2330 - Documenting Information 2340 - Engagement Supervision
SP04	2120 – Risk Management The internal audit activity must evaluate the effectiveness and contribute to the improvement of risk management processes.	Internal audit conducts a robust annual risk assessment and planning effort prior to developing their annual plan. The effort includes interviews and discussions with key stakeholders and an evaluation of external leadership using the IIA's "On Risk" annual report.  See separate opportunity related to: 2010 – Planning 2030 – Resource Management





# Successful Practices

The Assessment Team identified the following areas where Internal Audit operates in a successful practice manner:

#	STANDARD	SUCCESSFUL PRACTICE
SP05	Leading Practice – Management and Audit Committee Relations	Management and the Audit Committee are engaged with Internal Audit. Overall, Internal Audit is commonly viewed as value adding and as having positive relationships with management.
SP06	Leading Practice – Thought Leadership	LACERA IA shares risk intelligence and benchmarking thought-leadership with the board audit committee using the IIA's report "On Risk." This annual report offers boardroom, C-suite, and internal audit annual perspectives on risk to challenge and extend management and the board's view on risk, see <a href="https://www.theia.org/OnRisk">https://www.theia.org/OnRisk</a> . LACERA IA could consider including views of risk sourced from industry publications, public accounting firms, and other internal auditing service providers to broaden the landscape of thought-leadership presented to the board audit committee during IA's annual risk assessment.



# Conformance Gaps

The Assessment Team identified the following conformance gaps:

#	STANDARD	CONFORMANCE GAP	DESCRIPTION	RESPONSE
CG01	<p>1311 Internal Assessments</p> <p>Internal assessments must include:</p> <ul style="list-style-type: none"> <li>• Ongoing monitoring of the performance of the internal audit activity.</li> <li>• Periodic self-assessments or assessments by other persons within the organization with sufficient knowledge of internal audit practices</li> </ul>	<p>Ongoing monitoring of engagements is conducted, but can be improved. Ongoing monitoring of performance is discussed under the opportunity for 2340 - Engagement Supervision</p> <p>Internal Audit did conduct a periodic internal assessment and reported the results to the Audit Committee. However, workpapers to support the assessment were not maintained and available for review.</p> <p>Key Performance Indicators (KPI) are vital for monitoring the performance of the overall internal audit activity. Several indicators are routinely tracked and communicated to the Audit Committee such as:</p> <ul style="list-style-type: none"> <li>• Audit Plan Completion</li> <li>• Internal Audit Coverage of the Organization</li> <li>• Engagement-level audit cycle time</li> </ul> <p>Internal Audit has an opportunity, working with the Audit Committee and Executive Management, to establish KPIs that will be meaningful to these stakeholders. Establishing goals for each KPI will help Internal Audit target their efforts to accomplish the metrics.</p>	<p>Recommendation #1:</p> <ol style="list-style-type: none"> <li>Work with the Committee and Executive Management to establish meaningful KPIs and measurable goals related to each.</li> <li>Adequately document the work performed for the Internal Periodic Assessment.</li> <li>This effort could be included in Internal Audit's to be developed Strategic Plan, see IO05.</li> </ol>	<p>Recommendation #1 – Management Responses and Action Plans:</p> <ol style="list-style-type: none"> <li>Internal Audit (IA) management agrees with the recommendation and will discuss KPIs with the Executive Office and Audit Committee (AC) Chair in developing meaningful and measurable KPIs. We will then establish KPIs which we will report in the Audit Plan Status Report presented at AC meetings. Due Date: 8/30/22</li> <li>IA management agrees with the recommendation and will develop formalized Internal Periodic Assessment and establish due dates for completion. Due Date: 3/31/22</li> <li>IA management agrees with the recommendation and will include these efforts in our Strategic Plan. Due Date 10/31/22</li> </ol>

# Conformance Gaps

The Assessment Team identified the following conformance gaps:

#	STANDARD	CONFORMANCE GAP	DESCRIPTION	RESPONSE
CG02	1312 External Assessments External assessments must be conducted at least once every five years.	Internal Audit's most recent external quality assessment was completed in 2016. With the completion of this assessment, Internal Audit comes into conformance with this Standard	Recommendation #2:  Assure an EQA is conducted at least every 5 years.	Management Response and Action Plan Recommendation #2:  IA management agrees with the recommendation and will ensure that the next EQA is conducted within 5 years.  Due Date: Implemented
CG03	1321 & 2430 Use of "Conforms with the International Standards for the Professional Practice of Internal Auditing"  Indicating that engagements and the internal audit activity are "in conformance with the International Standards for the Professional Practice of Internal Auditing" is appropriate only if supported by the results of the quality assurance and improvement program.	Internal Audit continued to use the statement even though an external QA as not conducted at least once every five years.	Recommendation #3:  Refrain from using Conformance Statement without external quality assessment confirming conformance.	Management Response and Action Plan Recommendation #3:  IA management agrees with the recommendation and will not use the Conformance Statement until the Final EQA Report is issued.  Due Date: Implemented



# Conformance Gaps

The Assessment Team identified the following conformance gaps:

#	STANDARD	CONFORMANCE GAP	DESCRIPTION	RESPONSE
CG04	2330 - Documenting Information Internal auditors must document sufficient, reliable, relevant, and useful information to support the engagement results and conclusions.	<p>Engagement documentation reviewed for this assessment was limited due to:</p> <ul style="list-style-type: none"> <li>a) The structure of engagement files that were converted into TeamMate+ (TM),</li> <li>b) The changing and maturing of departmental procedures during the period of review, and</li> <li>c) A lack of centralized and complete procedures to outline the intended documentation practices.</li> </ul> <p>Our review primarily focused on a limited sample of one engagement that had followed current and intended departmental practice and which was fully completed within the new TM file structure.</p> <p>We also reviewed additional historical files to assess and confirm observations related to the 2200 series performance standards gaps and opportunities that are noted in this report.</p>	<p>Recommendation# 4:</p> <p>Improve the quality and consistency of engagement working papers.</p> <p>In addition to the new file structure and process templates warehoused in TeamMate+, we strongly recommend the following actions be taken by Internal Audit to improve the quality and consistency of working paper documentation:</p> <ul style="list-style-type: none"> <li>a. Document the intended work paper practices in the departmental manual.</li> <li>b. Align the end of audit quality review checklist to the steps in the IIA's QAIP's Internal Audit Process Program Guide.</li> <li>c. Continue to reinforce intended procedural standards in the monthly departmental quality team meetings.</li> <li>d. For a period of time, evaluate every engagement for compliance with documentation standards and once procedures and quality results are satisfactory, then mature your periodic internal quality reviews to a sample of audits.</li> <li>e. Once departmental procedures have been firmly established and operating for a period of time (at least six months) consider performing another external quality assessment (either a full assessment / independent assessment with external review, or a readiness assessment) more frequent than the suggested five-year requirement to ensure that the department processes are working as intended and are following good practice.</li> </ul>	<p>Recommendation #4 Management Response and Action Plan:</p> <ul style="list-style-type: none"> <li>a. IA management agrees with the recommendation and will update Operation Guide and include this practice in the Guide. Due Date: 6/30/2022</li> <li>b. IA management agrees with the recommendation and will align audit quality review checklist with the IIA QAIP Program Guide. Due Date: 3/31/2022</li> <li>c. IA Management agrees with the recommendation and will continue to reinforce standards in monthly QAIP meetings. Due Date: Implemented</li> <li>d. IA Management agrees with the recommendation and in connection with recommendation #4b above, will perform a post audit evaluation of audit engagements for compliance with documentation Standards until FYE 2023 and subsequently will be perform the post audits on a sample basis. Due Date: 6/30/2023</li> <li>e. Will work on refining and improving IA operations and after one year of doing so, will consider a readiness assessment. Due Date: 6/30/2023</li> </ul>

# Conformance Gaps

The Assessment Team identified the following conformance gaps:

#	STANDARD	CONFORMANCE GAP	DESCRIPTION	RESPONSE
CG05	2340 - Engagement Supervision Engagements must be properly supervised to ensure objectives are achieved, quality is assured, and staff is developed.	<p>Internal audit management and staff reported a high degree of interaction and discussion during the conduct of audits. What has been a greater challenge is the timely documentation of supervisory approvals or “sign-off” in the automated workpaper tool TeamMate (TM).</p> <p>IA migrated to a new version of TM during the pandemic and prior to fully assessing and solidifying their internal working practices. Thus, IA management disclosed, and we observed, that supervisory sign-off during audit engagements was not always timely documented in the official working papers. The new TM file structure, that was recently implemented, reflected an improved level of timely supervision as observed in the TM tool.</p>	<p>Recommendation #5: We recommend IA management:</p> <ul style="list-style-type: none"> <li>a) establish timelines for what constitutes timely supervisory review in the Internal Audit operating manual,</li> <li>b) ensure supervision is timely and contemporaneously documented in TM during audits, and</li> <li>c) include an evaluation of timely supervisory sign-off in their periodic internal quality assessments.</li> </ul>	<p>Recommendation #5 Management Response and Action Plan:</p> <ul style="list-style-type: none"> <li>a) IA Management agrees with the recommendations and will establish timelines for audit engagements and will address them in our operating manual. Due Date 6/30/2022</li> <li>b) IA Management agrees with the recommendations and will ensure Supervisory review is timely and documented based on our continuous QC reviews as noted in recommendation # 4d. Due Date 6/30/2022</li> <li>c) IA Management agrees with the recommendations and will include an evaluation of timely supervisory sign-off in our periodic internal quality assessments. Due Date 6/30/2022</li> </ul>

# Improvement Opportunities

The Assessment Team identified the following improvement opportunities that, if implemented, will enhance the efficiency and effectiveness of Internal Audit processes and/or infrastructure:

#	STANDARD	IMPROVEMENT OPPORTUNITY	DESCRIPTION	RESPONSE
IO01	<p>1110 – Organizational Independence Organizational independence is effectively achieved when the chief audit executive reports functionally to the board.</p>	<p>IA clearly reports functionally to the LACERA Audit Committee,  However, the LACERA organizational chart on the organization's external website does not show the Internal Audit Activity's functional reporting relationship to the Audit Committee of the Boards.</p>	<p>Recommendation #6:  Strengthen internal audit's independence by updating the LACERA organization chart that is publicly facing to reflect a solid (functional) reporting line to the Audit Committee.  Review all public and internally facing organization charts to ensure the reporting lines are appropriately reflected.</p>	<p>Recommendation #6 Management Response and Action Plan:  IA Management agrees with the recommendations and will work with Exec Office and Communications Division to update the org charts accordingly. Due Date 3/31/22</p>
IO02	<p>1210 – Proficiency Internal auditors must possess the knowledge, skills, and other competencies needed to perform their individual responsibilities.  The internal audit activity collectively must possess or obtain the knowledge, skills, and other competencies needed to perform its responsibilities.</p> <p>1230 - Continuing Professional Development Internal auditors must enhance their knowledge, skills, and other competencies through continuing professional development.</p>	<p>Internal audit has well-credentialed staff. Many staff members hold multiple certifications in the areas of internal auditing, fraud, accounting, data analytics, and information technology.</p>	<p>Recommendation #7:  In order to enhance their competencies and continue their professional development, Internal Audit can:</p> <ol style="list-style-type: none"> <li>Utilize a staff competency model similar to the IIA Internal Audit Competency Framework to self-assess staff against a formal competency framework.</li> <li>Add certification requirements to Internal Audit Job Descriptions if the practice is consistent with LACERA's internal job description methodology.</li> <li>Ensure staff receive timely finalized and formal performance appraisals on at least an annual basis.</li> </ol>	<p>Recommendation #7 Management Response and Action Plan:</p> <ol style="list-style-type: none"> <li>IA Management agrees with the recommendation and will develop a staff competency model using the IIA Internal Audit Competency Framework as a guide. We plan to discuss this at our April monthly QAIP meeting and will begin using it in FY 2023. Due Date: 6/30/2022.</li> <li>IA Management agrees with the recommendation and will review LACERA job descriptions for Internal Audit positions to align with current internal audit practices and evaluate the inclusion of certifications if feasible under LACERA job description methodology. Due Date: 6/30/2022</li> <li>IA Management agrees with the recommendation and will ensure performance appraisals are completed in a timely manner and within LACERA's PE timeline. We will also complete Competency Assessments on a fiscal year basis beginning with Fiscal Year 2023. Due Date 7/30/22</li> </ol>

# Improvement Opportunities

The Assessment Team identified the following improvement opportunities that, if implemented, will enhance the efficiency and effectiveness of Internal Audit processes and/or infrastructure:

#	STANDARD	IMPROVEMENT OPPORTUNITY	DESCRIPTION	RESPONSE
IO03	<p>1110 - Independence and Objectivity The internal audit activity must be free from interference in determining the scope of internal auditing, performing work, and communicating results. The chief audit executive must disclose such interference to the board and discuss the implications.</p> <p>2400 - Communicating Results Internal auditors must communicate the results of engagements.</p>	<p>Independence is the freedom from conditions that threaten the ability of the internal auditing activity to carry out their responsibilities in an unbiased manner.</p> <p>Further, the internal audit policies and procedures manual establishes the process for documenting the support for an observation/conclusion related to the engagement and the internal audit activity may develop an engagement communication plan to provide guidance for final engagement results.</p> <p>Internal audit follows a somewhat unique procedure to provide the board audit committee directors advance copies of final audit reports for review, questions, and comment. In certain circumstances, the CAE may change the audit report as a result of this process.</p>	<p>Recommendation #8:  Creating ongoing systems and structures that ensure independence regardless of the unbiased nature of individuals, would strengthen independence and potentially mitigate any undue influence that might present itself in the future, either in perception or fact.</p> <p>Consider providing guidance in the Internal Audit operating manual and the audit charter to describe the nature and intended purpose of this preliminary board review and the safeguards in place to ensure this review and comment period does not create a perceived challenge to the internal audit function's independence.</p>	<p>Recommendation #8 Management Response and Action Plan:  IA Management agrees with the recommendation. As part our strategic planning and review of our processes, we will reevaluate and document our process of issuing audit reports in our operations guide, as well as consider the necessity of changes to the Internal Audit and Audit Committee charters, to ensure Internal Audit's independence is maintained.</p> <p>Due Date: 10/31/2022</p>
IO04	<p>2120.A1 – Fraud Risk The internal audit activity must evaluate the potential for the occurrence of fraud and how the organization manages fraud risk</p> <p>2240 - Engagement Work Program Internal auditors must develop and document work programs that achieve the engagement objectives..</p>	<p>We reviewed a limited number of audit workpapers and queried IA management on their engagement-level planning process. IA management demonstrated an awareness for the potential of fraud and outlined the steps they follow to evaluate the potential for fraud in the engagement. However, evidence of conclusions could be more fully documented in the work papers.</p>	<p>Recommendation #9:  Documentation of the engagement process followed, and the conclusions reached, could be enhanced so an independent reviewer would reach the same conclusion and to better support the agreed upon engagement-level scope and objectives.</p> <p>We recommend IA move forward with enhancing policies and procedures to better document and support their engagement-level fraud risk assessment including an assessment of the specific fraud red flags considered.</p>	<p>Recommendation #9 Management Response and Action Plan:  IA Management agrees with the recommendation. We are in the process of enhancing our audit engagement process and to more effectively document and support engagement-level fraud risk assessment. The updates will be incorporated into our operations guide. Due Date 3/31/22.</p>

# Improvement Opportunities

The Assessment Team identified the following improvement opportunities that, if implemented, will enhance the efficiency and effectiveness of Internal Audit processes and/or infrastructure:

#	STANDARD	IMPROVEMENT OPPORTUNITY	DESCRIPTION	RESPONSE
IO05	<p>2000 – Managing the Internal Audit Activity The chief audit executive must effectively manage the internal audit activity to ensure it adds value to the organization.</p>	<p>Developing and documenting a formalized strategic plan for Internal Audit is an emerging and evolving successful practice that supports internal audit activities operating in dynamic environments. A multi-year Internal Audit strategic plan can help Internal Audit improve their support to LACERA in a proactive, thoughtful, systematic, and practical manner.</p> <p>Additionally, strategic planning can help with Internal Audit leadership development and succession planning.</p> <p>The strategic plan might have a three-year horizon that is adjusted on an annual basis and presented to senior management and the Audit Committee in conjunction with the annual risk assessment and audit plan for review and approval. Strategic plans and related initiatives are generally supported by a “Strengths, Weaknesses, Opportunities, Threats” analysis.</p>	<p>Recommendation #10: Create a strategic plan to identify and address strategic initiatives going forward.</p>	<p>Recommendation #10 Management Response and Action Plan: IA Management agrees with the recommendation. IA management plans to develop a Strategic Plan to support internal audit activities and LACERA. The Plan will also address initiatives for succession planning.  Due Date: 10/31/2022</p>
IO06	<p>2010 – Planning The chief audit executive must establish a risk-based plan to determine the priorities of the internal audit activity, consistent with the organization’s goals.</p> <p>2030 – Resource Management The chief audit executive must ensure that internal audit resources are appropriate, sufficient, and effectively deployed to achieve the approved plan.</p>	<p>Stakeholder feedback indicates the annual audit planning process currently in place results in more work on the annual audit plan than Internal Audit can accomplish. This, as well as auditor vacancies and a maturing audit process, resulted in Internal Audit completing only 64% of their most recent annual plan.</p>	<p>Recommendation #11: Establish a realistic and achievable risk-based annual audit plan. Seek additional resources if the risk assessment indicates more risk than can be covered by Internal Audit’s current resources (staff and out-sourcing.).</p> <p>See also related opportunity IO08 Coordination and Reliance</p>	<p>Recommendation #11 Management Response and Action Plan: IA Management agrees with the recommendation. We will refine our risk assessment process to include 1) risks mapped to proposed projects based on available Internal Audit resources (staff and Audit Pool), 2) other risks areas that are being addressed by the organization or were recently addressed by Internal Audit, and 3) risks mapped to proposed projects if additional resources were available. We will provide the Audit Committee our updated risk assessment at the May 2022 AC meeting and final FY 2022/23 Audit Plan at the August 2022 meeting.  Due Date 8/31/22</p>



# Improvement Opportunities

The Assessment Team identified the following improvement opportunities that, if implemented, will enhance the efficiency and effectiveness of Internal Audit processes and/or infrastructure:

#	STANDARD	IMPROVEMENT OPPORTUNITY	DESCRIPTION	RESPONSE
IO07	<p>2040 - Policies and Procedures</p> <p>The chief audit executive must establish policies and procedures to guide the internal audit activity.</p>	<p>IA operating practices matured and evolved over the period under our review and we noted that the department policies and procedures (operating manual) in minor areas are not fully aligned with the current IPPF.</p> <p>In addition, the operating manual has limited details on how internal audits are conducted at LACERA and specific procedures are outlined in multiple documents that are decentralized.</p> <p>A good practice is to centralize and detail the intended process staff should follow in the conduct of audits and perform a quality assessment of completed audits against the detailed process. The operating manual may take many forms, e.g., a MS Word document, an automated working paper file structure, instructions, and templates, and/or flowcharts and checklists. Detailing the intended practice staff are to follow also supports staff feedback, development, and performance accountability.</p>	<p>Recommendation #12:</p> <p>a. Stabilize Internal Audit process changes, update and fully centralize departmental policies and procedures, and develop a sustainable cadence for guidance updates and the related training of staff on those updates.</p> <p>b. Move forward with the planned update of departmental policies and procedures (operating manual), align this guidance with the current 2017 IPPF, and incorporate the following elements in the manual:</p> <ul style="list-style-type: none"> <li>• The criteria and process for selection of third-party service providers.</li> <li>• Criteria used to evaluate and support reliance on the work of any LACERA internal second line functions, e.g., information security, quality assurance, and the proposed compliance division.</li> <li>• IA departmental Data Analytics expectations and procedures for ensuring data accuracy, requirements associated with handling data, standards and conventions for documentation data analytics in working papers, and standards for reporting data in audit reports.</li> <li>• Include the requirement for annual ethics training for Certified Internal Auditors (CIAs).</li> <li>• The frequency of operating manual updates and the process to ensure the manual remains current with IPPF guidance.</li> <li>• Consider moving the detailed IPPF standards to in an appendix to not distract from specific departmental policies and procedures.</li> </ul>	<p>Recommendation #12 Management Response and Action Plan:</p> <p>IA Management agrees with the recommendations 12a and 12b. We are in the process of updating our Operation Guide and will incorporate elements of the 2017 IPPF and other suggested inclusions, some we of which are already our practice, such as the annual ethics training for all staff, noted in the audit recommendations.</p> <p>Due Date: 6/30/2022</p>

# Improvement Opportunities

The Assessment Team identified the following improvement opportunities that, if implemented, will enhance the efficiency and effectiveness of Internal Audit processes and/or infrastructure:

#	STANDARD	IMPROVEMENT OPPORTUNITY	DESCRIPTION	RESPONSE
IO08	2050 –Coordination and Reliance The chief audit executive should share information, coordinate activities, and consider relying on the work of other internal and external assurance and consulting service providers to ensure proper coverage and minimize duplication of efforts. The goal is to provide management and the board with a comprehensive view of risk, including associated management accountabilities, and an assessment of where independent assurance is provided or warranted.	Internal audit directly coordinates the work of the external financial auditor, in addition they include discussions with operating and second line management in their annual risk assessment. IA has also recently assessed the second line Quality Operations department. However, IA has not established a consistent process for any basis of reliance, including an assessment of the competency, objectivity, and due professional care of the other assurance and consulting service providers nor has internal audit or management developed a comprehensive map of key risks and assurance coverage.	<p>Recommendation #13:</p> <p>We recommend Internal Audit work with LACERA Executive Office to coordinate and create an assurance map by linking identified significant risk categories with a management owner, the relevant sources of assurance, and rating the level of assurance provided for each risk category.</p> <p>Because the map is comprehensive, it can expose gaps and duplications in assurance coverage thus minimizing duplication and maximizing the efficiency and effectiveness of assurance coverage.</p> <p>The map can take many forms and be developed and populated over time. It need not be owned by Internal Audit but Internal Audit should play a key role in its development and update and utilize the information to establish its annual Internal Audit plan and evaluate its resource requirements.</p> <p>Following this approach provides management and the board with the necessary decision-making information to ensure efficient and effective coverage of key risks faced by LACERA. A combined assurance mapping effort typically:</p> <ul style="list-style-type: none"> <li>identifies the landscape of key corporate risks,</li> <li>identifies the executive accountable for the risk,</li> <li>reflects the various assurance providers responsible for management and board assurance related to these risks, and</li> <li>ties to the annual Internal Audit plan including supporting resource needs.</li> </ul>	<p>Recommendation #13 Management Response and Action Plan:</p> <p>To address the conformance gap relating to Standard 2050 we will develop a feasible process to coordinate activities, and criteria for reliance on the work of other internal and external assurance and consulting service providers to ensure proper coverage and minimize duplication of efforts. As part of our risk assessment process, we will provide Exec management and the Committee with a comprehensive view of risk, and an assessment of risk assurance effectiveness and efficiency and identify any gaps or deficiencies.</p> <p>Due Date: 8/30/23</p>



# **Appendix A**

## Conformance Rating Criteria

# Conformance Rating Criteria

**“Generally Conforms”** (GC) means the Assessment Team concluded the following:

- For individual *Standards*, the internal audit activity conforms to the requirements of the *Standard* (i.e., 1000, 1010, 2000, 2010, etc.) or elements of the IIA Code of Ethics (both Principles and Rules of Conduct) in all material respects.
- For the sections (Attribute and Performance) and major categories (i.e., 1000, 1100, 2000, 2100, etc.), the internal audit activity achieves general conformity to a majority of the individual *Standards* and/or elements of the IIA Code of Ethics, and at least partial conformity to others, within the section/category.
- For the internal audit activity overall, there may be opportunities for improvement, but these should not represent situations where the internal audit activity has not implemented the *Standards* or the IIA Code of Ethics, has not applied them effectively, or has not achieved their stated objectives.

**“Partially Conforms”** (PC) means the Assessment Team concluded the following:

- For individual *Standards*, the internal audit activity is making good faith efforts to conform to the requirements of the *Standard* (i.e., 1000, 1010, 2000, 2010, etc.) or element of the IIA Code of Ethics (both Principles and Rules of Conduct) but falls short of achieving some major objectives.
- For the sections (Attribute and Performance) and major categories (i.e., 1000, 1100, 2000, 2100, etc.), the internal audit activity partially achieves conformance with a majority of the individual *Standards* within the section/category and/or elements of the IIA Code of Ethics.
- For the internal audit activity overall, there will be significant opportunities for improvement in effectively applying the *Standards* or the IIA Code of Ethics and/or achieving their objectives. Some deficiencies may be beyond the control of the internal audit activity and may result in recommendations to senior management or the board of the organization.

**“Does Not Conform”** (DNC) means the Assessment Team concluded the following:

- For individual *Standards*, the internal audit activity is not aware of, is not making good faith efforts to conform to, or is failing to achieve many/all of the objectives of the *Standard* (i.e., 1000, 1010, 2000, 2010, etc.) and/or elements of the IIA Code of Ethics (both Principles and Rules of Conduct).
- For the sections (Attribute and Performance) and major categories (i.e., 1000, 1100, 2000, 2100, etc.), the internal audit activity does not achieve conformance with a majority of the individual *Standards* within the section/category and/or elements of the IIA Code of Ethics.
- For the internal audit activity overall, there will be deficiencies that will usually have a significant negative impact on the internal audit activity’s effectiveness and its potential to add value to the organization. These may also represent significant opportunities for improvement, including actions by senior management or the board.



# Appendix B

## Stakeholder Feedback

# Stakeholder Feedback

## SENIOR MANAGEMENT AND KEY STAKEHOLDERS

NAME	TITLE
Joe Kelly	Audit Committee Chair
Keith Knox	Audit Committee Member
Gina V. Sanchez	Audit Committee Member
Santos H. Kreimann	Chief Executive Officer
Luis A. Lugo	Deputy Chief Executive Officer
Laura Guglielmo	Assistant Executive Officer
JJ Popowich	Assistant Executive Officer
Carlos Barrios	Division Manager, Benefit Services
Jonathan Gabel	Chief Investment Officer
Kathy Delino	Manager, Information Systems
Ted Granger	Interim Chief Financial Officer
Steven Rice	Chief Counsel, Legal Services
Carly Ntoya	Director, Human Resources

In addition to interviews with the individuals listed above, broad-based and confidential surveys were conducted by IIA Quality Services. Surveys were sent to senior management and stakeholders throughout the organization. A separate survey was sent to Internal Audit management and staff.

# Stakeholder Feedback

## INTERNAL AUDIT MANAGEMENT AND STAFF

NAME	TITLE
Richard Bendall	Chief, Internal Audit
Christina Login	Principal Internal Auditor
Leisha Collins	Principal Internal Auditor
Kathryn Ton	Internal Auditor
Gabriel Tafoya	Internal Auditor
George Lunde	Internal Auditor

In addition to interviews with the individuals listed above, broad-based and confidential surveys were conducted by IIA Quality Services. Surveys were sent to senior management and stakeholders throughout the organization. A separate survey was sent to Internal Audit management and staff.

# Stakeholder Feedback

## COMMENTS RECEIVED DURING INTERVIEWS AND SURVEYS

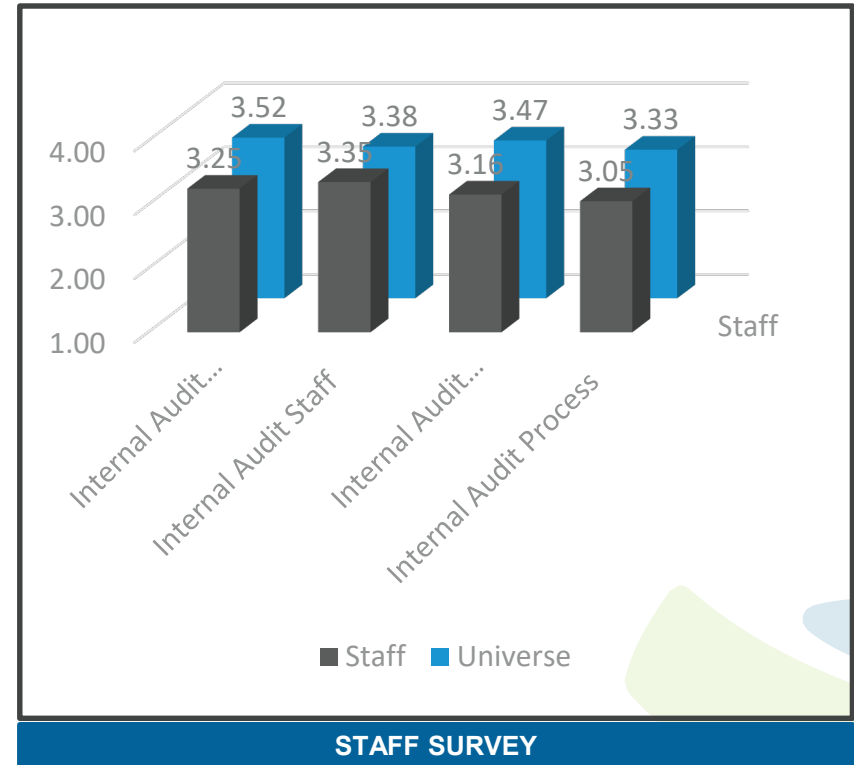
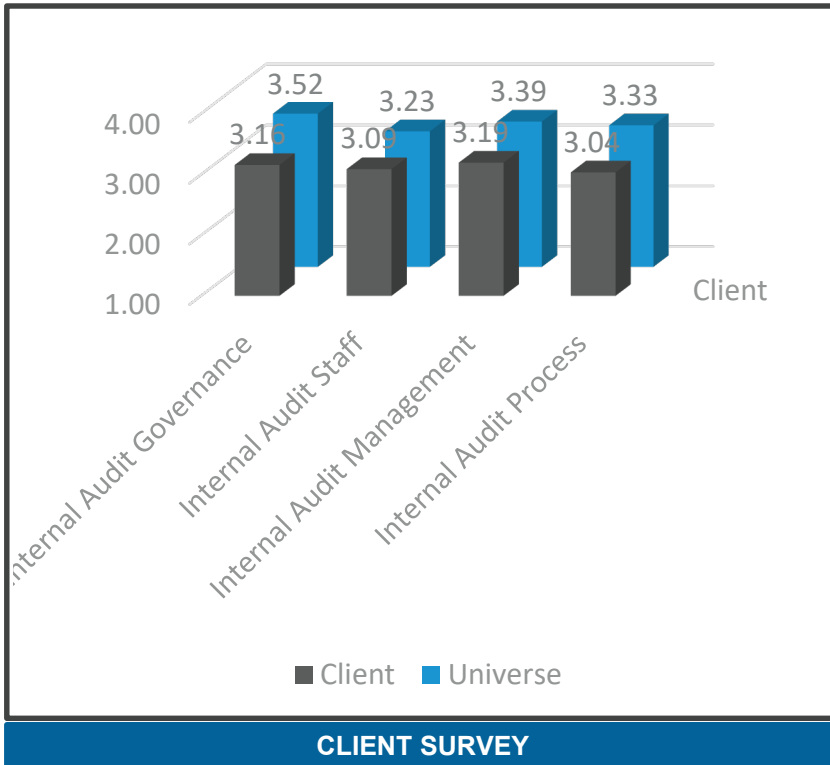
The summary comments listed below represent general themes expressed by more than one stakeholder during stakeholder interviews and/or surveys that were validated by the Assessment Team. All stakeholder interviews were conducted privately without presence of the CAE. All surveys were conducted in a confidential manner. Statistical results of surveys are presented separately from these comments.

STRENGTHS	OPPORTUNITIES
<ul style="list-style-type: none"><li>▪ Their dedication to independent controls and being independent is admirable</li><li>▪ The LACERA IA team excels at communicating.</li><li>▪ They follow up on audit findings in a systematic way, hold regular meetings with LACERA Management to identify barriers, obstacles and organizational risks, and they collaborate with management to problem solve on areas of risk that may or may not be part of an audit.</li><li>▪ Good teamwork and collegial relationships</li><li>▪ Good communication skills with AC, management, and staff</li><li>▪ Good institutional knowledge which helps them effectively perform their work</li><li>▪ We have a stronger IA team than we had in the past and I wish to convey my congratulations for their efforts.</li></ul>	<ul style="list-style-type: none"><li>▪ Focus the work plan -- current plan tackles too many subjects</li><li>▪ Training on the role of audit and audit processes for MAC would help with understanding and help reinforce IA independence</li><li>▪ Increased subject matter expertise – particularly in the Information Technology and Investment areas</li><li>▪ Audits take too long to complete</li><li>▪ Continue to help with Enterprise Risk Management</li><li>▪ Training on the role of audit and audit processes for MAC</li><li>▪ Focus on benefits processes</li><li>▪ Improve quality assurance review at the supervisory and management level is often poorly executed</li><li>▪ Provide interim status reporting when projects lag or take too long</li><li>▪ Consider teaming better with management and utilizing the new “Data Lake/Warehouse” to speed up data requests and ease the burden on management.</li></ul>



# Stakeholder Feedback

## SURVEY RESULTS (SUMMARY)



“Client” represents the weighted average of all stakeholder respondents.

“Universe” represents the weighted average of all respondents from all organizations that completed this survey since May 2013.

4.00 = Strongly Agree | 3.00 = Agree | 2.00 = Disagree | 1.00 = Strongly Disagree | 0.00 = Do Not Know/No Response

# Stakeholder Feedback

## SURVEY RESULTS (DETAIL)

Internal Audit Governance	1	2	3	4	AVG	UNV
IA activity personnel respect the value and ownership of information they receive and do not disclose information without appropriate authority unless there is a legal or professional obligation to do so.	4.00	3.33	3.25	3.25	3.33	3.70
IA activity personnel exhibit the highest level of professional objectivity in performing their work, making a balanced assessment of all relevant circumstances and are not unduly influenced by their own interests or by others in forming judgments.	4.00	3.00	2.75	3.00	3.00	3.47
The IA activity is perceived as adding value and helping our organization accomplish its objectives.	3.00	3.00	3.25	3.40	3.21	3.35
The integrity of the IA activity establishes confidence, providing the basis for their role as trusted advisor within our organization.	4.00	3.33	3.25	3.00	3.23	3.43
Organizational placement of the IA activity ensures its independence and ability to fulfill its responsibilities.	4.00	3.25	3.00	3.25	3.23	3.56
IA activity personnel have free and unrestricted access to records, information, locations, and employees during the performance of their engagements.	4.00	3.33	3.00	3.25	3.25	3.62

### LEGEND

- Group 1 = LACERA Chief Audit Executive (1 of 1 respondents)
- Group 2 = LACERA Audit Committee & Consultant (4 of 8 respondents)
- Group 3 = LACERA Senior Executive Team (4 of 6 respondents)
- Group 4 = LACERA Division Management (5 of 12 respondents)

“AVG” represents the weighted average of all respondents for all stakeholders.

“UNV” represents the weighted average of all respondents from all organizations that completed this survey since May 2013.

4.00 = Strongly Agree | 3.00 = Agree | 2.00 = Disagree | 1.00 = Strongly Disagree | 0.00 = Do Not Know/No Response



# Stakeholder Feedback

## SURVEY RESULTS (DETAIL)

Internal Audit Staff	1	2	3	4	AVG	UNV
IA activity staff and management communicate effectively (oral, written, and presentations).	3.00	3.00	3.50	3.20	3.21	3.34
IA activity staff and management keep up to date with changes in my business, our industry and relevant regulatory issues.	4.00	3.00	3.00	3.00	3.13	3.22
IA activity staff display adequate knowledge of my business processes including critical success factors.	3.00	3.25	2.75	3.00	3.00	3.14
IA activity staff exhibit effective problem identification and solution skills.	3.00	3.25	3.00	3.00	3.08	3.23
IA activity management demonstrate effective conflict resolution and negotiating skills.	3.00	3.33	3.25	2.50	3.10	3.27
The IA activity is viewed as viable source of talented individuals who could successfully transfer to other parts of our organization.	4.00	3.00	3.25	3.00	3.18	3.19

### LEGEND

Group 1 = LACERA Chief Audit Executive (1 of 1 respondents)

Group 2 = LACERA Audit Committee & Consultant (4 of 8 respondents)

Group 3 = LACERA Senior Executive Team (4 of 6 respondents)

Group 4 = LACERA Division Management (5 of 12 respondents)

"AVG" represents the weighted average of all respondents for all stakeholders.

"UNV" represents the weighted average of all respondents from all organizations that completed this survey since May 2013.

4.00 = Strongly Agree | 3.00 = Agree | 2.00 = Disagree | 1.00 = Strongly Disagree | 0.00 = Do Not Know/No Response



# Stakeholder Feedback

## SURVEY RESULTS (DETAIL)

Internal Audit Management	1	2	3	4	AVG	UNV
Internal audit activity management communicates effectively (oral, written, and presentations).	3.00	3.00	3.50	3.20	3.23	3.37
Internal audit activity management keeps up to date with changes in my business, our industry, and relevant regulatory issues.	4.00	3.00	3.33	2.50	3.13	3.23
The IA activity establishes annual audit plans to assess areas or topics that are significant to our organization and consistent with our organizational goals.	4.00	3.67	3.50	3.00	3.38	3.44
The IA activity sufficiently communicates its audit plans to management of areas being reviewed. This includes descriptions of audit objectives and scope of review.	4.00	3.67	3.50	3.00	3.38	3.42
The IA activity effectively promotes appropriate ethics and values within our organization.	3.00	3.33	3.50	3.20	3.31	3.55
The IA activity adequately assesses the effectiveness of risk management processes employed by management to achieve objectives.	3.00	3.00	3.00	2.80	2.92	3.33

### LEGEND

- Group 1 = LACERA Chief Audit Executive (1 of 1 respondents)
- Group 2 = LACERA Audit Committee & Consultant (4 of 8 respondents)
- Group 3 = LACERA Senior Executive Team (4 of 6 respondents)
- Group 4 = LACERA Division Management (5 of 12 respondents)

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# Stakeholder Feedback

## SURVEY RESULTS (DETAIL)

Internal Audit Process	1	2	3	4	AVG	UNV
The IA activity competently assesses the adequacy and effectiveness of our organization's system of internal controls.	3.00	3.33	3.25	3.00	3.15	3.37
The IA activity exhibits proficient project management and organizational skills to assure the timely completion of their audit engagements.	3.00	2.50	3.25	3.00	3.00	3.30
The IA activity demonstrates sufficient knowledge of key information technology risks and controls in performing its audit engagements.	3.00	3.00	3.25	2.80	3.00	3.28
The IA activity demonstrates sufficient knowledge of fraud to identify "red flags" indicating possible fraud when planning its audit engagements.	3.00	3.33	3.00	3.00	3.09	3.40
IA activity audit reports are accurate, objective, clear, concise, constructive, complete, and timely.	3.00	2.67	3.33	2.80	2.92	3.29

### LEGEND

- Group 1 = LACERA Chief Audit Executive (1 of 1 respondents)
- Group 2 = LACERA Audit Committee & Consultant (4 of 8 respondents)
- Group 3 = LACERA Senior Executive Team (4 of 6 respondents)
- Group 4 = LACERA Division Management (5 of 12 respondents)

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# Stakeholder Feedback

## INTERNAL AUDIT MANAGEMENT AND STAFF SURVEY RESULTS (DETAIL)

Internal Audit Governance	1	2	AVG	UNV
Our internal audit activity is perceived as adding value and helping our organization accomplish its objectives.	3.00	3.00	3.00	3.47
Our internal audit activity personnel have free and unrestricted access to records, information, locations, and employees during the performance of their engagements.	4.00	3.25	3.33	3.33
My chief audit executive effectively promotes the value of our internal audit activity within our organization.	3.00	3.00	3.00	3.64
Our internal audit activity staff is fully aware of, and completely conforms with, both the Principles and the Rules of Conduct that comprise the Code of Ethics established by The Institute of Internal Auditors (IIA).	4.00	3.38	3.44	3.59
Our internal audit activity staff is fully aware of, and completely conforms with, The IIA's International Standards for the Professional Practice of Internal Auditing (Standards) relating to objectivity and due professional care and the Code of Ethics.	3.00	3.50	3.44	3.56
Our internal audit activity has a conflict-of-interest policy to report any perceived or actual issues that may have an influence on the independence and objectivity of the auditors.	4.00	3.38	3.44	3.53

### LEGEND

Group 1 = CAE (1 of 1 respondent)

Group 2 = Internal Audit Department (8 of 8 respondents)

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# Stakeholder Feedback

## INTERNAL AUDIT MANAGEMENT AND STAFF SURVEY RESULTS (DETAIL)

Internal Audit Staff	1	2	AVG	UNV
IA activity staff and management communicate effectively (oral, written, and presentations).	4.00	3.50	3.56	3.43
Our audit assignments provide internal audit activity staff with opportunities to develop adequate knowledge of key business processes, including critical success factors.	4.00	3.38	3.44	3.46
I have sufficient knowledge of key IT risks and controls to perform my audit engagements.	3.00	3.00	3.00	3.20
I have sufficient knowledge of fraud to identify “red flags” indicating possible fraud when planning my audit engagements.	3.00	3.25	3.22	3.36
Our internal audit activity management provides me with ample opportunities to develop the skills and knowledge necessary to perform all of my audit engagements.	4.00	3.50	3.56	3.41
Our internal audit activity management provides me with ample opportunities to develop skills and knowledge and acquire experience that enable me to develop professionally and advance my career.	4.00	3.38	3.44	3.38
I have ample opportunity to enhance my knowledge, skills, and competencies through in-house training sessions and/or outside seminars.	4.00	3.63	3.67	3.39
My performance is reviewed on a regular and sufficiently frequent basis, the criteria used are adequate, and the reviews are meaningful and helpful.	3.00	3.13	3.11	3.32
Our internal audit activity management encourages and supports internal audit activity staff in demonstrating its proficiency by obtaining appropriate professional certifications such as designations offered by The IIA or other designations related to internal auditing.	4.00	3.63	3.67	3.54
Our internal audit activity is viewed as a valuable developmental assignment by individuals from other parts of our organization.	4.00	3.17	3.29	3.26

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# Stakeholder Feedback

## INTERNAL AUDIT MANAGEMENT AND STAFF SURVEY RESULTS (DETAIL)

Internal Audit Staff	1	2	AVG	UNV
Our internal audit activity management has established policies and procedures that clearly guide the operation of our internal audit activity.	3.00	3.00	3.00	3.49
Our internal audit activity actively encourages collaborative effort between internal audit management and staff to effectively complete our engagements in a timely manner.	3.00	3.25	3.22	3.47
Our internal audit activity competently assesses the adequacy and effectiveness of our organization's system of internal controls.	3.00	3.29	3.25	3.49
Our internal audit activity adequately assesses the effectiveness of risk management processes employed by management to achieve our organization's objectives.	3.00	3.33	3.29	3.40
Our internal audit activity effectively promotes appropriate ethics and values broadly across our total organization.	3.00	3.25	3.22	3.57
Our internal audit activity adequately assesses the effectiveness of governance processes, including ethics-related programs and activities.	3.00	2.83	2.86	3.42

### LEGEND

Group 1 = CAE (1 of 1 respondent)

Group 2 = Internal Audit Department (8 of 8 respondents)

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4.00 = Strongly Agree | 3.00 = Agree | 2.00 = Disagree | 1.00 = Strongly Disagree | 0.00 = Do Not Know/No Response





# Stakeholder Feedback

## INTERNAL AUDIT MANAGEMENT AND STAFF SURVEY RESULTS (DETAIL)

Internal Audit Staff	1	2	AVG	UNV
Our internal audit activity develops and documents a plan for each engagement based on a preliminary assessment of risks relevant to the area being reviewed (including the probability of fraud), and our engagement objectives reflect the result of this risk assessment.	3.00	3.13	3.11	3.54
Our internal audit activity uses computer-assisted audit techniques, including data mining, to facilitate data collection and analysis during completion of our engagements.	4.00	3.00	3.11	3.17
I receive appropriate, timely, and constructive feedback regarding my performance in completing engagements, enabling me to continue developing my knowledge, skills, and competencies.	3.00	3.25	3.22	3.29
Our internal audit activity management and staff exhibit proficient project management and organizational skills to assure the timely completion of our audit engagements.	3.00	2.75	2.78	3.29
Our internal audit activity management and staff demonstrate effective conflict resolution and negotiating skills.	3.00	3.14	3.13	3.35

### LEGEND

Group 1 = CAE (1 of 1 respondent)

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**IIA Quality Services**

All information included in this report is proprietary and confidential and is intended for internal use only. This report may not be distributed to any other third-party (other than your regulator, Audit Committee, or external auditor) without the prior written consent of IIA Quality Services, LLC.

IIA Quality Services, LLC | 1035 Greenwood Boulevard, Suite 401, Lake Mary, FL 32746 | [www.theiia.org/quality](http://www.theiia.org/quality) | [quality@theiia.org](mailto:quality@theiia.org)

ATTACHMENT B

# Los Angeles County Employees Retirement Association (LACERA) Internal Audit

Audit Committee Briefing  
March 23, 2022



IIA  
Quality  
Services, LLC

# Agenda

- Quality Assessment Coverage
- Results
- Assessment Criteria
- Overall Assessment

# Quality Assessment Coverage

- Internal Audit's Reporting Lines and Quality Assurance
- Organization of Audit Services
- Risk Assessment and Engagement Planning
- Follow-up Process
- Staff Professional Proficiency
- Information Technology
- Production and Value Added
- Limited Review of Selected Work Papers and Reports
- Client & IA Staff Surveys
- Interviews with the Audit Committee Chair and Members
- Interview with CEO
- Interviews with Executive & Senior Management
- Interviews with Audit Staff
- Interview with the External Auditor

# Results

- Six Successful Practices
- Five Conformance Gaps
- Eight Improvement Opportunities

# Successful Practices

1. 1220 – Due Professional Care - Well-credentialed staff
2. 1312 External Assessments - A commitment to quality is demonstrated by engaging this external assessment
3. 2330 - Documenting Information - Use of TeamMate for Audit Planning and Working Papers
4. 2120 – Risk Management - Robust annual risk assessment and planning effort prior to developing their annual plan
5. Leading Practice – Engaged Management and Audit Committee
6. Leading Practice – Sharing risk intelligence and benchmarking thought-leadership

# Conformance Gaps

1. 1311 Internal Assessments
  - Establish meaningful KPIs and measurable goals
  - Document Internal Periodic Assessment work performed
2. 1312 External Assessments
  - Assure an EQA is conducted at least every 5 years
3. 1321/2430 - Use of “Conforms with the International Standards...”
  - Refrain from using Conformance Statement without external quality assessment confirming conformance
4. 2330 Documenting Information
  - Improve the quality and consistency of engagement working papers
5. 2340 Engagement Supervision
  - Establish timelines for what constitutes timely supervisory review in the Internal Audit operating manual
  - Ensure supervision is timely and contemporaneously documented in TM during audits
  - Include an evaluation of timely supervisory sign-off in their periodic internal quality assessments.



# Improvement Opportunities

1. 1110 Organizational Independence - Strengthen internal audit's independence by updating the LACERA organization chart that is publicly facing to reflect a solid (functional) reporting line to the Audit Committee
2. 1210 Proficiency – Enhance competencies and continue their professional development
3. 1110 Independence and Objectivity - Create ongoing systems and structures that ensure independence regardless of the unbiased nature of individuals, would strengthen independence and potentially mitigate any undue influence that might present itself in the future, either in perception or fact
4. 2120.A1 Fraud Risk – Enhance documentation of the engagement process

# Improvement Opportunities

5. 2000 Managing the Internal Audit Activity - Create a strategic plan to identify and address strategic initiatives
6. 2010 Planning - Establish a realistic and achievable risk-based annual audit plan
7. 2040 Policies and Procedures - Stabilize Internal Audit process changes, update and fully centralize departmental policies and procedures
8. 2050 Coordination and Reliance - Coordinate and create an assurance map

# Assessment Criteria

## **GC – “GENERALLY CONFORMS”**

The evaluator has concluded that the relevant structures, policies and procedures of the activity, as well as the processes by which they are applied, comply with the requirements of the individual Standard or element of the Code of Ethics in all material respects.

## **PC – “PARTIALLY CONFORMS”**

The evaluator has concluded that the activity is making good-faith efforts to comply with the requirements of the individual Standard or element of the Code of Ethics, section or major category, but has fallen short of achieving some of their major objectives.

## **DNC – “DOES NOT CONFORM”**

The evaluator has concluded that the activity is not aware of, is not making good-faith efforts to comply with or is failing to achieve many/all of the objectives of the individual Standard or element of the Code of Ethics, section or major category.

# Conformance Summary

- STANDARDS AND THE IIA CODE OF ETHICS

		GC	PC	DNC
<b>ATTRIBUTE STANDARDS</b>				
<b>1000 - Purpose, Authority, and Responsibility</b>		x		
1010	Recognizing Mandatory Guidance in the Internal Audit Charter	x		
<b>1100 - Independence and Objectivity</b>		x		
1110	Organizational Independence	x		
1111	Direct Interaction with the Board	x		
1112	Chief Audit Executive Roles Beyond Internal Auditing	x		
1120	Individual Objectivity	x		
1130	Impairments to Independence or Objectivity	x		
<b>1200 - Proficiency and Due Professional Care</b>		x		
1210	Proficiency	x		
1220	Due Professional Care	x		
1230	Continuing Professional Development	x		
<b>1300 - Quality Assurance and Improvement Program (QAIP)</b>		x		
1310	Requirements of the QAIP	x		
1311	Internal Assessments		x	
1312	External Assessments		x	
1320	Reporting on the QAIP	x		
1321	Use of "Conforms with the International Standards for the Professional Practice of Internal Auditing" Statement		x	
1322	Disclosure of Nonconformance	x		
<b>PERFORMANCE STANDARDS</b>				
<b>2000 - Managing the Internal Audit Activity</b>		x		
2010	Planning	x		
2020	Communication and Approval	x		
2030	Resource Management	x		
2040	Policies and Procedures	x		
2050	Coordination and Reliance	x		
2060	Reporting to Senior Management and the Board	x		
2070	External Service Provider and Organizational Responsibility for Internal Auditing	x		

		GC	PC	DNC
<b>PERFORMANCE STANDARDS (CONTINUED)</b>				
<b>2100 - Nature of Work</b>		x		
2110	Governance	x		
2120	Risk Management	x		
2130	Control	x		
<b>2200 - Engagement Planning</b>		x		
2201	Planning Considerations	x		
2210	Engagement Objectives	x		
2220	Engagement Scope	x		
2230	Engagement Resource Allocation	x		
2240	Engagement Work Programs	x		
<b>2300 - Performing the Engagement</b>		x		
2310	Identifying Information	x		
2320	Analysis and Evaluation	x		
2330	Documenting Information		x	
2340	Engagement Supervision		x	
<b>2400 - Communicating Results</b>		x		
2410	Criteria for Communicating	x		
2420	Quality of Communications	x		
2421	Errors and Omissions	x		
2430	Use of "Conducted in Conformance with the International Standards for the Professional Practice of Internal Auditing"		x	
2431	Engagement Disclosure of Nonconformance	x		
2440	Disseminating Results	x		
2450	Overall Opinions	x		
<b>2500 - Monitoring Progress</b>		x		
<b>2600 - Communicating the Acceptance of Risks</b>		x		
<b>IIA CODE OF ETHICS</b>				
Code of Ethics		x		
<b>OVERALL CONFORMANCE RATING</b>		x		

# OVERALL ASSESSMENT

Los Angeles County  
Employees Retirement Association  
Internal Audit

Generally Conforms

With the IIA Standards and Code of Ethics

# Thank You!

Steve Goodson  
[Steve.Goodson@theiia.org](mailto:Steve.Goodson@theiia.org)  
512-422-0164



*IIA*  
*Quality*  
*Services, LLC*

**FOR INFORMATION ONLY**

March 21, 2022

TO: Each Trustee  
Board of RetirementFROM: Ricki Contreras, Division Manager   
Disability Retirement Services

FOR: April 6, 2022, Board of Retirement Meeting

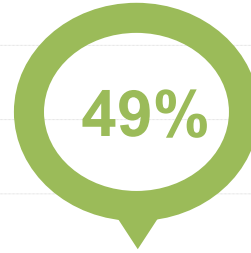
SUBJECT: **Application Processing Time Snapshot Reports**

The following chart shows the total processing time from receipt of the application to the first Board action for all cases on the April 6, 2022, Disability Retirement Applications Agenda.

<b>Consent &amp; Non-Consent Calendar</b>			
Number of Applications	45		
Average Processing Time (in Months)	13.60		
<b>Revised/Held Over Calendar</b>			
Number of Applications	3		
Processing Time Per Case (in Months)	Case 1 17	Case 2 15	Case 3 14
<b>Total Average Processing Time All <u>48</u> Cases on Agenda</b>			
13.71			

# DISABILITY RETIREMENT SERVICES

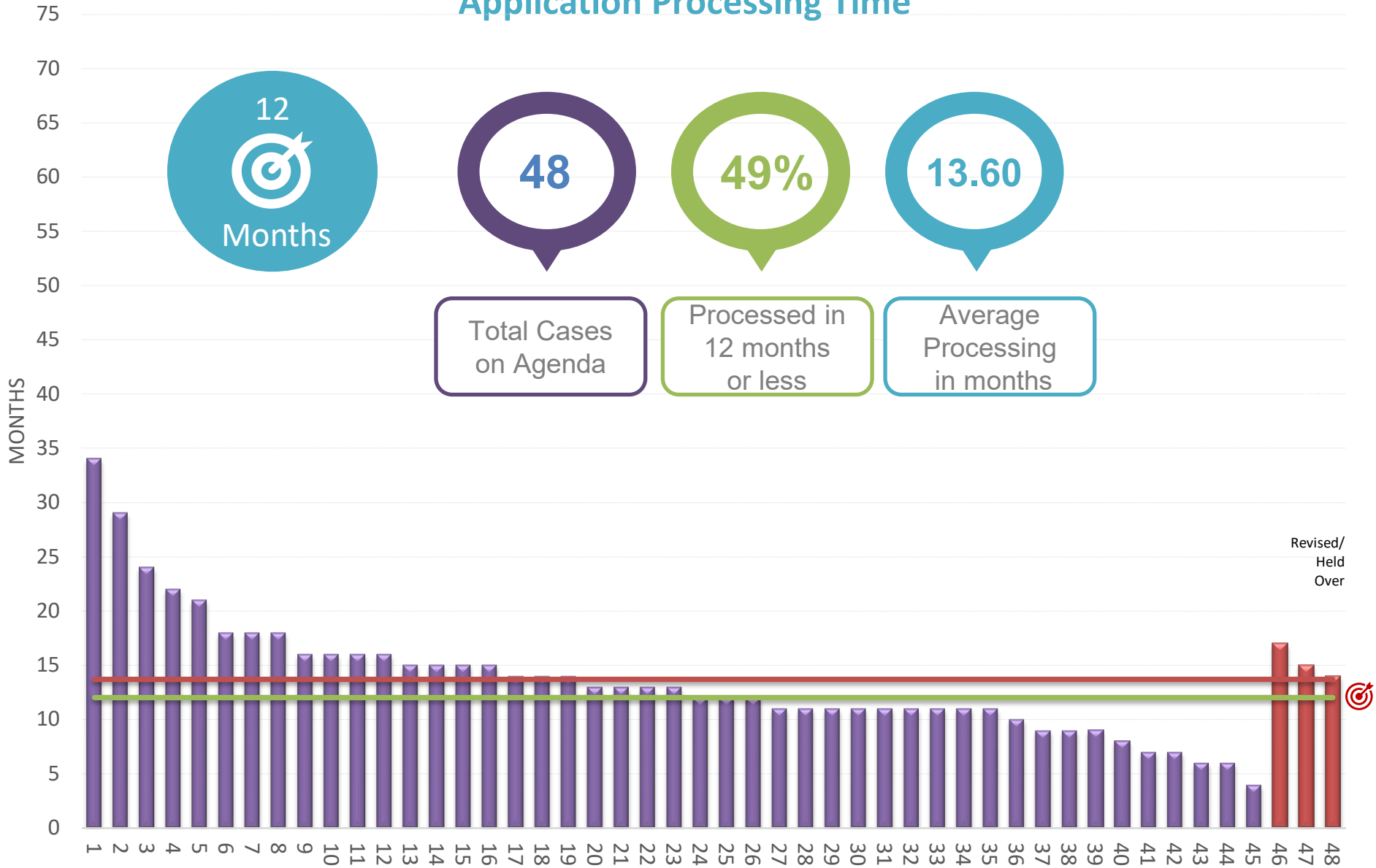
## Application Processing Time



Total Cases on Agenda

Processed in 12 months or less

Average Processing in months

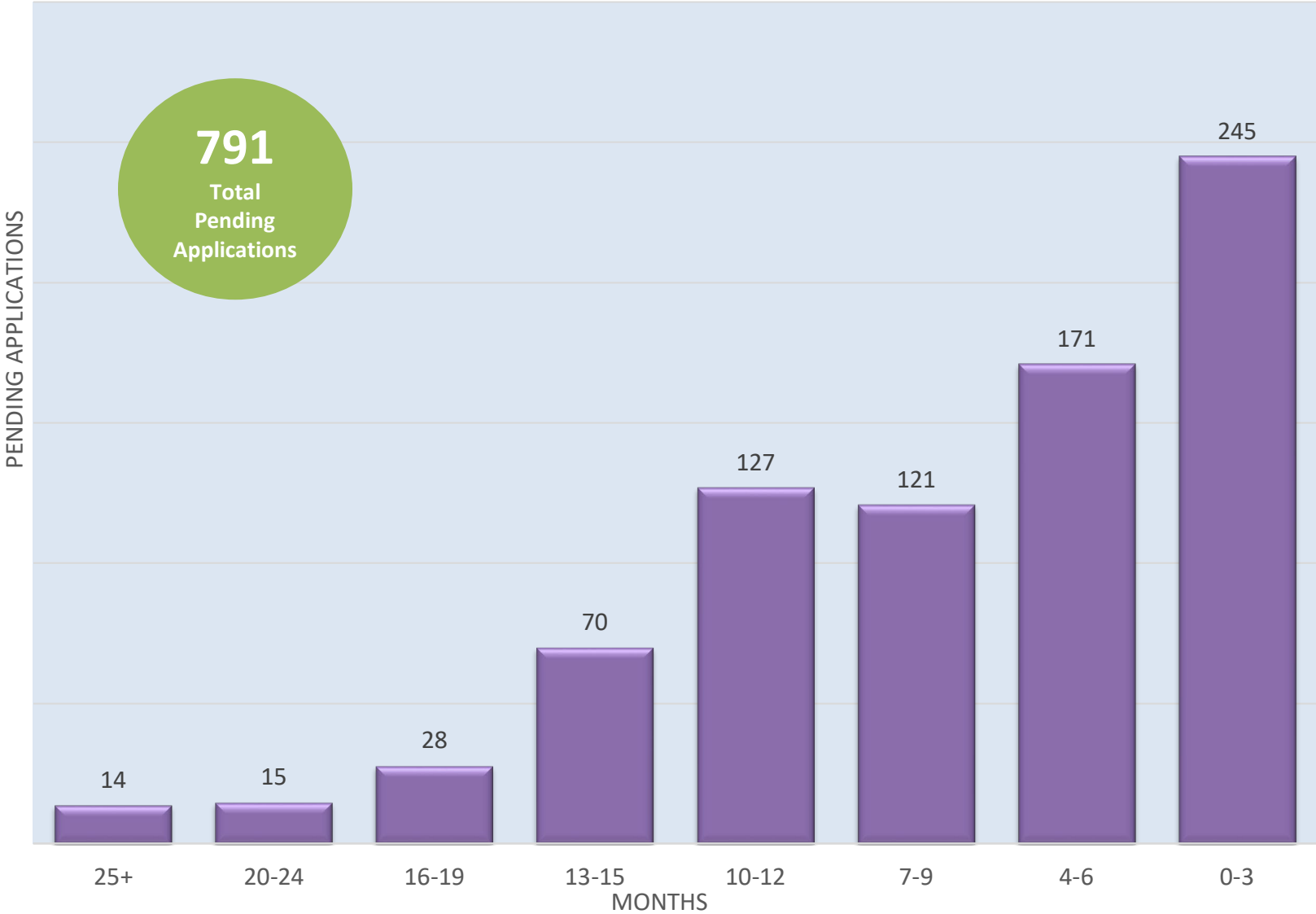


April 6, 2022 Disability Agenda



# DISABILITY RETIREMENT SERVICES

## Pending Applications/Months




As of March 21, 2022

**FOR INFORMATION ONLY**

March 24, 2022

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: April 6, 2022 Board of Retirement Meeting  
April 13, 2022 Board of Investments Meeting

SUBJECT: **Monthly Status Report on Legislation**

Attached is the monthly report on the status of legislation that staff is monitoring or on which LACERA has adopted a position. Bills from the 2021 legislative session that were enacted, vetoed, or died are no longer being tracked.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

**Attachments**

LACERA Legislative Report Index  
LACERA Legislative Report

cc: Santos H. Kreimann                      Jon Grabel  
Luis Lugo                                        Scott Zdrzil  
JJ Popowich                                    Tony Roda, Williams & Jensen  
Laura Guglielmo                            Naomi Padron, McHugh Koepke & Associates  
Steven P. Rice

LACERA Legislative Report  
 2021-22 Legislative Session  
 Status as of March 24, 2022

<b>PUBLIC RETIREMENT</b>	<b>AUTHOR</b>	<b>TITLE</b>	<b>PAGE</b>
AB 498	Quirk-Silva (D)	County Employees Retirement Compensation Earnable	1
AB 551	Rodriguez (D)	Teachers' Retirement System: Individual Plans	1
AB 826	Irwin (D)	Compensation and Compensation Earnable	1
AB 1667	Cooper (D)	State Teachers' Retirement System	1
AB 1722	Cooper (D)	Public Employees' Retirement: Safety Members	2
AB 1824	Public Employment and Retirement Cmt.	Public Employees' Retirement	2
AB 1971	Cooper (D)	County Employees Retirement Law of 1937	2
AB 2443	Cooley (D)	Public Employees' Retirement: Federal Law: Limitation	3
HR 2954	Neal (D)	Strong Retirement	3
HR 6241	Thompson M (D)	Use of Retirement Funds	3
S 1703	Grassley (R)	Retirement Plan Administration	4
S 1770	Cardin (D)	Retirement Provisions	4
<b>PUBLIC INVESTMENT</b>			
SB 457	Portantino (D)	Public Employee Retirement Systems: Investments	4
SB 1328	McGuire (D)	Investments and Contracts: Russia and Belarus	4
<b>WORKERS COMPENSATION</b>			
AB 334	Mullin (D)	Workers Compensation: Skin Cancer	5
AB 1751	Daly (D)	Workers' Compensation: COVID-19: Critical Workers	5
SB 213	Cortese (D)	Workers Compensation: Hospital Employees	5
SB 284	Stern (D)	Workers' Compensation: Firefighters and Peace Officers	5
SB 335	Cortese (D)	Workers' Compensation: Liability	6
<b>BROWN ACT</b>			
AB 1944	Lee (D)	Local Government: Open and Public Meetings	6
AB 2449	Rubio (D)	Open Meetings: Local Agencies: Teleconferences	6
AB 2647	Levine (D)	Local Government: Open Meetings	7
SB 1100	Cortese (D)	Open Meetings: Orderly Conduct	7
<b>PUBLIC RECORDS ACT</b>			
AB 343	Fong (R)	California Public Records Act Ombudsperson	7
AB 386	Cooper (D)	Public Employees Retirement: Investments: Confidential	7
<b>SOCIAL SECURITY</b>			
HR 82	Davis R (R)	Government Pension Offset Repeal	8
HR 2337	Neal (D)	Noncovered Employment	8
HR 5723	Larson (D)	Social Security System Benefits	8
S 1302	Brown S (D)	Pension Offset	9
<b>HEALTHCARE</b>			
HR 4148	Malinowski (D)	First Responders Medicare Option	9
S 2236	Brown S (D)	Medicare Buy In Option for First Responders	9

LACERA Legislative Report  
2021-22 Legislative Session  
Status as of March 24, 2022

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**PUBLIC RETIREMENT**

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CA AB 498	<p><b>AUTHOR:</b> Quirk-Silva [D]  <b>TITLE:</b> County Employees Retirement Compensation Earnable  <b>INTRODUCED:</b> 02/09/2021  <b>LAST AMEND:</b> 09/10/2021  <b>DISPOSITION:</b> Pending  <b>SUMMARY:</b>  Relates to the County Employees Retirement Law of 1937, which authorizes counties to establish retirement systems pursuant to its provisions for the purpose of providing pension, disability, and other benefits to county and district employees. Replaces the term grade with group for purposes of the definition of compensation earnable under this law. Defines group or class of positions.  <b>STATUS:</b>  09/10/2021 In SENATE. Senate Rules suspended.  09/10/2021 From SENATE Committee on APPROPRIATIONS with author's amendments.  09/10/2021 In SENATE. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.</p>
CA AB 551	<p><b>AUTHOR:</b> Rodriguez [D]  <b>TITLE:</b> Teachers' Retirement System: Individual Plans  <b>INTRODUCED:</b> 02/10/2021  <b>DISPOSITION:</b> Pending  <b>SUMMARY:</b>  Authorizes the State Teachers' Retirement System to administer an individual retirement plan as described in Section 408 of Title 26 of the United States Code. Eliminates the requirement that the administration of these plans be for the purpose of accepting a rollover from an annuity contract or custodial account offered by the system.  <b>STATUS:</b>  01/27/2022 In ASSEMBLY. Read third time. Passed ASSEMBLY. *****To SENATE. (54-13)</p>
CA AB 826	<p><b>AUTHOR:</b> Irwin [D]  <b>TITLE:</b> Compensation and Compensation Earnable  <b>INTRODUCED:</b> 02/16/2021  <b>LAST AMEND:</b> 08/31/2021  <b>DISPOSITION:</b> Pending  <b>SUMMARY:</b>  Relates to the bill, which would apply only in Ventura County. Provides that compensation and compensation earnable include flexible benefits plan allowances paid by a county or a district on behalf of its employees as part of a cafeteria plan, as specified, if certain requirements are met.  <b>STATUS:</b>  09/08/2021 In SENATE. From third reading. To Inactive File.  <b>BOR_Position:</b> Watch 09/01/2021  <b>IBLC_Recommendation:</b> Watch 08/12/2021  <b>Staff_Recommendation:</b> Watch</p>
CA AB 1667	<p><b>AUTHOR:</b> Cooper [D]</p>

**TITLE:** State Teachers' Retirement System  
**INTRODUCED:** 01/19/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

States the intent of the Legislature to enact legislation related to the State Teachers' Retirement System, including, among other things, in connection with employee liability for system errors in payments, guidance provided by the system later determined to be erroneous, changes to interpretations of creditable compensation laws by the system, and system audits.

**STATUS:**

03/24/2022 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA AB 1722

**AUTHOR:** Cooper [D]  
**TITLE:** Public Employees' Retirement: Safety Members  
**INTRODUCED:** 01/27/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

Relates to the Public Employees' Retirement Law which, until January 1, 2023, provides a state safety member of the Public Employees' Retirement System who retires for industrial disability a retirement benefit equal to the greatest amount resulting from 3 possible calculations. Deletes the termination of these provisions on January 1, 2023, thereby making them operative in perpetuity.

**STATUS:**

03/16/2022 From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT: Do pass to Committee on APPROPRIATIONS. (6-0)

CA AB 1824

**AUTHOR:** Public Employment and Retirement Cmt  
**TITLE:** Public Employees' Retirement  
**INTRODUCED:** 02/07/2022  
**LAST AMEND:** 03/07/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

Revises the description of trustee service under the State Teachers' Retirement Law to link it to the definition of this service, which means duties performed by a member of the governing body of an employer. Specifies that termination of services does not include retired member activities, as defined, or retired participant activities, as defined. Makes Cash Balance Benefit Program.

**STATUS:**

03/16/2022 From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT: Do pass to Committee on APPROPRIATIONS. (6-0)

**Comments:**

Omnibus bill containing SACRS 2022 legislative proposals.

CA AB 1971

**AUTHOR:** Cooper [D]  
**TITLE:** County Employees Retirement Law of 1937  
**INTRODUCED:** 02/10/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

Specifies other provisions with which regulations of the County Employees Retirement Law of 1937 are required to be consistent and would remove the

requirement of approval by the board of supervisors as a necessary condition for them to become effective.

**STATUS:**

02/18/2022 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

**Comments:**

Omnibus bill containing SACRS 2022 legislative proposals.

CA AB 2443

**AUTHOR:** Cooley [D]  
**TITLE:** Public Employees' Retirement: Federal Law: Limitation  
**INTRODUCED:** 02/17/2022  
**LAST AMEND:** 03/17/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

Prescribes the method by which benefits are to be reduced when federal law requires aggregation of benefits from different plans maintained by the same employer and federal limits on benefits are reached.

**STATUS:**

03/17/2022 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

03/17/2022 From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments.

03/17/2022 In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

**Comments:**

This is a spot bill.

US HR 2954

**SPONSOR:** Neal [D]  
**TITLE:** Strong Retirement  
**INTRODUCED:** 05/04/2021  
**DISPOSITION:** Pending  
**SUMMARY:**

Secures a Strong Retirement Act of 2021.

**STATUS:**

05/04/2021 INTRODUCED.

05/04/2021 To HOUSE Committee on WAYS AND MEANS.

05/04/2021 To HOUSE Committee on FINANCIAL SERVICES.

05/04/2021 To HOUSE Committee on EDUCATION AND LABOR.

**Comments:**

Would gradually raise the age for mandatory distributions to age 75 by 2032.

US HR 6241

**SPONSOR:** Thompson M [D]  
**TITLE:** Use of Retirement Funds  
**INTRODUCED:** 12/09/2021  
**DISPOSITION:** Pending  
**SUMMARY:**

Amends the Internal Revenue Code of 1986 to provide for rules for the use of retirement funds in connection with federally declared disasters.

**STATUS:**

12/09/2021 INTRODUCED.

12/09/2021 To HOUSE Committee on WAYS AND MEANS.

US S 1703      **SPONSOR:**                      Grassley [R]  
**TITLE:**                                      Retirement Plan Administration  
**INTRODUCED:**                      05/19/2021  
**DISPOSITION:**                      Pending  
**SUMMARY:**  
Amends the Internal Revenue Code of 1986 to increase retirement savings, to improve retirement plan administration.  
**STATUS:**  
05/19/2021                      INTRODUCED.  
05/19/2021                      In SENATE.    Read second time.  
05/19/2021                      To SENATE Committee on FINANCE.

US S 1770      **SPONSOR:**                      Cardin [D]  
**TITLE:**                                      Retirement Provisions  
**INTRODUCED:**                      05/20/2021  
**DISPOSITION:**                      Pending  
**SUMMARY:**  
Amends the Internal Revenue Code of 1986 to reform retirement provisions.  
**STATUS:**  
05/20/2021                      INTRODUCED.  
05/20/2021                      In SENATE.    Read second time.  
05/20/2021                      To SENATE Committee on FINANCE.

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**PUBLIC INVESTMENT**

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CA SB 457      **AUTHOR:**                      Portantino [D]  
**TITLE:**                                      Public Employee Retirement Systems: Investments  
**INTRODUCED:**                      02/16/2021  
**DISPOSITION:**                      Pending  
**SUMMARY:**  
Requires the boards of administration of the Public Employees Retirement System and the State Teachers Retirement System to provide employers that are school districts and cities that participate in the systems an option to elect an investment portfolio that does not contain investment vehicles that are issued or owned by the government of the Republic of Turkey.  
**STATUS:**  
05/28/2021                      To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA SB 1328      **AUTHOR:**                      McGuire [D]  
**TITLE:**                                      Investments and Contracts: Russia and Belarus  
**INTRODUCED:**                      02/18/2022  
**LAST AMEND:**                      03/23/2022  
**DISPOSITION:**                      Pending  
**SUMMARY:**  
Prohibits the boards of specified state and local public retirement systems from investing public employee retirement funds in a company with business operations in Russia or Belarus or a company that supplies military equipment to Russia or Belarus, as defined. Requires those boards to contract with a research firm or firms to determine those companies with business operations in those countries, and to conduct their own review of companies with business operations in those countries, as specified.  
**STATUS:**  
03/23/2022                      In SENATE.    Read second time and amended. Re-referred

to Committee on GOVERNMENTAL ORGANIZATION.

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**WORKERS COMPENSATION**

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CA AB 334	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Mullin [D] Workers Compensation: Skin Cancer 01/27/2021 Pending Relates to existing law which provides that skin cancer developing in active lifeguards, for purposes of workers' compensation, is presumed to arise out of and in the course of employment, unless the presumption is rebutted. Expands the scope of this provision to certain peace officers of the Department of Fish and Wildlife and the Department of Parks and Recreation.
	<b>STATUS:</b>	
	09/10/2021	In SENATE. From third reading. To Inactive File.
CA AB 1751	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Daly [D] Workers' Compensation: COVID-19: Critical Workers 02/01/2022 Pending Extends specified workers' compensation provisions relating to COVID-19 until January 1, 2025.
	<b>STATUS:</b>	
	02/10/2022	To ASSEMBLY Committee on INSURANCE.
CA SB 213	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Cortese [D] Workers Compensation: Hospital Employees 01/12/2021 01/25/2022 Pending Defines injury for a hospital employee who provides direct patient care in an acute care hospital, to include infectious diseases, cancer, musculoskeletal injuries, post traumatic stress disorder, and respiratory diseases. Includes the novel coronavirus 2019, among other conditions, in the definitions of infectious and respiratory diseases. Creates rebuttable presumptions that these injuries that develop or manifest in a hospital employee who provides direct patient care in an acute care hospital.
	<b>STATUS:</b>	
	01/31/2022	In SENATE. Read third time. Passed SENATE. *****To ASSEMBLY. (21-9)
CA SB 284	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>DISPOSITION:</b> <b>SUMMARY:</b>	Stern [D] Workers' Compensation: Firefighters and Peace Officers 02/01/2021 08/30/2021 Pending Relates to existing Law which provides that injury includes post-traumatic stress that develops during a period in which the injured person is in the service of the department or unit. Makes that provision applicable to active firefighting members of the State Department of State Hospitals, the State Department of



Developmental Services, and the Military Department, and the Department of Veterans Affairs, including security officers of the Department of Justice when performing assigned duties.

**STATUS:**

08/30/2021 In ASSEMBLY. Read second time and amended. To second reading.

08/30/2021 In ASSEMBLY. To Inactive File.

CA SB 335

**AUTHOR:** Cortese [D]  
**TITLE:** Workers' Compensation: Liability  
**INTRODUCED:** 02/08/2021  
**LAST AMEND:** 03/10/2021  
**DISPOSITION:** Pending  
**SUMMARY:**

Reduces the time periods after the date the claim form is filed with an employer in which the injury is presumed compensable and the presumption is rebuttable only by evidence discovered subsequent to the time period for certain injuries or illnesses, including hernia, heart trouble, pneumonia, or tuberculosis, among others, sustained in the course of employment of a specified member of law enforcement or a specified first responder.

**STATUS:**

07/13/2021 In ASSEMBLY Committee on INSURANCE: Failed passage.

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**BROWN ACT**

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CA AB 1944

**AUTHOR:** Lee [D]  
**TITLE:** Local Government: Open and Public Meetings  
**INTRODUCED:** 02/10/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

Specifies that if a member of a legislative body of a local agency elects to teleconference from a location that is not public, the address does not need to be identified in the notice and agenda or be accessible to the public when the legislative body has elected to allow members to participate via teleconferencing. Requires all open and public meetings of a legislative body that elects to use teleconferencing to provide a video stream accessible to members of the public.

**STATUS:**

02/18/2022 To ASSEMBLY Committee on LOCAL GOVERNMENT.

CA AB 2449

**AUTHOR:** Rubio [D]  
**TITLE:** Open Meetings: Local Agencies: Teleconferences  
**INTRODUCED:** 02/17/2022  
**DISPOSITION:** Pending  
**SUMMARY:**

Authorizes a local agency to use teleconferencing without complying with specified teleconferencing requirements if at least a quorum of the members of the legislative body participates in person from a singular location clearly identified on the agenda that is open to the public and situated within the local agency's jurisdiction. The bill would impose prescribed requirements for this exception relating to notice, agendas, the means and manner of access, and procedures for disruptions.

**STATUS:**

03/03/2022 To ASSEMBLY Committee on LOCAL GOVERNMENT.

CA AB 2647	<b>AUTHOR:</b> Levine [D] <b>TITLE:</b> Local Government: Open Meetings <b>INTRODUCED:</b> 02/18/2022 <b>DISPOSITION:</b> Pending <b>SUMMARY:</b> Requires a local agency to make writings and agendas of public meetings distributed to the members of the governing board available for public inspection at a public office or location that the agency designates or post the writings on the local agency's internet website in a position and manner that makes it clear that the writing relates to an agenda item for an upcoming meeting. <b>STATUS:</b> 03/10/2022 To ASSEMBLY Committees on LOCAL GOVERNMENT and JUDICIARY.
CA SB 1100	<b>AUTHOR:</b> Cortese [D] <b>TITLE:</b> Open Meetings: Orderly Conduct <b>INTRODUCED:</b> 02/16/2022 <b>LAST AMEND:</b> 03/21/2022 <b>DISPOSITION:</b> Pending <b>SUMMARY:</b> Authorizes the presiding member of the legislative body conducting a meeting to remove an individual for willfully interrupting the meeting. Requires removal to be preceded by a warning by the presiding member of the legislative body that the individual is disrupting the proceedings, a request that the individual curtail their disruptive behavior or be subject to removal, and a reasonable opportunity to cease the disruptive behavior. <b>STATUS:</b> 03/21/2022 In SENATE. Read second time and amended. Re-referred to Committee on JUDICIARY.

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**PUBLIC RECORDS ACT**

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CA AB 343	<b>AUTHOR:</b> Fong [R] <b>TITLE:</b> California Public Records Act Ombudsperson <b>INTRODUCED:</b> 01/28/2021 <b>LAST AMEND:</b> 05/24/2021 <b>DISPOSITION:</b> Pending <b>SUMMARY:</b> Establishes, within the California State Auditor's Office, the California Public Records Act Ombudsperson. Requires the California State Auditor to appoint the Ombudsperson subject to certain requirements. Requires the Ombudsperson to receive and investigate requests for review, determine whether the denials of original requests complied with the California Public Records Act, and issue written opinions of its determination. <b>STATUS:</b> 06/09/2021 To SENATE Committees on JUDICIARY and GOVERNMENTAL ORGANIZATION.
CA AB 386	<b>AUTHOR:</b> Cooper [D] <b>TITLE:</b> Public Employees Retirement: Investments: Confidential <b>INTRODUCED:</b> 02/02/2021 <b>LAST AMEND:</b> 06/29/2021

**DISPOSITION:** Pending

**SUMMARY:**

Exempts from disclosure under the California Public Records Act specified records regarding an internally managed private loan made directly by the Public Employees' Retirement Fund. Provides that these records would include quarterly and annual financial statements of the borrower or its constituent owners, unless the information has already been publicly released by the keeper of the information. Prescribes specified exceptions to this exemption from disclosure.

**STATUS:**

07/13/2021 In SENATE Committee on JUDICIARY: Failed passage.

07/13/2021 In SENATE Committee on JUDICIARY: Reconsideration granted.

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**SOCIAL SECURITY**

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US HR 82

**SPONSOR:** Davis R [R]

**TITLE:** Government Pension Offset Repeal

**INTRODUCED:** 01/04/2021

**DISPOSITION:** Pending

**SUMMARY:**

Amends the Social Security Act; repeals the Government pension offset and windfall elimination provisions.

**STATUS:**

01/04/2021 INTRODUCED.

01/04/2021 To HOUSE Committee on WAYS AND MEANS.

**BOR\_Position:** Support 05/05/2021

**IBLC\_Recommendation:** Support 04/15/2021

**Staff\_Recommendation:** Support

US HR 2337

**SPONSOR:** Neal [D]

**TITLE:** Noncovered Employment

**INTRODUCED:** 04/01/2021

**DISPOSITION:** Pending

**SUMMARY:**

Amends Title II of the Social Security Act to provide an equitable Social Security formula for individuals with noncovered employment and to provide relief for individuals currently affected by the Windfall Elimination Provision.

**STATUS:**

04/01/2021 INTRODUCED.

04/01/2021 To HOUSE Committee on WAYS AND MEANS.

**BOR\_Position:** Support 09/01/2021

**IBLC\_Recommendation:** Support 08/12/2021

**Staff\_Recommendation:** Support

US HR 5723

**SPONSOR:** Larson [D]

**TITLE:** Social Security System Benefits

**INTRODUCED:** 10/26/2021

**DISPOSITION:** Pending

**SUMMARY:**

Protects our Social Security system and improve benefits for current and future generations.

**STATUS:**

10/26/2021 In HOUSE Committee on WAYS AND MEANS: Referred to

Subcommittee on SOCIAL SECURITY.

US S 1302

**SPONSOR:** Brown S [D]  
**TITLE:** Pension Offset  
**INTRODUCED:** 04/22/2021  
**DISPOSITION:** Pending  
**SUMMARY:**

Amends Title II of the Social Security Act to repeal the government pension offset and windfall elimination provisions.

**STATUS:**

04/22/2021 INTRODUCED.  
04/22/2021 In SENATE. Read second time.  
04/22/2021 To SENATE Committee on FINANCE.  
**BOR\_Position:** Support 09/01/2021  
**IBLC\_Recommendation:** Support 08/12/2021  
**Staff\_Recommendation:** Support

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**HEALTHCARE**

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US HR 4148

**SPONSOR:** Malinowski [D]  
**TITLE:** First Responders Medicare Option  
**INTRODUCED:** 06/24/2021  
**DISPOSITION:** Pending  
**SUMMARY:**

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

**STATUS:**

06/24/2021 INTRODUCED.  
06/24/2021 To HOUSE Committee on WAYS AND MEANS.  
06/24/2021 To HOUSE Committee on ENERGY AND COMMERCE.  
06/25/2021 In HOUSE Committee on ENERGY AND COMMERCE:  
Referred to Subcommittee on HEALTH.

US S 2236

**SPONSOR:** Brown S [D]  
**TITLE:** Medicare Buy In Option for First Responders  
**INTRODUCED:** 06/24/2021  
**DISPOSITION:** Pending  
**SUMMARY:**

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

**STATUS:**

06/24/2021 INTRODUCED.  
06/24/2021 In SENATE. Read second time.  
06/24/2021 To SENATE Committee on FINANCE.

**FOR INFORMATION ONLY**

March 16, 2022

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Ted Granger *TG*  
Interim Chief Financial Officer

FOR: April 6, 2022 Board of Retirement Meeting  
April 13, 2022 Board of Investments Meeting

SUBJECT: **MONTHLY TRAVEL & EDUCATION REPORT – FEBRUARY 2022**

Attached for your review is the Trustee Travel & Education Report. This report includes all events (i.e., attended and canceled) from the beginning of the fiscal year through February 2022. Staff travel and education has been omitted from this document and reported to the Chief Executive Officer separately.

REVIEWED AND APPROVED:

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Santos H. Kreimann  
Chief Executive Officer

TG/EW/gj

Attachments

c: L. Lugo  
J. Popowich  
L. Guglielmo  
J. Grabel  
S. Rice  
R. Van Nortrick

**TRUSTEE TRAVEL AND EDUCATION REPORT  
FOR FISCAL YEAR 2021 - 2022  
FEBRUARY 2022**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
<b>Alan Bernstein</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
	2 Edu - 2021 SuperReturn North America - Boston, MA	10/04/2021 - 10/05/2021	Attended
	3 Edu - Latin America US Real Estate Meeting - South Beach FL	11/29/2021 - 11/30/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
X	- Edu - SuperReturn International Berlin - Berlin, Germany	11/09/2021 - 11/12/2021	Canceled
<b>Vivian Gray</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Admin - SACRS Board of Directors Meeting - Rancho Mirage CA	12/14/2021 - 12/14/2021	Attended
	- Admin - SACRS Board of Directors and Program Committee Meeting - Berkeley CA	01/24/2022 - 01/25/2022	Attended
V	- Edu - Congressional Black Caucus Foundation - VIRTUAL	09/12/2021 - 09/17/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - NASP 32nd Annual Financial Services Virtual Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - New America Alliance: U.S. Economic Recovery - VIRTUAL	11/10/2021 - 11/10/2021	Attended
	- Edu - CFA Society of Los Angeles - Diversity, Equity, and Inclusion Virtual Conference - VIRTUAL	02/10/2022 - 02/10/2022	Attended
<b>David Green</b>			
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Edu - 2022 PPI Winter Roundtable - Westlake Village CA	02/23/2022 - 02/25/2022	Attended
X	- Edu - 2021 CII Fall Conference - Chicago, IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Elizabeth Greenwood</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
<b>James Harris</b>			
B	- Edu - CRCEA 2021 Fall Conference - Long Beach, CA	11/07/2021 - 11/10/2021	Attended

**TRUSTEE TRAVEL AND EDUCATION REPORT  
FOR FISCAL YEAR 2021 - 2022  
FEBRUARY 2022**

<b>Attendee</b>	<b>Purpose of Travel - Location</b>	<b>Event Dates</b>	<b>Travel Status</b>
<b>Patrick Jones</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu - SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Harvard Kennedy School Executive Education: Leading Smart Policy Design: A Multisectoral Approach to Economic Decisions - VIRTUAL	09/21/2021 - 10/12/2021	Attended
	- Edu - Duke University Executive Education Program - Corporate Social Responsibility - VIRTUAL	12/06/2021 - 12/14/2021	Attended
	- Edu - Harvard Kennedy School Executive Education: Behavioral Insights and Public Policy Program - VIRTUAL	01/31/2022 - 02/16/2022	Attended
	- Edu - CFA Society of Los Angeles - Diversity, Equity, and Inclusion Virtual Conference - VIRTUAL	02/10/2022 - 02/10/2022	Attended
<b>Shawn Kehoe</b>			
V	- Edu - Morgan Stanley Virtual Global Insights Day - VIRTUAL	02/09/2022 - 02/09/2022	Attended
X	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Canceled
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Canceled
<b>Joseph Kelly</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu - SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - 2021 Institute of Internal Auditors Los Angeles Conference: Governance, Grit and Gravitas - VIRTUAL	10/04/2021 - 10/06/2021	Attended
	- Edu - NACD Pacific Southwest / USC Marshall Corporate Directors Symposium - November 2021 - VIRTUAL	11/10/2021 - 11/10/2021	Attended
X	- Edu - Global Investors Annual Meeting - New York, NY	12/13/2021 - 12/14/2021	Canceled
<b>Keith Knox</b>			
V	- Edu - Harvard Business School Audit Committees In A New Era of Governance - VIRTUAL	07/21/2021 - 07/23/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - New America Alliance: U.S. Economic Recovery - VIRTUAL	11/10/2021 - 11/10/2021	Attended
<b>Wayne Moore</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
<b>William Pryor</b>			
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
<b>Les Robbins</b>			
B	- Edu - CRCEA 2021 Fall Conference - Long Beach, CA	11/07/2021 - 11/10/2021	Attended
V	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended

**TRUSTEE TRAVEL AND EDUCATION REPORT  
FOR FISCAL YEAR 2021 - 2022  
FEBRUARY 2022**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
<b>Gina Sanchez</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Edu - 2022 PPI Winter Roundtable - Westlake Village CA	02/23/2022 - 02/25/2022	Attended
V	- Edu - 2021 CII Fall Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - 2021 Virtual NACD Summit - VIRTUAL	10/04/2021 - 10/08/2021	Attended
	- Edu - PRI Roundtable: The Road to Net-Zero with Ophir Bruck - VIRTUAL	10/12/2021 - 10/12/2021	Attended
	- Edu - PRI Roundtable: Equity, Diversity & Inclusion with Ophir Bruck - VIRTUAL	10/14/2021 - 10/14/2021	Attended
	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
X	- Edu - 2021 CII Fall Conference - Chicago, IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Herman Santos</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - PPI Asia Pacific Roundtable - Pasadena CA	10/27/2021 - 10/29/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Admin - SACRS Board of Directors Meeting - Rancho Mirage CA	12/14/2021 - 12/14/2021	Attended
	- Edu - 2022 PPI Winter Roundtable - Westlake Village CA	02/23/2022 - 02/25/2022	Attended
V	- Edu - PPI Roundtable - July 2021 - VIRTUAL	07/13/2021 - 07/15/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
X	- Edu - Global Investors Annual Meeting - New York, NY	12/13/2021 - 12/14/2021	Canceled

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C2 and Trustee Travel Policy Section IV.

V - Virtual Event

X - Canceled events for which expenses have been incurred.

Z - Trip was Canceled - Balance of \$0.00





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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**



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